

18



Europäisches Patentamt  
European Patent Office  
Office européen des brevets

11 Publication number:

**0 114 027  
B1**

12

**EUROPEAN PATENT SPECIFICATION**

45 Date of publication of patent specification: **07.01.88**

51 Int. Cl.<sup>4</sup>: **C 07 D 209/18,**  
**C 07 D 405/04, A 61 K 31/405**

21 Application number: **83810548.4**

22 Date of filing: **22.11.83**

54 **Analogs of mevalolactone and derivatives thereof, processes for their production, pharmaceutical compositions containing them and their use as pharmaceuticals.**

30 Priority: **22.11.82 US 443668**  
**04.11.83 US 548850**

43 Date of publication of application:  
**25.07.84 Bulletin 84/30**

45 Publication of the grant of the patent:  
**07.01.88 Bulletin 88/01**

84 Designated Contracting States:  
**AT BE CH DE FR GB IT LI LU NL SE**

58 References cited:

**TETRAHEDRON LETTERS, vol. 23, no. 42, 1982,**  
**Pergamon Press, Oxford, GB YUH-LIN YANG et**  
**al.: "Mevinic acids and analogues: preparation**  
**of a key chiral intermediate", p. 4305, 4308**

73 Proprietor: **SANDOZ AG**  
**Lichtstrasse 35**  
**CH-4002 Basel (CH)**

84 **BE CH FR GB IT LI LU NL SE**

73 Proprietor: **SANDOZ-PATENT-GMBH**  
**Humboldtstrasse 3**  
**D-7850 Lörrach (DE)**

84 **DE**

73 Proprietor: **SANDOZ-ERFINDUNGEN**  
**Verwaltungsgesellschaft m.b.H.**  
**Brunner Strasse 59**  
**A-1235 Wien (AT)**

84 **AT**

72 Inventor: **Kathawala, Faizulla Gulamhusein**  
**39 Woodland Avenue**  
**Mountain Lakes, N.J., 07946 (US)**

**EP 0 114 027 B1**

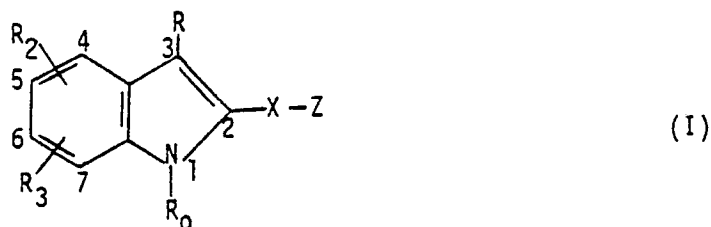
Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European patent convention).

Courier Press, Leamington Spa, England.

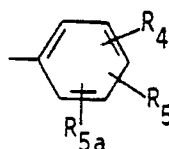
## Description

The invention concerns heterocyclic analogs of mevalono-lactone and derivatives thereof, process for their production, pharmaceutical compositions containing them and their use as pharmaceuticals, in particular as hypolipoproteinemic and antiatherosclerotic agents.

The invention is especially concerned with compounds of formula I



wherein one of R and R<sub>0</sub> is



and the other is primary or secondary C<sub>1-6</sub>alkyl, C<sub>3-6</sub>cycloalkyl or phenyl-(CH<sub>2</sub>)<sub>m</sub>, wherein

R<sub>4</sub> is hydrogen, C<sub>1-4</sub>alkyl, C<sub>1-4</sub>alkoxy, (except t-butoxy), trifluoromethyl, fluoro, chloro, phenoxy or benzyloxy,

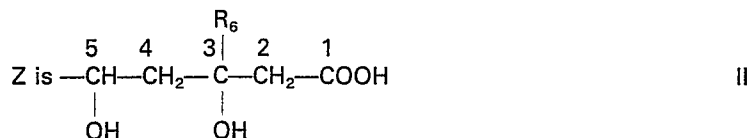
R<sub>5</sub> is hydrogen, C<sub>1-3</sub>alkyl, C<sub>1-3</sub>alkoxy, trifluoromethyl, fluoro, chloro, phenoxy or benzyloxy,

R<sub>5a</sub> is hydrogen, C<sub>1-2</sub>alkyl, C<sub>1-2</sub>alkoxy, fluoro or chloro, and m is 1, 2 or 3, with the provisos that both R<sub>5</sub> and R<sub>5a</sub> must be hydrogen when R<sub>4</sub> is hydrogen, R<sub>5a</sub> must be hydrogen when R<sub>5</sub> is hydrogen, not more than one of R<sub>4</sub> and R<sub>5</sub> is trifluoromethyl, not more than one of R<sub>4</sub> and R<sub>5</sub> is phenoxy and not more than one of R<sub>4</sub> and R<sub>5</sub> is benzyloxy,

