AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450			TRADEMARK		
filed in the U.S. Distr		astern Dist	s 1116 you are hereby advised that a court action has been crict of Texas, Beaumont Division on the following es 35 U.S.C. § 292.):		
DOCKET NO. 1:11-cv-00349	DATE FILED 7/26/2011	U.S. DI	STRICT COURT for the Eastern District of Texas, Beaumont Division DEFENDANT		
PLAINTIFF Affinity Labs of Texas, Li	LC		Apple, Inc. AAMP of Florida, Inc.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
US 7,634,228 B2	12/15/2009	Affii	nity Labs of Texas, LLC		
2 US 7,778,595 B2	8/17/2010	Affii	nity Labs of Texas, LLC		
3					
4					
5					
DATE INCLUDED	INCLUDED BY	the followin	g patent(s)/ trademark(s) have been included: Answer Cross Bill Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
2					
3					
4					
5					
In the abo	ove-entitled case, the follow	ing decision	has been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	17	(BY) DEPU	TY CLERK DATE		
CLENK		,			

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

		5 U.S.C. § 1116 you are hereby advised that a court a	
filed in the U.S. Distr		Eastern District of Texas (Beaumont)	on the following
☐ Trademarks or 🔽	Patents. (the patent action		
DOCKET NO. 1:11-cv-00036	DATE FILED 1/28/2011	U.S. DISTRICT COURT for the Eastern District of Texa	as (Beaumont)
PLAINTIFF		DEFENDANT	
Affinity Labs of Texas, LI	LC	Volkswagen Group of America, Ir	nc.;
•		Volkswagen Group of America C	hattanooga Operations,
		LLC	
PATENT OR	DATE OF PATENT		
TRADEMARK NO.	OR TRADEMARK	HOLDER OF PATENT OR TR	RADEMARK
1 7,324,833 B2	1/29/2008	Affinity Labs, LLC	
2 7,634,228 B2	12/15/2009	Affinity Labs of Texas, LLC	
3 7,778,595 B2	8/17/2010	Affinity Labs of Texas, LLC	
4			
5			
	In the above—entitled case, the	following patent(s)/ trademark(s) have been included	i :
DATE INCLUDED	INCLUDED BY		
	☐ Ame	ndment Answer Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TR	RADEMARK
1			
2			
3			
4			
5			1
In the above	e-entitled case, the following d	decision has been rendered or judgement issued:	
DECISION/JUDGEMENT			
			·
CLERK	(BY)	DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)

Approved for use through 07/31/2012, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number	***	12015320	
INFORMATION DISCLOSURE	Filing Date	_	2008-01-16	.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Russe		sell W. White	
(Not for submission under 37 CFR 1.99)	Art Unit		2617	
(1.55)	Examiner Name Erik		ka A. Gary	
	Attorney Docket Numl	ег	AFF.0004C5US	

		<u> </u>	_		Ų.S.	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue I	Date	Name of Pat of cited Docu	entee or Applicant ument	Rele	es,Columns,Lines where vant Passages or Relev res Appear	
	1									
If you wis	If you wish to add additional U.S. Patent citation information please click the Add button.									
			U.S.P.	ATENT	APPLI	CATION PUB	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ation	Name of Pate of cited Docu	entee or Applicant iment	Relev	es,Columns,Lines where vant Passages or Releves es Appear	
	1									
If you wish	n to ac	dd additional U.S. Publi	shed Ap	plication	n citation	n information p	please click the Add	d butto	on.	
				FOREIG	GN PAT	ENT DOCUM	ENTS		,	
Examiner Initial*	Cite No	Foreign Document Number³	Country Code ² i	1	Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1									
If you wish	If you wish to add additional Foreign Patent Document citation information please click the Add button									
	NON-PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Examiner nitials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Filing Date First Named Inventor Art Unit 2008 Art Unit 2617

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor Russe		ell W. White		
Art Unit		2617		
Examiner Name Erika		A. Gary		
Attorney Docket Numb	er	AFF.0004C5US		

	U.S. Patent and Trademark Office, Office Action in Inter Partes Reexamination of Patent No. 7440772, Reexamination Control No. 95001266, Office Action issued on August 2, 2010, 14 pages.					
If you wis	h to a	dd add	ditional non-patent literature document citation info	rmation please click the Add I	button	
	EXAMINER SIGNATURE					
Examiner Signature		ture	·	Date Considered	,	-
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					
¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.						

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor Russe		ell W. White	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Number	er	AFF.0004C5US	

	CERTIFICATION STATEMENT					
Plea	ise see 37 CFR 1	.97 and 1.98 to make the approp	oriate selection(s):			
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
OR						
\boxtimes	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).					
	See attached ce	rtification statement.				
\boxtimes	∀ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.					
	None None					
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.						
Sign	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-08-16		
Name/Print Mark J. Rozman Registration		Registration Number	42117			

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Patent Application Fee Transmittal					
Application Number:	12015320				
Filing Date:	16	Jan-2008			
Title of Invention:	ME	THOD FOR MANAG	ING MEDIA		
First Named Inventor/Applicant Name: Russell W. White					
Filer: Mark J. Rozman/Nishi Pasarya					
Attorney Docket Number: AFF.0004C5US					
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Ack	Electronic Acknowledgement Receipt		
EFS ID:	8226536		
Application Number:	12015320		
International Application Number:			
Confirmation Number:	2156		
Title of Invention:	METHOD FOR MANAGING MEDIA		
First Named Inventor/Applicant Name:	Russell W. White		
Customer Number:	21906		
Filer:	Mark J. Rozman/Nishi Pasarya		
Filer Authorized By:	Mark J. Rozman		
Attorney Docket Number:	AFF.0004C5US		
Receipt Date:	16-AUG-2010		
Filing Date:	16-JAN-2008		
Time Stamp:	15:55:04		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3507
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5_IDS.pdf	81256	no	
'	Filed (SB/08)	AFF004C3_ID3.pdi	6f04a68f6b9ed4f9a2f11b8c2d5e1989805f1 a96	110	3
Warnings:					
Information:					
This is not an US	SPTO supplied IDS fillable form				
_			324055		14
2	NPL Documents	AFF004C5_NPL.pdf	a078d1c8df6c91a5a68de2d6eb2eb2e4864 b450a	no	
Warnings:			1	'	
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30372 no		2
_			6bf2f4e32ec03b6a51d2a0d49f1d902f5d66 91b3		
Warnings:	1		1	'	
Information:					
		Total Files Size (in bytes)	43	5683	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/08a (07-09) Approved for use through 07/31/2012, OMB 0651-0031

Doc description: Information Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE			Application N	Application Number			12015320		
			Filing Date			2008-01-16			
			First Named	Inventor	Russe	ell W. White, et al.			
STATEMENT BY APPLICANT				Art Unit			2617		
(Not for submission under 37 CFR 1.99)			Examiner Na	ime	Erika	A. Gary			
				Attorney Doc	Attorney Docket Number		AFF.004C5US		
	U.S.PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1								
If you wisl	If you wish to add additional U.S. Patent citation information please click the Add button.								
i -									

	U.S.PATENT APPLICATION PUBLICATIONS					
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					·

If you wish to add additional U.S. Published Application citation information please click the Add button.

	FOREIGN PATENT DOCUMENTS							
i i		Foreign Document Number ³	Country Code ² i	Kind Code⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5
1								

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.

T5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell W. White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

1	U.S. Patent and Trademark Office, Office Action in Inter Partes Reexamination of Patent No. 7486926, Control No. 95/001,263, Office Action issued July 9, 2010, 20 pgs.					
If you wish to ac	ld add	ittional non-patent literature document citation information please click the Add	button	1		
		EXAMINER SIGNATURE	,			
Examiner Signature		Date Considered				
		reference considered, whether or not citation is in conformance with MPEP 60 mance and not considered. Include copy of this form with next communication				
Standard ST.3). 3 F	or Japa by the a	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the documens patent documents, the indication of the year of the reign of the Emperor must precede the sappropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Appin is attached.	erial number of the patent doc	cument.		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	ell W. White, et al.		
Art Unit		2617	_	
Examiner Name Erika		A. Gary		
Attorney Docket Number		AFF.004C5US	-	
Examiner Name Erika		· 		

		CERTIFICATION	STATEMENT			
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):			
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
OR	t					
\boxtimes	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).					
	See attached cer	rtification statement.				
\boxtimes						
	SIGNATURE					
	A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.					
Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-08-02		
Nan	ne/Print	Mark J. Rozman	Registration Number	42117		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Patent Application Fee Transmittal					
Application Number:	12	015320			
Filing Date:	16	Jan-2008			
Title of Invention:	METHOD FOR MANAGING MEDIA				
First Named Inventor/Applicant Name:	Russell W. White				
Filer:	ler: Mark J. Rozman/Nishi Pasarya				
Attorney Docket Number: AFF.0004C5US					
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt			
EFS ID:	8139111		
Application Number:	12015320		
International Application Number:			
Confirmation Number:	2156		
Title of Invention:	METHOD FOR MANAGING MEDIA		
First Named Inventor/Applicant Name:	Russell W. White		
Customer Number:	21906		
Filer:	Mark J. Rozman/Nishi Pasarya		
Filer Authorized By:	Mark J. Rozman		
Attorney Docket Number:	AFF.0004C5US		
Receipt Date:	02-AUG-2010		
Filing Date:	16-JAN-2008		
Time Stamp:	18:06:26		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	5099
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Information Disclosure Statement (IDS)	AFF004C5US_IDS.pdf	80729	no	3			
·	Filed (SB/08)	7.11 00 1C3 03_iD3.pui	45b8332a83e9de7f9f1a83bd099387b1147 eb905		3			
Warnings:								
Information:								
This is not an U	SPTO supplied IDS fillable form							
2	NDL Decomposite	NDL 050010000 A malf	489757		20			
2	NPL Documents	NPL_95001263OA.pdf	76f16452e93659817f9a8a5e49e54463c05f ac16	no				
Warnings:								
Information:								
2	F W L L (PTO 075)		30372		2			
3	Fee Worksheet (PTO-875)	fee-info.pdf	ccc4d77fe033c428b6dbed947e05d482e8d 5ed70	no				
Warnings:				'				
Information								
		Total Files Size (in bytes)	60	0858				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. ISSUE DATE		PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/015,320	08/17/2010	7778595	AFF.0004C5US	2156	

21906 7590 07/

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Russell W. White, Austin, TX; Kevin R. Imes, Austin, TX;



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/015,320	01/16/2008	Russell W. White	AFF.0004C5US	2156		
21906 TROP, PRUNE	7590 07/16/201 ¹ R & HU, P.C .	EXAMINER				
1616 S. VOSS I	ROAD, SUITE 750	GARY, ERIKA A				
HOUSTON, TX 77057-2631			ART UNIT	PAPER NUMBER		
			2617			
			MAIL DATE	DELIVERY MODE		
			07/16/2010	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.
CONTROL NO.		PATENT IN REEXAMINATION	

12015320 1/16/08 WHITE ET AL. AFF.0004C5US

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631

EXAMINER

Erika A.. Gary

ART UNIT PAPER

2617 20100713

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Please see the attached signed IDS filed 6/21/10.

/Erika A. Gary/ Primary Examiner, Art Unit 2617

PTO-90C (Rev.04-03)

Receipt Date: 06/21/2010

Operance 10% Decidescription; information Disclosure Statement (IDS) Filed

PRESENSO (SING Appeared to see Statement (SDS) Flext (SS) Flext (S

	Application (dumber	12011891			
	Filling Date	2008-91-19			
INFORMATION DISCLOSURE	First Named Inventor Rosset vs. While, scal				
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			Application Number		1,001,0800		
			Filips Date	,-,-,-,-,-	2008-03-40		
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	Application Number		12015320	
	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor	Russ	ssell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for submission under or or it 1.55)	Examiner Name	Erika	A. Gary	
	Attorney Docket Number		AFF.004C5US	

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( Not for submission under 37 CFR 1.99)

Application Number		12015320
Filing Date		2008-01-16
First Named Inventor Russe		ell W. White, et al.
Art Unit		2617
Examiner Name Erika		A. Gary
Attorney Docket Number		AFF.004C5US

	1	U.S. Patent and Trademark Office, Office Action in Inter Partes Reexamination dated June 14, 2010 in U.S. application no. 95/001,223.							
	2	U.S. Patent and Trademark Office, Ex Parte Reexamination Communication Transmittal Form dated June 14, 2010 providing "Decision, Sua Sponte, To Merge Reexamination Proceedings," in U.S. application no. 95/001,223.							
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320
Filing Date		2008-01-16
First Named Inventor Russe		ell W. White, et al.
Art Unit		2617
Examiner Name Erika		A. Gary
Attorney Docket Number		AFF.004C5US

		CERTIFICATION	STATEMENT						
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):						
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$\boxtimes$	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached cer	rtification statement.							
$\boxtimes$	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	٦.						
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Sign	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-06-21					
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Application Number:	12015320						
Filing Date:	16	Jan-2008					
Title of Invention:	METHOD FOR MANAGING MEDIA						
First Named Inventor/Applicant Name:	Russell W. White						
Filer:	Filer: Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number:	AF	FF.0004C5US					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
Total in USD (\$)				180

Electronic Ack	knowledgement Receipt
EFS ID:	7856484
Application Number:	12015320
International Application Number:	
Confirmation Number:	2156
Title of Invention:	METHOD FOR MANAGING MEDIA
First Named Inventor/Applicant Name:	Russell W. White
Customer Number:	21906
Filer:	Mark J. Rozman/Stephanie Petreas
Filer Authorized By:	Mark J. Rozman
Attorney Docket Number:	AFF.0004C5US
Receipt Date:	21-JUN-2010
Filing Date:	16-JAN-2008
Time Stamp:	15:38:59
Application Type:	Utility under 35 USC 111(a)

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#### National Stage of an International Application under 35 U.S.C. 371

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Receipt date: 04/24/2008

PTO/SB/08a (08-03)
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	Application Number		12/015,320	
	Filing Date			
INFORMATION DISCLOSURE	First Named Inventor	Russe	ell W. White	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit			
( Not for supmission under 37 CFR 1.99)	Examiner Name	Unkn	own .	
,	Attorney Docket Numb	er	1111111.1111-5C	

#### PTO/SB08/a filed 01/16/2008

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INFOR	MA	TION DISCLOSU	RE	First Named	Inventor Russe		sell W. White		
STATE	STATEMENT BY APPLICANT			Art Unit				<u> </u>	
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		PTO/SB08/a filed 01/	7.6/200		ACT NUMB		111111111111111111111111111111111111111		
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eipt date: 04/24/2008	Application N Filing Date	lumber 5/015,320					
FORMATION DISCLOSURE							
ATEMENT BY APPLICANT		Inventor Russell W. White					
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	Attorney Doc	ket Number 1111111.1111-5C					
PTO/SB08/a filed 01/16/2	008						
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	Application Number	12/015,320
INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Filing Date	
	First Named Inventor	Russell W. White
	Art Unit	
( Not for submission under 37 CFR 1.99)	Examiner Name	Unknown
	Attorney Docket Numb	ber 1111111111-5C

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Receipt date: 11/14/2008 Doc code:IDS

Doc description: Information Disclosure Statement (IDS) Filed

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12015320		
	Filing Date		2008-01-16		
	First Named Inventor Russ		ell W. White, et al.		
	Art Unit		2618		
	Examiner Name Uni		Unknown		
	Attorney Docket Numb	oer	AFF.004C5US		

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	)ate	Name of Pate of cited Docu	entee or Applicant ment	Releva	s,Columns,Lines where ant Passages or Releves Appear	
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Receipt date: 05/27/2009	Application Number		12015320		
INFORMATION DISCUSSION	Filing Date		2008-01-16		
INFORMATION DISCLOSURE	First Named Inventor	Russ	ussell W. White, et al.		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617		
( NOT 101 Submission under 37 CFR 1.55)	Examiner Name	Erika	ika A. Gary		
	Attorney Docket Numi	per	AFF.004C5US		

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Receint	date	e: 05/27/2009	<del></del>	Application N	umber	12015320				
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INFOR	MA	TION DISCLOSU	RE	First Named I	nuontos D	ussell W. White, et al.				
STATE	MEI	NT BY APPLICA	NT	Art Unit	nventor R	2617				
( Not for	submi	ission under 37 CFR 1.	.99)	-						_
				Examiner Name Erika A. Gary						-
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eceipt date: 04/28/2009	Application Number	12015320
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date	2008-01-16
	First Named Inventor	Russell W. White, et al.
	Art Unit	2618
Not for submission under 37 CFR 1.99)	Examiner Name	
	Attorney Docket Numb	er AFF.004C5US

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Doc code: IDS

PTO/S8/08a (07-09) Approved for use through 07/31/2012. OMB 0651-0031

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	Application Number		12015320	
INFORMATION DISCLOSURE	Filing Date		2008-01-16	
	First Named Inventor Russell		ssell White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	2617		
( Not for Submission under 37 GFR 1.33)	Examiner Name	Erika A. Gary		
	Attorney Docket Number		AFF.004C5US	

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First Named Inventor	Russ	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Number		AFF.004C5US		

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Examiner Name	Erika	Erika A. Gary		
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/015,320	01/16/2008	Russell W. White	AFF.0004C5US	2156		
21906 TROP, PRUNE	7590 06/16/201 R & HU, P.C.	0	EXAMINER			
1616 S. VOSS I	ROAD, SUITE 750		GARY, ERIKA A			
HOUSTON, TX	X / /05/-2631		ART UNIT	PAPER NUMBER		
			2617			
			MAIL DATE	DELIVERY MODE		
			06/16/2010	PAPER		

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APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
12015320	1/16/08	WHITE ET AL.	AFF.0004C5US

12015320 1/16/08 WHITE ET AL.

**EXAMINER** 

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631

Erika A.. Gary

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Please see the attached signed IDS filed 6/11/10.

/Erika A. Gary/ Primary Examiner, Art Unit 2617

PTO-90C (Rev.04-03)

Receipt date: 06/11/2010

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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Receipt date: 06/11/2010 **Application Number** 12015320 Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell W. White, et al. STATEMENT BY APPLICANT Art Unit 2617 ( Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary Attorney Docket Number AFF.004C5US U.S. Patent And Trademark Office, Office Action in Inter Partes Reexamination mailed on May 24, 2010, in U.S. 1 application reexamination serial no. 95/001,262. If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE** /Erika Gary/ (06/14/2010) Examiner Signature **Date Considered** 06/14/2010 *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 See Kind Codes of USPTO Patent Documents at www, USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if

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1616 S. VOSS	ROAD, SUITE 750		GARY, ERIKA A				
HOUSTON, TX	X / /05/-2631		ART UNIT	PAPER NUMBER			
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12015320 1/16/08 WHITE ET AL. AFF.0004C5US

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631 EXAMINER

20100609

Erika A.. Gary

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Please see the attached signed IDS filed 5/25/10.

/Erika A. Gary/ Primary Examiner, Art Unit 2617

PTO-90C (Rev.04-03)

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	First Named Inventor Russe		sell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(NOTION SUBMISSION UNDER 37 OFK 1.55)	Examiner Name Erika		rika A. Gary	
	Attorney Docket Number		er AFF.004C5US	

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Examiner Name Erika		a A. Gary		
Attorney Docket Numb	er	AFF.004C5US		

Examiner Initial*	Cite No	Foreign Document Number³	Country Code² i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5		
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Examiner Initials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									T5	

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor Russe		ell W. White, et al.		
Art Unit		2617		
Examiner Name Erika		A. Gary		
Attorney Docket Numb	er	AFF.004C5US		

	1		U.S. Patent And Trademark Office, Office Action in Inter Partes Reexamination mailed on May 24, 2010, in U.S. application reexamination serial no. 95/001,262.					
If you wis	h to a	dd add	ditional non-patent literature document citation information please click the Add but	tton				
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Examiner	Signa	ature	Date Considered					
			reference considered, whether or not citation is in conformance with MPEP 609. It is reference and not considered. Include copy of this form with next communication to	<del>-</del>				
Standard S	T.3). ³ I cument	For Japa by the	FO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, transport to provide the serial representation of the serial representation of the serial representation appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant on is attached.	number of the patent doc	ument.			

( Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	ell W. White, et al.		
Art Unit		2617		
Examiner Name Erika		A. Gary		
Attorney Docket Numb	er	AFF.004C5US		

		CERTIFICAT	ION STATEMENT						
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate sel	ection(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	ł.								
$\boxtimes$	foreign patent of after making rea any individual de	information contained in the informatio ffice in a counterpart foreign application, sonable inquiry, no item of information cesignated in 37 CFR 1.56(c) more than 37 CFR 1.97(e)(2).	and, to the knowledge of the ontained in the information di	ne person signing the certification sclosure statement was known to					
	See attached cer	rtification statement.							
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted here	with.						
	None								
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sign	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-06-11					
Nan	lame/Print Mark J. Rozman Registration Number 42117								

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Patent Application Fee Transmittal								
Application Number:	12	015320						
Filing Date:	16	Jan-2008						
Title of Invention:	METHOD FOR MANAGING MEDIA							
First Named Inventor/Applicant Name:	Russell W. White							
Filer:	Ma	rk J. Rozman/Steph	anie Petreas					
Attorney Docket Number:	AF	F.0004C5US						
Filed as Large Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Ack	Electronic Acknowledgement Receipt						
EFS ID:	7800092						
Application Number:	12015320						
International Application Number:							
Confirmation Number:	2156						
Title of Invention:	METHOD FOR MANAGING MEDIA						
First Named Inventor/Applicant Name:	Russell W. White						
Customer Number:	21906						
Filer:	Mark J. Rozman/Stephanie Petreas						
Filer Authorized By:	Mark J. Rozman						
Attorney Docket Number:	AFF.0004C5US						
Receipt Date:	11-JUN-2010						
Filing Date:	16-JAN-2008						
Time Stamp:	17:31:50						
Application Type:	Utility under 35 USC 111(a)						

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3904
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Information Disclosure Statement (IDS)	AFF004C5USIDS.pdf	80464	no		
'	Filed (SB/08)	AFF004C303iD3.pdi	41ea1ce4f4306a97a48812ae7b21e4830d6 3ea30	no	3	
Warnings:						
Information:						
This is not an U	SPTO supplied IDS fillable form					
2	NPL Documents	AFF004C5USNPLDoc04B3OA.	533916	no	22	
2	W E Documents	pdf	ed47ecdcdbbcb4cf9ee9413966bf5ec508e 2b59d		22	
Warnings:						
Information:						
3	Fee Worksheet (PTO-875)	fee-info.pdf	30468	no	2	
_			c71b24c7f6803863405195f8f8a1c0aa8934 4d8e		_	
Warnings:	<u>.</u>			•		
Information:						
		Total Files Size (in bytes)	: 64	4848		

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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ceipt d	ate:	06/04/2009		Applic	cation N	lumber		12015320				]	
			Filing	Date		12	2008-01-16		·		1		
		TION DISCLOS		First Named Inventor Russell W			W. White, et	al.			1		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)				<del></del>				2617					
			1.99)	Examiner Name Erika A			Erika A.	. Gary				1	
				Attorr	ney Doc	ket Numbe	r /	AFF.004C5U	S		:	1	
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If you wish		dd additional U.S. Pub	lished Ap	plication	n citatio	n informatio	n plea:	se click the	Add butt	on.			
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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i		Kind Code4	Publication Date	ⁿ  Ap	me of Pater plicant of circument	•	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5		
	1	WO 00/38340	wo	. •		2000-06-29	Kin	n, Joehan					
	2	WO 98/19480	wo			1998-07-05	Enid	csson, Inc.					
	3	WO 99/43136	wo			1999-08-26	Erio	csson, Inc.					
	4	8-79814	JP			1996-03-22							
	5	9-74580	JP			1997-03-18	;						
	6	10-149182	FF J	þ		1998-06-02							
	7	3056721	FP J	P		1998-12-02	:						
	8	WO 99/06910	wo			1999-02-11	Lu	dtke, Harold /	٨.				

EFS Web 2.1.13

Beceipt date: 05/27/2009

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Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (04-09)
Approved for use through 05/31/2009. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell W. White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

				U.S.	PATENTS	Remove	
Examiner Initial*	Cite No	Patent Number Kind Code		Issue Date	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
,	1	6144358		2000-11-07	Narayanaswamy , et al.	I	5
	2	9,013,151 <del>70132151</del>		2006-03-14	Hirokawa		6,
	3	6175789		2001-01-16	Beckert, et al.		
	4	6201540		2001-03-13	Gallup, et al.		
	5	6202008		2001-03-13	Beckert, et al.		
	6	6363240		2002-03-26	Ito		
	7	6434459		2002-08-13	Wong, et al.		
	8	6449541		2002-09-10	Goldberg, et al.		

