04119.000100.36 <u>REEXAMINATION</u>

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Inter Partes Reexamination of:	)
IVOR BULL ET AL.	: Examiner: Diamond, Alan D
Patent No. 7,601,662	: Group Art Unit: 3991
Issued: October 13, 2009	: Confirmation No.: 2755
Control No. 95/001,453	; )
Reexam Filed: September 28, 2010	; )
For: COPPER CHA ZEOLITE CATALYSTS	: ) January 18, 2012
For: COPPER CHA ZEOLITE CATALYSTS	) January 18, 2012

### Mail Stop Inter Partes Reexam

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Third Party Comments After Patent Owner's Response After ACP Under 37 C.F.R. § 1.951

#### Madam:

The following is the Requester's response to "Patent Owner's Amendment and Response Under 37 C.F.R. § 1.951(a)" as well as the attachments thereto filed on December 19, 2011, and to the Action Closing Prosecution (ACP) dated November 18, 2011.



## **Table of Contents**

I.	Intro	duction1			
II.	New Undu Good	ent Owner's Amendments Should Not Be Entered Because They Raise Issues, Require New Consideration and/or a New Search, Impose and the Burden On the Examiner and/or Patent Owner Fails to Provide and Sufficient Reasons Why They are Necessary and Not Presented ier			
III.	Prop	osed Re	ejection of Amended Specification	3	
IV.		Proposed Non-Art Rejections of Amended Claims 1-25 and 28-38 and New Claims 39-55			
	A.	Newly Presented Claims 1-6, 9-25 and 28-55 are Invalid in View of 35 U.S.C. § 314(a)			
	В.	Newly Presented Claims 25, 28, 29, 31, and 39-43 are Invalid in View of 35 U.S.C. § 112, First Paragraph			
	C.	All Proposed Claims are Invalid Under 35 U.S.C. § 112, Second Paragraph			
V.		Patent Owner's Response is Not Persuasive in Overcoming the Rejections Set Forth in the ACP			
	A.	The Rejections Set Forth in the ACP Should be Maintained			
		1.	Arguments Not Related to Claimed Invention	9	
		2.	The Claims Were Properly Construed	10	
		3.	The Patent Owner's Attempt to Overcome Strong <i>Prima Facie</i> Case of Obviousness by Painting the Zeolite Catalysis Art as Highly Unpredictable Is Flawed	10	
		4.	SCR NOx Conversion With a Propene Reductant Provides a Reasonable Expectation of Success with an Ammonia Reductant	12	
	В.	Reje	ection of Claim 1 Over Yuen/Ritscher	13	
	C.	v	ection of Claims 1-11 Over Zones in View of Ishihara, as	15	



	1.	Zones Teaches All of Claim 1 Except the Optimization of Copper	15	
	2.	Ishihara Teaches, and a Person of Ordinary Skill Would Have Understood, How to Optimize the Copper Loading of Zones to Reduce Oxides of Nitrogen	15	
	3.	A Person of Ordinary Skill Would Have Had a Reasonable Expectation of Success	16	
	4.	Claims 2-11	20	
D.	Ishiha	etion of claims 12-32 and 39-55 over Zones in view of ara, as evidenced by the Centi Declaration, and further in of Patchett '843	21	
	1.	Claims 16, 17, 19, 20, 22 and 24	21	
	2.	Amended Claims 25, 28 and 29	21	
	3.	Amended Claim 31	22	
	4.	Amended Claims 39-43	23	
E.	Ishiha	etion of Claims 33, 34 and 36-38 over Zones in view of ara, as evidenced by the Centi Declaration, and further in of Patchett '514		
F.		ection of Claim 35 over Zones in view of Ishihara, as evidenced ne Centi Declaration, and further in view of Tennison		
G.	Rejec	etion of Claims 1-11 over Dedecek in view of Chung	23	
	1.	The Proposed Claims Remain Incredibly Broad	24	
	2.	There was Strong Motivation for One Skilled in the Art to Increase the SAR of the Zeolite of Dedecek Based on the Teaching of Chung.	24	
	3.	Patent Owner's "Comparative" Experiments Confirm that the CHA Zeolites of Dedecek Show High Catalytic Activity	25	
	4.	Claims 2-11	26	
Н.	•	etion of Claims 12-32 and 39-55 over Dedecek in view of g, and further in view of Patchett '843	26	
	1.	Claims 16, 17, 19, 20, 22 and 24	26	



