UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CISCO SYSTEMS, INC. and AVAYA, INC., Petitioner,

v.

STRAIGHT PATH IP GROUP, INC., Patent Owner.

> Case IPR2015-01011 Patent 6,108,704 C1

Before KALYAN K. DESHPANDE, TRENTON A. WARD, and BART A. GERSTENBLITH, *Administrative Patent Judges*.

DESHPANDE, Administrative Patent Judge.

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DECISION Institution of *Inter Partes* Review 37 C.F.R. § 42.108

I. INTRODUCTION

Cisco Systems, Inc. and AVAYA, Inc. (collectively, "Petitioner") filed a Petition requesting an *inter partes* review of claims 1, 11, 12, 14, 16, 22, 23, 27, 30, and 31 of U.S. Patent No. 6,108,704 C1 (Ex. 1001, "the '704 patent"). Paper 2 ("Pet."). We have jurisdiction under 35 U.S.C. § 314(a), which provides that an *inter partes* review may not be instituted "unless . . . there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." After considering the Petition and associated evidence, we conclude that Petitioner has demonstrated a reasonable likelihood that it would prevail in showing unpatentability of all the challenged claims. Thus, we authorize institution of an *inter partes* review of claims 1, 11, 12, 14, 16, 22, 23, 27, 30, and 31 of the '704 patent.

A. Related Proceedings

Petitioner indicates that the '704 patent is the subject of *Straight Path IP Grp., Inc. v. Cisco Systems, Inc.*, No. 3:14-cv-04312 (N.D. Cal.) and *Straight Path IP Grp., Inc. v. AVAYA, Inc.*, No. 3:14-cv-04309 (N.D. Cal.). Pet. 2. Petitioner also indicates that the '704 patent is the subject of *Certain Point-to-Point Network Commc 'n. Devices and Products Containing Same*, Inv. No. 337-TA-892 (USITC). *Id.* at 4. Petitioner indicates that the '704 patent is also the subject of *Samsung Elecs. Co. v. Straight Path IP Grp., Inc.*, IPR2014-01366 (PTAB) and *LG Elecs., Inc. v Straight Path IP Grp., Inc.*, IPR2015-00209 (PTAB). *Id.* at 1–2. The '704 patent was the subject of *Sipnet EU S.R.O. v. Straight Path IP Grp., Inc.*, IPR2013-00246 (PTAB) ("*Sipnet"*). *Id.* at 2. Case IPR2015-01011 Patent 6,108,704 C1

Petitioner further indicates that the '704 patent is related to U.S. Patent No. 6,009,469 ("the '469 patent") and U.S. Patent No. 6,131,121 ("the '121 patent"). *Id.* at 1. The '469 patent and the '121 patent are the subject of *Samsung Elecs., Co. v. Straight Path IP Grp., Inc.*, IPR2014-01367 (PTAB), and *Samsung Elecs., Co. v. Straight Path IP Grp., Inc.*, IPR2014-01368 (PTAB), respectively. *Id.* at 1–2. The '121 patent and '469 patent are also the subject of *LG Elecs., Inc. v. Straight Path IP Grp., Inc.*, IPR2015-00196 (PTAB), and *LG Elecs., Inc. v. Straight Path IP Grp., Inc.*, IPR2015-00198 (PTAB), respectively. *Id.* at 2.

B. Illustrative Claim

Petitioner challenges claims 1, 11, 12, 14, 16, 22, 23, 27, 30, and 31of the '704 patent. Pet. 34–60. Independent claim 1 is illustrative of the claims at issue and follows:

1. A computer program product for use with a computer system, the computer system executing a first process and operatively connectable to a second process and a server over a computer network, the computer program product comprising:

a computer usable medium having program code embodied in the medium, the program code comprising:

program code for transmitting to the server a network protocol address received by the first process following connection to the computer network;

program code for transmitting, to the server, a query as to whether the second process is connected to the computer network;

program code for receiving a network protocol address of the second process from the server, when the second process is connected to the computer network; and

program code, responsive to the network protocol

address of the second process, for establishing a point-topoint communication link between the first process and the second process over the computer network.