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INCORMATION DISCUSSION	Application Number		12015320	
	Filing Date		2008-01-16	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Russe		ssell White, et al.	
( Not for submission under 37 CFR 1,99)	Art Unit	-	2617	
(	Examiner Name Er		A. Gary	
	Attorney Docket Numb	ег	AFF.004C5US	

				U.S	.PATENTS	
Examiner Cite Initial* Cite No		Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5974333		1999-10-26	Chen	
	2	6418330		2002-07-09	Samsung	
	3	6587127		2003-07-01	Leeke, et al.	
	4	7123936		2006-10-17	Rydbeck, et al.	
	5	6177950		2001-01-23	Robb	
	6	6510325		2003-01-21	Mack, II, et al.	
	7	6 <i>3788</i> 84 <del>62788842</del>		2001-08-21	Kim	6/
:	8	7339993		2008-03-04	Brooks	



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## **BIB DATA SHEET**

## **CONFIRMATION NO. 2156**

									_		
	SERIAL NUMBER DAT 12/015,320 01/16/2		E 2008		<b>CLASS</b> 455					ATTORNEY DOCKET NO. AFF.0004C5US	
		RUL	E								
Russell V	APPLICANTS Russell W. White, Austin, TX; Kevin R. Imes, Austin, TX;										
This app	** CONTINUING DATA **********************************										
** FOREIGN A	PPLICA	ATIONS *****	******	*****	*						
** IF REQUIRE 02/04/20		REIGN FILING	LICENS	E GRA	ANTED **						
Foreign Priority claim	ed	Yes 🔽 No			STATE OR	SF	SHEETS TO			INDEPENDENT	
35 USC 119(a-d) con	ditions met	t 🗆 Yes 🗀 No	Met after Allowance		COUNTRY	DRAWINGS		CLAIMS		CLAIMS	
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ADDRESS											
1616 S. V	VOSS R DN, TX 7	R & HU, P.C. ROAD, SUITE 77057-2631 S	750								
TITLE				-							
метног	FOR N	MANAGING N	MEDIA								
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FILING FEE RECEIVED		Authority has to	-		aper EPOSIT ACCOUN	NT	☐ 1.17 F	ees (Pro	ocessi	ng Ext. of time)	
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	ENCE ADDRESS (Note: Use 8	lock I for any change of address)	Fee	e(s) Transmittal. Thi pers. Each additional	s certificate cannot be used	or domestic mailings of the for any other accompanying ent or formal drawing, must		
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						(Depositor's name)		
			· ·			(Signature)		
						(Date)		
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	₹	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/015,320	01/16/2008	<u> </u>	Russell W. White	L	AFF.0004C5US	2156		
TITLE OF INVENTION	: METHOD FOR MAN	AGING MEDIA						
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE		
nonprovisional	YES	\$755	\$300	\$0	\$1055	08/04/2010		
8XAM	INER	ART UNIT	CLASS-SUBCLASS	]				
GARY, E	ERIKA A	2617	455-410000	•				
	ondence address (or Cha 3/122) attached. ication (or "Fee Address' 12 or more recent) attach	nge of Correspondence						
PLEASE NOTE: Uni recordation as set fort (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp		THE PATENT (print or ty data will appear on the p T a substitute for filing an (B) RESIDENCE: (CITY Frisco, TX	patent. If an assigne assignment.		document has been filed for		
Please check the appropr	iate assignee category or	categories (will not be p	rinted on the patent) :	Individual 🕱 Co	rporation or other private gr	oup entity Government		
4a. The following fec(s)  Alssue Fee  Publication Fee (N  Advance Order	to small entity discount p	y previously paid issue fee is attached. ge the required fee(s), any d r <u>20-1504</u> (enclose						
	s SMALL ENTITY state	us, See 37 CFR 1.27.			L ENTITY status. See 37 C			
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Authorized Signature		2/hm	$\mathcal{V}_{-}$	Date	5/26/10	<del></del>		
Typed or printed nam	ie <u>Mark J. Ro</u> z	man/		Registration N	o. <u>42,117</u>			
This collection of inform an application. Confiden submitting the complete	nation is required by 37 C tiality is governed by 35 d application form to the	CFR 1.311. The informati U.S.C. 122 and 37 CFR USPTO. Time will var	on is required to obtain or 1.14. This collection is es depending upon the indi	retain a benefit by the stimated to take 12 revidual case. Any co	no public which is to file (ar ninutes to complete, includi mments on the amount of t	nd by the USPTO to process) ng gathering, preparing, and ime you require to complete partment of Commerce, P.O.		

this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Samsung Ex. 1320 p. 62

Electronic Patent A	\pp	lication Fee	Transm	ittal			
Application Number:	120	015320					
Filing Date:	16-	Jan-2008					
Title of Invention:	METHOD FOR MANAGING MEDIA						
First Named Inventor/Applicant Name:	Russell W. White						
Filer:	Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number:	AF	F.0004C5US					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Utility Appl issue fee		1501	1	1510	1510		
Publ. Fee- early, voluntary, or normal		1504	1	300	300		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1810

Electronic Ack	Electronic Acknowledgement Receipt					
EFS ID:	7692220					
Application Number:	12015320					
International Application Number:						
Confirmation Number:	2156					
Title of Invention:	METHOD FOR MANAGING MEDIA					
First Named Inventor/Applicant Name:	Russell W. White					
Customer Number:	21906					
Filer:	Mark J. Rozman/Stephanie Petreas					
Filer Authorized By:	Mark J. Rozman					
Attorney Docket Number:	AFF.0004C5US					
Receipt Date:	26-MAY-2010					
Filing Date:	16-JAN-2008					
Time Stamp:	15:09:25					
Application Type:	Utility under 35 USC 111(a)					

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1810
RAM confirmation Number	1341
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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File Listing:					
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	AFF004C5IssueFeeTransmittal.	60678	no	1
·	issue ree rayment (rio oss)	pdf	5e966a851757326868caee925789be03782 8fd27	110	
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-info.pdf	31951	no	2
2	ree worksneet (F10-6/3)	ree-mo.pu	57db30bd0ecc20156348872291a0d73a8e 603824	110	2
Warnings:		•			
Information:					
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### New International Application Filed with the USPTO as a Receiving Office

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Russell W. White, et al.

Group Art Unit:

2617

Serial No.:

12/015,320

\$ \$ \$ \$ \$

Examiner:

Erika A. Gary

Filed:

January 16, 2008

ξ

For:

Method For Managing Media

Atty. Dkt. No.:

AFF.004C5US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The attached Information Disclosure Statement includes references that were identified in various charts and contentions prepared by defendants in a lawsuit. The charts and contentions themselves are not included with this Information Disclosure Statement. Based on previous discussions and as set forth in the Reply to Office Action filed March 15, 2010, Applicants are not providing such contentions and claim charts, as it is understood that the Examiner does not wish to receive them.

The references also include several orders issued by the district courts in the pending litigations. In addition, several amended answers and replies to these answers are provided. However, other documents filed by the parties in such litigations are not being provided. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully respect the Examiner to address this issue.

Date of Deposit: May 25, 2010

I hereby certify under 37 CFR § 1.8 this correspondence is being deposited **via EFS** on the date indicated above.

/Stephanie Petreas/

Stephanie Petreas

The Commissioner is authorized to charge any fees or credit any overpayment to Deposit Account No. 20-1504.

Respectfully submitted,

Date: May 25, 2010

/Mark J. Rozman/

Mark J. Rozman Registration No. 42,117 TROP, PRUNER & HU, P.C. 1616 S. Voss Road, Suite 750 Houston, Texas 77057-2631 (512) 418-9944 [Phone] (713) 468-8883 [Fax] Customer No: 21906 PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0651-0031
formation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor F		Russell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	2617		
( NOTION SUBMISSION UNDER 37 GFK 1.55)	Examiner Name	Erika	A. Gary	,
	Attorney Docket Numb	ber AFF.004C5US		

				U.S.	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6009363		1999-12-28	Beckert	
	2	6023232		2000-02-08	Eitzenberger	
	3	6151634	,	2000-11-21	Glaser	
	4	6453281		2002-09-17	Walters	
	5	6678215		2004-01-13	Treyz	
If you wisl	n to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	
· · · · · · · · · · · · · · · · · · ·		r.	U.S.P	ATENT APPLI	CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20010042107		2001-11-15	Palm	
If you wisl	h to a	dd additional U.S. Publis	shed Ap		n information please click the Add	d button.
				FOREIGN PAT	TENT DOCUMENTS	

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor Russe		ell W. White, et al.		
Art Unit		2617		
Examiner Name Erika		A. Gary		
Attorney Docket Number		AFF.004C5US		

Examiner Initial*	Cite No	Foreign Document Number³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	т5	
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	Cite No		nal, serial, symp	osium,	catalog, etc),	the article (when approp date, pages(s), volume-i		<b>T</b> 5	
	1	AFFINITY LABS OF TE	FFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Civil Action No. 9:08CV164, FFINITY LABS OF TEXAS, LLC, Plaintiff, v., ALPINE ELECTRONICS OF AMERICA, INC., et al., Civil Action No. 08CV171, Order Construing Claim Terms of Unites States Patent No. 7,634,228, Filed on May 10, 2010, Pages 1-27.						
	2	D. PETERS, et al., "Car	D. PETERS, et al., "Car Multimedia - Mobile Multimedia for the 21st Century," October 5-6, 2000, Pages 1-58.						
	3	STEPHAN HARTWIG, 6 1-12.	STEPHAN HARTWIG, et al., "Mobile Multimedia - Challenges and Opportunities Invited Paper," June 19, 2000, Pages 1-12.						
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	6	JASON MESERVE, "Windows Media Player now available for WinCe, (from Microsoft) (Product Announcement)," Network World, March 6, 2000, Pages 1-2.							
	7	BUSINESS WIRE, ""HU 24, 1999, Pages 1-2.	M" MP3 Software	Turns W	indows CE Har	ndheld Computers into Por	table Music Players," May		

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8	CHRIS De HERRERA, "Windows CE 2.0 Auto PC Pictures," Chris De Herrera's Windows CE Website, Revised January 11, 1999, Pages 1-3.	
9	JOHN MURRAY, "Inside Microsoft Windows CE," Microsoft Press, 1998, Pages 1-20.	
10	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Device Class Definition for Audio Devices," Release 1.0, March 18, 1998, Pages 1-130.	
11	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Specification," Revision 1.1, September 23, 1998, Pages 1-327.	
12	VESA, VIDEO ELECTRONICS STANDARDS ASSOCIATION, "VESA Plug and Display (P&D) Standard," Version 1, Revision 0, June 11, 1997, Pages 1-109.	
13	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Defendants, Case No. 9:08-cv-00164-RC, First Amended Answer And Counterclaim Of Defendant Volkswagen Group Of America, Inc. To Third Amended Complaint, Filed on April 9, 2010, Pages 1-57.	
14	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Defendants, Case No. 9:08-cv-00164-RC, Amended Answer And Counterclaim Of Defendants Hyundai Motor America, Hyundai Motor Manufacturing Alabama, LLC, And KIA Motors America, Inc. To Plaintiff Affinity Labs Of Texas, LLC's Third Amended Complaint, Filed on April 9, 2010, Pages 1-22.	
15	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Defendants, Case No. 9:08-cv-00164-RC, Plaintiff's Reply To Amended Answer And Counterclaim Of Defendants Hyundai Motor America, Hyundai Motor Manufacturing Alabama, LLC And KIA Motors America, Inc. To Plaintiff Affinity Labs of Texas, LLC's Third Amended Complaint, Filed on April279, 2010, Pages 1-7.	
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First Named Inventor	Russ	ell W. White, et al.		
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Examiner Name	A. Gary			
Attorney Docket Numb	er	AFF.004C5US		

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(Not for submission under 37 CFR 1.99)

	12015320		
	2008-01-16		
Russe	ell W. White, et al.		
Art Unit			
Examiner Name Erika			
Attorney Docket Number			
	Erika .	2008-01-16 Russell W. White, et al. 2617 Erika A. Gary	2008-01-16  Russell W. White, et al.  2617  Erika A. Gary

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Ple	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):			
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
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	foreign patent of after making rea any individual de	information contained in the information diffice in a counterpart foreign application, and sonable inquiry, no item of information contaesignated in 37 CFR 1.56(c) more than thr 37 CFR 1.97(e)(2).	d, to the knowledge of th ained in the information dis	e person signing the certification sclosure statement was known to		
	See attached ce	rtification statement.				
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	ı.			
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	signature of the ap n of the signature.	plicant or representative is required in accord	dance with GFR 1.33, 10.1	8. Please see CFR 1.4(d) for the		
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Electronic Patent Application Fee Transmittal						
Application Number:	12	015320				
Filing Date:	16	Jan-2008				
Title of Invention:	METHOD FOR MANAGING MEDIA					
First Named Inventor/Applicant Name:	Russell W. White					
Filer:	Mark J. Rozman/Stephanie Petreas					
Attorney Docket Number:	AFF.0004C5US					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	7685599			
Application Number:	12015320			
International Application Number:				
Confirmation Number:	2156			
Title of Invention:	METHOD FOR MANAGING MEDIA			
First Named Inventor/Applicant Name:	Russell W. White			
Customer Number:	21906			
Filer:	Mark J. Rozman/Stephanie Petreas			
Filer Authorized By:	Mark J. Rozman			
Attorney Docket Number:	AFF.0004C5US			
Receipt Date:	25-MAY-2010			
Filing Date:	16-JAN-2008			
Time Stamp:	17:09:21			
Application Type:	Utility under 35 USC 111(a)			

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Russell W. White, et al.

Group Art Unit:

2617

Serial No.:

12/015,320

8888

Examiner:

Erika A. Gary

Filed:

January 16, 2008

8

For:

Method For Managing Media

Atty. Dkt. No.:

AFF.004C5US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The attached Information Disclosure Statement includes references that were identified in various charts and contentions prepared by defendants in a lawsuit. The charts and contentions themselves are not included with this Information Disclosure Statement. Based on previous discussions and as set forth in the Reply to Office Action filed March 15, 2010, Applicants are not providing such contentions and claim charts, as it is understood that the Examiner does not wish to receive them.

The references also include several orders issued by the district courts in the pending litigations. In addition, several amended answers and replies to these answers are provided. However, other documents filed by the parties in such litigations are not being provided. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully respect the Examiner to address this issue.

Date of Deposit: May 25, 2010

I hereby certify under 37 CFR § 1.8 this correspondence is being deposited **via EFS** on the date indicated above.

/Stephanie Petreas/

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Respectfully submitted,

Date: May 25, 2010

/Mark J. Rozman/

Mark J. Rozman Registration No. 42,117 TROP, PRUNER & HU, P.C. 1616 S. Voss Road, Suite 750 Houston, Texas 77057-2631 (512) 418-9944 [Phone] (713) 468-8883 [Fax] Customer No: 21906 PTO/SB/08a (07-09)
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	Application Number		12015320	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date 2		2008-01-16	· -
	First Named Inventor Russe		ssell W. White, et al.	
	Art Unit		2617	
	Examiner Name	Erika	rika A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

U.S.PATENTS							
Examiner Initial*					Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
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	2	6023232		2000-02-08	Eitzenberger		
	3	6151634	,	2000-11-21	Glaser		
	4	6453281		2002-09-17	Walters		
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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1	20010042107		2001-11-15	Palm		
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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5		
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	1	AFFINITY LABS OF TE	FFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Civil Action No. 9:08CV164, FFINITY LABS OF TEXAS, LLC, Plaintiff, v., ALPINE ELECTRONICS OF AMERICA, INC., et al., Civil Action No. 08CV171, Order Construing Claim Terms of Unites States Patent No. 7,634,228, Filed on May 10, 2010, Pages 1-27.							
	2	D. PETERS, et al., "Ca	PETERS, et al., "Car Multimedia - Mobile Multimedia for the 21st Century," October 5-6, 2000, Pages 1-58.							
	3	STEPHAN HARTWIG, 1-12.	et al., "Mobile M	ultimedia -	Challenges and	d Opportunities Invited Pap	er," June 19, 2000, Pages			
	4	JOHN HANAN, "Car Audio Has Come Far since the 8-Track," Knight Ridder/Tribune Business News, December 17, 1999, Pages 1-2.  BUSINESS WIRE, "Lobjects Announces New Digital Audio Player Technology for the Next-Generation Auto PC," August 4, 1999, Pages 1-2.								
	5									
	JASON MESERVE, "Windows Media Player now available for WinCe, (from Microsoft) (Product Announcement)," Network World, March 6, 2000, Pages 1-2.									
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12	VESA, VIDEO ELECTRONICS STANDARDS ASSOCIATION, "VESA Plug and Display (P&D) Standard," Version 1, Revision 0, June 11, 1997, Pages 1-109.	
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14	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Defendants, Case No. 9:08-cv-00164-RC, Amended Answer And Counterclaim Of Defendants Hyundai Motor America, Hyundai Motor Manufacturing Alabama, LLC, And KIA Motors America, Inc. To Plaintiff Affinity Labs Of Texas, LLC's Third Amended Complaint, Filed on April 9, 2010, Pages 1-22.	
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Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	II W. White, et al.		
Art Unit		2617		
Examiner Name Erika		A. Gary		
Attorney Docket Number		AFF.004C5US		

19	CLARION Car Audio and Beyond, "1999 Car Audio & Security Product," 1999, Pages 1-60.	
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28	KAMI BUCHHOLZ, "Diversified Software launches IVIS," Automotive Engineering Online, 2009, one page.	
29	EMPEG Car webpage, http://web.archive.org/web/19990430033318/www.empeg.com/main.html, April 30, 1999, one page.	

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	30	CLARION AutoPC, "Frequently Asked Questions," 1998, Pages 1-3.						
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Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Number		AFF.004C5US	

	CERTIFICATION STATEMENT								
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	:								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
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Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-05-25					
Nan	ne/Print	Mark J. Rozman	Registration Number	42117					
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Application Number:	12015320						
Filing Date:	16	Jan-2008					
Title of Invention:	I <b>nvention:</b> METHOD FOR MANAGING MEDIA						
First Named Inventor/Applicant Name:	Russell W. White						
Filer:	Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number:	AF	F.0004C5US					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
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Petition:							
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Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	7685852			
Application Number:	12015320			
International Application Number:				
Confirmation Number:	2156			
Title of Invention:	METHOD FOR MANAGING MEDIA			
First Named Inventor/Applicant Name:	Russell W. White			
Customer Number:	21906			
Filer:	Mark J. Rozman/Stephanie Petreas			
Filer Authorized By:	Mark J. Rozman			
Attorney Docket Number:	AFF.0004C5US			
Receipt Date:	25-MAY-2010			
Filing Date:	16-JAN-2008			
Time Stamp:	17:25:21			
Application Type:	Utility under 35 USC 111(a)			

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Russell W. White, et al.

Group Art Unit:

2617

Serial No.:

12/015,320

§ § § Examiner:

Erika A. Gary

Filed:

January 16, 2008

8

For:

Method For Managing Media

Atty. Dkt. No.:

AFF.004C5US

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### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The attached Information Disclosure Statement includes references that were identified in various charts and contentions prepared by defendants in a lawsuit. The charts and contentions themselves are not included with this Information Disclosure Statement. Based on previous discussions and as set forth in the Reply to Office Action filed March 15, 2010, Applicants are not providing such contentions and claim charts, as it is understood that the Examiner does not wish to receive them.

The references also include several orders issued by the district courts in the pending litigations. In addition, several amended answers and replies to these answers are provided. However, other documents filed by the parties in such litigations are not being provided. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully respect the Examiner to address this issue.

Date of Deposit: May 25, 2010

I hereby certify under 37 CFR § 1.8 this correspondence is being deposited **via EFS** on the date indicated above.

/Stephanie Petreas/

Stephanie Petreas

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Respectfully submitted,

Date: May 25, 2010

/Mark J. Rozman/

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INFORMATION DISCLOSURE	Application Number		12015320	
	Filing Date		2008-01-16	
	First Named Inventor Russe		ell W. White, et al.	
(Not for submission under 37 CFR 1.99)	Art Unit		2617	
( Not for submission under 37 GFK 1.55)	Examiner Name Erika		A. Gary	
	Attorney Docket Number		AFF.004C5US	

				U.S.I	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6009363		1999-12-28	Beckert	
	2	6023232		2000-02-08	Eitzenberger	
	3	6151634		2000-11-21	Glaser	
	4	6453281		2002-09-17	Walters	
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	2	D. PETERS, et al., "Car Multimedia - Mobile Multimedia for the 21st Century," October 5-6, 2000, Pages 1-58.						
	3	STEPHAN HARTWIG, et al., "Mobile Multimedia - Challenges and Opportunities Invited Paper," June 19, 2000, Pages 1-12.						
	4	JOHN HANAN, "Car Au 1999, Pages 1-2.	dio Has Come F	ar since th	e 8-Track," Kni	ght Ridder/Tribune Busines	s News, December 17,	
	5	BUSINESS WIRE, "Lobjects Announces New Digital Audio Player Technology for the Next-Generation Auto PC," August 4, 1999, Pages 1-2.						
	6	JASON MESERVE, "W Network World, March 6	indows Media Pla 3, 2000, Pages 1	ayer now a -2.	available for Wir	nCe, (from Microsoft) (Prod	uct Announcement),"	
	7	BUSINESS WIRE, ""HL 24, 1999, Pages 1-2.	IM" MP3 Softwar	e Turns W	findows CE Har	ndheld Computers Into Por	table Music Players," May	

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8	CHRIS De HERRERA, "Windows CE 2.0 Auto PC Pictures," Chris De Herrera's Windows CE Website, Revised January 11, 1999, Pages 1-3.	
9	JOHN MURRAY, "Inside Microsoft Windows CE," Microsoft Press, 1998, Pages 1-20.	
10	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Device Class Definition for Audio Devices," Release 1.0, March 18, 1998, Pages 1-130.	
11	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Specification," Revision 1.1, September 23, 1998, Pages 1-327.	
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24	JANET BRAUNSTEIN, "Diversified Software Industries: Enabling digital instrument panels," January 10, 2001, Pages 1-2.	
25	MICROSOFT PressPass, "Microsoft Previews New Devices Using Windows CE for Automotive 2.0," January 2000, Pages 1-2.	
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		CERTIFICATION	STATEMENT		
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OR	:				
	foreign patent of after making rea any individual de	information contained in the information diffice in a counterpart foreign application, and sonable inquiry, no item of information contains assignated in 37 CFR 1.56(c) more than threat CFR 1.97(e)(2).	d, to the knowledge of the lined in the information dis	e person signing the certification closure statement was known to	
	See attached ce	rtification statement.			
$\boxtimes$	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith			
	None				
	ignature of the ap n of the signature.	SIGNAT plicant or representative is required in accord		8. Please see CFR 1.4(d) for the	
Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-05-25	
Nan	Name/Print Mark J. Rozman Registration Number 42117				
pub 1.14 app	lic which is to file and the state of the state of the lication form to the state of the state o	rmation is required by 37 CFR 1.97 and 1.98. (and by the USPTO to process) an applicatio is estimated to take 1 hour to complete, inclu- e USPTO. Time will vary depending upon the his form and/or suggestions for reducing this l	n. Confidentiality is govern ding gathering, preparing a e individual case. Any com	ned by 35 U.S.C. 122 and 37 CFR and submitting the completed nments on the amount of time you	

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VA 22313-1450.

