



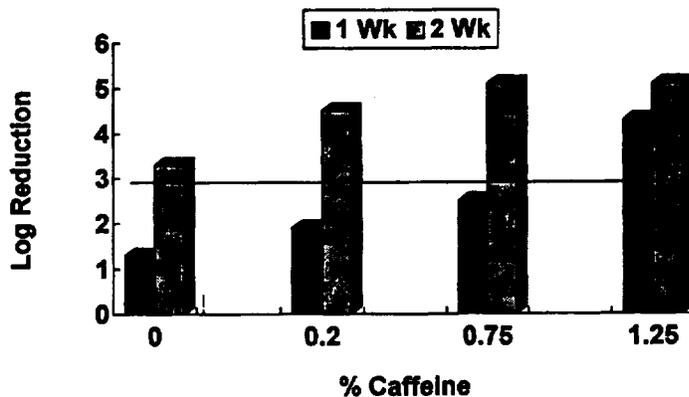
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁶ : A61K 31/557, 31/52, 9/00, 31/355, 31/40, 31/38, 31/195, 31/19 // (A61K 31/557, 31:52, 31:355) (A61K 31/52, 31:40, 31:355) (A61K 31/52, 31:38, 31:355) (A61K 31/52, 31:195, 31:355) (A61K 31/52, 31:19, 31:355)</p>	<p>A1</p>	<p>(11) International Publication Number: WO 96/30022</p> <p>(43) International Publication Date: 3 October 1996 (03.10.96)</p>
<p>(21) International Application Number: PCT/US96/01976</p> <p>(22) International Filing Date: 14 February 1996 (14.02.96)</p> <p>(30) Priority Data: 08/412,435 29 March 1995 (29.03.95) US</p> <p>(71) Applicant: ALCON LABORATORIES, INC. [US/US]; 6201 South Freeway, Fort Worth, TX 76134-2099 (US).</p> <p>(72) Inventors: DESAI, Suketu; 7401 Kingswood Drive, Fort Worth, TX 76133 (US). BAWA, Rajan; 6365 Hulen Bend Court #505, Fort Worth, TX 76132 (US).</p> <p>(74) Agents: YEAGER, Sally, S. et al.; Alcon Laboratories, Inc., Patent Dept., Q-148, 6201 South Freeway, Fort Worth, TX 76134-2099 (US).</p>	<p>(81) Designated States: AU, CA, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	

(54) Title: TOPICAL OPHTHALMIC FORMULATIONS COMPRISING AN ACIDIC DRUG, VITAMIN E TPGS, BENZALKONIUM CHLORIDE AND CAFFEINE

0.1% Diclofenac, 0.01% BAC, 1.5% TPGS

▷ *S. aureus* PET screen



(57) Abstract

Stable, comfortable, preserved, topical, ophthalmic compositions of acid drugs and their use for treating inflammation of the eye are disclosed. The compositions contain an acidic drug (e.g. a NSAID, preferably diclofenac, or a prostaglandin), Vitamin E, TPGS, Benzalkonium chloride or homologues thereof and caffeine.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

5
Field of the Invention

This application is directed to stable and comfortable preserved ophthalmic formulations containing an acidic drug.

10
Background of the Invention

Carboxyl containing compounds, including most non-steroidal antiinflam-matory drugs (NSAIDs), are difficult to formulate into stable, preserved, comfortable, ophthalmic compositions. Acidic drugs with carboxyl groups are inherently irritating to the eye. In addition, the drugs tend to form insoluble complexes with quaternary ammonium preservatives, such as benzalkonium chloride (BAC). Many NSAIDs have been formulated with other than desirable preservatives (e.g. sorbic acid, thimerosol) because the compounds complex with desired preservatives, such as, quaternary ammonium compounds, particularly BAC. In addition, it has proved difficult to formulate carboxyl containing compounds that are comfortable when applied topically to the eye.

