

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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UMICORE AG & CO. KG,  
Petitioner

v.

BASF Corporation,  
Patent Owner

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Case IPR2015-01123  
Patent Number: 8,404,203

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**PATENT OWNER'S MANDATORY NOTICES**

**PURSUANT TO 37 C.F.R. § 42.8**

Patent Owner, BASF Catalysts LLF (“BASF”), hereby submits its mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

**A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))**

The real party-in-interest is BASF Corporation located at 100 Park Avenue, Florham Park, NJ 07932.

**B. Related Matters (37 C.F.R. § 42.8(b)(2))**

The following judicial and/or administrative proceedings could affect, or be affected by, a decision in this proceeding:

- *Umicore AG & Co. KG v. BASF Corporation*, IPR 2015-01121 (PTAB)
- *Umicore AG & Co. KG v. BASF Corporation*, IPR 2015-01124 (PTAB)
- *Umicore AG & Co. KG v. BASF Corporation*, IPR 2015-01125 (PTAB)

**C. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))**

Lead Counsel is Brian Ferguson of Weil, Gotshal & Manges LLP, 1300 I St. NW, Suite 900, Washington, DC 20005, phone 202-682-7516, fax 202-857-0940, [brian.ferguson@weil.com](mailto:brian.ferguson@weil.com), Reg. No. 36,801. Backup Counsel is Megan Wantland, available at the same address, phone 202-682-7271, fax 202-857-0940, [megan.wantland@weil.com](mailto:megan.wantland@weil.com), Reg. No. 64,423. Please address all correspondence to lead and backup counsel. Patent Owner consents to service by electronic mail.

A power of attorney from Patent Owner is being submitted concurrently herewith.

Case IPR2015-01123  
U.S. Patent No. 8,404,203

**D. Service Information (37 C.F.R. § 42.8(b)(4))**

Please direct all correspondence regarding this proceeding via electronic service using the following e-mail addresses:

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Date: May 21, 2015

Respectfully submitted,

/s/ Brian E. Ferguson

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**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing  
PATENT OWNER'S MANDATORY NOTICES  
was served on May 21, 2015, via electronic mail upon the follow attorneys of  
record for the Petitioner:

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*/s/ Timothy J. Andersen* \_\_\_\_\_  
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