Electronic Patent Application Fee Transmittal					
Application Number: 12015320					
Filing Date:	16	Jan-2008			
Title of Invention:	le of Invention:  METHOD FOR MANAGING MEDIA				
First Named Inventor/Applicant Name:	Russell W. White				
Filer:	Ma	rk J. Rozman/Steph	anie Petreas		
Attorney Docket Number:	AF	F.0004C5US			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt			
EFS ID:	7686027		
Application Number:	12015320		
International Application Number:			
Confirmation Number:	2156		
Title of Invention:	METHOD FOR MANAGING MEDIA		
First Named Inventor/Applicant Name:	Russell W. White		
Customer Number:	21906		
Filer:	Mark J. Rozman/Stephanie Petreas		
Filer Authorized By:	Mark J. Rozman		
Attorney Docket Number:	AFF.0004C5US		
Receipt Date:	25-MAY-2010		
Filing Date:	16-JAN-2008		
Time Stamp:	17:38:10		
Application Type:	Utility under 35 USC 111(a)		

### **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3858
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Information Disclosure Statement (IDS)	AFF004C5USIDSCoverLetteran	196479	no	8
·	Filed (SB/08)	d1449.pdf	a491774a9601d532667d1f1443a2c9eb6ed 432dd		Ü
Warnings:					
Information:					
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2	NPL Documents	AFF004C5USNPLDocUSBRev11	3001078	no	102
		PT1.pdf	276232370c7fe2f6efe0a0bcc3fc9ab5ea131 14a		
Warnings:					
Information:					
3	NPL Documents	AFF004C5USNPLDocUSBRev11	2465412	no	94
	W E Bocaments	PT2.pdf	8bb6c5911cec98de26405a0e92d8fce5767 26cae	110	
Warnings:					
Information:					
4	NPL Documents	AFF004C5USNPLDocUSBRev11	2154305	no	73
		PT3.pdf	31bce9015725fda02fb4475a31052a0d691 30a15		
Warnings:					
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5	NPL Documents	AFF004C5USNPLDocUSBRev11	1912650	no	58
_		PT4.pdf	3b244a985ac9a8866ea8446efd9e5a6d0b2 43d5a		
Warnings:					
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6	NPL Documents	AFF004C5USNPLDocVesaPT1.	1259122	. no	51
		pdf	ce0d5f18cc99f84734deec56c675fba3d81d e233		
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Information:					
7	NPL Documents	AFF004C5USNPLDocVesaPT2.	1237671	. no	58
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Information:					
8	NPL Documents	AFF004C5USNPLDocClarion199	1326948	no	23
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Information:					

9	Fee Worksheet (PTO-875)	fee-info.pdf -	30468	no	2		
9			bd8e432863259f84a970ba98ec5e256ab00 b8676		_		
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Information:							
Total Files Size (in bytes			13.	584133			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

21906

7590

05/04/2010

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631

EXAMINER					
GARY, ERIKA A					
ART UNIT PAPER NUMBER					
2617					

DATE MAILED: 05/04/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/015.320	01/16/2008	Russell W. White	AFF.0004C5US	2156

TITLE OF INVENTION: METHOD FOR MANAGING MEDIA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	08/04/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

naintenance fee notifica		•	No Fe pa	n of maintenance fees will be mailed to the current correspondence address a correspondence address; and/or (b) indicating a separate "FEE ADDRESS" fo Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, mus have its own certificate of mailing or transmission.					
	7590 05/04 ER & HU, P.C. ROAD, SUITE 750 77057-2631	/2010		Cert	ificate o	of Mailing or Transn	nission deposited with the United class mail in an envelope above, or being facsimile te indicated below.		
							(Depositor's name)		
							(Signature)		
							(Date)		
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTOR	NEY DOCKET NO.	CONFIRMATION NO.		
12/015,320	01/16/2008	•	Russell W. White		AI	FF.0004C5US	2156		
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APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE		FEE	TOTAL FEE(S) DUE	DATE DUE		
nonprovisional	YES	\$755	\$300	\$0		\$1055	08/04/2010		
EXAM	IINER	ART UNIT	CLASS-SUBCLASS						
GARY, E	ERIKA A	2617	455-410000						
CFR 1.363).  Change of corresp Address form PTO/SI  "Fee Address" ind PTO/SB/47; Rev 03-C Number is required.  ASSIGNEE NAME A PLEASE NOTE: Unl	ND RESIDENCE DATA less an assignee is ident h in 37 CFR 3.11. Comp	nge of Correspondence  Indication form the ded. Use of a Customer  A TO BE PRINTED ON TO	data will appear on the	to 3 registered patentively, gle firm (having as a agent) and the name orneys or agents. If reprinted.  Type) patent. If an assigned a assignment.	member es of up no name	ra 2to is 3	cument has been filed for		
a. The following fee(s)  Issue Fee  Publication Fee (M		permitted)	o. Payment of Fee(s): (Plo A check is enclosed. Payment by credit c: The Director is herel overpayment, to Dep	ease first reapply an	y previous is attack	busly paid issue fee shed.	·		
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**	s SMALL ENTITY state		b. Applicant is no lo				R 1.27(g)(2). e assignee or other party in		
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Authorized Signature									
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his collection of inform n application. Confiden ubmitting the completed his form and/or suggesti	nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this bu	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the	on is required to obtain or 1.14. This collection is e depending upon the ind e Chief Information Offi	retain a benefit by the stimated to take 12 n ividual case. Any co- cer, U.S. Patent and	ne public ninutes t mments Fradema	which is to file (and to complete, including on the amount of tim ark Office, U.S. Depar	by the USPTO to process) gathering, preparing, and the you require to complete them to f Commerce, P.O.		

submitting the completed application form to the USP10. Time will vary depending upon the transfer and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/015,320	01/16/2008	Russell W. White	AFF.0004C5US	2156
21906 7	590 05/04/2010		EXAM	INER
TROP, PRUNEI	R & HU, P.C.		GARY, E	RIKA A
1616 S. VOSS RC			ART UNIT	PAPER NUMBER
HOUSTON, TX 7	7057-2631		2617	
			DATE MAILED: 05/04/201	0

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
Al-CPAH	12/015,320	WHITE ET AL.
Notice of Allowability	Examiner	Art Unit
	Erika A. Gary	2617
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not included will be mailed in due course. <b>THIS</b>
1. This communication is responsive to 3/12/10.		
2. The allowed claim(s) is/are <u>39-45, 48-65</u> .		
<ul> <li>3. ☐ Acknowledgment is made of a claim for foreign priority ur</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> </ul>		
2. Certified copies of the priority documents have	· · ·	
3. Copies of the certified copies of the priority do	cuments have been received in this i	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.	
(a) ☐ including changes required by the Notice of Draftspers		948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date	•	,
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date		office action of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT		
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5.	atent Application
2.  Notice of Draftperson's Patent Drawing Review (PTO-948)	6. Interview Summary	
3. Information Disclosure Statements (PTO/SB/08),	Paper No./Mail Dat 7.	nent/Comment
Paper No./Mail Date 11/16/09, 3/2/10, 3/16/10 4. ☐ Examiner's Comment Regarding Requirement for Deposit	8.  Examiner's Stateme	ent of Reasons for Allowance
of Biological Material	9.	
/Erika A. Gary/		
Primary Examiner, Art Unit 2617		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Doc code: IDS

PTO/S8/08a (07-09)

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

	U.S.PATENTS									
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
	1	6636242		2003-10-21	Bowman-Amuah					
	2	6615253		2003-09-02	Bowman-Amuah					
	3	6615199		2003-09-02	Bowman-Amuah					
	4	6606744		2003-08-12	Mikurak					
	5	6606660		2003-08-12	Bowman-Amuah					
	6	6601234		2003-07-29	Bowman-Amuah					
	7	6601192		2003-07-29	Bowman-Amuah					
	8	6578068		2003-07-10	Bowman-Amuah					

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor Russe		ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Number		AFF.004C5US		

	9	6587835	2003-07-01	Treyz, et al.	
	10	6510210	2003-01-21	Baughan	
	11	6509716	2003-01-21	Yi	
	12	6496692	2002-12-17	Shanahan	
	13	6396769	2002-05-28	Polany	
	14	6240297	2001-05-29	Jadoul	
	15	6061306	2000-05-09	Buchheim	
	16	5953659	1999-09-14	Ghisler .	
_	17	5940767	1999-08-17	Bourgeois	
	18	5870680	1999-02-09	Guerlin, et al.	
	19	5774793	1998-06-30	Cooper, et al.	

Receipt date: 03/02/2010

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 12015320
Filing Date 2008-01-16
First Named Inventor Russell White, et al.

Art Unit 2617
Examiner Name Erika A. Gary

				Attorney Doc	ket Number	AFF.004C5US		
	20	5587560		1996-12-24	Crooks, et al.			
	21	5586090		1996-12-17	Otte			
	22	5450471		1995-09-12	Hanawa, et al.			
	23	7020704		2006-03-28	Lipscomb, et al.			
	24	7343414		2008-03-11	Lipscomb, et al.			
	25	6728531		2004-04-27	Lee			
	26	6526335		2003-02-25	Treyz			
	27	6160551		2000-12-12	Naughton			
	28	6192340		2001-02-20	Abecassis			
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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Paten of cited Docum	tee or Applicant ent	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)				First !	Named I	Inventor	Russ	sell White, et al.		
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Examiner	Signa	ature /Erika (	ary/ (04	1/12/201	10)			Date Considered	04/12/2010	
		nitial if reference consideration conformance and not							9. Draw line through a to applicant.	

English language translation is attached.

Receipt date: 11/16/2009

PTO/SB/08a (01-09) Approved for use through 02/28/2009. OMB 0651-0031

Doc description: Information Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	ell W. White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Number		AFF.004C5US		

					U.S.I	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	Date	of cited Document			Columns,Li nt Passages Appear		
	1	5539658		1996-07	7-23	McCullough, T					
	2	6675233		2004-01	I-26	Du					
If you wisl	n to ac	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add		
			U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ation	of cited Document			Columns,Li nt Passages Appear		
	1	20030126335		2003-07	7-03	Silvester, Kela					
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	1	DE 19 651 308 A1	DE			1996-10-12 Becker GmbH					
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Receipt date: 11/16/2009	Application Number		12015320	
INFORMATION DISCLOSURE	Filing Date		2008-01-16	
	First Named Inventor Russe		ell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
( Not for Submission under 57 of it 1.55)	Examiner Name	Erika .	A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

Examiner Initials*	Cite No	(book, magazine, jou	,	), title of the article (when appropr g, etc), date, pages(s), volume-is	, ,	<b>T</b> 5			
	1	"Universal Serial Bus Specification," Revision 1.1, September 23, 1998, pages ii-106.							
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Examiner	Signa	ure /Erika	Gary/ (04/12/2010)	Date Considered	04/12/2010				
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Doc code: IDS

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Doc description: Information Disclosure Statement (IDS) Filed

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	Examiner Name	Erika	ka A. Gary
	Attorney Docket Numb	er	AFF.004C5US

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Examiner Initial*	raminer Cite No Patent Number Kind Code ¹ Iss		Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
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Examiner Name Erika		a A. Gary		
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Attorney Docket Number		AFF.004C5US		

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If you wish	If you wish to add additional U.S. Patent citation information please click the Add button.								
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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			

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INFORMATION DISCLOSURE	First Named Inventor	Russe	Il White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
	Examiner Name	Erika /	A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

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Examiner Name	Erika	A. Gary
Attorney Docket Numb	er	AFF.004C5US

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First Named Inventor	Russ	sell White, et al.
Art Unit	•	2617
Examiner Name	Erika	a A. Gary
Attorney Docket Numb	oer	AFF.004C5US

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Application Number 12015320
Filing Date 2008-01-16

First Named Inventor Russell White, et al.

Art Unit

( Not for submission under 37 CFR 1.99)

Examiner Name Erika A. Gary

Attorney Docket Number AFF.004C5US

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 12015320
Filing Date 2008-01-16
First Named Inventor Russell White, et al.

Art Unit 2617
Examiner Name Erika A. Gary

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C	Issue Date  Name of Patentee or App of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1									,	
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Receipt date: 03/02/2010 **Application Number** 12015320 Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 ( Not for submission under 37 CFR 1.99) Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US Request for Inter Partes Reexamination of U.S. Patent No. 7,187,947, filed on November 13, 2009, with accompanying 1 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,440,772, filed on November 13, 2009, with accompanying 2 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,324,833, filed on November 13, 2009, with accompanying 3 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,486,926, filed on November 13, 2009, with accompanying 4 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,634,228, filed on February 3, 2010, with accompanying 5 Claim Charts. If you wish to add additional non-patent literature document citation information please click the Add button

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(Not for Submission under 67 of it 1,55)	Examiner Name Erika		A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

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Attorney Docket Numb	er	AFF.004C5US			

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#### FOREIGN PATENT DOCUMENTS

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number		12015320			
Filing Date		2008-01-16			
First Named Inventor	Russe	ell White, et al.			
Art Unit		2617			
Examiner Name	Erika	A. Gary			
Attorney Docket Number		AFF.004C5US			

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ₅				
	1	WO 00/70523	wo		2000-11-23	Digimarc Corporation						
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Examiner Name	Erika	A. Gary	
Attorney Docket Number		AFF.004C5US	

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Application Number

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Application Number		12015320	_	
Filing Date		2008-01-16		
First Named Inventor	Russe	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Numb	er	AFF.004C5US		$\neg$

¹ See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09) Approved for use through 07/31/2012, OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 12015320

Filing Date 2008-01-16

First Named Inventor Russell White, et al.

Art Unit 2617

Examiner Name Erika A. Gary

Attorney Docket Number AFF.004C5US

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Receipt date: 03/02/2010 **Application Number** 12015320 Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 ( Not for submission under 37 CFR 1.99) Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US Request for Inter Partes Reexamination of U.S. Patent No. 7,187,947, filed on November 13, 2009, with accompanying 1 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,440,772, filed on November 13, 2009, with accompanying 2 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,324,833, filed on November 13, 2009, with accompanying 3 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,486,926, filed on November 13, 2009, with accompanying 4 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,634,228, filed on February 3, 2010, with accompanying 5 Claim Charts.

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¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Receipt date: 03/16/2010

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number 12015320
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First Named Inventor Russell White, et al.

Art Unit 2617
Examiner Name Erika A. Gary
Attorney Docket Number AFF.004C5US

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)				Filing Date	Filing Date		2008-01-16		
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				Attorney Docket Numb	per	AFF.004C5US			
	Affinity Labs of Texas, LLC (Plaintiff) v. Alpine Electronics of America, Inc., et al. (Defendants), Civil Action No. 9:08-cv-171, Order Denying Without Prejudice Defendants' Motion for Summary Judgment, one page, filed on February 25, 2010.								
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#### **EAST Search History**

#### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	474	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:41
L2	187	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:42

L3	6	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and physical adj interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:42
L4	O	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and physical adj interface).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	N	2010/04/29 14:44

L7	151	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:45
L8	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call)). ab. and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:45
L9	12	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4))).ab. and (recharg\$5) and (local adj	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:45

		broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface				
L11	12	9 not 3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:45
L12	41	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system)).ab. and (broadcast\$3 or transmit\$4) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29
L13	29	12 not 11	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:48
L15	50	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system)).ab. and ( broadcast\$3 or transmit\$4) and (recharg\$5) and (local adj broadcast or radio	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OO	2010/04/29 14:51

L16	9	adj station) and ((telephone or phone or incoming) adj call) and interface	US-PGPUB; USPAT; USOCR; FPRS;	OR	ON	2010/04/29 14:51
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L17	7 17	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4))).ab. and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:52
L18	5	17 not 9	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:52
L19	6	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:53

		((telephone or phone or incoming) adj call) and physical adj interface				annonnon de la constante de la
L20	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and physical adj interface).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:53

### **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L5		(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and physical adj interface).clm.	USPAT; UPAD	OR	ON	2010/04/29 14:44

L6		(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface).clm.	USPAT; UPAD	OR	ON	2010/04/29 14:44
L14		(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and interface).clm.	USPAT; UPAD	OR	ON	2010/04/29 14:51
L21	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or	USPAT; UPAD	OR	ON	2010/04/29 14:53

		phone or incoming) adj call) and (port or interface or jack)). clm.				
L22	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and (port or interface or jack)). clm.	USPAT; UPAD	OR	ON	2010/04/29 14:56
L23	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (broadcast\$3 or transmit\$4)) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and (port or interface or jack)). clm.	USPAT; UPAD	OR	ON	2010/04/29 14:57
L24	0	5	USPAT; UPAD	OR	ON	2010/04/29 14:57

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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Receipt date: 03/02/2010 **Application Number** 12015320 Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 ( Not for submission under 37 CFR 1.99) Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US Request for Inter Partes Reexamination of U.S. Patent No. 7,187,947, filed on November 13, 2009, with accompanying 1 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,440,772, filed on November 13, 2009, with accompanying 2 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,324,833, filed on November 13, 2009, with accompanying 3 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,486,926, filed on November 13, 2009, with accompanying 4 Claim Charts. Request for Inter Partes Reexamination of U.S. Patent No. 7,634,228, filed on February 3, 2010, with accompanying 5 Claim Charts. If you wish to add additional non-patent literature document citation information please click the Add button

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# Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
12015320	WHITE ET AL.
Examiner	Art Unit
Frika A Gary	2617

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-	15	-	31	-	47	24	63								
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NONE			ns Allowed:	
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/Erika A Gary/ Primary Examiner.Art Unit 2617	4/29/10	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	7	

## Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
12015320	WHITE ET AL.
Examiner	Art Unit
Erika A Gary	2617

	SEARCHED									
Class	Subclass	Date	Examiner							
	see EAST search attached	1/30/09	EAG							
	see EAST search attached	11/7/09	EAG							
	see EAST search attached	4/29/10	EAG							

SEARCH NOTES					
Search Notes	Date	Examiner			
searched parent case for current claim terms - see EAST search attached	1/30/09	EAG			
see EAST search attached	11/7/09	EAG			
see EAST search attached	4/29/10	EAG			

INTERFERENCE SEARCH						
Class	Subclass	Date	Examiner			
	see EAST search attached	4/29/10	EAG			

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09) Approved for use through 07/31/2012, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE	Application Number		12015320	
	Filing Date		2008-01-16	
	First Named Inventor	Russ	ell White, et al.	
( Not for submission under 37 CFR 1,99)	Art Unit	1	2617	
(Not for Submission under 37 of 17 1.39)	Examiner Name	Erika	A. Gary	
	Attorney Docket Numi	er	AFF.004C5US	

	U.S.PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	5974333		1999-10-26	Chen			
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	8	7339993		2008-03-04	Brooks			

Receipt date: 03/02/2010

Application Number 12015320

Filing Date 2008-01-16

First Named Inventor Russell White et al.

STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		12015320	
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First Named Inventor	Russe	ell White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Numb	er	AFF.004C5US	 _

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	9	6917923		2005-12-06	Dimenstein	
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FOREIGN PATENT DOCUMENTS

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Application Number		12015320	
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First Named Inventor	Russe	ell White, et al.	
Art Unit		2617	
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Attorney Docket Number		AFF.004C5US	

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T5
	1	WO 00/70523	wo		2000-11-23	Digimarc Corporation		
If you wish	n to ac	<u> </u> dd additional Foreign F	 Patent Docume	 nt citation	information p	 lease click the Add butto	 en	<u> </u>
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Examiner Initials*	Cite No		rnal, serial, syn	nposium,	catalog, etc),	the article (when approp date, pages(s), volume-i		T5
	1	Nokia 9110 Communica	okia 9110 Communicator User Manual, Copyright 1999.					
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Application Number		12015320	
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First Named Inventor Russe		ell White, et al.	
Art Unit		2617	
Examiner Name	Erika	A. Gary	
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			dered, whether or not citation considered. Include copy of					

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Filing Date		2008-01-16	
First Named Inventor Russe		ell White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Numb	er	AFF.004C5US	

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Doc description: Information Disclosure Statement (IDS) Filed

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12015320		
	Filing Date		2008-01-16		
	First Named Inventor R		Russell White, et al.		
	Art Unit		2617		
	Examiner Name Eri		rika A. Gary		
	Attorney Docket Number		AFF.004C5US		
	Attorney Docket Numb	er	AFF.004C5US		

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Patentee of Applicant		Pages,Columns,Lines where Relevant Passages or Relev Figures Appear		
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Filing Date		2008-01-16	
First Named Inventor Russe		sell White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Numb	er	AFF.004C5US	

Affinity Labs of Texas, LLC (Plaintiff) v. BMW North America, LLC, et al. (Defendants), Case No. 9:08-cv-00164-RC, Answer and Counterclaim of Defendant Volkswagen Group of America, Inc., to Third Amended Complaint, Pages 1-48, filed on January 15, 2010.							
Affinity Labs of Texas, LLC (Plaintiff) v. Alpine Electronics of America, Inc., et al. (Defendants), Civil Action No. 9:08-cv-171, Order Denying Without Prejudice Defendants' Motion for Summary Judgment, one page, filed on February 25, 2010.							
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Standard ST 4 Kind of doo	¹ See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.						

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First Named Inventor Russe		ell White, et al.
Art Unit		2617
Examiner Name Erika		A. Gary
Attorney Docket Number		AFF.004C5US

	CERTIFICATION STATEMENT						
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):				
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⊠	foreign patent of after making rea any individual de	information contained in the information diffice in a counterpart foreign application, and sonable inquiry, no item of information contaesignated in 37 CFR 1.56(c) more than threat CFR 1.97(e)(2).	d, to the knowledge of th ined in the information dis	e person signing the certification sclosure statement was known to			
	See attached ce	rtification statement.					
	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith	ı <b>.</b>				
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EFS ID:	7216132				
Application Number:	12015320				
International Application Number:					
Confirmation Number:	2156				
Title of Invention:	Method for Managing Media				
First Named Inventor/Applicant Name:	Russell W. White				
Customer Number:	21906				
Filer:	Mark J. Rozman/Stephanie Petreas				
Filer Authorized By:	Mark J. Rozman				
Attorney Docket Number:	AFF.0004C5US				
Receipt Date:	16-MAR-2010				
Filing Date:	16-JAN-2008				
Time Stamp:	12:43:55				
Application Type:	Utility under 35 USC 111(a)				

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5USIDSVWAnswerand	85308	no	3
,	Filed (SB/08)	Counterclaim.pdf	1cf3628271d2eac5c5eeebf44da10f70e9ee d255	110	3

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Russell W. White, et al. § Conf. No.: 2156

§

Serial No.: 12/015,320 § Examiner: Erika A. Gary

§

Filed: January 16, 2008 § Group Art Unit: 2617

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For: Method For Managing Media § Atty. Dkt. No.: AFF.004C5US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **REPLY TO OFFICE ACTION MAILED NOVEMBER 12, 2009**

Sir:

In response to the Office Action mailed November 12, 2009, please amend the above-referenced patent application as follows:

Amendments to the Claims begin on page 2 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

Date of Deposit: March 12, 2010

I hereby certify under 37 CFR § 1.8 this correspondence is being deposited **via EFS** on the date indicated above.

/Khristine McNeil/

Khristine McNeil

#### **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

Claims 1 - 38 (canceled)

Claim 39 (currently amended): An audio system comprising:

a portable device having a <u>single</u> physical interface configured to releasably engage a contacting portion of an interconnection system to form at least a portion of a communication path between the portable device and a separate electronic device, wherein the physical interface has a generally rectangular shape and is configured to couple a first conductive element of the interconnection system with a component of the portable device that outputs data and couple a second conductive element of the interconnection system with a recharging circuit of the portable device, wherein the portable device is configured to accept an over the air download of an application that allows the portable device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the portable device;

the portable device having a wireless communication module operable to receive an incoming telephone call and the audio stream; and

the portable device having an audio output engine configured to output information representing a played audio file to the separate electronic device via the physical interface and to alter an outputting of the played audio file in connection with a receipt of the incoming telephone call and configured to output the audio stream.

Claim 40 (previously presented): The system of claim 39, further comprising an engine configured to communicate data from the portable device to the separate electronic device to allow the separate electronic device to present an icon on a display of the separate electronic device such that the icon: (a) is a soft button that is linked to a particular audio file saved at the portable device; (b) is selectable by a user via the separate electronic device; and (c) is configured to respond to a user selection by causing the separate electronic device to request the portable device to begin playing the particular audio file.

Claim 41 (previously presented): The system of claim 39, further comprising the interconnection system, wherein the interconnection system is operable to couple the portable device to a power supply of an automobile.

Claim 42 (previously presented): The system of claim 39, wherein the interconnection system further comprises an FM modulator.

Claim 43 (previously presented): The system of claim 39, further comprising the separate electronic device, wherein the separate electronic device wireless receiver.

Claim 44 (previously presented): The system of claim 43, further comprising a housing component of the portable device that at least partially defines an enclosure, wherein the wireless communication module and the audio output engine are secured within the enclosure.

Claim 45 (currently amended): The system of claim 39, wherein the portable device is configured to accept an over the air download of an application that allows the portable device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the portable device and a different over the air download of a media, further wherein the media is selected from a group consisting of an audio file, a video file, a piece of software, a book, and a message.

Claim 46 (canceled)

Claim 47 (canceled)

Claim 48 (previously presented): The system of claim 39, further comprising a housing component of the portable device including a front surface comprising a display, wherein the display represents more than half of a total width of the front surface and more than half of a total length of the front surface.

Claim 49 (previously presented) The system of claim 39, the portable device comprising a front surface having a length and a width, wherein the length is longer than the width, and further wherein the physical interface is located on a lengthwise side of the portable device.

Claim 50 (currently amended): A wireless device comprising:

a <u>single</u> physical interface configured to releasably engage a contacting portion of an interconnection system to form at least a portion of a communication path between the wireless device and a separate electronic device, wherein the physical interface has a generally rectangular shape and is configured to couple a first conductive element of the interconnection system with a component of the wireless device that communicates data and couple a second conductive element of the interconnection system with a recharging circuit of the wireless device, <u>wherein</u> the wireless device includes an application stored thereon that allows the wireless device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the wireless device;

a wireless communication module operable to receive an incoming telephone call <u>and the</u> audio stream; and

an audio output engine configured to output information representing a played audio file to the separate electronic device via the physical interface and to alter an outputting of the played audio file in connection with a receipt of the incoming telephone call, and configured to output the audio stream.

Claim 51 (previously presented): The wireless device of claim 50, further comprising an engine configured to communicate data from the wireless device to the separate electronic device to allow the separate electronic device to present an icon on a display of the separate electronic device such that the icon: (a) is a soft button that is linked to a particular audio file saved at the wireless device; (b) is selectable by a user via the separate electronic device; and (c) is configured to respond to a user selection by causing the separate electronic device to request the wireless device to begin playing the particular audio file.

Claim 52 (previously presented): The wireless device of claim 50, further comprising the interconnection system, wherein the interconnection system is operable to couple the wireless device to a power supply of another device.

Claim 53 (previously presented): The wireless device of claim 51, wherein the interconnection system further comprises an FM modulator.

Claim 54 (previously presented) The wireless device of claim 50, wherein the wireless device is configured in a housing having a front surface having a length and a width, wherein the length is longer than the width, and further wherein the physical interface is located on a lengthwise side of the housing.

Claim 55 (previously presented): The wireless device of claim 50, further comprising: a housing component of the wireless device that at least partially defines an enclosure, wherein the wireless communication module and the audio output engine are secured within the enclosure:

a front surface of the wireless device that comprises a display, wherein the display represents more than half of a total width of the front surface and more than half of a total length of the front surface; and

an interface programming engine located within the enclosure and configured to allow a user to alter a displayed selectable icon.