There are ophthalmic products containing acidic drugs. Commonly, these drugs are NSAIDs containing a carboxyl group. Examples of these products are suprofen (Profenal[®], Alcon Laboratories, Inc. which is preserved with thimerosol); diclofenac sodium (Voltaren Ophthalmic[™], Ciba Vision Ophthalmics which is preserved with sorbic acid); flurbiprofen sodium (Ocufer[®], Allergan Medical Optics which is preserved with thimerosol); and ketorolac tromethamine (Acular[®], Allergan, Inc. which is preserved with BAC and Octoxynol 40).

U. S. Patent No. 5,110,493 discloses aqueous, ophthalmic, non-steroidal anti-inflammatory formulations which include a preservative system formed of a quaternary ammonium compound and a nonionic surfactant which is an ethoxylated alkyl phenol, such as Octoxynol 10 or 40.

WO 94/15597 discloses the use of lauralkonium chloride, a C₁₂ homologue of BAC, which is compatible with acidic drug entities in ophthalmic formulations.

U. S. Patent No. 4,960,799 discloses an ophthalmic formulation of a salt of ortho-
5 (2,6-dichlorophenyl) aminophenylacetic acid, EDTA, a solubilizer, and a bacteriostat.

EP 0,621,036-A1 discloses ophthalmic formulations of particular arginine amides and either cyclodextrin or caffeine. The application discloses that the use of cyclodextrin or caffeine improves the arginine amide solubility in water and that the caffeine can
10 stabilize the compound in water.

U. S. Patent No. 4,559,343 discloses ophthalmic formulations containing NSAIDs and a xanthine derivative to reduce ocular discomfort.

15 The compositions of the present invention are stable, yet they contain an acidic drug and the desired preservative, BAC, or mixtures of at least two homologues of BAC. In addition, the compositions are comfortable upon topical instillation in the eye.

Summary of the Invention

20 The present invention is directed to stable, comfortable, and preserved topical ophthalmic formulations comprising an acidic drug, Vitamin E Tocopherol Polyethylene Glycol 1000 Succinate (TPGS) (Eastman Chemical Co., Kingsport, TN), BAC, or mixtures of at least two homologues of BAC, and caffeine. Types of acidic drugs can include
25 NSAIDs, antibacterials, diagnostic agents, antiinfective agents, and prostaglandins. Methods for the compositions' use are also disclosed.

PC1/0390/01970

Brief Description of the Drawing

Figure 1 shows the effect of caffeine concentration on the preservative efficacy of BAC.

5

Detailed Description of Preferred Embodiments

The compositions of the present invention comprise an acidic drug, Vitamin E TPGS, BAC, or mixtures of BAC homologues, such as C₁₂ and C₁₄ and caffeine. As used herein the term "acidic" means the drug contains a carboxyl moiety or a salt thereof and/or a sulfamide group or a salt thereof.

10

Acidic drugs which can be formulated according to the present invention include NSAIDs, including, but not limited to, diclofenac, bromfenac, flurbiprofen, naproxen, ketorolac, suprofen, ibuprofen, and tolmetin, including their pharmaceutically acceptable salts, esters, and prodrugs; prostaglandins; antibacterial and antiinfective agents; and diagnostic agents. BAC is used to preserve the formulations. The Vitamin E TPGS is used to solubilize the acidic drug and reduce ocular discomfort in aqueous conditions. The caffeine is added to reduce ocular discomfort, but surprisingly, it was found that it acts synergistically with Vitamin E TPGS to reduce discomfort and it also potentiates the preservative efficacy of BAC.

15

In the formulations, the acidic drug is present at concentrations from 0.001 weight percent (wt. %) to 2.5 wt. %, preferably 0.01 to 1.0 wt. %. The Vitamin E TPGS concentration is 0.0001 to 30 wt. %, preferably 0.01 to 10 wt. %. BAC or its homologue mixtures are present at concentrations from 0.00001 to 0.02 wt. %, preferably .0001 to 0.01 wt. %; and the caffeine concentration is from 0.001 to 5.0 wt. %, preferably 0.01 to 1.0 wt. %.

20

25

The compositions of the invention may also contain other components such as, but not limited to, those listed below:

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.