

International Bureau

* . *

(51) International Patent Classification 7 :		(11) International Publication Number: WO 00/10691		
B01D 53/62	A1	(43) International Publication Date: 2 March 2000 (02.03.00)		
 (21) International Application Number: PCT/U (22) International Filing Date: 18 August 1999 (30) Priority Data: 60/096,846 18 August 1998 (18.08.98) 09/314,220 19 May 1999 (19.05.99) (71) Applicant: UNITED STATES DEPARTMENT OF [US/US]; 1000 Independence Avenue, S.W., W DC 20585–0162 (US). (72) Inventors: RAU, Gregory, Hudson; 18628 Sandy Rd Valley, CA 94546 (US). CALDEIRA, Kennett 420 Jackson Avenue, Livermore, CA 94550 (US) (74) Agents: GOTTLIEB, Paul, A. et al.; United Stat ment of Energy, Technology Transfer and Intelle- erty, 1000 Independence Avenue, S.W., Washi 20585–0162 (US). 		 BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GI GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KJ KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MH MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZV ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, T TM), European patent (AT, BE, CH, CY, DE, DK, ES, F FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI paten (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, N) SN, TD, TG). Published With international search report. Before the expiration of the time limit for amending th claims and to be republished in the event of the receipt of amendments. 		
(54) Title: METHOD AND APPARATUS FOR EXT	RACTING	AND SEQUESTERING CARBON DIOXIDE		
(57) Abstract A method and apparatus to extract and sequester carbon dioxide (CO ₂) from a stream or volume of gas (112a) wherein said method and apparatus hydrates CO ₂ , and reacts the resulting carbonic acid (144) with carbonate (152). Suitable carbonates include, but are not limited to, carbonates of alkali metals and alkaline earth metals, preferably carbonates of calcium and mag- nesium. Waste products are metal cations and bicarbonate in solution (146), providing	134	108 MOISTURE 108 ELIMINATOR 100 132 136b 12a WATER		

CARBONATE RECHARGE

112b 136a

WATER

٦

<u>144</u>

142

146

112c

<u>144</u>

144

<u>`149</u>

MONITORING

142a

MONITORING

149

FILTER

) 106

1425

an effective way of sequestering CO_2 from a gaseous environment.

DOCKET

Α

R M

Α

Find authenticated court documents without watermarks at docketalarm.com.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ÅТ	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	Т G	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	ТJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	ТТ	Trinidad and Tobago
вJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
СН	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

Α

METHOD AND APPARATUS FOR EXTRACTING AND SEQUESTERING CARBON DIOXIDE

STATEMENT OF GOVERNMENT INTEREST

The United States Government has rights in this invention pursuant to Contract No. W-7405-ENG-48 between the U.S. Department of Energy and the University of California.

CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/096,846, filed 8/18/98, and U.S. Application No. 09/314,220, filed 5/19/1999.

5

DOCKF

TECHNICAL FIELD

The present invention relates generally to a method and apparatus for extracting carbon dioxide (CO₂) from a stream or volume of gas, and sequestering said CO₂ from the atmosphere or other gaseous environment. The invention particularly relates to a method and apparatus

15 or other gaseous environment. The invention particularly relates to a method and apparatus that utilize carbonate and water to sequester said CO₂ as bicarbonate.

BACKGROUND ART

- A variety of chemical means exist or have been proposed which consume CO₂
 contained in emissions from fossil fuel combustion or other gas streams, thus reducing the potential atmospheric CO₂ burden (reviews by: H. Herzog and E. Drake, "Carbon Dioxide Recovery and Disposal From Large Energy Systems', Annual Reviews of Energy and Environment Vol. 21, p 145-166, 1996; X. Xiaoding and J.A. Moulijn, "Mitigation of CO₂ by Chemical Reactions and Promising Products", Energy and Fuels, Vol. 10, p 305-325, 1996).
- 25 Among these chemical approaches, the exposure and reaction of such waste CO₂ to certain naturally occurring or artificially formed calcium-, magnesium-, sodium-, and/or silica-rich minerals has been explored as reviewed below. The reaction of certain carbonate and silicate minerals with CO₂ is a well-known "rock weathering" phenomenon that plays a major role in controlling atmospheric CO₂ on geologic time scales (R.A. Berner, A.C. Lasaga, and R.M.
- 30 Garrels, "The Carbonate-Silicate Geochemical Cycle and its Effect on Atmospheric Carbon Dioxide Over the Last 100 Million Years", American Journal of Science, Vol. 283, p 42-50, 1983). Over the very long term such process are expected to eventually consume most of the CO₂ emitted by man's activities. The problem is that such natural processes occur on the order of >1,000 year time scales and thus will have little immediate impact on the rapidly increasing

 CO_2 emissions and atmospheric CO_2 burden in the coming centuries. Nevertheless, several researchers have proposed that certain weathering reactions be used to sequester CO_2 , in particular those reactions which lead to CO_2 sequestration or storage in the form of solid carbonates.

5

OCKE.