Claim 56 (currently amended): The wireless device of claim 50, wherein the wireless device is configured to accept an over the air download of [[an]] the application-that allows the wireless device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the wireless device and a different over the air download of a media, further wherein the media is selected from a group consisting of an audio file, a video file, a piece of software, a book, and a message.

Claim 57 (previously presented): The wireless device of claim 50, wherein the wireless device comprises a generally rectangular housing having a display that substantially

predominates a face of the housing.

Claim 58 (currently amended): A method comprising:

receiving a streaming media signal in a portable wireless device corresponding to a regional broadcasting channel, responsive to a request for the regional broadcasting channel from the portable wireless device via a user selection of the regional broadcasting channel, wherein the portable wireless device is outside of a broadcast region of the regional broadcasting channel;

communicating information representing a played audio file the streaming media signal from [[a]] the portable wireless device to a separate electronic device coupled to the portable wireless device via a single physical interface of the portable wireless device configured to releasably engage a contacting portion of an interconnection system to form at least a portion of a communication path between the portable wireless device and the separate electronic device, the physical interface having a generally rectangular shape and to couple a first conductive element of the interconnection system with a component of the portable wireless device that communicates data and to couple a second conductive element of the interconnection system with a recharging circuit of the portable wireless device;

receiving an incoming telephone call in the portable wireless device; and altering the communication representing the played audio file streaming media signal in connection with receipt of the incoming telephone call.

Claim 59 (previously presented): The method of claim 58, further comprising communicating data from the portable wireless device to the separate electronic device to allow the separate electronic device to present an icon on a display thereof such that the icon: (a) is a soft button that is linked to a particular audio file saved at the portable wireless device; (b) is selectable by a user via the separate electronic device; and (c) is configured to respond to a user selection by causing the separate electronic device to request the portable wireless device to begin playing the particular audio file.

Claim 60 (currently amended): The method of claim 58, further comprising receiving an over the air download of an application that allows the portable wireless device to request an audio stream representing a local broadcast signal for a channel located remote from a

then current location of the portable wireless device the streaming media signal.

Claim 61 (new): The method of claim 58, further comprising receiving an over the air download of a software update at the portable wireless device.

Claim 62 (new): The method of claim 61, wherein the software update is an update for an application that includes instructions for receiving the streaming media signal in the portable wireless device corresponding to the regional broadcasting channel.

Claim 63 (new): The method of claim 58, wherein the separate electronic device includes an in-band on-channel receiver, further comprising:

receiving a collection of data via the in-band on-channel receiver; identifying a song for subsequent downloading; and receiving data representing the song.

Claim 64 (new): The method of claim 63, wherein the data representing the song is received by a computer of a user, further comprising:

storing an audio file representing the song; and communicating a copy of the audio file to the portable wireless device.

Claim 65 (new): The system of claim 39, further comprising:

a network based engine that maintains data comprising information associated with files that are executable by the portable device; and

a communications engine that is operably associated with the network based engine and configured to facilitate a communication of data to the portable device.

#### REMARKS/ARGUMENTS

The pending claims stand rejected under 35 U.S.C. §103(a) over U.S. Patent No. 6,622,083 (Knockeart) in view of U.S. Patent No. 6,681,120 (Kim). Applicants respectfully traverse the rejection and respectfully request reconsideration of the claims.

Claim 39 has been amended to include subject matter from dependent claim 45 that a portable device be configured to accept an over the air download of an application that allows the portable device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the portable device. Still further, claim 39 has been amended to recite that the wireless communication module be operable to receive this audio stream and that the audio output engine be configured to output this audio stream.

Written description support for these amendments to claim 39 (and similar amendments to independent claims 50 and 58) can be found in the specification as filed. While the identified specification portions provide exemplary support for the amended subject matter of the claims, it is noted that this identified specification support is not exhaustive and additional specification support for the claim amendments may be present. As to the subject matter of claim 39 regarding a single physical interface, support can be found, for example, in paragraphs 91-93 and FIG. 9. As to the subject matter of claim 39 regarding a local broadcast signal for a channel located remote from a portable device location, and an over the air download of an application for this operation, support can be found, for example, in paragraphs 26-28, 56-62, 83 and FIGS. 1 and 4. These passages provide similar support for the amendments made to independent claims 50 and 58.

The cited art including both Knockeart and Kim alone or in combination fail to teach or suggest at least this subject matter of claim 39.

Here, neither reference teaches an application that allows a portable device to request an audio stream representing a local broadcast signal for a remotely located channel. This is so, as neither reference anywhere teaches or suggests the ability of requesting an audio stream, and certainly not an audio stream that represents a local broadcast signal for a channel located remote from a then current location of the portable device.

The cited art also nowhere teaches the additional subject matter that a wireless

communication module be operable to receive an audio stream and that an audio output interface be configured to output this audio stream. Instead, Kim simply teaches that previously downloaded songs stored on a memory card can be played.

Still further, in combination with the primary reference Knockeart, there remains a failure of any teaching or suggestion of outputting of audio information to a separate electronic device. Here, the Office Action relies on the primary reference Knockeart for such teaching. However, Knockeart does not teach that information representing a played audio file be output to a separate electronic device. In general, Knockeart is directed to a system in which navigation or contact data of a PDA can be provided to an in-car system. Nonetheless, there is no teaching or suggestion of playing audio files in a portable device for output to a separate electronic device. In relevant part, the only portion of Knockeart cited by the Office Action is with regard to FIG. 7, which indicates that an on-board computer can be coupled to a voice output device that provides output in the form of prerecorded or synthesized spoken words and phrases. Knockeart, column 7, lines 63-67. However nowhere here nor anywhere else does Knockeart teach or suggest that such information be output from an audio output engine of a portable device. Instead, it appears that this discussion is solely with regard to information present in the on-board computer of Knockeart, and thus has nothing to do with the removable personal device of Knockeart.

Still further, it is noted that much of the information present in Knockeart, including FIG. 7 and its discussion in column 7 identified in the Office Action, is not prior art to the present application. That is, Knockeart has a filing date of June 1, 2000, which is later than the effective filing date of the present application (March 28, 2000). The provisional applications for which priority is claimed in Knockeart do not include this subject matter of FIG. 7. Instead, these provisional applications appear to be minimal portions of inventor notebooks, invention disclosures, and internal presentations, none of which include the subject matter of FIG. 7 described above. Accordingly, for this further reason, the rejection is overcome.

The rejection of claim 39 is further overcome, as the art alone or in combination fails to teach or suggest that a single physical interface having a generally rectangular shape be configured to couple a conductive element of an interconnection system with a recharging circuit of a portable device. Here the Office Action concedes that Knockeart fails to teach this subject

matter. Instead, reliance is on the secondary reference Kim. However, all that Kim teaches is that a cell phone can be powered by a rechargeable battery. Nevertheless, there is no teaching or suggestion that this rechargeable battery be recharged through a conductive element of an interconnection system coupled through a physical interface of the device that is generally rectangular and further couples to another conductive element of the interconnection system to output data. Instead the battery device of Kim is entirely separate from any other connections such as an ear phone jack and a computer jack. It should be noted for clarity's sake that the independent claims use the term "single physical interface" to indicate that one single interface location is capable of: (1) facilitating communication with a component of the portable device that outputs data; and (2) facilitating connection with a recharging circuit of the portable device. The term "single physical interface" does not mean that the portable device is limited to having only one interface. A portable device covered by the claims could have additional physical interfaces if a device designer so wishes. Independent claims 50 and 58 are patentable for at least similar reasons.

#### STATEMENT OF THE SUBSTANCE OF THE INTERVIEW

The undersigned and Applicants gratefully acknowledge and appreciate the Examiner's time and consideration extended during a telephonic interview which occurred on March 4, 2010. Taking part in the interview were Examiner Gary, Russell White, and the undersigned. While agreement was not explicitly reached, in light of the above amendments and remarks it is respectfully submitted that all pending claims are in condition for allowance.

Discussed during the interview were various topics, including claims in accordance with the above amendment, the sufficiency of written description support under § 112, the prior art, and IDS-type disclosure issues. Specifically, as to the amended claims, discussed was the § 112 support in the original specification and drawings for the subject matter of the claims, including the subject matter added by the above amendment. As requested by Examiner Gary, provided in the above remarks are exemplary indications of portions of the specification that support the subject matter added to the claims.

Regarding the prior art, the primary reference Knockeart was discussed, and more specifically that the provisional applications on which Knockeart claims priority do not fully provide written support for all of the subject matter identified in the Office Action. Since Knockeart itself has a filing date after the effective filing date of the present application, the rejection is overcome. In this regard, Applicants noted that this issue had been discussed with the Examiner panel in pending reexamination 90/010,333 regarding related U.S. Patent No. 7,324,833, and in that case the Examiner panel agreed in an interview that the provisional applications did not provide support for some of the subject matter of Knockeart identified by the Examiner in an Office Action.

Regarding IDS-type disclosure issues, discussed were pending litigations and reexaminations, and Information Disclosure Statements recently filed by Applicants. Generally, these Information Disclosure Statements include printed publications and patents including art identified in reexamination requests filed as to related patents, the reexamination requests themselves including claim charts, and a Markman ruling from a pending litigation. Applicants also indicated to the Examiner that a number of these reexamination requests have now been granted by the Patent Office, but no Office Actions have been mailed yet.

Regarding future disclosure materials, the Examiner indicated that she did not want to receive the grants of the reexamination requests. Applicants appreciate the Examiner's concern regarding the volume of disclosure materials, and Applicants will provide, going forward, additional prior art documents discovered, along with substantive non-discovery rulings of courts in litigations involving related patents, Office Actions, and Responses from reexaminations involving related patents and related applications. But, at the Examiner's request, papers filed by the parties with the courts in such litigations and papers granting reexamination will not be provided to the Examiner. Applicants discussed that during the prosecution of U.S. Patent No. 7,634,228, Examiner Gelin indicated that he did not want to receive papers from re-examinations and pending litigations other than substantive rulings of court and Office Actions/Responses. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully request the Examiner to address the same in the next paper.

In view of these remarks, the application is now in condition for allowance and the

Examiner's prompt action in accordance therewith is respectfully requested. The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 20-1504 (AFF.004C5US).

Respectfully submitted,

Date: March 12, 2010 /Mark J. Rozman/

Mark J. Rozman Registration No. 42,117 TROP, PRUNER & HU, P.C. 1616 S. Voss Road, Suite 750 Houston, Texas 77057-2631 (512) 418-9944 [Phone] (713) 468-8883 [Fax]

Customer No: 21906

Electronic Patent Application Fee Transmittal							
Application Number:	120	015320					
Filing Date:	16-	Jan-2008					
Title of Invention:	Method for Managing Media						
First Named Inventor/Applicant Name:	Russell W. White						
Filer:	Mark J. Rozman/Khristine McNeil						
Attorney Docket Number:	AFF.0004C5US						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Extension - 1 month with \$0 paid		1251	1	130	130		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Total in USD (\$)				

Electronic Acknowledgement Receipt						
EFS ID:	7197218					
Application Number:	12015320					
International Application Number:						
Confirmation Number:	2156					
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Russell W. White					
Customer Number:	21906					
Filer:	Mark J. Rozman/Khristine McNeil					
Filer Authorized By:	Mark J. Rozman					
Attorney Docket Number:	AFF.0004C5US					
Receipt Date:	12-MAR-2010					
Filing Date:	16-JAN-2008					
Time Stamp:	12:22:12					
Application Type:	Utility under 35 USC 111(a)					
Payment information:						

## Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$130
RAM confirmation Number	10989
Deposit Account	201504
Authorized User	
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	Amendment/Req. Reconsiderati	1	1		
	Claims	2 7		7	
	Applicant Arguments/Remarks	8	12		
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Information:					
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		Total Files Size (in bytes)	10	6307	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Δ	Application or Docket Number 12/015,320			ing Date 16/2008	To be Mailed	
	APPLICATION AS FILED – PART I (Column 1) (Column 2)						OTHER THAN  SMALL ENTITY OR SMALL ENTITY				
• • • • • • • • • • • • • • • • • • • •			NUMBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)		
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		mir	us 20 = *			x \$ =		OR	x \$ =	
	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 = *			x \$ =			x \$ =	
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).					ation size fee due ty) for each tion thereof. See						
Ш	MULTIPLE DEPEN	IDENT CLAIM PRI	ESENT (3	7 CFR 1.16(j))							
* If t	he difference in col	umn 1 is less than	zero, ente	r "0" in column :	2.		TOTAL			TOTAL	
	APP	(Column 1)	AMEND	(Column 2) HIGHEST			SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	03/12/2010	REMAINING AFTER AMENDMENT		NUMBER PREVIOUSL' PAID FOR	PRESENT Y EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
)ME	Total (37 CFR 1.16(i))	* 25	Minus	** 25	= 0		X \$26 =	0	OR	x \$ =	
Ϊ	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		X \$110 =	0	OR	x \$ =	
√ME	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37	CFR 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
L		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSL PAID FOR			RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
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AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
	Application Size Fee (37 CFR 1.16(s))										
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
* If t	the entry in column	1 is less than the e	ntry in col	umn 2, write "0'	" in column 3.	• '	TOTAL ADD'L FEE Legal Ir	nstrument Ex	or camin	TOTAL ADD'L FEE	
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12015320
	Filing Date		2008-01-16
	First Named Inventor	amed Inventor Russell White, et al.	
	Art Unit		2617
	Examiner Name	Erika	A. Gary
	Attorney Docket Numb	er	AFF.004C5US

	U.S.PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code1 Issue Date Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
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Application Number		12015320		
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First Named Inventor	Russ	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Number		AFF.004C5US		

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Application Number		12015320		
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First Named Inventor	Russ	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika A. Gary			
Attorney Docket Number		AFF.004C5US		

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Application Number		12015320		
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First Named Inventor	Russ	ell White, et al.		
Art Unit		2617		
Examiner Name Erika		ка А. Gary		
Attorney Docket Number		AFF.004C5US		

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

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#### **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number | 12015320 | Filing Date | 2008-01-16 | First Named Inventor | Russell White, et al. Art Unit | 2617 | Examiner Name | Erika A. Gary | Attorney Docket Number | AFF.004C5US

FOREIGN PATENT DOCUMENTS										
Examiner Initial*	Cite No	Foreign Number	Document	Country Code ² i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T⁵	
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( Not for submission under 37 CFR 1.99)

Application Number		12015320			
Filing Date	-	2008-01-16			
First Named Inventor Russe		ell White, et al.			
Art Unit		2617			
Examiner Name Erika		A. Gary			
Attorney Docket Number		AFF.004C5US			

	CERTIFICATION STATEMENT									
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):									
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OR	OR									
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).									
	See attached cer	rtification statement.								
$\boxtimes$	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith	ı <b>.</b>							
	None									
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-03-02						
Name/Print Mark J. Rozman Registration Number 42117										

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Patent Application Fee Transmittal								
Application Number: 12015320								
Filing Date:	16-	16-Jan-2008						
Title of Invention:	Method for Managing Media							
First Named Inventor/Applicant Name:	Russell W. White							
Filer:	Ma	rk J. Rozman/Steph	anie Petreas					
Attorney Docket Number:	AF	F.0004C5US						
Filed as Large Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:	Petition:							
Patent-Appeals-and-Interference:	Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:	Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt						
EFS ID:	7119493					
Application Number:	12015320					
International Application Number:						
Confirmation Number:	2156					
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Russell W. White					
Customer Number:	21906					
Filer:	Mark J. Rozman/Stephanie Petreas					
Filer Authorized By:	Mark J. Rozman					
Attorney Docket Number:	AFF.0004C5US					
Receipt Date:	02-MAR-2010					
Filing Date:	16-JAN-2008					
Time Stamp:	11:18:39					
Application Type:	Utility under 35 USC 111(a)					

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	10046
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5USIDS1.pdf	142059	no	7
·	Filed (SB/08)		9720b70b1feb42b7ee74be399f0e669abd5 0e120		,
Warnings:					
Information:					
This is not an U	SPTO supplied IDS fillable form				
•	F W L . (PTO 075)	C C . IC	30162		
2	Fee Worksheet (PTO-875)	fee-info.pdf	a70b106019dd86e5021dc65c447176bbdb b52aa6	no	2
Warnings:				'	
Information:					
		Total Files Size (in bytes)	17	72221	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number	•	12015320	
	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russe		sell White, et al.	
( Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission under or Strict.55)	Examiner Name Erika		a A. Gary	
	Attorney Docket Number		AFF.004C5US	

	U.S.PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	5587560		1996-12-24	Crooks, et al.			
	2	5589090		1996-12-17	Otte			
	3	5450471		1995-09-12	Hanawa, et al.			
	4	6571282		2003-05-27	Bowman-Amuah			
	5	6550057		2003-04-15	Bowman-Amuah			
	6	6549949		2003-04-15	Bowman-Amuah			
	7	6539396		2003-03-25	Bowman-Amuah			
	8	6529948		2003-03-04	Bowman-Amuah			

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Rus	sell White, et al.	<u>-</u>	
Art Unit		2617		
Examiner Name Erik		a A. Gary		
Attorney Docket Numb	oer	AFF.004C5US		

	9	6529909	2003-03-04	Bowman-Amuah	
	10	6502213	2002-12-31	Bowman-Amuah	
	11	6501832	 2002-12-31	Bowman-Amuah	
	12	6496850	2002-12-17	Bowman-Amuah	
	13	6477665	2002-11-05	Bowman-Amuah	
	14	6477580	2002-11-05	Bowman-Amuah	
	15	6442748	2002-08-27	Bowman-Amuah	
	16	6438594	2002-08-20	Bowman-Amuah	
	17	6434628	2002-08-13	Bowman-Amuah	
	18	6434568	2002-08-13	Bowman-Amuah	
_	19	6339832	2002-01-15	Bowman-Amuah	

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russ	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Numb	er	AFF.004C5US		

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20	6335927	2002-01-01	Elliott, et al.	
21	6332163	2001-12-18	Bowman-Amuah	
22	6289382	2001-09-11	Bowman-Amuah	
23	6253061	2001-06-26	Helferich	
24	6233430	2001-05-15	Helferich	
25	6122403	2000-09-19	Rhoads	
 26	5999525	1999-12-07	Krishnaswamy, et al.	
27	5867494	1999-02-02	Krishnaswamy, et al.	
28	5732216	1998-03-24	Logan, et al.	
29	5721827	1998-02-24	Logan, et al.	
30	7149772	2006-12-12	Kalavade	

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russ	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Numb	er	AFF.004C5US		

31	7145898	2006-12-05	Elliott	
32	7130807	2006-10-31	Mikurak	
33	7124101	2006-10-17	Mikurak	
34	6978127	2005-10-20	Bulthuis, et al.	
35	6909708	2005-06-21	Krishnaswamy, et al.	
36	6904449	2005-06-07	Quinones	
37	6091067	2005-05-31	Kalavade	
38	6888929	2005-05-03	Saylor, et al.	
39	6842906	2005-01-11	Bowman-Amuah	
40	6792086	2005-04-04	Saylor, et al.	
41	6754181	2004-09-04	Elliott, et al.	

Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor	Russ	ell White, et al.	
Art Unit		2617	
Examiner Name	Erika	A. Gary	
Attorney Docket Number		AFF.004C5US	

		<u> </u>				
	42	6742015		2004-05-25	Bowman-Amuah	
	43	6731625		2004-05-04	Eastep, et al.	
	44	6715145		2004-03-30	Bowman-Amuah	
	45	6707889		2004-03-16	Saylor, et al.	
	46	6697824		2004-02-24	Bowman-Amuah	
	47	6671818		2003-12-20	Mikurak	
	48	6640249		2003-10-28	Bowman-Amuah	
	49	6640244		2003-10-28	Bowman-Amuah	
	50	6640238		2003-10-28	Bowman-Amuah	
If you wisl	n to a	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	
			U.S.P	ATENT APPLI	CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

#### Application Number 12015320 Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 ( Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary Attorney Docket Number AFF.004C5US 1 If you wish to add additional U.S. Published Application citation information please click the Add button. **FOREIGN PATENT DOCUMENTS** Pages, Columns, Lines Name of Patentee or Examiner Cite Foreign Document Country Publication Kind where Relevant Applicant of cited **T**5 Initial* Number³ No Code2i Code4 Date Passages or Relevant Document Figures Appear 1 If you wish to add additional Foreign Patent Document citation information please click the Add button NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), T5 Initials* No publisher, city and/or country where published. 1 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE** Examiner Signature Date Considered *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if

EFS Web 2.1.16

English language translation is attached.

( Not for submission under 37 CFR 1.99)

Application Number		12015320
Filing Date		2008-01-16
First Named Inventor Russe		ell White, et al.
\rt Unit		2617
Examiner Name Erika		А. Gary
Attorney Docket Number		AFF.004C5US

	CERTIFICATION STATEMENT								
Plea	lease see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	:								
	foreign patent of after making rea any individual de	information contained in the information dis ffice in a counterpart foreign application, and sonable inquiry, no item of information conta esignated in 37 CFR 1.56(c) more than thre 37 CFR 1.97(e)(2).	d, to the knowledge of the ined in the information dis	e person signing the certification closure statement was known to					
	See attached ce	rtification statement.							
$\boxtimes$	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith							
	None								
	SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sigr	ignature /Mark J. Rozman/ Date (YYYY-MM-DD) 2010-03-02								
Nan	ne/Print	Mark J. Rozman	Registration Number	42117					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Patent Application Fee Transmittal					
Application Number:	120	015320			
Filing Date:	16-	Jan-2008			
Title of Invention:	Method for Managing Media				
First Named Inventor/Applicant Name:	Russell W. White				
Filer:	Mark J. Rozman/Stephanie Petreas				
Attorney Docket Number:	AFF.0004C5US				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt					
EFS ID:	7119513				
Application Number:	12015320				
International Application Number:					
Confirmation Number:	2156				
Title of Invention:	Method for Managing Media				
First Named Inventor/Applicant Name:	Russell W. White				
Customer Number:	21906				
Filer:	Mark J. Rozman/Stephanie Petreas				
Filer Authorized By:	Mark J. Rozman				
Attorney Docket Number:	AFF.0004C5US				
Receipt Date:	02-MAR-2010				
Filing Date:	16-JAN-2008				
Time Stamp:	11:20:36				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	10071
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5USIDS2.pdf	145855	no	7
·	Filed (SB/08)		baf946c8275a0592d42f2de793b0ea3ab83 19033		,
Warnings:					
Information:					
This is not an U	SPTO supplied IDS fillable form				
_	5 W L . (DTO 075)	6 16 16	30162		
2	Fee Worksheet (PTO-875)	fee-info.pdf	96b28f293a89841b101598c62c1b2c5f47c7 4620	no	2
Warnings:	<u>.</u>				
Information:					
		Total Files Size (in bytes)	17	76017	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/S8/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
INFORMATION DIGGL COURS	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor	Russ	ell White, et al.	
( Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Notion submission under 37 of K 1.33)	Examiner Name	Erika	A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

				U.S.	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6636242		2003-10-21	Bowman-Amuah	
	2	6615253		2003-09-02	Bowman-Amuah	
	3	6615199		2003-09-02	Bowman-Amuah	
	4	6606744		2003-08-12	Mikurak	
	5	6606660		2003-08-12	Bowman-Amuah	
	6	6601234		2003-07-29	Bowman-Amuah	
	7	6601192		2003-07-29	Bowman-Amuah	
	8	6578068		2003-07-10	Bowman-Amuah	

Application Number		12015320		
Filing Date		2008-01-16	-	
First Named Inventor	Russ	sell White, et al.		
Art Unit	•	2617		
Examiner Name	Erika	Erika A. Gary		
Attorney Docket Numb	er	er AFF.004C5US		

	9	6587835	2003-07-01	Treyz, et al.	
	10	6510210	2003-01-21	Baughan	
	11	6509716	2003-01-21	Yi	
	12	6496692	2002-12-17	Shanahan	
	13	6396769	2002-05-28	Polany	
	14	6240297	2001-05-29	Jadoul	
	15	6061306	2000-05-09	Buchheim	
	16	5953659	1999-09-14	Ghisler .	
_	17	5940767	1999-08-17	Bourgeois	
	18	5870680	 1999-02-09	Guerlin, et al.	
	19	5774793	1998-06-30	Cooper, et al.	