R<sub>2</sub> is hydrogen, C<sub>1-4</sub>alkyl, C<sub>3-6</sub>cycloalkyl, C<sub>1-4</sub>alkoxy, (except t-butoxy), trifluoromethyl, fluoro, chloro, phenoxy or benzyloxy,

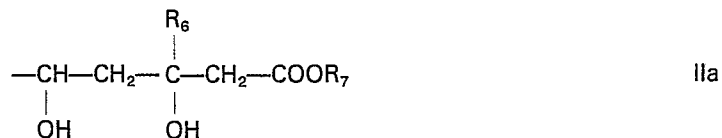
R<sub>3</sub> is hydrogen, C<sub>1-3</sub>alkyl, C<sub>1-3</sub>alkoxy, trifluoromethyl, fluoro, chloro, phenoxy or benzyloxy, with the provisos that R<sub>3</sub> must be hydrogen when R<sub>2</sub> is hydrogen, not more than one of R<sub>2</sub> and R<sub>3</sub> is trifluoromethyl, not more than one of R<sub>2</sub> and R<sub>3</sub> is phenoxy, and not more than one of R<sub>2</sub> and R<sub>3</sub> is benzyloxy,

X is -(CH<sub>2</sub>)<sub>n</sub>- or -CH=CH- (n=0, 1, 2 or 3), and



wherein R<sub>6</sub> is hydrogen or C<sub>1-3</sub>alkyl in free acid form or in the form of a physiologically-hydrolysable and -acceptable ester of a δ-lactone thereof or in salt form.

By the term "physiologically-hydrolysable and -acceptable ester" is meant an ester of a compound in accordance with the invention in which the carboxyl moiety is esterified, and which is hydrolysable under physiological conditions to yield an alcohol which is itself physiologically acceptable, e.g. non-toxic at desired dosage levels. Preferred such esters as Z can be represented together with the free acid by formula IIa



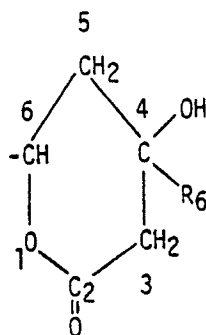
wherein R<sub>7</sub> is hydrogen, C<sub>1-4</sub>alkyl or benzyl, preferably hydrogen, C<sub>1-3</sub>alkyl, n-butyl, i-butyl, t-butyl or benzyl and R<sub>6</sub> is as defined above.

When in salt form R<sub>7</sub> represents a cation.

When Z is in lactone form it forms a δ-lactone of formula IIb

5

10



I Ib

and references to "lactone" hereinafter refer to  $\delta$ -lactones.

15

Salts of the compounds of the invention, e.g. of the compounds of formula I, include in particular their pharmaceutically acceptable salts. Such pharmaceutically acceptable salts include e.g. alkali metal salts such as the sodium and potassium salts and ammonium salts.

References to compounds of formula I and sub-species thereof are intended to cover all forms unless otherwise stated.

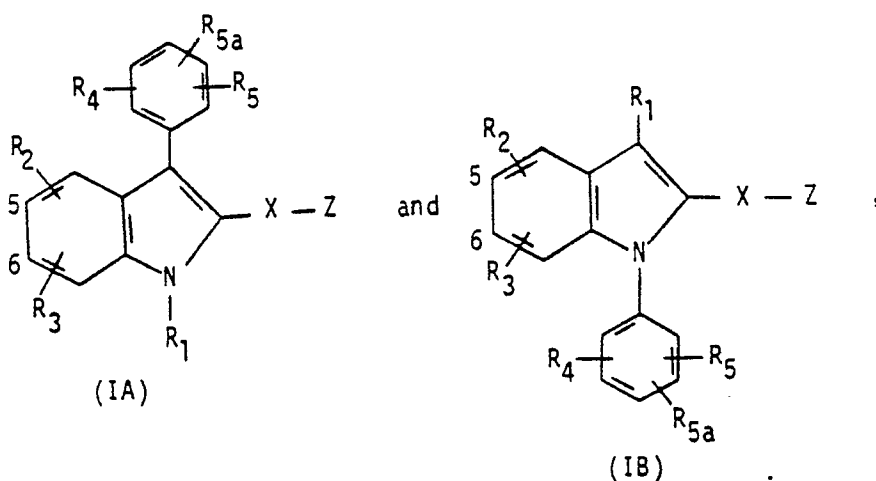
20

The compounds of formula I may be divided into two groups, the compounds of formulae IA and IB:

25

30

35



40 wherein

$R_1$  is primary or secondary  $C_{1-6}$ alkyl,  $C_{3-6}$ cycloalkyl or phenyl- $(CH_2)_m-$ , and

$R_2-R_{5a}$ , X, Z and m are as defined above.