For example, fixation and storage of CO_2 by artificial weathering of waste concrete in combination with coccolithophorid algae cultures was reported by H. Takano and T. Matsunaga, "CO₂ Fixation by Artificial Weathering of Waste Concrete and Coccolithophorid Algae Cultures", Energy Conversion Management, Vol. 36, No. 6-9. p 697-700, 1995. It was shown that CO_2 can be sequestered into biologically produced carbonate and biomass. Various

- 10 mechanisms of rock weathering to fix CO₂ was discussed by T. Kojima, "Evaluation Strategies for Chemical and Biological Fixation/Utilization Processes of Carbon Dioxide", Energy Conversion Management, Vol. 36, No. 6-9, p 881-884, 1995. Studies of CO₂ fixation by silicate rock weathering were reported by T. Kojima, A. Nagamine, N. Ueno and S. Uemiya, "Absorption and Fixation of Carbon Dioxide by Rock Weathering", Energy Conversion
- Management, Vol. 38, Suppl., p S461-S466, 1997. Sequestering of CO₂ as carbonate by reaction with minerals rich in calcium and magnesium oxides was reported by K.S. Lackner, C.H. Wendt, D.P. Butt, E.L. Joyce, D.H. Sharp, "Carbon Disposal in Carbonate Minerals", Energy, Vol. 20, No. 11, p 1153-1170, 1995. Reacting flue gas CO₂ with water and soil to ultimately precipitate and sequester the CO₂ as carbonate was explored by T. Chohji, M.
- 20 Tabata, and E. Hirai, "CO₂ Recovery From Flue Gas by an Ecotechnological (Environmentally Friendly) System", Energy, Vol. 22 No. 2/3, p 151-159, 1997. A study by H. Kheshgi ("Sequestering Atmospheric Carbon Dioxide by Increasing Ocean Alkalinity", Energy, Vol. 20, No. 9, p 912-922, 1995) looked at the option of adding calcium oxide to the ocean as a means of increasing the CO₂ absorption capacity of the ocean. The preceding approaches often
- 25 require elevated temperatures or pressures, significant energy, land, or other resource inputs, and/or have negative environmental impacts. The cost of implementing these technologies is therefore often prohibitive.

As reviewed by H. Herzog and E. Drake, (Annual Reviews, loc. cit.) several chemical means exist for separating and concentrating CO₂ from gas streams. U.S. Patent 4,376,101 (Sartori et al) discloses the removal of CO₂ from a gaseous stream via use of an aqueous solution containing an alkali metal salt or hydroxide and an activator or promoter system comprising an amine compound. While such processes remove or separate CO₂ from a waste stream, they offer no downstream method of ultimately sequestering the CO₂ from the atmosphere. They also often require elevated temperatures or pressures, exotic chemicals, and/or significant inputs of energy or resources.

Gas/water/calcium carbonate (limestone) reactors have been used in desulfurization of power plants exhaust as reviewed by H. N. Soud and M. Takeshita, "FGD Handbook, IEA

- 5 Coal Research, London, 438p., 1994. Such reactors differ from the present invention in three important aspects: 1) The volume of SO₂ in the gas streams to which desulfurization is applied is vastly smaller than the CO₂ content in the same gas stream; 2) The hydration step in carbonate desulfurization involves combining SO₂ with H₂O to form the strong acid H₂SO₃. In contrast, the hydration of CO₂ envisioned here forms carbonic acid H₂CO₃, a weak acid which
- has a slower reaction rate with carbonate than does H_2SO_3 . 3) The reaction of H_2SO_3 with carbonate (e.g., CaCO₃) and oxygen forms a solid, CaSO₄, and a gas, CO₂, whereas the H_2CO_3 with carbonate reaction forms cations and bicarbonate in solution, does not require supplemental oxygen, produces little or no solid waste, and consumes rather than generates gaseous CO₂.

15

DOCKET

U.S. Patent 5,100633 (Morrison) describes a process for scrubbing acid-forming gases which include SO_2 and CO_2 from an exhaust gas stream through reactions with alkaline solutions formed from the waste ash from biomass burning. The resulting alkali metal salts are then precipitated or dewatered forming solid, possibly useful waste products. This process does not provide a system for net CO_2 sequestration, however, considering that the molar ratio

- 20 of carbon to alkali metals or to alkaline earth metals in the end products is many times lower than that ratio in the original biomass burned to form the alkaline ash. That is, only a very small fractional equivalent of the CO₂ released in biomass combustion can be sequestered by this process, and therefore when initial ash and CO₂ formation are considered the overall process is a net source rather than a net sink for CO₂.
- 25 The chemical reactions involving CO₂ gas, water, and carbonate minerals (principally calcium carbonate) have been extensively studied as reviewed by J.W. Morse and F.T. Mackenzie ("Geochemistry of Sedimentary Carbonates", Cambridge, Amsterdam, 707p., 1990) and by T. Arakaki and A. Mucci ("A Continuous and Mechanistic Representation of Calcite Reaction-Controlled Kinetics in Dilute Solutions at 25°C and 1 Atm Total Pressure",
- 30 Aquatic Geochemistry, Vol. 1, p 105-130, 1995). However, the context of these studies has been to describe the dissolution or precipitation of solid carbonate under various conditions, not the consumption and sequestration of CO₂.

DISCLOSURE OF THE INVENTION

3

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.