Application Number		12015320
Filing Date		2008-01-16
First Named Inventor Russe		ell White, et al.
Art Unit		2617
Examiner Name	Erika	A. Gary
Attorney Docket Numb	er	AFF.004C5US

	20	5587560		1996-12-24	Crooks, et al.		
	21	5586090		1996-12-17	Otte		
	22	5450471		1995-09-12	Hanawa, et al.		
	23	7020704		2006-03-28	Lipscomb, et al.		
	24	7343414		2008-03-11	Lipscomb, et al.		
	25	6728531		2004-04-27	Lee		
	26	6526335		2003-02-25	Treyz		
	27	6160551		2000-12-12	Naughton		
	28	6192340		2001-02-20	Abecassis		
If you wis	h to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.		
U.S.PATENT APPLICATION PUBLICATIONS							
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	

#### 12015320 Application Number Filing Date 2008-01-16 INFORMATION DISCLOSURE Russell White, et al. First Named Inventor STATEMENT BY APPLICANT Art Unit 2617 ( Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary Attorney Docket Number AFF.004C5US If you wish to add additional U.S. Published Application citation information please click the Add button. **FOREIGN PATENT DOCUMENTS** Pages, Columns, Lines Name of Patentee or Publication where Relevant Cite Foreign Document Country Kind Examiner Applicant of cited **T**5 Passages or Relevant Initial* No Number³ Code2i Code4 Date Document Figures Appear JΡ 1 H08-79814 1996-03-22 Konishi If you wish to add additional Foreign Patent Document citation information please click the Add button NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite **T**5 (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), Initiats* No publisher, city and/or country where published. AFFINITY LABS OF TEXAS, LLC (Plaintiff) v. BMW NORTH AMERICA, LLC, ET AL. (Defendants), Civil Action No. 9:08CV164 and AFFINITY LABS OF TEXAS, LLC (Plaintiff) v. ALPINE ELECTRONICS OF AMERICA, INC., ET AL., Civil Action No. 9:08CV171, "Order Construing Claim Terms of United States Patent No. 7,324,833, issued on December 18, 2009, Pages 1-31. REAL NETWORKS, Inc., RealJukebox Plus Manual, 1999, Pages 1-90. 2 If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature** Date Considered *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at <a href="www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 
⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

( Not for submission under 37 CFR 1.99)

Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor Russe		ell White, et al.	
Art Unit		2617	-
Examiner Name Erika		A. Gary	
Attorney Docket Numb	er	AFF.004C5US	

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached cer	rtification statement.						
$\boxtimes$	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herew	rith.					
	□ None							
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-03-02				
Nan	ne/Print	Mark J. Rozman	Registration Number	42117				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 



Mailing Address: 350 Fifth Ave. Suite 3304 #97, New York, NY 10118 • Office Address: 1375 Broadway, 11th Fl., New York, NY 10018
Tel: 212-695-9275 Fax: 212-656-1713 www.ohashi-ht.com

### TRANSLATION CERTIFICATE

I, Takeo Ohashi, President, Ohashi High Technology Corp., the Empire State Building, 350 Fifth Avenue, Suite 3304 #97, New York, NY 10118-0069, hereby declare that I have competent knowledge of the Japanese and English languages, and that I have reviewed the accompanying English translation of Laid-Open Japanese Patent Application Publication No. H08-79814. I certify that the translation is an accurate representation of Laid-Open Japanese Patent Application Publication No. H08-79814.

I declare under penalty of perjury that the foregoing is true and correct. Executed on May 22, 2009.

CERTIFIED by the said Takeo Ohashi.

at 350 Fifth Avenue, Suite 3304 #97, New York, NY 10118 this 22nd day of May, 2009.

Takeo Ohashi

akeo Chash'

President

#### (12) Laid Open Patent Application Publication (A)

(11) Laid Open Patent Application Number

H08-79814

(43) Date Published March 22, 1996

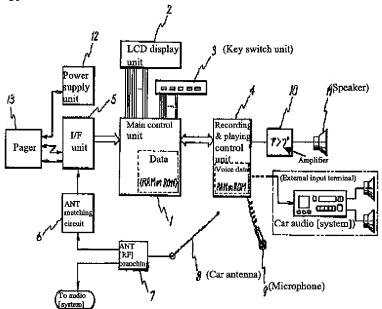
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#### (54) [Title of Invention] Calling Signal Receiving Apparatus for Use in Vehicles

#### (57) [Abstract]

[Purpose] To display to a driver and others a receipt of a call and contents of its message without fail, even while [he/she] is driving.

[Constitution] [The present invention is] equipped with a beeper 13 which serves as a portable receiver for receiving calling signals; an I/F unit 5 to which the beeper 13 is attached and which controls the data input and output with said beeper 13; and a car antenna 8 for acquiring calling signals and transmitting them to the beeper 13. Moreover, [the present invention is] equipped with a control unit (main control unit) 1 which, based on the calling signals from the I/F unit 5, edits and outputs display data corresponding to said calling signals; as well as an LCD display unit 2 for displaying the display data such as received messages and received codes.



[What is Claimed is:]

[Claim 1] A calling signal receiving apparatus for use in vehicles, wherein the apparatus is equipped with a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; and a car antenna for acquiring the aforementioned calling signals and transmitting them to the aforementioned portable receiver.

[Claim 2] A calling signal receiving apparatus for use in vehicles, wherein the apparatus is equipped with a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; a control unit which, based on the aforementioned calling signals from this I/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the aforementioned display data such as received messages and received codes.

[Claim 3] A calling signal receiving apparatus for use in vehicles, wherein the apparatus is equipped with a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; a car antenna for acquiring the aforementioned calling signals and transmitting them to the aforementioned portable receiver; a control unit which, based on the aforementioned calling signals from the aforementioned I/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the aforementioned display data such as received messages and received codes.

[Claim 4] The calling signal receiving apparatus for use in vehicles set forth in Claim 2 or 3, wherein the aforementioned control unit is equipped with a voice signal display function which, based on the aforementioned calling signals, outputs voice data corresponding to said calling signals, and in addition to the aforementioned LCD display unit, there provided is a speaker for generating [voice from] said voice signals.

[Claim 5] The calling signal receiving apparatus for use in vehicles set forth in Claim 2 or 3, wherein the aforementioned control unit is provided with a received[-data] buffer for storing the display data and the time of receipt; as well as a key switch unit for receiving display selection commands for display data stored in this received[-data] buffer; and

the aforementioned control unit is equipped with a display data selection function for, based on the commands from this key switch unit, searching the aforementioned received[-data] buffer for display data corresponding to said commands and outputting the display data for display on the aforementioned LCD display unit. [Detailed Explanation of the Inventions]

[Field of Industrial Application] The present inventions pertain to a calling signal receiving apparatus for use in vehicles, and specifically to a calling signal receiving apparatus for use in vehicles suitable to give notice of paging information without fail.

[0002]

[Prior Art] In recent years, the use of portable receivers employing telephones (pagers: generally called beepers) have been widely employed [sic].

[0003] As illustrated in Figure 9, portable receivers can be roughly classified into three types.

[0004] (1) Call-receiving-only type

[0005] Receipt of a call is notified by means such as a beeping sound or vibration. [A user] cannot know the contents of a message.

[0006] (2) Number display type

[0007] Numbers which have been input via telephone are displayed on a display unit. By giving meaning to the numbers beforehand, a message may be communicated.

[0008] (3) Fixed phrase display type

[0009] With the number which has been input via telephone, predetermined texts are shown on a display unit and thereby a message may be communicated.

[0010] Moreover, the shape can take that of a card, a pen, etc. [0011]

[Issues to Be Resolved By the Inventions] In the conventional examples mentioned above, however, there has been an inconvenience in that the reception becomes poorer while [a user is] inside a vehicle because portable receivers employ a built-in antenna.

[0012] Moreover, there has been a problem in that small display units of portable receivers make it difficult to recognize the displayed contents while [the user is] driving.

[0013] Further, there has been a problem in that because receipt of a call is notified by way of a beeping sound or vibration, recognizing receipt of a call while [a user is] inside a vehicle is difficult due to noise and vibration.

[0014] In addition, there has been a problem in that because received messages cannot be saved, the contents of a received call cannot be checked when [he/she] cannot keep his/her eyes off something, such as while [he/she is] driving.

[Purpose of the Inventions] The purpose of the present inventions is to make improvements with respect to such inconveniences associated with conventional examples, and specifically to provide a calling signal receiving apparatus for use in vehicles with which a driver and others can recognize receipt of a call as well as the contents of its message without fail even while they are inside a vehicle.

[0016]

[Means to Resolve the Issues] Accordingly, the present invention is constituted in such a way that [a calling signal receiving apparatus for use in vehicles] is equipped with, as a first means, a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; and a car antenna for acquiring calling signals and transmitting them to the portable receiver.

[0017] Moreover, [the present invention] is constituted in such a way that [a calling signal receiving apparatus for use in vehicles] is equipped with, as a second means, a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; a control unit which, based on the calling signals from this I/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the display data such as received messages and received codes.

[0018] Further, [the present invention] is constituted in such a way that [a calling signal receiving apparatus for use in vehicles] is

way that [a calling signal receiving apparatus for use in vehicles] is equipped with, as a third means, a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver;

a car antenna for acquiring calling signals and transmitting them to the portable receiver; a control unit which, based on the calling signals from the L/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the display data such as received messages and received codes.

[0019] Here, it is desirable in the third means to have a constitution such that the control unit is equipped with a voice signal display function which, based on calling signals, outputs voice data corresponding to said calling signals; and in addition to the LCD display unit, there provided is a speaker for generating [voice from] said voice signals.

[0020] Further, it is desirable in the third means to have a constitution such that the control unit is provided with a received[data] buffer for storing the display data and the time of receipt; as well as a key switch unit for receiving display selection commands for display data stored in this received[-data] buffer; and the control unit is equipped with a display data selection function for, based on the commands from this key switch unit, searching the received[-data] buffer for display data corresponding to said commands and outputting the display data for display on the LCD display unit.

[0021] The present inventions intend to, by way of these first through third means which share the same field of industrial application as well as the issues that they attempt to resolve, resolve the issues described above and thereby achieve the purpose described before.

[0022]

[Operation] When a portable receiver receives a calling signal via a car antenna, it outputs received data.

[0023] A control unit separates the data received via an I/F unit into codes and a message. Then, it converts the codes into display data and displays the data along with the message on an LCD display unit.

[0024] Moreover, the control unit converts the codes into voice data and instructs a recording and playing control unit to generate voice.

[0025] This way, a driver can recognize calling signals and messages without fail even while [its user is] driving. [0026]

[Embodiment of the Inventions] Herein below, one example of the present inventions will be explained based on Figures 1 through 8. Figure 1 is a block diagram which shows the constitution of the present example. A calling signal receiving apparatus for use in vehicles is equipped with a beeper 13 which serves as a portable receiver for receiving calling signals; an I/F unit 5 to which the beeper 13 is attached and which controls the data input and output with said beeper 13; and a car antenna 8 for acquiring calling signals and transmitting them to the beeper 13. Connected in between the car antenna 8 and the I/F unit 5 are an ANT matching circuit 6 which matches [the impedances of] a builtin antenna of the portable receiver 13 and the car antenna 8; and an ANT [RF] branching unit 7 by which the input from the car antenna 8 is branched from [sic] a car audio [system] and is output to the ANT matching circuit 6.

[0027] On the other hand, in addition to the I/F unit 5, there provided are a control unit (main control unit) 1 which, based on calling signals from the I/F unit 5, edits and outputs display data corresponding to said calling signals; as well as an LCD display unit 2 for displaying the display data such as received messages and received codes.

[0028] Further, the main control unit 1 is equipped with a voice signal display function which, based on calling signals, outputs voice data corresponding to said calling signals. In the present example, the main control unit 1 controls a recording and playing control unit 4 provided therewith, in which voice data are stored, and thereby achieves said voice signal display function. Furthermore, in addition to the LCD display unit 2, there provided is a speaker 11 generating [voice from] said voice signals. Connected in between the speaker 11 and the main control unit 1 are the recording and playing control unit 4 and an amplifier 10 for amplifying the voice signals from this recording and playing control unit 4 is provided with a microphone 9 for inputting the voice data into the recording and playing control unit 4.

[0029] Moreover, the main control unit 1 is provided with a received[-data] buffer for storing the display data and the time of receipt, as well as a key switch unit 3 for receiving display selection commands for display data stored in this received[-data] buffer. Furthermore, the main control unit 1 is equipped with a display data selection function for, based on the commands from this key switch unit 3, searching the received[-data] buffer for display data corresponding to said commands and outputting the display data to and displaying the data on the LCD display unit 2. The received[-data] buffer is realized, in the present example, by allocating a portion of the RAM that the main control unit 1 has. [0030] Further, in the present example, [the apparatus] is equipped with a power supply unit 12 for supplying power to the portable receiver 13.

[0031] The main control unit 1 is equipped with a ROM, and stored in this ROM are the micro programs to operate the CPU of the main control unit 1, and tables of registered codes and display data.

[0032] In the table of registered codes, as illustrated in Figure 2 (1), voice data pointers and display data pointers corresponding to received data are stored.

[0033] In the table of display data, as illustrated in Figure 2 (2), various types of display data are stored. Display data pointers indicate the initial addresses of the display data stored in the table of display data.

[0034] Stored in the RAM are, as illustrated in Figure 2 (4), received data and the time of receipt of said received data.

[0035] The recording and playing control unit 4 is equipped with a ROM and a RAM.

[0036] In the ROM, as illustrated in Figure 2 (3), voice data are stored.

[0037] In the RAM, the voice data which have been input via the microphone 9 are stored.

[0038] The voice data pointer shows the initial address (or voice number) of the voice data stored in the ROM or RAM of the recording and playing control unit 4.

[0039] The first concrete example of the present inventions is illustrated in Figure 3. Here, a card-shaped portable receiver is used, and the speaker on the cassette deck is used as the speaker 11. [0040] The second concrete example of the present inventions is illustrated in Figure 4. Here, a pen-shaped portable receiver is used. [0041] As illustrated in Figure 8, the portable receiver 13 is equipped with a built-in antenna for receiving calling signals, a RF AMP unit for amplifying received signals from the built-in antenna, a MiX unit for mixing signals from the RF AMP unit with prescribed frequency signals, an IF amplifier and detector unit for amplifying and detecting signals from the MiX unit, a demodulator unit for demodulating signals from the IF amplifier and detector unit, and a CPU for outputting signals from the demodulator unit to the exterior.

[0042] Moreover, the built-in antenna and the external antenna can be switched.

[0043] Next, the operations of the present inventions will be explained using the flowcharts in Figures 5 through 6.

[0044] (I). Processing of Data Reception

[0045] (1). The main control unit 1 checks with the I/F unit 5 whether the portable receiver 13 has received a calling signal (step S1 of Figure 5).

[0046] (1)-1. If there is no receipt, the main control unit 1 waits, doing nothing, until there is receipt.

[0047] (1)-2. If there is receipt, the main control unit 1 reads out the message from the portable receiver 13 via the I/F unit 5 (step S2 of Figure 5).

[0048] (2). The main control unit 1 checks whether the received message is the same as a previously received message (step S3 of Figure 5).

[0049] (2)-1. If the received message is the same as a previously received message, the main control unit 1 does nothing and returns to the abovementioned process (1) (step S1 of Figure 5). [0050] (2)-2. If the received message is not the same as the previously received message, the main control unit 1 reads the current time (step S4 of Figure 5).

[0051] (3). The main control unit 1 stores the received message and time of receipt in the received[-data] buffer (the RAM of the main control unit 1), as illustrated in (4) of Figure 2 (step S5 of Figure 5).

[0052] (4). The main control unit 1 extracts a code from the received message, and using said code as a keyword, searches the table of registered codes (step S6 of Figure 5).

[0053] (5). The main control unit 1 checks whether there is a code that coincides with the keyword (step S7 of Figure 5).

[0054] (5)-1. If there is a coinciding code, the main control unit 1 reads out the voice data pointer and display data pointer for said code from the table of registered codes.

[0055] Then, the main control unit 1 instructs the recording and playing control unit 4 to play the voice data (step S8 of Figure 5) specified by the voice data pointer.

[0056] Moreover, as illustrated in Figure 7, [the main control unit 1] picks up the display data specified by the display data pointer from the table of display data, and displays it along with the message other than the code on the LCD display unit 2 (step S9 of Figure 5).

[0057] For example, in the case that the message "#00#, 440-1234" is received, [the main control unit 1] would search the table of registered codes with the code "#00#" as a keyword, obtain the voice data pointer and display data pointer corresponding to said code, and both instruct the recording and playing control unit 4 to output the voice data specified by said voice data pointer (in the example in Figure 2, "Please contact immediately"), as well as display the display data from the table of display data as specified by said data pointer (in the example in Figure 2, "Call," and "440-1234") on the LCD display unit 2.

[0058] At this point, if the output of the recording and playing control unit 4 is connected to the external input terminal of the car audio[system], a voice message can be heard even if the call comes in while [a user] is listening to music.

[0059] (5)-2. If there is no matching code, the main control unit 1 outputs a beeping sound (step \$10 of Figure 5) as well as displays the received data as is on the LCD display unit 2 (step \$11 of Figure 5).

[0060] (II). Processing of Display of Received Data

[0061] (1). The main control unit 1 executes a keyscanning process in order to determine the presence or absence of a key input from the key switch unit 3 (step S1 of Figure 6).

[0062] (2). The main control unit 1 checks whether an arrow key has been pressed (step S2 of Figure 6).

[0063] (2)-1. If the "up arrow" key has been pressed, the main control unit 1 checks whether the data currently displayed are the most recent, using the received-data buffer as its reference (step S3 of Figure 6).

[0064] If the data currently displayed are not the most recent data, main control unit 1 reads the data received immediately following the data currently displayed from the received-data buffer and extracts the code from those received data.

[0065] Next, [the main control unit 1] uses the code as a keyword and searches the table of registered codes and, on the basis of the display data pointer obtained, reads the display data (for example, "Call")

from the table of display data, and displays it on the LCD display unit 2 along with any message (for example, "440-1234") contained in the received data (step S5 of Figure 6). Next, [main control unit 1] proceeds to the process described in (3).

[0066] If the most recent data are already displayed, the main control unit 1 proceeds to the process described in (3) without making changes to the displayed content.

[0067] (2)-2. If the "down arrow" key has been pressed, the main control unit 1 checks whether the data currently displayed are the oldest, using the received-data buffer as its reference (step S4 of Figure 6).

[0068] If they are not the oldest data, the main control unit 1 reads from the buffer the data received immediately before the data currently displayed, as illustrated in Figure 7, and extracts a code from those data.

[0069] Next, [the main control unit 1] uses the code as a keyword and searches the table of registered codes and, on the basis of the display data pointer obtained, reads the display data (for example, "Call") from the table of display data, and displays it on the LCD display unit 2 along with any message (for example, "440-1234") contained in the received data (step S5 of Figure 6). Next, [the main control unit 1] proceeds to the process described in (3).

[0070] If the oldest data are already displayed, the main control unit 1 proceeds to the process described in (3) without making changes to the displayed content.

[0071] (2)-3. If any key besides the "up arrow" key or "down arrow" key has been pressed, the main control unit 1 proceeds to the process described in (4).

[0072] (3). The main control unit 1 resets the timer to 0 (step S6 of Figure 6).

[0073] (4). The main control unit 1 checks whether the designated period of time has elapsed (step S7 of Figure 6). [0074] (4)-1. If the designated period of time has elapsed, the main control unit 1, using the received-data buffer as its reference, displays on the LCD display unit 2 the most recent display data, requested from both the table of registered codes and the table of received data in the aforementioned fashion, and any message contained in the received data (step S8 of Figure 6).

[0075] (4)-2. If the designated period of time has not elapsed, the main control unit 1 terminates processing without taking any action.

[0076] It is also possible for the aforementioned processing of data reception and processing of display of received data to be executed [as] an interruption.

[0077] As described above, according to the present example, if a call is received, notification can be delivered by voice concurrently with display of the content received and the time of receipt in large type on the display device installed in the vehicle; and for that reason, it is capable of recognizing receipt of a call content reliably.

[0078] Additionally, if [the apparatus] is utilized outside the vehicle, the portable receiver unit alone may be detached and used as a standard portable receiver; thus, there is no requirement for a new agreement.

[0079] Furthermore, because the antenna and power supply with which the vehicle is equipped can be used, there is no risk of poor reception or power interruption.

[0080] Additionally, because received data can be recorded, it is possible to access content received even if one is not in the vehicle at the time of receipt. Furthermore, because correspondence between received codes and their meanings can be freely assigned, it is not possible for that content to be understood by a third party even in the case of eavesdropping. That is to say, it provides superior privacy. [0081]

[Advantages of the Inventions] The present inventions are constituted and function in such a fashion as described above. Therefore, in the present invention set forth in Claim 1, a portable receiver is attached to an I/F unit and the I/F unit controls the data input and output with said portable receiver, and hence a car antenna can acquire calling signals and transmit them to the portable receiver. As a result, deterioration of the [signal] reception inside a vehicle may be affectively prevented. For this reason, a driver and others may use portable receivers effectively even while they are inside a vehicle. In this way, [the present invention] can provide a non-conventional and excellent calling signal receiving apparatus for use in vehicles which enables a driver and others to recognize [receipt of] a call and contents of its message without fail, even while they are inside a vehicle. [0082] In the present invention set forth in Claim 2, a portable receiver is attached to an I/F unit and the I/F unit controls the data input and output with said portable receiver, and a control unit edits and outputs, based on calling signals from this I/F unit, display data corresponding to said calling signals, and display them on an LCD display unit. Therefore, a driver and others may, while they are inside a vehicle, check the contents received by a portable receiver not on a small display unit of the portable receiver, but rather on a large LCD display unit. In this way, [the present invention] can provide a nonconventional and excellent calling signal receiving apparatus for use in vehicles which enables a driver and others to recognize [receipt of] a call and contents of its message without fail, even while they are inside a vehicle.

[Brief Explanation of Figures]

[Figure 1] Figure 1 is a diagram of constitution illustrating one example of the present inventions.

[Figure 2] Figure 2 is an explanatory [sic] diagram for explaining the data stored in the ROM and RAM in Figure 1.

[Figure 3] Figure 3 is a schematic drawing illustrating the first

concrete example of the present inventions.

[Figure 4] Figure 4 is a schematic drawing illustrating the second concrete example of the present inventions.

[Figure 5] Figure 5 is a flow chart for explaining the operations of data reception processing in the present inventions.

[Figure 6] Figure 6 is a flow chart for explaining the operations of data display processing in the present inventions.

[Figure 7] Figure 7 is an explanatory diagram for explaining the data display processing in the present inventions.

[Figure 8] Figure 8 is a diagram of constitution illustrating one example of portable receivers.

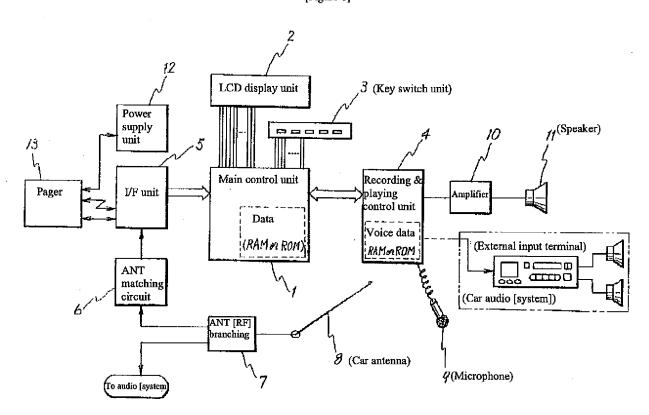
[Figure 9] Figure 9 is a diagram of constitution illustrating product types of commercially available portable receivers.

