

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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UMICORE AG & CO. KG

Petitioner

v.

BASF CORPORATION

Patent Owner

Case IPR2015-01124

Patent 8,404,203

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**PETITIONER'S UPDATED MANDATORY NOTICES  
AND FEE PAYMENT INFORMATION**

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Petitioner Umicore AG & Co. KG (“Umicore” or “Petitioner”) hereby provides notice that both its lead and back-up counsel in this proceeding have changed law firm affiliations from Kenyon & Kenyon LLP to Orrick, Herrington, and Sutcliffe LLP. Pursuant to 37 C.F.R. § 42.8(a)(3), Petitioner’s updated mandatory notices are below, reflecting its counsels’ new electronic service information, post and delivery addresses, and telephone and fax numbers. Petitioner has also updated the fee payment information from its original Petition to reflect that Orrick, Herrington, and Sutcliffe LLP’s deposit account should be used for any future fees incurred by Petitioner in connection with this IPR.

**I. Mandatory Notices (37 C.F.R. § 42.8)**

**A. Real Party-in-Interest (37 C.F.R. § 42.8(b)(1))**

Petitioner, Umicore, along with parent Umicore S.A. (also referred to as “Umicore NV”) and its wholly owned subsidiaries Umicore USA Inc., Umicore Autocat Canada Corp., and Umicore Autocat USA Inc. are the real parties-in-interest.

**B. Related Matters (37 C.F.R. § 42.8(b)(2))**

Another pending IPR, IPR2015-01123, also relates to the ’203 patent. This IPR focuses on primary prior art references that disclose aluminosilicate CHA catalysts with copper to aluminum atomic ratios within the claimed range, and secondary references that disclose and provide the motivation to modify the

primary reference catalysts to use silica to alumina mole ratios within the claimed range. The -1123 IPR focuses on the reverse: There, the primary prior art reference discloses aluminosilicate CHA catalysts (that are specifically intended for use in internal combustion engines) that have a silica to alumina mole ratio within the claimed range. The secondary reference discloses and provides a motivation to modify those catalysts by adding copper, resulting in a copper to aluminum atomic ratio within the claimed range.

**C. Counsel (37 C.F.R. § 42.8(b)(3))**

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**II. Payment of Fees (37 C.F.R. § 42.103)**

The USPTO is authorized to charge the filing fee and any other fees that are incurred by Petitioner to the deposit account of Orrick, Herrington, & Sutcliffe LLP: 15-0665.

Date: 1/14/2016

/ Elizabeth Gardner /

Elizabeth Gardner (Reg. No. 36,519)

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## CERTIFICATE OF SERVICE

The undersigned hereby confirms that the foregoing PETITIONER'S  
UPDATED MANDATORY NOTICES AND FEE PAYMENT INFORMATION  
was served on January 14, 2016 via e-mail upon the following counsel of record  
for Patent Owner:

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