45

The compounds of formula IA may be divided into a two sub-groups, the compounds wherein Z is a group of formula II in other than lactone form (Group IAa) and those wherein Z is a group of formula (Group IAb) IIb. Likewise, the compounds of formula IB may be divided into two sub-groups, the compounds wherein Z is a group of formula II in other than lactone form (Group IBa) and those wherein Z is a group of formula IIb (Group IBb).

50

As is self-evident to those in the art, each compound of formula I (and every sub-scope and species thereof) has at least two centers of asymmetry (e.g. the two carbon atoms bearing the hydroxy groups in the group of formula Ia and the carbon atom bearing the hydroxy group and the carbon atom having the free valence in the group of formula Ib) and these lead to four stereoisomeric forms (enantiomers) of each compound (two racemates or pairs of diastereoisomers). These four stereoisomers may be designated as the R, R; R, S; S, R; and S, S enantiomers, all four stereoisomers being within the scope of this invention. Depending on the nature of substituents further asymmetric carbon atoms may be present and the

55

resulting isomers and mixtures thereof also form part of the invention. Compounds containing only two centres of asymmetry (four mentioned stereoisomers) are preferred.

$R_1$  is preferably primary or secondary  $C_{1-6}$ alkyl not containing an asymmetric carbon atom (e.g. methyl, ethyl, n-propyl, i-propyl, n-butyl, i-butyl, 1-ethylpropyl, neopentyl and n-hexyl), more preferably  $C_{1-3}$ alkyl and most preferably methyl, ethyl or i-propyl, especially i-propyl.

60

Alkyl as  $R_2$  is preferably  $C_{1-3}$  or n-, i- or t-butyl and alkoxy  $C_{1-3}$  or n- or i-butoxy.  $R_2$  is preferably  $R_2'$ , where  $R_2'$  is hydrogen,  $C_{1-3}$ alkyl,  $C_{1-3}$ alkoxy, trifluoromethyl, fluoro, chloro, phenoxy or benzyloxy, more preferably  $R_2''$ , where  $R_2''$  is hydrogen,  $C_{1-3}$ alkyl, methoxy, fluoro, chloro or 4-, 5- or 6-benzyloxy, and most preferably  $R_2'''$ , where  $R_2'''$  is hydrogen,  $C_{1-3}$ alkyl or 4- or 6-benzyloxy, especially hydrogen or methyl and most especially hydrogen.

65

$R_3$  is preferably  $R_3'$ , where  $R_3'$  is hydrogen,  $C_{1-3}$ alkyl,  $C_{1-2}$ alkoxy, fluoro or chloro, more preferably  $R_3''$ ,

where  $R_3''$  is hydrogen or  $C_{1-3}$ alkyl and most preferably  $R_3'''$ , where  $R_3'''$  is hydrogen or methyl, especially hydrogen.  $R_3$  ( $R_3'$ , etc.) must be hydrogen when  $R_2$  ( $R_2'$ , etc.) is hydrogen.

Preferably, when  $R_2$  ( $R_2'$ ,  $R_2''$ , etc.) is other than hydrogen and  $R_3$  ( $R_3'$ ,  $R_3''$ , etc.) is hydrogen,  $R_2$  ( $R_2'$ , etc.) is in the 4-, 5- or 6-position.

Preferably, when both  $R_2$  ( $R_2'$ ,  $R_2''$ , etc.) and  $R_3$  ( $R_3'$ ,  $R_3''$ , etc.) are other than hydrogen, at least one of them is in the 5- or 6-position, neither of them is in the 7-position, and not more than one of them is a member of the group consisting of t-butyl,  $C_{3-6}$ cycloalkyl, trifluoromethyl, phenoxy and benzyloxy; more preferably, they are not *ortho* to each other when neither of them is a member of the group consisting of methyl, methoxy, fluoro and chloro. Most preferably, one is in the 4-position and the other is in the 6-position.