[Explanation of Numeral Designators]

(6)

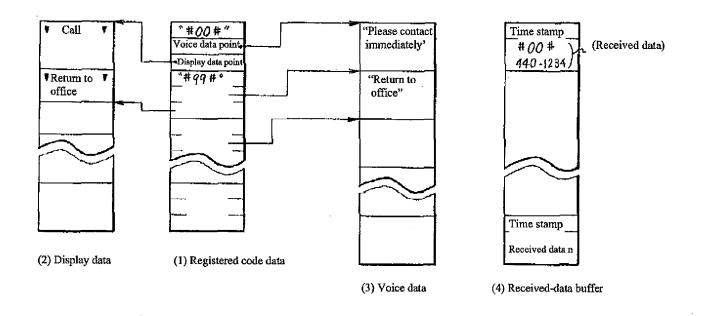
1	Main control unit (control unit)	8	Çar antenna
2	Display unit (LCD)	9	Microphone
3	Key switch unit	10	Amplifier
4	Recording and playing control unit	11	Speaker
5	I/F unit	12	Power supply unit
6	ANT matching circuit	13	Portable receiver
7	ANT [RF] branching unit		

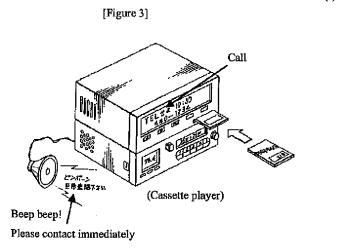
[Figure 1]

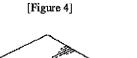


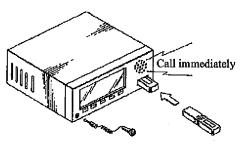
(7)

[Figure 2]



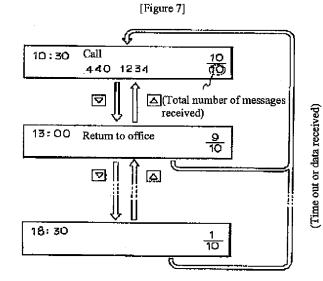


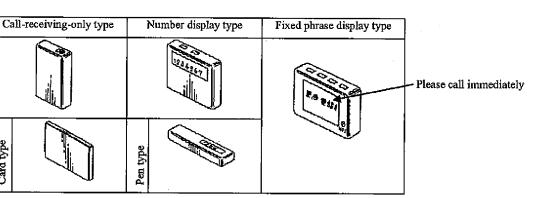




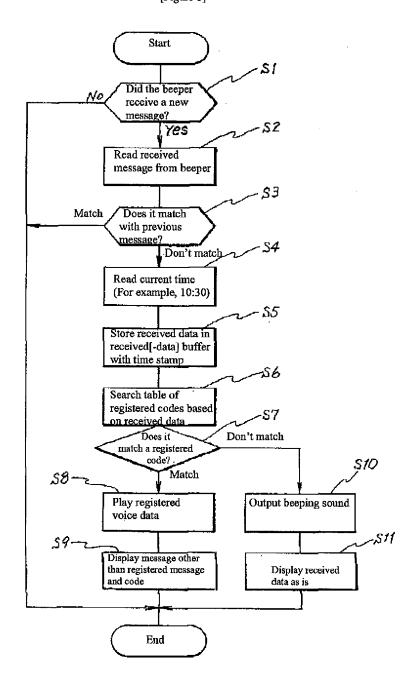
[Figure 9]

Card type



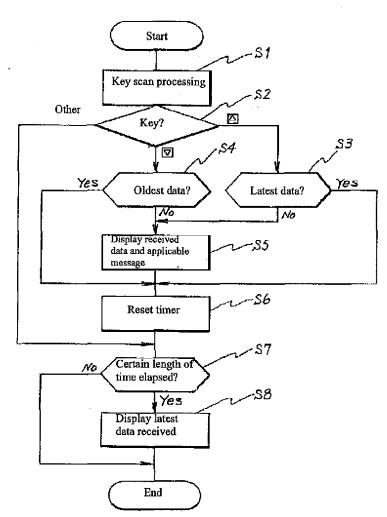


[Figure 5]



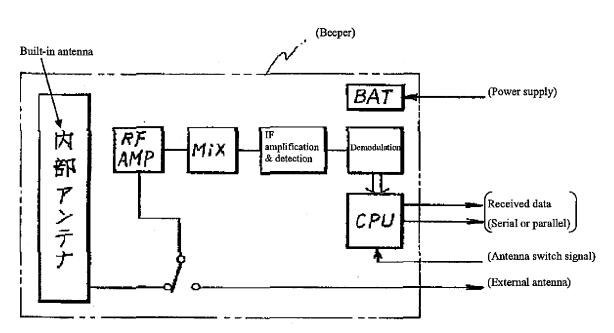
(10)

[Figure 6]



(11)

[Figure 8]



Electronic Patent Application Fee Transmittal						
Application Number:	12015320					
Filing Date:	16	Jan-2008				
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Ru	ssell W. White				
Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number: AFF.0004C5US						
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	7119601			
Application Number:	12015320			
International Application Number:				
Confirmation Number:	2156			
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First Named Inventor/Applicant Name:	Russell W. White			
Customer Number:	21906			
Filer:	Mark J. Rozman/Stephanie Petreas			
Filer Authorized By:	Mark J. Rozman			
Attorney Docket Number:	AFF.0004C5US			
Receipt Date:	02-MAR-2010			
Filing Date:	16-JAN-2008			
Time Stamp:	11:27:51			
Application Type:	Utility under 35 USC 111(a)			

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If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russe		sell White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1,99)	Art Unit		2617	
(Notice Submission under 57 of K 1.55)	Examiner Name Erika		A. Gary	
	Attorney Docket Number		AFF.004C5US	

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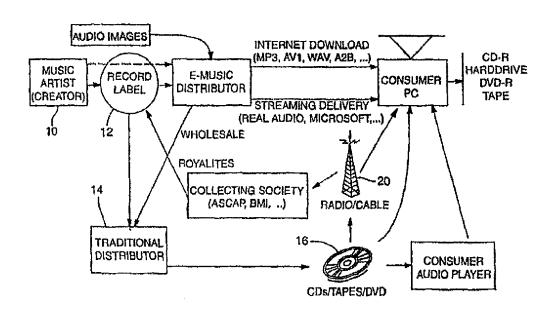
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# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: PCT/US  (22) International Filing Date: 18 May 2000 (  (30) Priority Data: 60/134,782 19 May 1999 (19.05.99) 09/337,590 21 June 1999 (21.06.99)  (71) Applicant (for all designated States except US): Div CORPORATION [-/US]; 19801 S.W. 72nd Aver 250, Tualatin, OR 97062 (US).	18.05.0 U GIMAF	KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SI SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, MI				
(72) Inventors; and (75) Inventors/Applicants (for US only): RHOADS, Get [-/US]; 304 S.W. Tualatin Loop, West Linn, C (US). DAVIS, Bruce, L. [-/US]; 15599 Village Dr Oswego, OR 97034 (US). CARR, J., Scott [-/U S.W. 189th Avenue, Beaverton, OR 97007 (US).  (74) Agent: MEYER, Joel, R.; Digimare Conporation, 19 72nd Avenue, Suite 250, Tualatin, OR 97062 (US)	OR 970 rive, La US]; 78	68 ke 14				

(54) Title: METHODS AND SYSTEMS EMPLOYING DIGITAL WATERMARKING IN MUSIC AND OTHER MEDIA



# (57) Abstract

The disclosure details a variety of steganographic encoding and decoding of auxiliary information in physical and media objects (16) in traditional distribution (14) to music media outlets (20). In particular, digital watermarks embedded in media content are used to initiate automated transactions associated with the content and to link creative content with information or transactions.

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# METHODS AND SYSTEMS EMPLOYING DIGITAL WATERMARKING IN MUSIC AND OTHER MEDIA

# Related Application Data

This application claims priority to copending application 60/134,782, filed May 19, 1999, incorporated herein by reference.

The subject matter of the present application is related to that disclosed in applications 09/234,780, filed January 20, 1999; 09/314,648, filed May 19, 1999; 09/337,590, filed June 21, 1999; 09/433,104, filed November 3, 1999; 09/441,819, filed November 17, 1999; 09/441,821, filed November 17, 1999; 09/442,441, filed November 17, 1999; 09/464,307, filed December 15, 1999; 09/473,075, filed December 28, 1999; 09/476,686, filed December 30, 1999; 09/482,752, filed January 13, 2000; 09/484,742, filed January 18, 2000; 09/498,223, filed February 3, 2000; 60/180,364, filed February 4, 2000; 09/520,406, filed March 8, 2000; 09/563,664, filed May 2, 2000, and 09/09/562,517, filed May 1, 2000.

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## Field of the Invention

The present invention relates to applications of digital watermarking in conjunction with audio, video, imagery, and other media content.

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# Background and Summary

Watermarking (or "digital watermarking") is a quickly growing field of endeavor, with several different approaches. The present assignee's work is reflected in U.S. Patent 5,862,260; in copending applications 09/503,881 and 09/452,023; and in published specifications WO 9953428 and WO0007356 (corresponding to US 09/074,034 and 09/127,502). A great many other approaches are familiar to those skilled in the art. The artisan is presumed to be familiar with the full range of watermarking literature.

In the present disclosure it should be understood that references to watermarking encompass not only the assignee's watermarking technology, but can likewise be practiced with any other watermarking technology. In the context of this document, steganographic processes such as steganographic encoding and decoding of auxiliary data from physical and electronic objects encompasses digital watermarking and other methods for data hiding in media objects.

Watermarking has various uses, but the present specification details several new uses that provide functionality and features not previously available. The invention provides various

methods, devices and systems for employing digital watermarking in music and other media objects, such as visual and audiovisual works (e.g., motion pictures, images, video, etc.).

One aspect of the invention is a method for crediting payment for a digital content using information steganographically encoded into the content. The method encodes digital source material to steganographically convey plural-bit auxiliary data. The encoded source material passes to a destination through at least one intervening computer. At the intervening computer, the method detects the encoded source material. It then credits a payment in response to the detection of the encoded source material, in accordance with the plural-bit auxiliary data steganographically conveyed by the encoded source material. The method may also report the detection (e.g., to a location remote from detection via a network or other communication link), and credit royalties based on the detection.

In some implementations, the method decodes the auxiliary data only from source material that has first been tested to indicate the likely presence of such data. One way to test source material is by reference to an encoding attribute that is supplemental to the encoded plural-bit auxiliary data. This encoding attribute may comprise a synchronization signal, a marker signal, a calibration signal, a universal code signal, etc. The attribute may be a characteristic signature such as a repetitive noise burst signal or other form of embedded signal.

Another aspect of the invention is an application of steganographic encoding of audio source material. This method decodes audio source material that is presented to the consumer to extract plural-bit auxiliary data steganographically encoded into the material. It uses the plural-bit auxiliary data to retrieve information about the source material from a remote location or database. The encoded auxiliary data may be used for other applications. For example, data indicating the source material presented to the consumer can be stored and used to generate a report.

Another aspect of the invention is a method for making payments to the proprietor of content based on steganographic data embedded in the content. The method receives an object steganographically encoded with plural-bit auxiliary data, and decodes this auxiliary data from the object. The method consults a registry to determine a proprietor of the object, by reference to said decoded plural-bit auxiliary data, and makes a payment to the proprietor.

Another aspect of the invention is a method of encoding a digital object with a watermark signal. This method encodes the object with a first information signal having relatively small information content, but permitting rapid decoding. The method also encodes the object with a second information signal, having more information content, requiring

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relatively more time to decode. The first and second information signals comprise at least one watermark embedded in the digital object.

Another aspect of the invention is a method of processing an object that has been steganographically encoded with first and second information signals. The first information signal has relatively small information content, and the second information signal has relatively larger information content. The method decodes from the object the first information signal. The relatively small information content of the first information signal permits relatively rapid decoding. The method controls an operation of an apparatus in accordance with the decoded first information signal. Further, the method decodes from the object the second information signal, which requires relatively more time to decode. The second information signal conveys a master global address. The master global address has many applications as detailed below.

Another aspect of the invention is a method of encoding audio with a marker signal indicating a master global address used to link to a web site, wherein the marker signal is characterized by being in-band and repetitive.

Another aspect of the invention is a method for facilitating commercial transactions through a watermark in a physical object. The method reads payload data from a watermark on physical object using a device. It uses the payload data read by the device in connection with a commercial transaction involving music related to said object.

Another aspect of the invention is a method of altering music data to steganographically insert plural bits of watermark data therein. The method is characterized by inserting a first group of bits for benefit of an end-user of the music data, inserting a second group of bits different than the first for benefit of an artist whose music is encoded by said music data, and inserting a third group of bits different than the first two for benefit of a distributor of the music data.

Another aspect of the invention is a media object clearinghouse system. The system includes a media object clearinghouse operable to transfer a media object electronically. It also includes a watermark decoder in communication with a media object receiver to receive a media object signal and operable to decode a watermark from the media object signal identifying the media object. Additionally, the system includes a transmitter in communication with the decoder for receiving a media object identifier derived from the watermark and for transmitting the media object identifier and a user identifier to the clearinghouse. The media object clearinghouse is operable to identify the media object based on the media object identifier and electronically transfer a copy of the media object to a predetermined location associated with the user.

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Another aspect of the invention is a media object clearinghouse method. The method receives a media object from a broadcast or electronic transfer. It decodes a watermark from the media object. It then derives a media object identifier from the watermark. The method transmits the media object identifier and a user identifier to a clearinghouse. In the clearinghouse, it identifies the media object based on the media object identifier and the user based on the user identifier and electronically transfers a copy of the media object to a predetermined location associated with the user.

Another aspect of the invention is a method for linking an audio object with additional information or actions related to the audio object. The method decodes a watermark from the media object. It then derives a master global address from the watermark. It connects to a remote device and retrieves additional information associated with the audio object based on the master global address. For example, in one application, the method retrieves information from a web server linked to the audio object through the master global address. The web server may return information about the audio object as well as customized menu options. The information may include instructions governing use of the object, a request for payment authorization, etc.

The master global address may be used to query a server, which in turn looks up an address of another remote device to which the query is to be routed. The remote device may return information, such as a web page, instructions governing use of the audio object, etc.

Another aspect of the invention is a method for reconfiguring a watermark detector. The method decodes a watermark embedded in a signal of a given media type, such as an image or audio signal (e.g., still images, motion pictures, audio, video, etc.). The watermark includes a command signal used to trigger a change in operation of the watermark detector. Based on the command signal, the method changes the operation of the watermark detector. This change may include changing how the watermark detector decodes or interprets a watermark in a signal of the media type.

The scope of this method encompasses a variety of implementations. The command signal may be represented as one or more bits of a watermark payload carried by the watermark. Changing the operation of the detector may include re-programming it or altering how it interprets watermark data embedded in a media object. For example, the method may transfer firmware instructions to the detector to replace instructions stored earlier. As another example, the command may change the operation of the detector according to a preprogrammed rule. The rule may define a change in watermark key, for instance. Yet another example is changing the operation of the detector by changing how a device responds

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to the watermark signal extracted from a media object. The behavior of a hardware or software media player, for instance, may be updated to respond differently to the watermark signal, and specifically, to the message carried in a watermark payload.

The method may use watermark payload data to change the operation of the detector. For example, the payload may specify instructions or watermark key data. In response to this payload data, the detector may install and execute new instructions or use the new watermark key to decode watermarks in media objects.

Another aspect of the invention is an alternative method for reconfiguring a watermark detector. This method receives a media object and a command associated with the media object signaling that the watermark detector requires an upgrade to decode a watermark from the media object. In response to the command, the method updates the watermark detector to create an updated watermark detector. It decodes the watermark from the media object with the updated watermark detector. The command may be encoded in a watermark in the media object, or may be conveyed in a channel different than the watermark channel yet transmitted along with the media object (e.g., out-of-band channels like a file header or footer, sub-titling data channel, SCA channel, etc.). The scope of this method encompasses a variety of implementations. In addition, aspects of this method may be used in combination with aspects of the method summarized earlier.

Yet another aspect of the invention is a re-programmable watermark detector. The detector comprises a watermark decoder for detecting a command to upgrade the detector. It also includes instructions that are replaceable in response to detecting the command to upgrade the detector. The instructions may be conveyed to the watermark detector along with a media object, either in a watermark payload, or a channel other than the watermark channel.

Another aspect of the invention is a method of an encoding an upgrade trigger in a watermark. The method receives a media object of a given media type, and encodes a watermark into the media object. The watermark includes a command signal used to trigger a change in operation of a watermark detector. When received in a detector, this change operates to alter how the watermark detector decodes or interprets a watermark in a signal of the media type.

Further features will become apparent with reference to the following detailed description and accompanying drawings.

## Brief Description of the Drawings

Fig. 1 is a diagram showing the participants, and channels, involved in the distribution of music.

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Fig. 2 shows a conceptual model of how music artists, record labels, and E-Music distributors can all interact with a Media Asset Management System, of which several are detailed in the following specification.

# Detailed Description

For expository convenience, much of the following discussion focuses on music, but the same principles and techniques are largely or wholly applicable to other source data, whether non-music audio, video, still imagery, printed materials, etc.

# 10 Music Asset Management

Referring to the figures, the music distribution process begins with a creative artist 10. The artist's music has traditionally been distributed by a record label 12. (While the following discussion refers to distribution through such a label, it should be understood that such distribution can just as well be effected directed under the artist's control, without a record label intermediary.)

In traditional distribution 14, the record label produces tangible media, such as records, tapes, videos (e.g. music videos), and CDs 16. These media are physically distributed to end-consumers 18. Additionally, the label 12 distributes the music media to outlets 20, such as radio and TV stations, cable and satellite systems, etc., which broadcast (or narrowcast) the artist's work to an audience. Distribution through such media outlets may be monitored by playout tracking services. Playout tracking data, collected by firms including Arbitron, Nielsen, ASCAP, BMI, etc., can be used to compute royalty payments, to verify broadcast (e.g. for advertising), etc.

Increasingly, the distribution of the music to the media outlets is performed electronically. Such distribution first took the form of analog audio over highquality landlines or satellite channels. Digital audio quickly supplanted analog audio in such distribution channels due to higher fidelity.

More recently, distribution of the music from the record labels to the media outlets has occurred over secure links, now including the internet. Such security was first provided simply by scrambling the audio signal or data. More sophisticated "container"-based systems are now coming into vogue, in which the audio is "packaged" (often in encrypted form) with ancillary data.

Electronic distribution of music to the consumer is also gaining popularity, presently in the MP3 format primarily. The music providers may deal directly with the public, but more

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commonly effect such consumer distribution through a newly emerging tier of digital media outlets, such as internet sites that specialize in music. From such sites, consumers can download digital audio files into personal digital audio players. (The Diamond Rio, and the Audible MobilePlayer devices are some of the first of what will doubtless be a large number of entrants into this personal internet audio appliance market.) Or the downloaded data can be stored by the consumer-recipient onto any other writeable media (e.g. hard disk, CD, DVD, tape, videotape, etc.). Typically a personal computer is used for such downloading, but this intermediary may be dispensed with by coupling next generation of personal audio appliances to an internet-like link.

The data downloaded by the consumer can be stored either in the native digital format, translated into another digital format (which translation may include decryption), converted into analog and recorded in analog form, etc.

Unauthorized copying or use of the music can occur anywhere in the foregoing channels. However, one of the greatest risks occurs once the music has been delivered to the consumer (whether by tangible media, by traditional broadcast media outlets, by emerging digital distribution, or otherwise).

The general idea of embedding auxiliary data into music (i.e. watermarking) has been widely proposed, but so far has been of limited applicability.

For example, GoodNoise is planning to embed a digital signature -- termed a multimedia identifier, or MMI -- in its MP3 music, MMI will register the song and its author with a licensing number. In addition to providing information about the songwriter and distributor, this digital encoding may also include lyrics, liner notes, and other information. But all of the proposed uses serve only to convey information from the distributor to the consumer; use for "tracking" is actively disclaimed. (Wired News, "GoodNoise Tags MP3 Files," February 3, 1999.)

The Genuine Music Coalition – a partnership of various companies in the music distribution business – likewise has announced plans to employ watermarking of MP3 music. The watermarking technology, to be provided by Liquid Audio, will convey data specifying the artist or producer contact, copyright data, and a number to track ownership. The Coalition hopes that the provision of this embedded information will help thwart piracy. Industry observers believe Liquid Audio will next introduce playback technology only plays audio in which its watermark is detected. (Wired News, "Liquefying MP3," January 23, 1999.)

A similar initiative has been announced by the Recording Industry Association of America (RIAA). Termed the Secure Digital Music Initiative (SDMI), the program seeks to

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define a voluntary specification that will assure proper compensation to those who produce and distribute music. One element of the system will likely be a watermarking component. (Dow Jones Newswire, "Spurred By Maverick Technology, Music Industry Eyes Web," December 31, 1998.)

Yet another initiative has been announced by Solana and ASCAP. Other companies promoting watermarking for music include Aris Technology, MCY.com, and AudioSoft.

The watermark payload can represent various types of data. An exemplary payload includes data relating to the artist, distribution entity, title, and copyright date/proprietor.

Additionally, the payload can include a digital object identifier – an ISBN-like number issued by a central organization (e.g. a rights management organization) to uniquely identify the work.

Such payload data can be encoded literally (e.g. the title by a series of ASCII characters, etc.). In other embodiments, codes or abbreviations can be employed—with each code having a known meaning. In still other embodiments, the data can be meaningless by itself, but may serve as a key (e.g., a Unique Identifier, or UID) into a remote data database or repository. An example of such a remote data repository is a web site at a Master Global Address (MGA) associated with content, as detailed below.

An exemplary data payload may, for example, have the following format:

Α	В	C	D	E	F	G	Н	I		
1					•			i	l	

Where A is a six-byte (8-bits to a byte) ASCII string serving as a digital object identifier (which may serve as a link to a Master Global Address through a default name server, as discussed below), B is a two-byte ASCII field serving as a key into an "artist" field of the remote database, C is a three-byte ASCII field serving as a key into a "title" field of the remote database; D is a 14-bit field serving as a key into a "label" field of the remote database, E is an 8-bit integer representing the work's year of first publication (with 0 representing the year 2000); F is a 10-bit field serving as a key into a "price" field of the remote database, G is a two-byte usage control string (detailed below), H is a streaming data channel, and I is a string of bits serving as a cyclic redundancy checksum for the foregoing. (More sophisticated error correcting checksums can, of course, be employed.) This payload format totals 136 bits,

This payload is encoded repeatedly, or redundantly through the music, so that the full payload can be decoded from partial excerpts of the music.

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The encoding is also desirably perceptually adaptive, so that higher energy encoding is employed where the listener is less likely to perceive the additional "noise" introduced by the encoding, and vice versa. Various techniques for perceptually adaptive encoding are known. For example, some tie the amplitude of the encoded signal to the instantaneous amplitude of the music. Others exploit psychoacoustic "masking" of one signal by a spectrally-or temporally-adjoining signal of higher energy. Still other approaches fill gaps in the music's spectrum with watermark energy.

In other embodiments, perceptually adaptive encoding is not used. In some such embodiments, no tailoring of the temporal or spectral characteristics of the watermark signal is employed. In others, the watermark signal is spectrally filtered to emphasize low frequency audio components (e.g. less than 500 hz), high frequency audio components (e.g. higher than 2500 hz), or mid-frequency audio components (500-2500 hz).

The streaming data field channel (H) is a medium by which data can be conveyed from a distribution site (or other site) to the end user. Such data may be entirely unrelated to the underlying work. For example, it may serve a utilitarian purpose, such as conveying data to a memory in the consumer device to replace previously-stored data that is out-of-date. It may be a commercial channel on which bandwidth is sold for access to the consumer or the consumer's device. Essentially any purpose can be served by this streaming data field. Unlike most of the other fields, the streaming data field may not endlessly repeat the same data, but can convey data that changes with time.

Desirably, the encoding is performed in a manner permitting recovery of the watermark data even if the audio is corrupted, e.g. by format conversion, re-sampling, tape wow and flutter, compression, coding, or various forms of audio processing (e.g. filtering, pre-emphasis, re-scaling, etc.). One way to provide for such robustness is to encode a signal of known character that can be recognized through all such corruption. By identifying such known signal, the watermark signal can then be decoded. (The known signal can take various forms, e.g. a synchronization signal, a marker signal, calibration signal, a universal code signal as described in applicant's patents, etc.)

In some embodiments, a watermark "dial-tone" signal is provided. This dial-tone signal is a low amplitude, relatively wideband, repetitive signal that commonly conveys only limited information (e.g. a single bit of information). Its presence in an audio signal can serve as a "do not record," or similar instruction signal. Alternatively, or in addition, the dial-tone signal can serve as an aid in "locking" to a plural-bit digital watermark signal that is also encoded in the audio. For example, the cyclical repetition of the signal can serve to identify the

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start of the plural-bit digital watermark signal. Or the spectrum or repetition rate of the signal can identify any temporal corruption of the audio. An exemplary such signal is detailed as a "simple universal code" in Patent 5,636,292.

A track of music can be pre-authorized for specified types of use. For example, the usage control string of the watermark payload may include a six-bit field detailing the classes of devices for which the audio is authorized. Each bit would correspond to a different class of device. Class 1 devices may be personal playback devices with only analog-audio output. Class 2 devices may be personal entertainment devices capable of outputting music in digital (e.g. MP3, redbook, *.WAV) format, as well as analog audio. Class 3 devices may be personal computer systems (i.e. with essentially unlimited ability for processing and outputting digital audio). Etc., etc. A device to which such MP3 audio is provided would check the usage control string data to determine whether it is authorized to utilize the audio. A personal playback device with analog-only output, for example, would examine the first bit of the usage control string. If it was "1," the device would be authorized to use (i.e. playback) the MP3 data; if it was a "0," the device would refuse to play the music.

In addition to pre-authorization for certain classes of devices, the usage control string can also include bits indicating the number of permitted playbacks. This data can be encoded in bits seven through nine, representing eight possibilities:

- 0 no playback permitted
- 20 1 single playback permitted

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- 2 ~ two playbacks permitted
- 3 three playbacks permitted
- 4 four playbacks permitted
- 5 five playbacks permitted
- 25 6-10 playbacks permitted
  - 7 unlimited playbacks permitted
  - 8 refer to associated data (within the watermark, or stored at a remote site) which specifies number of permitted playbacks.

The playback device may include a non-volatile store in which the number of permitted playbacks is stored for each track of music. The device would decrement this number at the beginning of each playback.

The usage control string can also include a two-bit field (bits ten and eleven) indicating recording permissions. A value of 0 means that data corresponding to the MP3 audio (regardless of digital format) should never be made available to another digital device. A value

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of 1 means that the data corresponding to the MP3 data may be made available once to another digital device. A value of 2 means that the data may be made available an unlimited number of times to other digital devices. (Value 3 is reserved.)

Another data field that can be included in an audio watermark is a rating that indicates age-appropriateness. Music with violence or sexual themes might be given a rating akin to the MPAA "PG-13" or "R" rating. Audio appliances may be programmed to recognize the rating of incoming music, and to interrupt playback if the rating exceeds a certain threshold setting. Various known techniques can be employed to assure that such settings cannot readily be changed, e.g., by juvenile listeners.

