

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

COALITION FOR AFFORDABLE DRUGS IV LLC

Petitioner

v.

PHARMACYCLICS, INC.

Patent Owner

Case No. IPR2015-01076

Patent No. 8,754,090

PETITIONER'S UPDATED APPENDIX OF EXHIBITS

Exhibit	Description	Filed
Ex. 1001	U.S. Patent No. 8,754,090	<input checked="" type="checkbox"/>
Ex. 1002	ClinicalTrials.gov Archive, <i>Study of the Safety and Tolerability of PIC-32765 in Patients with Recurrent B Cell Lymphoma</i> (February 23, 2009), https://clinicaltrials.gov/archive/NCT00849654/2009_02_23	<input checked="" type="checkbox"/>
Ex. 1003	U.S. Patent App. Pub. No. 2008/0139582	<input checked="" type="checkbox"/>
Ex. 1004	Press Release, Pharamacyclics, Pharamacyclics Initiates Phase I Clinical Trial of Novel Oral Btk Inhibitor for Refractory B-Cell Non-Hodgkin's Lymphoma (April 13, 2009)	<input checked="" type="checkbox"/>
Ex. 1005	Zhengying Pan, et al., <i>Discovery of Selective Irreversible Inhibitors for Bruton's Tyrosine Kinase</i> , 2 ChemMedChem 58 (2007)	<input checked="" type="checkbox"/>
Ex. 1006	Lee Honigberg et al., <i>Targeting Btk in Lymphoma: PCI-32765 Inhibits Tumor Growth in Mouse Lymphoma Models and a Fluorescent Analog of PCI-32675 Is an Active-Site Probe That Enables Assessment of Btk Inhibition In Vivo</i> , Blood (ASH Annual Meeting Abstracts) at 1592 (November 2007)	<input checked="" type="checkbox"/>
Ex. 1007	Christophe Le Tourneau et al., <i>Dose Escalation Methods in Phase I Cancer Clinical Trials</i> , 101 J. Nat'L Cancer Inst. 708 (2009)	<input checked="" type="checkbox"/>
Ex. 1008	Jonathan McConathy, Ph.D., & Michael J. Owens, Ph.D., <i>Stereochemistry in Drug Action</i> , 5 Primary Care Companion J Clinical Psychiatry 70 (2003)	<input checked="" type="checkbox"/>
Ex. 1009	Stefano A. Pileri & Brunangelo Falini, <i>Mantle Cell Lymphoma</i> , 94 Haematologica 1488 (2009)	<input checked="" type="checkbox"/>
Ex. 1010	Agency For Toxic Substances and Disease Registry, Public Health Assessment Guidance Manual, (2005)	<input checked="" type="checkbox"/>
Ex. 1011	MacPartlin et al., <i>Bruton's tyrosine kinase is not essential for Bcr-Abl-mediated transformation of lymphoid or myeloid cells</i> , 22 Leukemia 1354 (2008)	<input checked="" type="checkbox"/>
Ex. 1012	National Center for Biotechnology Information, PubChem Compound Database, CID=16126651, available at http://pubchem.ncbi.nlm.nih.gov/compound/16126651	<input checked="" type="checkbox"/>
Ex. 1013	Elaine S. Jaffe, <i>The 2008 WHO classification of lymphomas: implications for clinical practice and</i>	<input checked="" type="checkbox"/>

	<i>translational research</i> , Hematology (2009)	
Ex. 1014	Original Claims, December 29, 2011, U.S. Patent App. No. 13/340,522	<input checked="" type="checkbox"/>
Ex. 1015	Examiner Initiated Interview Summary, December 23, 2012, U.S. Patent App. No. 13/340,522	<input checked="" type="checkbox"/>
Ex. 1016	Requirement for Restriction/Election, January 3, 2013, U.S. Patent App. No. 13/340,522	<input checked="" type="checkbox"/>
Ex. 1017	Preliminary Amendment and Response to Restriction Requirement, February 4, 2013, U.S. Patent App. No. 13/340,522	<input checked="" type="checkbox"/>
Ex. 1018	Final Office Action, November 1, 2013, U.S. Patent App. No. 13/340,522	<input checked="" type="checkbox"/>
Ex. 1019	Response to Final Office Action, December. 17, 2013, U.S. Patent App. No. 13/340,522	<input checked="" type="checkbox"/>
Ex. 1020	Notice of Allowance, January 27, 2014, U.S. Patent App. No. 13/340,522	<input checked="" type="checkbox"/>
Ex. 1021	Declaration by Djordje Atanackovic, M.D., Regarding U.S. Patent No. 8,754,090 Under 37 C.F.R. § 42.63(a)	<input checked="" type="checkbox"/>
Ex. 1022	Curriculum Vitae of Djordje Atanackovic, M.D.	<input checked="" type="checkbox"/>
Ex. 1023	R. Eric Davis, et al., <i>Chronic Active B-Cell-Receptor Signalling in Diffuse Large B-Cell Lymphoma</i> , Nature, Vol. 463, Letters, pp. 88-92 (January 7, 2010)	<input checked="" type="checkbox"/>
Ex. 1024	Declaration of Brent Routman in Support of Petitioner's Motion for Admission <i>Pro Hac Vice</i>	<input checked="" type="checkbox"/>
Ex. 1025	Declaration of Shane A. Brunner in Support of Petitioner's Motion for Admission <i>Pro Hac Vice</i>	<input checked="" type="checkbox"/>
Ex. 1026	Declaration of Juan (Julie) Wu, PH.D, M.S.	<input checked="" type="checkbox"/>
Ex. 1027	Joint letter from AARP, AHIP, BCBSA and PCMA to Chairmen Grassley and Goodlatte and Ranking Members Leahy and Conyers (July 9, 2015)	<input checked="" type="checkbox"/>
Ex. 1028	Center for Economic and Policy Research paper titled "The Impact of Exempting the Pharmaceutical Industry from Patent Reviews" by Dean Baker (July, 2015)	<input checked="" type="checkbox"/>
Ex. 1029	Orange Book: Approved Drug Products with Therapeutic Equivalence Evaluations (August 11, 2015)	<input checked="" type="checkbox"/>
Ex. 1030	FiercePharma.com article titled "J&J, Pharamacyclics slap \$130,000 price on Imbruvica for rare lymphoma" by Tracy Staton (November 14, 2013)	<input checked="" type="checkbox"/>
Ex. 1031	Curriculum Vitae of Juan (Julie) Wu	<input checked="" type="checkbox"/>