Except where otherwise indicated: (a) Any  $C_{1-4}$ alkyl or  $C_{3-6}$ cycloalkyl group as  $R_2$ ,  $R_2'$ ,  $R_3$ ,  $R_3'$ , etc. is more preferably in the 4- or 6-position. (b) Any  $C_{1-4}$ alkoxy, fluoro or chloro substituent as  $R_2$ ,  $R_2'$ ,  $R_3$ ,  $R_3'$ , etc. is more preferably in the 5-position. (c) Any benzyloxy as  $R_2$ ,  $R_2'$ ,  $R_3$ ,  $R_3'$ , etc. is more preferably in the 4-, 5- or 6-position and most preferably in the 4- or 6-position, especially the 6-position.

Alkyl as  $R_4$  is preferably  $C_{1-3}$  or n-, i- or t-butyl and alkoxy  $C_{1-3}$  or n- or i-butoxy.  $R_4$  is preferably  $R_4'$ , where  $R_4'$  is hydrogen,  $C_{1-3}$ alkyl,  $C_{1-3}$ alkoxy, trifluoromethyl, fluoro, chloro, phenoxy or benzyloxy, more preferably  $R_4''$ , where  $R_4''$  is hydrogen, methyl, methoxy, fluoro or chloro, and most preferably  $R_4'''$ , where  $R_4'''$  is hydrogen, methyl or fluoro, especially  $R_4''''$ , where  $R_4''''$  is hydrogen, 3- or 4-methyl or 4-fluoro and most especially 4-fluoro.

$R_5$  is preferably  $R_5'$ , where  $R_5'$  is hydrogen,  $C_{1-2}$ alkyl,  $C_{1-2}$ alkoxy, fluoro or chloro, more preferably  $R_5''$ , where  $R_5''$  is hydrogen, methyl, methoxy, fluoro or chloro, and most preferably  $R_5'''$ , where  $R_5'''$  is hydrogen or methyl, especially hydrogen.  $R_5$  ( $R_5'$ ,  $R_5''$ , etc.) must be hydrogen when  $R_4$  ( $R_4'$ ,  $R_4''$ , etc.) is hydrogen.

$R_{5a}$  is preferably  $R_{5a}'$ , where  $R_{5a}'$  is hydrogen or methyl, and most preferably hydrogen.  $R_{5a}$  ( $R_{5a}'$ , etc.) must be hydrogen when at least one of  $R_4$  ( $R_4'$ ,  $R_4''$ , etc.) and  $R_5$  ( $R_5'$ ,  $R_5''$ , etc.) is hydrogen.

Preferably, when  $R_4$  ( $R_4'$ ,  $R_4''$ , etc.) is other than hydrogen and  $R_5$  ( $R_5'$ ,  $R_5''$ , etc.) and  $R_{5a}$  ( $R_{5a}'$ , etc.) are both hydrogen,  $R_4$  ( $R_4'$ , etc.) is in a *meta* or *para* position, more preferably the *para* position. The most preferred monosubstituted phenyl group is 4-fluorophenyl.

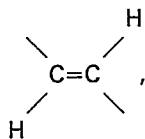
Preferably, when both  $R_4$  ( $R_4'$ ,  $R_4''$ , etc.) and  $R_5$  ( $R_5'$ ,  $R_5''$ , etc.) are other than hydrogen and  $R_{5a}$  ( $R_{5a}'$ , etc.) is hydrogen, at least one of  $R_4$  ( $R_4'$ , etc.) and  $R_5$  ( $R_5'$ , etc.) is in a *meta* or *para* position (more preferably both are), and not more than one of them is a member of the group consisting of t-butyl, trifluoromethyl, phenoxy and benzyloxy; more preferably,  $R_4$  ( $R_4'$ , etc.) and  $R_5$  ( $R_5'$ , etc.) are not *ortho* to each other when neither of them is a member of the group consisting of methyl, methoxy, fluoro and chloro. The most preferred disubstituted phenyl groups are 3,4- and 3,5-dimethylphenyl and 4-fluoro-3-methylphenyl, especially 3,5-dimethylphenyl and 4-fluoro-3-methylphenyl.

Preferably, when each of  $R_4$  ( $R_4'$ , etc.),  $R_5$  ( $R_5'$ , etc.) and  $R_{5a}$  ( $R_{5a}'$ , etc.) is other than hydrogen, at least two of them (more preferably, all three) are in *meta* or *para* positions, and not more than one of them is a member of the group consisting of t-butyl, trifluoromethyl, phenoxy and benzyloxy; more preferably, no two of them are *ortho* to each other unless at least one member of the or each pair of substituents that are *ortho* to each other is a member of the group consisting of methyl, methoxy, fluoro and chloro. The most preferred trisubstituted phenyl group is 3,5-dimethyl-4-fluorophenyl.