Another data field that can be included in an audio watermark is a date field. This field can indicate either the date the music was watermarked, or a date in the future on which certain rights associated with the music should change. Some consumers, for example may not wish to purchase perpetual playback rights to certain musical selections. The right to play a selection for 6 months may suffice for many consumers, especially if the price is discounted in view of the limited term. Such an arrangement would not be wholly disadvantageous to music distributors, since some consumers may end up purchasing music twice if their initial assessment of a musical selection's appeal was too short-sighted. (Naturally, the playback equipment would require a source of real-time clock data against which the date field in the watermark can be checked to ensure that the playback rights have not yet expired.)

Another of the data fields that can be included in an audio watermark specifies technical playback parameters. For example, the parameter can cause the playback appliance to apply a spectral equalization that favors bass frequencies, or treble frequencies, or mid-range frequencies, etc. Other pre-configured equalization arrangements can similarly be invoked responsive to watermark data. Likewise, the parameter can invoke special-effects provided by the playback appliance, e.g., echo effects, reverb, etc. (Again, such parameters are usually represented in an abbreviated, coded form, and are interpreted in accordance with instructions stored in a memory (either in the playback appliance, or linked thereto).

The same data fields and principles can be applied to non-audio content. In video, for example, watermarked data can adaptively control the display monitor or playback parameters (e.g., color space) to enhance the viewing experience.

## Music Asset Management/Commerce

The majority of domestic music piracy is not organized. Rather, it is a crime of opportunity and convenience. If the crime were made more difficult, the alternative of

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obtaining a copy through legitimate channels would be less onerous. Similarly, if the procedure for obtaining a copy through legitimate channels were simplified, the incentive for piracy would be reduced. Watermarking facilitates both – making the crime more difficult, and making legitimate music acquisition easier.

Consider, for example, the pricing of music in conventional record stores. A CD (compact disk) may cost \$15, but its sale may be driven by just one or two popular songs on the disk. To obtain these songs, the consumers must purchase the entire disk, with perhaps a dozen songs of no particular interest. This, in essence, is a tying arrangement that benefits the record labels while prejudicing the consumers. Given these circumstances, and a ready opportunity to make copies, it is not surprising that customers sometimes make illicit copies.

One classic technique of avoiding purchase of a complete collection of music, when only one or two songs is desired, is to record the music off the radio. While of dubious legality, this technique was popular in the era of combined cassette/radio players. However, the desired music was sometimes difficult to encounter in a radio broadcast, and the quality was less than superb.

The combined cassette/radio player has now evolved into a general purpose computer with wide-ranging functionality, and other sophisticated devices. Music can be acquired off the web, and can be recorded in various forms (e.g. in a personal MP3 player, stored on a hard disk, stored on a writeable CD-ROM, played back and recorded on analog cassette, etc., etc.). The quality can be quite high, and the erratic broadcast time problems of radio broadcasts have been overcome by the web's on-demand delivery mechanisms. (Moreover, the music can be downloaded in faster-than-realtime, a further benefit over recording-off-the-air techniques.)

One hybrid between the new and old is a novel radio (e.g., for use in a car) that has a "capture" button on the front panel (or other form of user interface, e.g., a Capture icon on a GUI). If a user hears a song they want to record and keep, they press the Capture button while the song is playing. In response, the radio device decodes a watermark embedded in the music, and thereby knows the identity of the music. The radio then makes a wireless transmission identifying the user and the desired song. A local repeater network picks up the wireless signal and relays it (e.g. by wireless rebroadcast, by modem, or other communication medium) to a music clearinghouse. The clearinghouse charges the user a nominal fee (e.g. via a pre-arranged credit card), and queues the music for download to a predetermined location associated with the user.

In one embodiment, the predetermined location is the user's own computer. If a "live" IP address is known for the user's computer, the music can be transferred immediately. If the

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user's computer is only occasionally connected to the internet, the music can be stored at a web site (e.g. protected with a user-set password), and can be downloaded to the user's computer whenever it is convenient.

In other embodiments, the predetermined location is a personal music library maintained by the user. The library can take the form, e.g., of a hard-disk or semiconductor memory array in which the user customarily stores music. This storage device is adapted to provide music data to one or more playback units employed by the user (e.g. a personal MP3 player, a home stereo system, a car stereo system, etc.). In most installations, the library is physically located at the user's residence, but could be remotely sited, e.g. consolidated with the music libraries of many other users at a central location.

The personal music library can have its own internet connection. Or it can be equipped with wireless capabilities, permitting it to receive digital music from wireless broadcasts (e.g. from the clearinghouse). In either case, the library can provide music to the user's playback devices by short-range wireless broadcast.

By such arrangement, a user can conveniently compile an archive of favorite music – even while away from home.

Many variants of the foregoing are of course possible. The radio can be a portable unit (e.g. a boombox, a Walkman radio, etc.), rather than an automotive unit. The UI feature employed by the user to initiate capture a musical selection need not be a button (physical or on-screen). For example, in some embodiments it can be a voice-recognition system that responds to spoken commands, such as "capture" or "record." Or it can be a form of gesture interface.

Instead of decoding the watermark only in response to the user's "capture" command, the radio can decode watermarks from all received programs, and keep the most recent in a small FIFO memory. By such arrangement, the user need not issue the capture instruction while the song is playing, but can do so even after the song is finished.

In some embodiments, data corresponding to the watermark can be made available to the user in various forms. For example, it can be presented to the user on an LCD screen, identifying the artist and song currently playing. If a corresponding UI button is activated, the device can so-identify the last several selections. Moreover, the data need not be presented to the user in displayed form; it can be annunciated by known computer-speech technologies instead.

In embodiments in which the watermark does not convey ASCII text data, but instead conveys UIDs, or coded abbreviations, the device must generally interpret this data before

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presenting it to the user. In an illustrative embodiment, the device is a pocket-sized FM radio and is equipped with a 1 megabyte semiconductor non-volative RAM memory. The memory includes a data structure that serves as a look-up table, matching code numbers to artist names and song titles. When the user queries the device to learn the identify of a song, the memory is indexed in accordance with one or more fields from the decoded watermark, and the resulting textual data from the memory (e.g. song title and artist) is annunciated or displayed to the user.

In most applications, such memory will require frequent updating. The RF receiver provides a ready mechanism for providing such updated data. In one embodiment, the radio "awakens" briefly at otherwise idle moments and tunes to a predetermined frequency at which updated data for the memory is broadcast, either in a baseband broadcast channel, or in an ancillary (e.g. SCA) channel.

In variants of the foregoing, internet delivery of updated memory data can be substituted for wireless delivery. For example, the artist/song title memory in the personal player can be updated by placing the player in a "nest" every evening. The nest (which may be integrated with a battery charger for the appliance) can have an internet connection, and can exchange data with the personal device by infrared, inductive, or other proximity-coupling technologies, or through metal contacts. Each evening, the nest can receive an updated collection of artists/song titles, and can re-write the memory in the personal device accordingly. By such arrangement, the watermark data can always be properly intepreted for presentation to the user.

The "Capture" concepts noted above can be extended to other functions as well. One is akin to forwarding of email. If a consumer hears a song that another friend would enjoy, the listener can send a copy of the song to the friend. This instruction can be issued by pressing a "Send" button, or by invoking a similar function on a graphical (or voice- or gesture-responsive) user interface. In response, the appliance so-instructed can query the person as to the recipient. The person can designate the desired recipient(s) by typing in a name, or a portion thereof sufficient to uniquely identify the recipient. Or more typically, the person can speak the recipient's name. As is conventional with hands-free vehicle cell phones, a voice recognition unit can listen to the spoken instructions and identify the desired recipient. An "address book"-like feature has the requisite information for the recipient (e.g., the web site, IP address, or other data identifying the location to which music for that recipient should stored or queued, the format in which the music should be delivered, etc.) stored therein. In response to such command, the appliance dispatches instructions to the clearinghouse, including an

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authorization to debit the sender's credit card for the music charge. Again, the clearinghouse attends to delivery of the music in a desired manner to the specified recipient.

Still further, a listener may query the appliance (by voice, GUI or physical button, textual, gesture, or other input) to identify CDs on which the then-playing selection is recorded. Or the listener may query the appliance for the then-playing artist's concert schedule. Again, the appliance can contact a remote database, relay the query, and forward data from the watermark payload identifying the artist and/or song title to which the query relates. The database locates the requested data, and relays same back to the appliance for presentation (via a display, by machine speech, or other output) to the user. If desired, the user can continue the dialog with a further instruction, e.g., to buy one of the CDs on which the then-playing song is included. Again, this instruction may be entered by voice, GUI, etc., and dispatched from the applicance to the clearinghouse, which can then complete the transaction in accordance with pre-stored information (e.g. credit card account number, mailing address, etc.). A confirming message is relayed to the appliance for presentation to the user.

While the foregoing transactions require a link to a remote site or database, other watermark-based consumer services can be provided without such a link. For example, a user can query the appliance as to the artist or song-title of the selection currently playing. The appliance can consult the embedded watermark data (and optionally consult a memory to determine the textual names associated with coded watermark data), and provide the requested information to the user (e.g., by a display, annunciation, or other output).

The foregoing concepts (e.g. Capture, Send, etc.) can also be employed in connection with internet- rather than radio-delivery of music. (The following discussion is illustrated with reference to the "Capture" function, but it will be recognized that the other carlier-discussed features can be similarly implemented.)

There are many commercial web sites that sell audio (in CD form or otherwise), and offer limited free music downloads, (or music clips) as an enticement to lure consumers. But there are also a great number of music web sites that have no commercial pretense. They are hosted by music lovers strictly for the enjoyment of other music lovers. When music is downloaded from such a web site, the end-user's computer can analyze the digital data to decode watermark data therefrom. Again, the user can be presented with a "Capture" button that initiates a commercial transaction, by which a complete copy of the then-downloaded audio is sent to a prearranged storage location, and the user's credit card is debited accordingly. This transaction can occur independently of the site from which the music is downloaded (e.g. through the clearinghouse referenced above).

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While the "Capture" button can be presented on the web-site, this would generally not be in keeping with the non-commercial nature of such web sites. Instead, in an exemplary embodiment, the Capture feature is a software program resident at the user's computer. When this software program is invoked by the user, a socket channel is instantiated between the user's computer and the clearinghouse over the then-existing internet connection. The decoded watermark data and user ID is transmitted to the clearinghouse over this channel, without interrupting the user's other activity (e.g. downloading music from the non-commercial web site). In response, the clearinghouse transmits the music to the prearranged location and attends to billing.

In some embodiments, a watermark detector is included as part of the operating system, and constantly monitors all TCP/IP, or other internet, data received by the user's computer, for the presence of watermarks. In such case, when the Capture feature is invoked, the program examines a memory location in which the operating system stores the most received watermark data. In another embodiment, the computer does not monitor all internet traffic for embedded watermark data, but includes an API that can be called by the Capture program to decode a watermark from the data then being received. The API returns the decoded watermark data to the Capture program, which relays same to the clearinghouse, as above. In still another embodiment, the watermark decoder forms part of the Capture program, which both decodes the watermark and relays it to the clearinghouse when the Capture program is invoked by the user.

There are various techniques by which the Capture program can be selectively invoked. One is by a keyboard macro (e.g. by a combination of keyboard keys). Another is by a program icon that is always presented on the screen, and can be double-clicked to activate. (Again, confirmation processes may be called for, depending on the likelihood of inadvertent invocation.) Many other techniques are likewise possible.

In the just-contemplated scenario, the Capture operation is invoked while the user is downloading music from a non-commercial web site. This seems somewhat redundant, since the downloading – itself – is transferring music to the user's computer. However, the Capture operation provides added value.

In the case of streaming audio, the audio is not typically stored in a location in which it can be re-used by the consumer. It can be listened to as delivered, but is then gone. Capturing the audio provides the user a copy that can be played repeatedly.

In the case of downloaded music files, the music may have been encoded to prevent its recordal on other devices. Thus, while the user may download the music onto a desktop

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computer, copy-prevention mechanisms may prevent use of that file anywhere else, e.g. on a portable music appliance. Again, Capturing the audio provides the user a copy that can be transferred to another device. (The music file provided by the clearinghouse can have copy-prevention limits of its own — e.g., the file can be copied, but only once, or the file can be copied only onto devices owned by the user.)

(Confirmation of device ownership can be implemented in various ways. One is to identify to the clearinghouse all music devices owned by a user at the time the user registers with the clearinghouse (supplemented as necessary by later equipment acquisitions). Device IDs associated with a user can be stored in a database at the clearinghouse, and these can be encoded into the downloaded music as permitted devices to which the file can be copied, or on which it can be played.)

The commerce opportunity presented by non-commercial music web-sites is but one enabled by digital watermarks. There are many others.

To take one example, consider the media by which music and artists are presently promoted. In addition to radio airtime, these include music videos (a la MTV), fan magazines, web advertisements, graphical icons (e.g. the Grateful Dead dancing bears), posters, live events, movies, etc. Watermarked data can be used in all such media as a link in a commercial transaction.

A poster, for example, typically includes a photo of the artist, and may comprise cover art from a CD. The photo/art can be digitally watermarked with various types of data, e.g., the artist's identify, the record label that distributes the artist's work, the music project being particularly promoted by the poster (e.g. a CD, or a concert tour), a fan web-site related to the artist, a web-site hosted by the record label for selling audio in CD or electronic form, a web-site from which free music by the artist can be downloaded, data identifying the poster itself, etc.

A user, equipped with a portable appliance that merges the functions of palmtop computer and digital camera, can snap an image of the poster. The processor can decode the watermarked data, and initiate any of various links based on the decoded data.

In an exemplary embodiment, after snapping the picture, the user invokes a software program on the device that exposes the various links gleaned from the snapped image data. Such a program can, for example, present the option of linking to the artist's fan web site, or downloading free streaming audio or music clips, or ordering the promoted CD, or requesting the above-noted clearinghouse to download a personal copy of selected song(s) by the artist to the user's personal music library, etc. (The device is presumed to have a wireless internet link.

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In devices not having this capability, the requested actions can be queued and automatically executed when a link to the internet is available.)

Still more complex transactions can be realized with the use of a remote database indexed by digital watermark fields decoded from the poster. For example, the poster may promote a concert tour. Fields of the digital watermark may identify the artist (by a code or full text), and a web site or IP address. The user appliance establishes a link to the specified site, and provides the artist identifier. In response, the site downloads the tour schedule for that artist, for display on the device. Additionally, the downloaded/displayed information can include a telephone number that can be used to order tickets or, more directly, can indicate the class of seats still available at each (or a selected) venue, and solicit a ticket order from the user over the device. The user can supply requested information (e.g. mailing address and charge card number) over the return channel link (wireless or wired, as the case may be), and the ticket(s) will be dispatched to the user. In the case of a wireless link, all of this can occur while the user is standing in front of the movie poster.

Similar systems can be implemented based on watermark data encoded in any other promotional media. Consider music videos. Using known TV/computer appliances, watermark data added to such videos can readily be decoded, and used to establish links to audio download, CD-sales, fan club, concert ticket outlet web sites, etc., as above.

Even live events offer such watermark-based opportunities. The analog audio fed to public address or concert speakers can be watermarked (typically before amplification) to encode plural-bit digital data therein. A next generation personal music appliance (e.g. one with a wireless interface to the internet) can include analog record capability (e.g. a built-in microphone, analog-to-digital converter, MP3 encoder, coupled to the unit's semiconductor memory). A user who attends a live event may record an excerpt of the music. The watermark can then be decoded, and the extracted data used to access the links and commerce opportunities reviewed above.

Cinema movies offer both audio and visual opportunities for watermark-based commerce opportunities. Either medium can be encoded to convey information of the types reviewed above. A personal appliance with image- or audio-capture capabilities can capture an excerpt of the audio or imagery, decode the watermark data therefrom, and perform any of the linking, etc., functions reviewed above.

The consumer-interest watermarks reviewed above are only exemplary. Many others will be recognized as useful. For example, promotional clips presented before a feature film presentation can include watermark data that point (either by a literally encoded web address

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link, or by an ID code that indexes a literal link in a remote link database) to reviewer critiques of the previewed movies. Watermark data in a featured film presentation can lead to web sites with information about the movie stars, the director, the producer, and can list other movies by each of these persons. Other watermark-conveyed web links can present opportunities to buy the movie on videotape, to purchase the movie soundtrack, to buy movie-related toys and games, etc.

#### More on Device Control

Much of the foregoing has focused on watermark encoding to provide enhanced customer experiences or opportunities. Naturally, watermarks data can alternatively, or additionally, serve the interests of the media owner.

To illustrate, consider watermarked music. The media owner would be best served if the watermark serves dual purposes; permissive and restrictive. Permissively, music appliances can be designed to play (or record) only music that includes an embedded watermark signaling that such activity is authorized. By this arrangement, if music is obtained from an unauthorized source and does not include the necessary watermark, the appliance will recognize that it does not have permission to use the music, so will refuse requests to play (or record).

As noted, music appliances can respond restrictively to the embedded watermark data to set limits on use of the music. Fields in the watermark can specify any or all of (or others in addition to) (a) the types of devices on which the music can be played (b) the types of devices on which the music can be recorded; (c) the number of times the music can be played; (d) the number of times the music can be recorded, etc.

The device restrictions (a) and (b) can be of various types. In some embodiments, the restrictions can identify particular units (e.g. by serial number, registered owner, etc.) that are authorized to play/record the encoded music. Or the restrictions can identify particular classes of units (e.g., battery-powered portable players with music memories of less than 50 megabytes, disk-based dedicated music appliances, general purpose personal computers, etc.) Or the restrictions can identify particular performance quality criteria (e.g., two channel, 16bit audio at 44.1KHz sample rate, or lower quality).

The use restrictions (c) and (d) can likewise be of various types. Examples include "do not copy," "copy once only," "unrestricted copying permitted," "play once," "play N times" (where N is a parameter specified elsewhere in the watermarked data, or by reference to a database indexed by a watermark data field), "unrestricted playing permitted," etc.

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It is straightforward to design a music appliance to respond to usage limits of zero (e.g. "do not copy") and infinity (e.g. "unrestricted copying permitted," and "unrestricted playing permitted"). The device simply examines one or more bits in the watermark data, and permits (or refuses) an operation based on the value thereof.

Implementation of the other usage-control restrictions can proceed in various ways. Generally speaking, the stored music can be altered to give effect to the usage-control restrictions. For example, if the music is "record-once," then at the time of recording, the appliance can alter the music in a fashion indicating that it now has "do not record" status. This alteration can be done, e.g., by changing the watermark data embedded in the stored music (or adding watermark data), by changing other data stored in association with the music, etc. If the original signal is stored (as opposed, e.g., to a streaming signal, such as an internet or wireless transmission), it too should be so-altered.

Likewise with playback limitations. The number of playbacks remaining can, e.g., be encoded in an updated watermark in the music, be tracked in a separate counter, etc.

More particularly considering the "copy once" usage restriction, an illustrative embodiment provides two distinct watermark payload bits: a "copy once" bit and a "copy never" bit. When originally distributed (whether by internet, wireless, or otherwise), the "copy once" bit is set, and the "copy never" bit is un-set.

When music encoded in this fashion is provided to a compliant recording device, the device is authorized to make one copy. (A compliant device is one that recognizes encoded watermark data, and behaves as dictated by the watermark.) When this privilege is exercised, the recording device must alter the data to ensure that no further copying is possible. In the illustrated embodiment, this alteration is effected by the recording device adding a second watermark to both the music, with the "copy never" bit asserted. The second watermark must generally be encoded in an "orthogonal" domain, so that it will be detectable notwithstanding the continued presence of the original watermark. Compliant equipment must then check for both watermarks, and refuse to copy if either is found to have the "copy never" bit asserted.

One advantage to this arrangement is that if the watermark signal has undergone some form of corruption (e.g. scaling or resampling), the first watermark may have been weakened. In contrast, the second watermark will be native to the corrupted signal, and thus be more easily detected. (The corruption may also contribute to the orthogonality of one watermark relative to the other, since the two watermarks may not have precisely the same time base or other foundation.)

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An alternative approach is not to encode the "copy never" bit in the original music, but leave this bit (in whatever manifestation) blank (i.e. neither "1" nor "0"). In transform-based watermark techniques, this can mean leaving transform coefficient(s) corresponding to the "copy never" bit un-changed. If the watermarking is effected in the temporal sample domain (or spatial domain, for image data), this can mean leaving certain samples (pixels) unmodified. The recording device can then alter the transform coefficients and/or samples as necessary to assert the previously-unencoded "copy never" bit when the permitted recording is made.

In such a system, compliant recording devices check for the "copy never" bit in the sole watermark, and refuse to make a copy if it is asserted (ignoring the value of any "copy once" bit).

A third approach to "copy once" is to set both the "copy once" and "copy never" bits, but set the former bit very weakly (e.g. using lower gain and/or high frequency DCT coefficients that do not survive certain processing). The frail "copy once" bit is designed not to survive common corruptions, e.g., resampling, scaling, digital to analog conversion, etc. To further assure that the "copy once" bit is lost, the recording device can deliberately add a weak noise signal that masks this bit (e.g. by adding a noise signal in the frequency band whose DCT coefficient conveys the "copy once" bit). In contrast, the "never copy" bit is unchanged and reliably detectable.

In such a system, compliant devices check for the "copy once" bit in the sole watermark, and refuse to make a copy if it is not detected as set.

These three examples are but illustrations of many possible techniques for changing the rights associated with a work. Many other techniques are known. See, e.g., the proposals for watermark-based copy control systems for digital video at the Copy Protection Technical Working Group, <a href="http://www.dvcc.com/dhsg/">http://www.dvcc.com/dhsg/</a>, from which certain of the foregoing examples are drawn. See also Bloom et al, "Copy Protection for DVD Video," IEEE Proceedings, Special Issue on Identification and Protection of Multimedia Information, June, 1999.

## Scaleability

One feature that is desirable in many detector embodiments is scaleability. This refers to the ability of a detector to scale its computational demands to match the computational resources available to it. If a detector is running on a high performance Pentium III workstation, it should be "doing more" than if the same detector is running on a slow microcontroller. One way scalability can be achieved is by processing more or less chunks of input data (e.g. temporal excerpts of music, or blocks/macroblocks of pixels in a frame of video

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data) to decode watermarks. For example, an input audio stream might be broken into chunks of one second each. A fast processor may complete decoding of each chunk in less than a second, permitting it successively to process each chunk in the data stream. In contrast, a slow processor may require two and a half seconds to decode the watermark from a chunk. While it is processing a first chunk, the second and third pass by un-decoded. The processor next grabs and processes the fourth chunk, permitting the fifth and sixth to pass by un-encoded.

The detector running on the fast processor is clearly more difficult to "fool," and yields a decoded watermark of higher confidence. But both systems decode the watermark, and both operate in "real time."

The skipping of input data in the temporal (e.g. music or video) or spatial (e.g. image or video) domain is but one example of how scaleability can be achieved. Many other approaches are known to those skilled in the art. Some of these alternatives rely on spending more or less time in the data analysis phases of watermark decoding, such as cross-correlation operations.

Reference has been made to watermarked UIDs as referring to a database from which larger data strings (e.g. web addresses, musician names, etc.) can be retrieved. In some embodiments, the data record referenced by a UID can, in turn, point to several other database records. By such arrangements, it is often possible to reduce the payload of the watermark, since a single UID reference can lead to several different data records.

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## **Production Tools**

In the prior art, the watermark embedded in a source material is typically consistent and static through a work – unchanging from beginning to end. But as will be recognized from the foregoing, there are many applications that are better served by changing the watermark data dynamically during the course of the work. According to another aspect of the invention, a production tool is provided that facilitates the selection and embedding of dynamically-changing watermark data. One such embodiment is a software program having a user interface that graphically displays the different watermark fields that are being embedded in a work, and presents a library of data (textually or by icons) that can be inserted into each field, and/or permits the user to type in data to be encoded. Another control on the UI controls the advance and rewind of the media, permitting the user to determine the location at which different watermark data begins and ends. Graphical paradigms known from video- and audio-editing tools can be used to indicate the starting and ending frames/samples for each different watermark payload.

Such a tool can be of the standalone variety, or can be integrated into the desktop audio- and video- production and editing tools offered by vendors such as Avid, Adobe, Jaleo, Pinnacle Systems, SoundForge, Sonic Foundry, Xing Technology, Prosoniq, and Sonic Desktop Software.

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## Payment-Based Systems

Another aspect of the present invention is the use of anonymous payment tokens that can be used to obtain content on the web. In one embodiment, a token comprises a 128-bit pseudo-random number, to which additional bits identifying an issuing bank (or other issuing institution) are appended. (The additional bits can be the IP address of a web server of the bank, a routing number identifying the bank for electronic wire transfers, or other identifier.) The 128-bit numbers are randomly generated by the bank – commonly as needed – and each represents a fixed increment of money, e.g. ten cents.

A consumer wishing to have a store of currency for such commerce pays the bank, e.g., \$10 in exchange for 100 tokens. These tokens are transferred electronically to disk or other storage in the consumer's computer in response, e.g., to a credit card authorization, or may be provided by diskette or other storage medium over the counter at a bank branch (in which case the consumer thereafter copies the numbers into storage of his or her computer). (Outlets other than banks can of course be employed for distributing such numbers, much in the manner that convenience and many grocery stores commonly issue money orders.)