Ex. 1032	Karl B. Diether, Kuan-Hui Lee and Ingrid M. Werner, <i>It's SHO Time! Short-Sale Price Tests and Market Quality</i> , The Journal of Finance, Vol LXIV, No. 1 37 (February, 2009)	<input checked="" type="checkbox"/>
Ex. 1033	Political /Regulatory Timeline	<input checked="" type="checkbox"/>
Ex. 1034	Excerpts, with Executive Summary of SEC 2010 Amendments to Regulation SHO final rule 34-61595	<input checked="" type="checkbox"/>
Ex. 1035	Jonathan M. Karpoff and Xiaoxia Lou, <i>Short Sellers and Financial Misconduct</i> , The Journal of Finance, Vol LXV, No. 5 1879 (October, 2010)	<input checked="" type="checkbox"/>
Ex. 1036	Vivian W. Fang, Allen H. Huang and Jonathan Karpoff, <i>Short Selling and Earnings Management: A Controlled Experiment</i> (November 16, 2014)	<input checked="" type="checkbox"/>
Ex. 1037	Ekkehart Boehmer and Juan (Julie) Wu, <i>Short Selling and the Price Discovery Process</i> (2012)	<input checked="" type="checkbox"/>
Ex. 1038	Ekkehart Boehmer, Charles M. Jones and Xiaoyan Zhang, <i>Shackling Short Sellers: The 2008 Shorting Ban</i> (2013)	<input checked="" type="checkbox"/>
Ex. 1039	Massimo Massa, Bohui Zhang and Hong Zhang, <i>The Invisible Hand of Short Selling: Does Short Selling Discipline Earnings Management?</i> (October, 2014)	<input checked="" type="checkbox"/>
Ex. 1040	Massimo Massa, Bohui Zhang and Hong Zhang, <i>Governance Through Threat: Does Short Selling Improve Internal Governance?</i> Faculty and Research Working Paper (2013)	<input checked="" type="checkbox"/>
Ex. 1041	Jie (Jack) He and Xuan Tian, <i>Short sellers and innovation: Evidence from a quasi-natural experiment</i> (March, 2014)	<input checked="" type="checkbox"/>
Ex. 1042	Patricia M. Dechow, Amy P. Hutton, Lisa Meulbroek and Richard G. Sloan, <i>Short-sellers, fundamental analysis, and stock returns</i> , Journal of Financial Economics 61 (2001)	<input checked="" type="checkbox"/>
Ex. 1043	Ferhat Akbas, Ekkehart Boehmer and Egemen Genc, <i>Peer stock short interest and future returns</i> (February, 2015)	<input checked="" type="checkbox"/>
Ex. 1044	Karl B. Diether, Kuan-Hui Lee and Ingrid M. Werner, <i>Short-Sale Strategies and Return Predictability</i> (2008)	<input checked="" type="checkbox"/>
Ex. 1045	Testimony of Professor Owen A. Lamont (June 28, 2006)	<input checked="" type="checkbox"/>
Ex. 1046	Joseph E. Engelberg, Adam V. Reed and Matthew C. Ringgenberg, <i>How are shorts informed? Short sellers, news, and information processing</i> , Journal of Financial Economics 105 (2012)	<input checked="" type="checkbox"/>
Ex. 1047	Press Release, Pharmacyclics, Pharmacyclics Initiates Phase I Clinical Trial of Novel Oral Btk Inhibitor for Refractory	<input checked="" type="checkbox"/>

	B-Cell Non-Hodgkin's Lymphoma (April 13, 2009)	
Ex. 1048	Declaration of Jeffrey D. Blake	<input checked="" type="checkbox"/>
Ex. 1049	Declaration of Tchao J. Thao	<input checked="" type="checkbox"/>
Ex. 1050	Declaration of Kristin Drieman	<input checked="" type="checkbox"/>