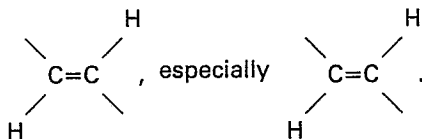
$R_6$  is preferably  $R_6'$ , where  $R_6'$  is hydrogen or  $C_{1-2}$ alkyl, more preferably  $R_6''$ , where  $R_6''$  is hydrogen or methyl, and most preferably hydrogen.

$R_7$  is preferably  $R_7'$ , where  $R_7'$  is hydrogen or  $C_{1-3}$ alkyl, more preferably  $R_7''$ , where  $R_7''$  is hydrogen or  $C_{1-2}$ alkyl. Such compounds wherein Z is of formula II or IIa are most preferably in salt form. Preferred salt-forming cations are those free from centres of asymmetry, especially e.g. sodium, potassium or ammonium, most preferably sodium.

X is preferably  $X'$ , where X is  $-(CH_2)_m-$  or



more preferably  $X''$ , where  $X''$  is  $-\text{CH}_2\text{CH}_2-$  or



Z is preferably a group of formula IIa wherein  $R_6$  is  $R_6'$  and  $R_7$  is  $R_7'$  or a group of formula IIb wherein  $R_6$  is  $R_6''$ , more preferably a group of formula IIa wherein  $R_6$  is  $R_6''$  and  $R_7$  is  $R_7''$  or a group of formula IIb

wherein  $R_6$  is  $R_6''$  most preferably a group of formula IIa wherein  $R_6$  is hydrogen and  $R_7$  is  $R_7''$  or a group of formula IIb wherein  $R_6$  is hydrogen, especially a group of formula IIa wherein  $R_6$  is hydrogen in salt form, particularly in sodium salt form, or a group of formula IIb wherein  $R_6$  is hydrogen.

$n$  is preferably  $m$ , where  $m$  is 1, 2 or 3, preferably 2 or 3 and most preferably 2.

Insofar as the compounds of Groups IAa and IBa are concerned, the *erythro* isomers are generally preferred over the *threo* isomers, *erythro* and *threo* referring to the relative positions of the hydroxy groups in the 3- and 5-positions (of the group of formula II or IIa).

As between compounds of formula I having identical  $R$ ,  $R_0$ ,  $R_2$ ,  $R_3$ ,  $R_6$  and  $X$  groups, free acid, salt and ester forms are generally preferred to lactone forms.

The preferred stereoisomers of the compounds having only two assymmetric carbons wherein  $X$  is a direct bond or  $-\text{CH}=\text{CH}-$ , and  $Z$  is in other than lactone form are the 3R,5S and 3R,5R isomers and the racemate of which each is a constituent, i.e., the 3R,5S-3S,5R (*erythro*) and 3R,5R-3S,5S (*threo*) racemates, with the 3R,5S isomer and the racemate of which it is a constituent being more preferred and the 3R,5S isomer being most preferred.

The preferred stereoisomers of the compounds having only two assymmetric carbons wherein  $X$  is  $-(\text{CH}_2)_m-$ , and  $Z$  is in other than lactone form are the 3R,5R and 3R,5S isomers and the racemate of which each is a constituent, i.e., the 3R,5R-3S,5S (*erythro*) and 3R,5S-3S,5R (*threo*) racemates, with the 3R,5R isomer and the racemate of which it is a constituent being more preferred and the 3R,5R isomer being most preferred.

The preferred stereoisomers of the compounds having only two assymmetric carbons wherein  $X$  is a direct bond or  $-\text{CH}=\text{CH}-$ , and  $Z$  is a group of formula IIb are the 4R,6S and 4R,6R isomers and the racemate of which each is a constituent, i.e., the 4R,6S-4S,6R (*trans* lactone) and 4R,6R-4S,6S (*cis* lactone) racemates, with the 4R,6S isomer and the racemate of which it is a constituent being more preferred and the 4R,6S isomer being most preferred.

The preferred stereoisomers of the compounds having only two assymmetric carbons wherein  $X$  is  $-(\text{CH}_2)_m-$ , and  $Z$  is a group of formula IIb are the 4R,6R and 4R,6S isomers and the racemate of which each is a constituent, i.e., the 4R,6R-4S,6S (*trans* lactone) and 4R,6S-4S,6R (*cis* lactone) racemates, with the 4R,6R isomer and the racemate of which it is a constituent being more preferred and the 4R,6R isomer being most preferred.

