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IPR2015-01121, Paper No. 52 IPR2015-01125, Paper No. 52 IPR2015-01123, Paper No. 50 IPR2015-01124, Paper No. 53 August 19, 2016

571-272-7822

RECORD OF ORAL HEARING UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

UMICORE AG & CO. KG

Petitioner

VS.

BASF CORPORATION

Patent Owner

- - - - -

Case IPR2015-01121, IPR2015-01125
Patent 7,601,662 B2
Case IPR2015-01123, IPR2015-01124
Patent 8,404,203 B2

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Oral Hearing Held: July 28, 2016

Before: CHRISTOPHER L. CRUMBLEY, JO-ANNE M. KOKOSKI, JEFFREY W. ABRAHAM, Administrative Patent Judges

The above-entitled matter came on for hearing on Thursday, July 28, 2016 at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia in Courtroom A, at 1:00 p.m.

REPORTED BY: KAREN BRYNTESON, RMR, CRR,

FAPR



APPEARANCES:

ON BEHALF OF THE PETITIONER:

ELIZABETH GARDNER, ESQ. K. PATRICK HERMAN, ESQ. Orrick, Herrington & Sutcliffe LLP 51 West 52nd Street New York, NY 10010-6142 212-506-3593

ON BEHALF OF THE PATENT OWNER:

ANISH DESAI, ESQ. BRIAN E. FERGUSON, ESQ. Weil, Gotshal & Manges LLP 1300 Eye Street, N.W. Suite 900 Washington, D.C. 20005-3314 202-682-7516



Case IPR2015-01121, IPR2015-01125 and Case IPR2015-01123, IPR2015-01124 Patent 7,601,662 B2, and Patent 8,404,203 B2

1	PROCEEDINGS
2	(1:00 p.m.)
3	JUDGE CRUMBLEY: Have a seat everyone. Give
4	us a second to get powered up here.
5	All right. We will go ahead and get started, and
6	we will assume my screen will start cooperating at some point
7	here.
8	So, good afternoon, everyone. Today we have the
9	oral hearing in four inter partes review trials, Case Numbers
10	IPR 2015-01121, 1123, 01124, and 01125 between Umicore
11	AG as Petitioner and BASF Corporation as Patent Owner.
12	I am Judge Crumbley. To my right is Judge
13	Kokoski, and to my left is Judge Abraham. I would start by
14	getting the parties' appearances, starting with Petitioner.
15	MS. GARDNER: Elizabeth Gardner of Orrick,
16	Herrington & Sutcliffe representing Petitioner.
17	MR. HERMAN: Patrick Herman, also of Orrick,
18	representing Petitioner.
19	JUDGE CRUMBLEY: Good afternoon. And who
20	do we have from the Patent Owner?
21	MR. DESAI: Anish Desai from Weil Gotshal.
22	MR. FERGUSON: And Brian Ferguson, also from
23	Weil Gotshal.
24	MR. DESAI: And, Your Honors, I have with me
25	in-house counsel for BASE Tanuia Garde and Anna-Lisa Gallo.



Case IPR2015-01121, IPR2015-01125 and Case IPR2015-01123, IPR2015-01124
Patent 7,601,662 B2, and Patent 8,404,203 B2

1	And the inventor, one of the inventors of the '662 patent,
2	Ahmad Moini.
3	MS. GARDNER: And just we also have in-house
4	Dr. Stefen Repko from Umicore.
5	JUDGE CRUMBLEY: Hi, welcome to the Board.
6	So we set forth the procedure for today in our tria
7	order, but just to make sure everyone is on the same page,
8	each side is going to have 90 minutes of total time to present
9	its argument in all four cases today.
10	You can allocate your time between cases as you
11	wish. We are not going to break up the transcript between the
12	four cases. So we are going to enter the same transcript in the
13	record of all of the cases.
14	Petitioner has the burden of proving
15	unpatentability and will go first. You are going to present
16	your case-in-chief in all four cases before sitting, and then
17	letting the Patent Owner speak. You can reserve rebuttal time
18	at the beginning of your argument, if you wish. Just let me
19	know, so I can set the clock, and then followed by Patent
20	Owner's presentation of its case-in-chief, then followed by the
21	rebuttal of the Petitioner.
22	Since three hours is a long time for our reporter to
23	go, I think we are going to try and take a break somewhere in
24	the middle. We will find an appropriate time to do that, and



we can stretch our legs.

25

Case IPR2015-01121, IPR2015-01125 and Case IPR2015-01123, IPR2015-01124
Patent 7,601,662 B2, and Patent 8,404,203 B2

1	So I note that both parties submitted demonstrative
2	exhibits. I want to make a couple quick points on those.
3	First, we received objections from Petitioner to a
4	couple of Patent Owner's demonstratives; is that correct?
5	MS. GARDNER: Yes.
6	JUDGE CRUMBLEY: As I understand it, those are
7	based on the fact that they rely on expert testimony to which
8	you have filed a motion to exclude?
9	MS. GARDNER: Correct, Your Honor.
10	JUDGE CRUMBLEY: So we're not going to
11	sustain that objection at this time because we haven't ruled on
12	the motion to exclude. I think it is within our ability to not
13	rely on those slides, if we decide to grant the motion to
14	exclude.
15	And then, second, I see that the Patent Owner has
16	submitted a confidential version, as well as a public version of
17	its demonstrative slides?
18	MR. DESAI: That's correct.
19	JUDGE CRUMBLEY: All right. So there is no
20	motion to seal with those?
21	MR. DESAI: That's correct. I think we intend to
22	use the version in public here today.
23	JUDGE CRUMBLEY: Okay. And that's what I
24	was going to point out to you. It is an open hearing,
25	obviously.



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