		2.	Amended Claims 25, 28 and 29	27
		3.	Amended Claim 31	27
		4.	Amended Claims 39-43	27
	I.	Rejection of Claims 33, 34 and 36-38 over Dedecek in view of Chung, and further in view of Patchett '514		28
	J.		etion of Claim 35 over Dedecek in view of Chung, and further ew of Tennison	28
VI.	No "Unexpected Results"			28
	A.	The Comparative Tests/Results of the "Closest Prior Art" are Not Relevant or Unexpected		28
		1.	The Patent Owner's Reliance on the Analysis by Dr. Haller Regarding the Closest Prior Art is Flawed	28
		2.	The Comparative Results are Not Unexpected or Relevant	29
	В.	Attempt to Show "Unexpected Results" Not Commensurate in Scope With Claimed Invention		31
	C.	No N	Jexus Between Proffered Evidence and Claimed Invention	34
VII.			Thow Alleged "Secondary Considerations" Fails To Overcome rima Facie Case of Obviousness	34
CON	CLUSIC	)N		36



### I. <u>Introduction</u>

The Patent Owner attempts to amend the claims in response to the Action Closing Prosecution (ACP) in a manner that creates a myriad of new issues. Therefore, the amendments proposed in Patent Owner's Amendment and Response Under 37 C.F.R §1.95(a) (hereinafter "Response to ACP") should be denied entry.

Both the pending claims and the newly presented claims (if entered) fail to meet the requirements of 35 U.S.C. §103 and §112, first and second paragraphs for the reasons previously stated and set forth herein. In addition, the newly presented claims contravene the requirements of 35 U.S.C. §314 as they present claims having claim elements that are broader than granted in the original U.S. Patent No. 7,601,622 patent (hereinafter "the '662 patent").

The prior art relied upon by the Examiner provides for a strong showing of obviousness. For example, it is clear that Dr. Zones taught the world prior to the priority filing date of the '662 patent an aluminosilicate zeolite having a CHA crystal having a SAR of 22 or 30 could be loaded with copper to reduce oxides of nitrogen. It is also clear that Ishihara provides a technique for loading copper and recognizes the benefit of fully exchanging a zeolite having a CHA crystal structure to maximize the conversion of oxides of nitrogen. Clearly, Zones provides a person of ordinary skill with a reasonable expectation of success by virtue of actually claiming the process. The Zones process may be used with any reductant, including ammonia, and certainly the Patent Owner does not imply they are the first to use ammonia as a reductant. The findings of Ishihara buttress the conclusion of reasonable expectation of success. The Patent Owner's assertions that a person of ordinary skill would not have had a reasonable expectation of success because of the unpredictability in the art are flawed. The assertions are predicated on an inapplicable standard of certainty. The applicable standard, however, is reasonable expectation of success. Pharmastem Therapeutics, Inc. v. Viacell, Inc., 492 F.3d 1342, 1364 (Fed. Cir. 2007) (although a reasonable expectation of success is needed to support a case of obviousness, absolute predictability is not required).

The Patent Owner continues to assert that the "stunning" and "remarkable" properties of their claimed catalyst are evidence of patentability. As noted in Requester's Third Party Comments After Patent Owner's Response Under 37 C.F.R. § 1.947 filed on July 27, 2011 and ratified on September 15, 2011 (hereinafter "Requester's First Response") and made clear in the ACP, there is no question that objective evidence of nonobviousness must be commensurate in



# DOCKET

## Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

## **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