Each of the preferences set forth above applies, not only to the compounds of formula I but also to the compounds of formulae IA and IB and those of Groups IAa, IAb, IBa and IBb as well as to every other subgroup thereof set forth *infra, e.g.*, Groups (i)-(cxiv), unless otherwise indicated. When any preference contains a variable, the preferred significances of that variable apply to the preference in question, unless otherwise indicated.

Preferred groups of compounds of formula I include the compounds

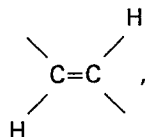
(i) of Group IAa wherein  $R_1$  is  $R_1'$ ,  $R_2$  is  $R_2'$ ,  $R_3$  is  $R_3'$ ,  $R_4$  is  $R_4'$ ,  $R_5$  is  $R_5'$ ,  $R_{5a}$  is  $R_{5a}'$ ,  $R_6$  is  $R_6'$ ,  $R_7$  is  $R_7'$ , and  $X$  is  $X'$ .

(ii) of (i) wherein when  $R_2'$  is other than hydrogen and  $R_3'$  is hydrogen,  $R_2'$  is in the 4-, 5- or 6-position; when both  $R_2'$  and  $R_3'$  are other than hydrogen, at least one of them is in the 5- or 6-position and neither of them is in the 7-position; when both  $R_4'$  and  $R_5'$  are other than hydrogen and  $R_{5a}'$  is hydrogen, at least one of  $R_4'$  and  $R_5'$  is in a *meta* or *para* position; and when each of  $R_4'$ ,  $R_5'$  and  $R_{5a}'$  is other than hydrogen, at least two of them are in *meta* or *para* positions.

(iii)-(iv) of (i) and (ii) wherein  $R_6$  is  $R_6''$ , especially hydrogen,

(v)-(vi) of (i) and (ii) wherein  $R_1$  is  $C_{1-3}$ alkyl,  $R_2$  is  $R_2''$ ,  $R_3$  is  $R_3''$ ,  $R_4$  is  $R_4''$ ,  $R_5$  is  $R_5''$ ,  $R_6$  is  $R_6''$ , especially hydrogen,  $R_7$  is  $R_7''$ , and  $X$  is  $X''$ .

(vii) of (i) wherein  $R_1$  is  $C_{1-3}$ alkyl,  $R_2$  is  $R_2''$ ,  $R_3$  is  $R_3'''$ ,  $R_4$  is  $R_4'''$ ,  $R_5$  is  $R_5'''$ ,  $R_{5a}$  is hydrogen,  $R_6$  is hydrogen,  $R_7$  is  $R_7''$ , and  $X$  is



(viii)-(xiii) of (i)-(vi) wherein any salt is a sodium, potassium or ammonium salt,

(xiv) of Group IAb wherein  $R_1$  is  $R_1'$ ,  $R_2$  is  $R_2'$ ,  $R_3$  is  $R_3'$ ,  $R_4$  is  $R_4'$ ,  $R_5$  is  $R_5'$ ,  $R_{5a}$  is  $R_{5a}'$ ,  $R_6$  is  $R_6'$  and  $X$  is  $X$ ,

(xv) of (xiv) wherein when  $R_2'$  is other than hydrogen and  $R_3'$  is hydrogen,  $R_2'$  is in the 4-, 5- or 6-position; when both  $R_2'$  and  $R_3'$  are other than hydrogen, at least one of them is in the 5- or 6-position and neither of them is in the 7-position; when both  $R_4'$  and  $R_5'$  are other than hydrogen and  $R_{5a}'$  is hydrogen, at least one of  $R_4'$  and  $R_5'$  is in a *meta* or *para* position; and when each of  $R_4'$ ,  $R_5'$  and  $R_{5a}'$  is other than hydrogen, at least two of them are in *meta* or *para* positions,

(xvi)-(xvii) of (xiv) and (xv) wherein  $R_6$  is  $R_6''$ , especially hydrogen,

(xviii)-(xix) of (xiv) and (xv) wherein  $R_1$  is  $C_{1-3}$ alkyl,  $R_2$  is  $R_2''$ ,  $R_3$  is  $R_3''$ ,  $R_4$  is  $R_4''$ ,  $R_5$  is  $R_5''$ ,  $R_6$  is  $R_6''$ , especially hydrogen, and  $X$  is  $X''$ ,

65

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.