C. The Alleged Grounds of Unpatentability

The information presented in the Petition sets forth Petitioner's contentions of unpatentability of claims 1, 11, 12, 14, 16, 22, 23, 27, 30, and 31 of the '704 patent under 35 U.S.C. §§ 102, 103(a), as follows (*see* Pet. 7, 34–60):

Reference(s)	Basis	Claims Challenged
Microsoft Manual ¹	§ 102(a)	1, 11, 12, 22, and 23
Microsoft Manual and NetBIOS ²	§ 103(a)	1, 11, 12, 22, and 23
Microsoft Manual, NetBIOS, and Palmer ³	§ 103(a)	11, 12, 14, 16, 22, 23, 27, 30, and 31
Microsoft Manual, NetBIOS, Palmer, and Pinard ⁴	§ 103(a)	11, 12, 14, 16, 22, 23, 27, 30, and 31
Microsoft Manual, NetBIOS, Palmer, Pinard, and Pitkin ⁵	§ 103(a)	1, 11, 12, 14, 16, 22, 23, 27, 30, and 31

II. ANALYSIS

Petitioner argues that claims 1, 11, 12, 22, and 23 are unpatentable

under 35 U.S.C. § 103 as obvious over Microsoft Manual and NetBIOS, and

¹ MICROSOFT WINDOWS NT 3.5, TCP/IP USER GUIDE (1994) (Ex. 1012, "Microsoft Manual").

² THE OPEN GROUP, TECHNICAL STANDARD, PROTOCOLS FOR X/OPEN PC INTERWORKING: SMB, VERSION 2.0 (1992) (Ex. 1014, "NetBIOS").

³ U.S. Patent No. 5,375,068, issued Dec. 20, 1994 (Ex. 1020, "Palmer").

⁴ U.S. Patent No. 5,533,110, issued July 2, 1996 (Ex. 1021, "Pinard").

⁵ U.S. Patent No. 5,341,477, issued Aug. 23, 1994 (Ex. 1015, "Pitkin").

claims 11, 12, 14, 16, 22, 23, 27, 30, and 31 are unpatentable under 35 U.S.C. § 103 as obvious over Microsoft Manual, NetBIOS, and Palmer. Pet. 7, 35–54. Petitioner submits arguments and evidence identical to those submitted in IPR2014-01366. Pet. 5. Petitioner proposes the same claim construction and argues the same rationale of unpatentability of claims 1, 11, 12, 14, 16, 22, 23, 27, 30, and 31 as presented in IPR2014-01366. Pet. 7, 23–54; *Samsung Elecs. Co. v. Straight Path IP Grp., Inc.*, IPR2014-01366, Paper 1, 5, 20–49. Petitioner further relies on the same Declaration by Dr. Henry Houh in support of the alleged grounds of unpatentability. Pet. 35–54; Ex. 1004. Straight Path IP Group, Inc. ("Patent Owner") and Petitioner filed a stipulated proposed order defining the parameters of joinder. *See* Paper 10.

We determined that the Petitioner in IPR2014-01366, Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., and Samsung Telecommunications America, LLC (collectively, "Samsung"), demonstrated a reasonable likelihood of prevailing in establishing the unpatentability of claims 1, 11, 12, 14, 16, 22, 23, 27, 30, and 31 of the '704 patent. *Samsung Elecs. Co. v. Straight Path IP Grp., Inc.*, IPR2014-01366, slip op. at 11–20 (Paper 12). We granted that Petition and instituted an *inter partes* review of claims 1, 11, 12, 22, and 23 under 35 U.S.C. § 103 as obvious over Microsoft Manual and NetBIOS, and claims 14, 16, 27, 30, and 31 under 35 U.S.C. § 103 as obvious over Microsoft Manual, NetBIOS, and Palmer. *Id.* at 22.

Accordingly, we incorporate our previous analysis, including our claim interpretation analysis (*see id.* at 5–11) and our analysis regarding this asserted ground of unpatentability (*see id.* at 11–20), from IPR2014-01366,

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