Imagine that the consumer wishes to view the final quarter of a Trailblazer basketball game that aired on television a week ago. (The consumer may have either missed the game, or may have seen it but wants to see the last quarter again.) The user directs a web browser to a web site maintained for such purpose and performs a search to identify the desired program. (Typically, the web site is maintained by the proprietor that holds the copyright in the material, but this need not be the case. Some material may be available at several web sites, e.g., maintained by ABC Sports, the National Basketball Association, and Sports Illustrated.) The search can use any of various known search engines, e.g., Infoscek, Verity, etc., and can permit searching by title terms, keywords, date of airing, copyright owner, etc. By typing in, e.g., the keyword 'Trailblazers' and the date '4/26/99,' the consumer is presented a listing of videos available for download. One, hopefully, is the requested game. With each listing is an indication of an associated nominal charge (e.g. 80 cents).

On clicking on a hypertext link associated with the desired basketball game, the viewer is presented a further screen with one or more options. The first of the listed options is the

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entire game, with commercials. The charge is the nominal charge presented on the earlier screen (i.e. 80 cents). Other options may include the first, second, third, and fourth quarters of the game individually, each of which – save the last, costs 20 cents. The last may be charged at a premium rate, e.g., 30 cents. Clicking on the desired video option yields a further screen through which payment is effected.

To pay for the requested video, the consumer instructs his or her computer to transfer three of the earlier-purchased tokens over the web to the video provider. Various user interface metaphors can be employed to facilitate this transfer, e.g., permitting the user to type the amount of money to be transferred in a dialog box presented on-screen, or dropping/dragging icons representing tokens from an on-screen "wallet" to an on-screen "ticket booth" (or over an icon or thumbnail representing the desired content), clicking on an "increment" counter displayed adjacent the listing of the content, etc. Once the consumer has authorized a transfer of sufficient tokens, the consumer's computer sends to the web site (or to such other web address as HTML encoding in the viewed web page may indicate) the tokens. This transmission simply takes the form of the three 128+ bit numbers (the '+' indicating the bank identifier) – in whatever packet or other format may be used by the internet link. Once dispatched in this manner, the tokens are deleted from the user's computer, or simply marked as spent. (Of course, in other embodiments, a record of the expenditure may be stored in the consumer's computer, e.g., with the token contents and a record of the audio or video purchase to which they were applied.)

Since the amount of money is nominal, no encryption is provided in this embodiment, although encryption can naturally be provided in other embodiments (e.g., either in sending the tokens from the user to the web site, or earlier, in sending the tokens to the user). As will be seen, provided that the media provider immediately sends the tokens to the bank in real time, encryption is a nice feature but not mandatory

On receipt of the token data, the web site immediately routes the token data to the identified bank, together with an identifier of the media provider or account to which the funds represented thereby are to be credited. The bank checks whether the 128-bit numbers have been issued by that bank, and whether they have already been spent. If the numbers are valid, the bank updates its disk-based records to indicate that the three tokens have been spent and that the bank now owes the media supplier 30 cents, which it may either pay immediately (e.g., by crediting to an account identified by the media provider) or as one lump sum at the end of the month. The bank then sends a message to the web site confirming that the tokens were valid

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and credited to the requested account. (Optionally, a message can be sent to the purchaser of the tokens (if known), reporting that the tokens have been redeemed.)

In response, the web site begins delivery of the requested video to the consumer. In the illustrated embodiment, the video is watermarked prior to delivery, but otherwise sent in unencrypted fashion, typically in streaming format, but optionally in file format. (Encryption can be used in other embodiments.) The watermarking in the illustrated embodiment is accomplished on-the-fly and can include various data, including the date of downloading, the download site, the destination IP address, the identity of the purchaser (if known), etc.

The large size of the video and the small charge assessed therefor provide disincentives for the consumer making illicit copies. (Especially as to archival material whose value decays with time, there is not much after-market demand that could be served by illicit copies, making third party compilation of such material for re-distribution financially unattractive. First run video, and material that keeps a high value over time, would not be as well suited for such distribution, and could better employ technology disclosed elsewhere herein.)

In some embodiments, the integrity of the received video is checked on receipt. This feature is described below in the section entitled Watermark-Based Receipts.

In the illustrative system, nothing in the tokens indicates the identity of the purchaser. The web site knows the IP address of the site to which video was delivered, but need not otherwise know the identity of the purchaser. The bank would probably maintain a record of who purchased the tokens, but need not. In any event, such tokens could thereafter be exchanged among consumers, resulting in anonymity from the bank, if desired.

As described above, the video excerpts from which the consumer can select include commercials. At some sites, video may be provided from which the commercials have been excised, or which is delivered in a manner that skips past the commercials without transmitting same to the consumer. Such video will naturally command a premium price. In some embodiments, the difference in price is electronically credited as compensation to accounts maintained for (or by) the advertisers, whose advertisements are not being viewed by such consumers. (The identification of advertisers to be credited is desirably permanently encoded in the video, either throughout the video (if the video has had the commercials removed therefrom), or by data in the commercials themselves (which commercials are skipped for transmission to the consumer, but can still be decoded at the video headend. Such encoding can be by in-band watermarking or otherwise.)

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While the foregoing discussion particularly considered video as the desired content, the same principles are equally applicable in connection with audio, still imagery, and other content.

The token-based payment method is but one of many that can be employed; the literature relating to on-line payment mechanisms is extensive, and all such systems can generally be here-employed.

Tracking 128-bit tokens can be a logistical problem for the bank. One approach is to have a memory with 10¹²⁸ locations, and at each location store a two-bit value (e.g. 00=never issued; 01=issued but not spent; 10=issued and spent; 11=reserved). More complete data could alternatively be stored, but such a memory would be impractically large.

One alternative approach is to hash each 128-bit number, when issued, to a much smaller key value (e.g. 20 bits). A memory with 10²⁰ locations can be indexed by this key. Each such location can include four data: an issued 128-bit token number that hashes to that value, first and second date fields indicating the date/time on which that token was issued and redeemed, respectively, and a link specifying the address of a next memory location. That next memory location (outside of the original 10²⁰ locations) can include four more data, this time for a second issued-128-bit token number that hashed to the original key value, two date fields, and again with a link to a subsequent storage location, etc.

When a 128-bit random number is generated, the original memory location indexed by the hash code of that number is checked for an earlier number of the identical value (to avoid issuance of duplicate tokens). Each successive location in the linked chain of memory locations is checked for the same 128-bit number. When the end of the linked chain is reached, the bank knows that the 128-bit random number has not previously been issued, and writes that number in the last-addressed location, together with the date of issuance, and a link to a next storage location.

When a 128-bit token is received, the same linked-list processing occurs to identify a first location, and to thereafter step through each subsequent location until a match is found between the token number and the number stored in one of the linked memory locations. When found, that number is marked as redeemed by writing a redemption date/time in the corresponding field. If the search reaches the end of the linked chain without finding a match between the stored numbers and the token number, the token is treated as invalid (i.e. not issued by that bank).

Other manners of tracking the large number of possible token numbers can of course be used; the foregoing is just exemplary. Or the tokens needn't be tracked at all. Such an

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arrangement is highly practical if the token has sufficient bits. With the illustrated 128 bits, for example, the chance of two identical tokens being issued is infinitesimally small, so checking for duplicate issuance can be omitted if desired. In such case, the bank can simply maintain an ordered list of the token numbers still outstanding and valid. As new tokens are dispensed, their token numbers are added to the list. As tokens are redeemed, their numbers are deleted from the list. Known list processing techniques can be employed to speed such search, update, and delete actions.

# Watermark-Based Receipts

Pay-for-content applications commonly assume that if content is transmitted from a server (or head-end, etc.), it is necessarily received. Sometimes this assumption is wrong. Network outages and interruptions and internet traffic load can diminish (e.g., dropped video frames), or even negate (e.g., failed delivery), expected consumer enjoyment of content. In such cases, the consumer is left to haggle with the content provider in order to obtain an adjustment, or refund, of assessed charges.

Watermarks provide a mechanism for confirming receipt of content. If a watermark is detected continuously during a download or other delivery event, a software program (or hardware device) can issue an electronic receipt attesting that the content was properly delivered. This receipt can be stored, and/or sent to the content distributor to confirm delivery.

In one embodiment, a content receiving device (e.g., computer, television or set-top box, audio appliance, etc.) periodically decodes a watermark from the received content to confirm its continued reception. For example, every five seconds a watermark detector can decode the watermark and make a record of the decoded data (or simply record the fact of continued detection of the same watermark). When a changed watermark is detected (i.e., reception of a different content object begins), the duration of the previously-received content is logged, and a receipt is issued.

In a related embodiment, the last portion (e.g., 5 seconds, frame, etc.) of the content bears a different "end of content" watermark that triggers issuance of a receipt. Such a watermark can indicate the length of the content, to serve as a cross-check against the periodic watermark polling. (E.g., if periodic sampling at 2 second intervals yields 545 samples corresponding to the same content, and if the "end of content" watermark indicates that the content was 1090 seconds long, then receipt of the entire content can be confirmed.)

In another embodiment, the watermark can change during the course of the content by including, e.g., a datum that increments every frame or other increment of time (e.g., frame

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number, time stamp, etc.). A watermark detector can monitor the continued incrementing of this datum throughout the content to confirm that no part was garbled (which would destroy the watermark) or was otherwise missing. Again, at the end of delivery, the receiving system can issue a confirmation that XXX frames/seconds/etc. of the identified content were received.

One application of such technology is to bill for content based on receipt, rather than transmission. Moreover, billings can be adjusted based on percentage of content-value received. If delivery is interrupted mid-way through (e.g., by the consumer disabling the content-receiving device), the nominal billing for the content can be halved. Some prolonged content, e.g., televised/web-broadcast university classes, cannot be "consumed" in one session, and are thus particularly well suited for such pay-as-you-consume billing.

Another application of such technology is in advertising verification. Presently, ads are tracked by transmission or, less frequently, by detection of an embedded code on receipt (c.f., Nielsen Media Research's patents 5,850,249 and 5,737,025). However, such reception-detectors – once triggered – generally do not further note the length of time that the advertising was received, so the same data is produced regardless of whether only five or fifty seconds of a commercial is presented. Watermark monitoring as contemplated herein allows the duration of the advertising impression to be precisely tracked.

In one application of this technology, recipients of advertising are provided incentives for viewing advertising in its entirety. For example, a content-receiving device can include a watermark detector that issues a receipt for each advertisement that is heard/viewed in its entirety. These receipts can be redeemed, e.g., for content tokens as described elsewhere herein, for monetary value, etc. In some embodiments, receipts are generic and can all be applied to a desired premium, regardless of the advertisements through which they were earned. In other embodiments, the receipts are associated with the particular advertisers (or class of advertisers). Thus, a TV viewer who accumulates 50 receipts from advertising originating from Procter & Gamble may be able to redeem same for a coupon good for \$2.50 off any Procter & Gamble product, or receipts from Delta Airlines may be redeemed for Delta frequency flier miles (e.g., at a rate of one mile per minute of advertising). Such incentives are particularly useful in new forms of media that give the consumer enhanced opportunities to fast-forward or otherwise skip advertising.

(Although the foregoing "receipt" concept has been described in conjunction with watermark data (and use of watermark technology is believed to be inherently advantageous in this application), the same principles can likewise be implemented with ancillary data conveyed by other means.)

# Master Global Address

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As suggested above, it is desirable that each piece of content have a web address (the "Master Global Address" (MGA), or "Master IP Address") associated with it. Such address is typically conveyed with the content, e.g., by an IP address watermarked therein.

Consider a consumer who downloads a streaming video having an English language soundtrack. The viewer may not speak English, or may otherwise prefer to listen to the soundtrack in another language. The user can decode the watermark data embedded in the video and initiate a link to the associated web address. There the user is presented with a list of soundtracks for that content object in other languages. The viewer can click on the desired language and receive same via a second simultaneous transmission (e.g., a second socket channel). The consumer's audio/video appliance can substitute the desired audio track for the default English track.

If the streaming video and the alternative soundtrack are hosted on the same server, synchronization is straightforward. The process governing transmission of the alternative soundtrack identifies the process that is streaming video to the same IP address. Based on SMPTE, or other time/frame data, the former process syncs to the latter. (If the two data streams don't originate through the same server, time/frame data can be relayed as necessary to the alternative soundtrack server to effect synchronization.)

Another application of the Master Global Address is to serve as a point to which monitoring stations can report the presence, or passage, of content. Consider, for example, a copyright-aware node through which content signals pass, e.g., a computer node on a network, a satellite transponder, etc. Whenever the node detects passage of a media object (e.g., by reference to a file extension, such as MP3, JPG, AVI, etc.), it sends a "ping" over the internet to the address encoded in the object, simply reporting passage of the object. Similar monitoring facilities can be provided in end user computers, e.g., reporting FileOpen, FileSave, Printing, or other use of content bearing MGA data.

This system can be expanded to include "ping" and "pong" phases of operation. When a software application (or a user appliance, such as a video or audio playback device) encounters a media object (e.g., at time of file open, at time of playback, etc.), it pings the MGA site to report the encounter. The MGA site "pongs" back, responding with instructions appropriate to the encounter. For example, if the object requires payment of a fee before full functionality or access is to be granted, the MGA site can respond to the application with instructions that the object be used (e.g., played back) only in some crippled state preventing

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the user's full enjoyment (e.g., impaired resolution, or impaired sound quality, or excerpts only, etc.). The MGA site can also inform the user application of the terms (e.g., payment) by which full functionality can be obtained. The application can graphically or audibly present such information to the user, who can authorize a payment, if desired, so that the content can be enjoyed in a less- (or un-) crippled state. On receipt of the payment authorization, the MGA site can inform the user application that enhanced access/usage rights have been purchased, and that the application may proceed accordingly.

Yet another application of the MGA is to present the user of a content object a menu of options that is customized to that object.

In current graphical operating systems, when a user clicks on an icon (e.g., with the right mouse button), a menu is presented detailing actions that can be undertaken in connection with the icon, or the file represented thereby. Such options are pre-programmed (i.e., static), and are typically determined by the operating system based solely on the file extension.

In accordance with this aspect of the present invention, clicking on an icon representing a media object initiates an internet link to the MGA site associated with the object. The MGA site responds with data that is used to customize the menu of options presented to the user in connection with that particular object.

Consider an icon representing a JPG image file. Right-clicking on the icon may yield a menu that gives the user various options presented by the operating system (e.g., delete, compress, rename), and additional options customized in accordance with data from the object's MGA site. These customized options may include, e.g.,

- (a) open in 100x150 pixel format for free;
- (b) open in 480x640 pixel format for ten cents;
- (c) open in 960x1280 pixel format for twenty cents;
- (d) purchase rights to use this image in a newsletter having a circulation of under 1000 for \$1.25;
  - (e) display a complete listing of license options.

Clicking on options (b) or (c) initiates a commerce application through which funds are electronically transferred to the MGA site (by the above-described tokens or otherwise). In response, the MGA site responds (e.g., with TCP/IP or HTML instructions) authorizing an application on the user's computer to open the file in the requested manner. (The default application for JPG applications can then automatically be launched, or the computer may first query the user whether another application should be used instead.)

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Clicking on option (d) proceeds as above, and permits full use of the image on the computer. Moreover, the MGA site sends a digital certificate to the user's computer memorializing the usage rights purchased by the consumer.

In this particular arrangement, no access control is placed on the content, e.g., by encryption, secure container technology, or the like. The nominal fees, and the ease of licensing, make it simple for the user to "do the right thing" and avoid copyright liability. In other embodiments, of course, known access control techniques can be used to limit use of the object until the requisite payment has been made.

Naturally, records of all such transactions are also logged at the MGA site.

Clicking on option (e) opens a browser window on the user's computer to a web site that presents a complete listing of license options available for that image. (The address of this web site is included in customization data relayed to the user device from the MGA site, but not explicitly shown to the user on the menu.) Through such web site, the user can select desired rights, effect payment, and receive the necessary authorization for software applications on the user's computer (or other media appliance) to open and/or process the content.

The object on which the user "clicks" needn't be an icon. It can be an image or other graphical representation. (And a "click" isn't necessary; a voice command or other signal may be used to the same effect with an audio clip or selection.)

Consider the popular merchandising of books and CDs over the internet. A JPG or other image file depicting the cover of a book, or the artwork of a CD cover, can be treated as a media object, and can include a watermarked MGA pointer. Right-clicking on such an image of a book cover could, through the MGA site, present to the user a menu of options that includes — in addition to those normally presented in conjunction with a JPG file — the following:

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- (a) "See the review of this book published in the New York Times on April 19, 1999"
- (b) "See the list of reviews of this book at Amazon.com"
- (c) "Enter your own review of this book, for posting on Amazon.com"
- (d) "See today's sales rank of this book at Amazon.com"
- (e) "Purchase this book from Amazon.com for \$16.95"
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- (f) "Purchase this book from Barnesandnoble.com for \$19.95 and receive a \$5.00 credit towards your next purchase"
- (g) "Link to the web site that tells about the release of this title as a motion picture (presently scheduled to open on October 10, 1999)"
- (h) "Link to the Yahoo listing of web sites relating to this book"

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(i) "Search Lycos for listings relating to this book."

If the user selects one of the purchase options from the menu, a pre-stored e-commerce profile -- containing the user name, credit card number, billing address, ship to address, etc., possibly in the form of an encrypted object -- could be sent to the MGA site (or to the bookseller) to effect the purchase, or such selection could initiate display of additional screens or sub-menus through which the user would manually enter or select such information for transmission.

Others of the selections cause a new browser window to open on the user's computer, opening to a URL specified in data relayed from the MGA site but not displayed to the user in the menu. Appropriate HTML instructions can be generated to effect a particular query or other operation at the specified URL.

In some embodiments, the customized menu presents only a single choice in addition to those normally provided by the operating system, e.g., "Link to home." Clicking on this option opens a browser window to a home page at the MGA for that object. On that page, the user is presented with all of the foregoing options, and more (possibly including advertising graphics or multi-media). Such objects can serve as powerful marketing agents. Returning to the example discussed above, a JPG image file of a book cover may have, as its MGA, a web page hosted by a particular bookseller, providing purchase options and other information for that book. Marketing of books (or CDs, or cars, or consumer appliances, or virtually anything else) can be effected by disseminating such vendor-issued JPGs as widely as possible. Some book cover JPGs may be distributed by Amazon.com, others by Barnes&Noble.com, others by Borders.com – each pointing back to a different MGA through which purchase transactions for that book may be performed.

Returning to the MGA-customized menus, these needn't be limited to menus resulting from clicking on an icon or image (or signaling during an audio excerpt). Drop-down menus in application programs can likewise be populated with customized options, in accordance with customization data obtained from the MGA site for the object presently being accessed or used. Most graphical operating systems and application programs have well developed toolsets permitting such menu customization. Again, other data relayed from the MGA site is not shown to the user, but is employed by the computer (e.g., a browser program) to carry out menu options selected by the user.

Again the foregoing techniques are equally applicable for still images, audio, video, and other forms of content, and can readily be adapted for use both with general purpose computers, software applications, and specialized media appliances.

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While, for expository convenience, the foregoing discussion contemplated embedding a literal URL address in the object as the MGA, more typically this is not the case. Instead, the MGA more commonly comprises identification data for the object (e.g. a 128-bit random ID), together with the URL for a name server computer that serves many (perhaps millions) of such objects (an example of the latter is the Digimarc MarcCentre server).

To obtain the desired data as detailed above, the user's computer (sometimes termed a client computer) links to the name server computer and provides the ID of the object being processed. The name server computer uses this ID to query a database, and obtains from the database the current IP address to which such queries should be routed. The name server computer can relay the request from the client computer to the correct destination address, or can return the correct destination address to the client computer, which can initiate such a link itself. By such arrangement, the IP address ultimately associated with an object can be easily changed as needed, simply by changing the corresponding record in the name server database, without rendering obsolete legacy objects having out-of-date addresses encoded therein.

In some embodiments, the URL of the name server needn't be included in the watermark. In the absence of a specified URL, the client computer may direct such links to a default name server address instead (stored locally or remotely). If that server doesn't recognize the object ID, it can return an error code, or pass the query on to other name servers. Those servers, in turn, can pass the query along to still other name servers if they don't recognize the object ID. In this fashion, an exponentially-large number of name servers might be quickly polled for information relating to the identified object. Alternatively, rather than encoding the complete IP address of the name server in an object watermark, the first N (e.g., 16) bits of the object ID might be used as a short-hand for one of 65,536 predetermined name server addresses, in accordance with data stored locally (e.g., on RAM or disk in the user's computer) or remotely (e.g., at a default name server IP address).

While the basic concept idea behind embedding MGA data within an object is to point to a repository of data about the object, a pointer the other way may be achieved as well.

As noted, the "ping" application of MGA data permits an MGA site to be informed of sites through which its object passes. More generally, the MGA site can log the originating address of each query it receives. Each such address can be presumed to have (or have had) a copy of the corresponding object. Media owners can thereby track the dissemination of copies of their media objects – at least insofar as use of such objects entails communicating with the associated MGA site.

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Such tracking offers a great number of opportunities, some in the area of commerce. The MGA site corresponding to the cover art of a Garth Brooks CD, for example, can provide a listing of IP addresses of persons interested in that CD. Email or promotional data objects (e.g., audio clips) can be sent to that list of addresses when a subsequent Garth Brooks CD is released.

Such tracking also opens up a new dimension of internet searching. Presently, internet search engines use a brute force approach, visiting millions of pages across the web in order to identify, for example, a dozen instances of a given photograph file. MGAs offer a shortcut to such brute force approaches. With the present technology, a search engine can find a single instance of a photograph file and, by detection of the MGA data watermarked therein, link to the corresponding MGA site. From the MGA site, the search engine can obtain a listing (if such queries are authorized) of some or all of the other sites known by the MGA site to have copies of that photograph file. (Providing such data to search engines is a commerce opportunity for such MGA sites, which may permit such access to its listing of sites only in exchange for a fee. Or the MGA site may arrange to collect a tribute payment from the search engine proprietor each time the engine responds to a user query using data collected from the MGA site.)

Many of the addresses logged by the MGA may not be publicly-accessible data stores. The search engine can check each listed address to ensure that the desired object is present and accessible before adding the address to its database.

## Covert Tracing

Co-pending application 09/185,380 describes anti-counterfeiting technology that looks for the presence of digital data corresponding to bank note imagery in a computer system, and makes a covert record of any attempt to process such data (e.g., Scan, FileOpen, FileSave, Print, Edit, etc.). Such records are hidden from the user of the system (using, e.g., various data encryption and obscuring techniques), but authorized law enforcement officials are provided tools by which these records can be recovered. The forensic data thereby obtained may prove useful in prosecuting counterfeiters. (Knowledge that a computer may be covertly storing evidence of attempted counterfeiting actions may prove as, or more, valuable in deterring counterfeiting than the covert records themselves.)

The same techniques can be employed to deter unauthorized processing of audio, image, video, or content by media pirates. In one embodiment, a computer's operating system (including peripheral device drivers) monitors various data within the system (e.g., data sent to

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writeable storage media, or sent via a serial port or network connection, etc.) for data bearing a do-not-copy watermark. The presence of such data being sent, e.g., to a writeable disk or to a remote computer, indicates that the do-not-copy instruction has been circumvented. In such case, the operating system writes one or more covert records memorializing the activity, for possible use in criminal prosecution if the computer is lawfully seized.

The example just-provided is but one of many monitoring and response techniques that may be employed to deter circumvention of copy-protection or other access control systems. Generally speaking, if content data is found where it shouldn't be, or is found used as it shouldn't be used, a corresponding record should be made. (Other intervention actions can be triggered as well; covert tracing is desirably just one of several parallel responses to suspected hacking.)

## Meta-Data Accessed Using Watermarks

Meta-data, in formats known as XML, SGML, and HTML, is widely used to communicate information about digital objects (e.g., author, keywords, price, rights, caption, etc.). More generally, meta-data can be thought of as any data construct which associates the name of a property (e.g., "author), with the value of the property (e.g., "Mark Twain"). Such data commonly appears in a tag format, such as the following:

### <META NAME="author" CONTENT="Mark Twain">

Meta-data is commonly exchanged between server and client computers in conjunction with the digital objects to which they relate (e.g., the text of a Mark Twain book).

As detailed herein, an important application of watermarking is likewise to convey information about media — in this case embedded within the media content itself (e.g., providing unique identification, establishing some basic behaviors such as do not copy, and providing links to extended functionality).

For meta-data to be useful, it must be linked to associated content, whether in the context of a browser, application program, operating system, asset management system, search engine, etc. However, as detailed below, the content and the associated meta-tags needn't always be conveyed together.

Consider an application program or other client process that receives a watermarked media object. The watermark includes an MGA for that object (which, as noted above, may not specify an ultimate IP address). Stored at the MGA site is meta-data corresponding to the object. By linking to the MGA site identified by the object's watermark, the client computer

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can obtain the meta-data corresponding to the object. This data can be stored at the client computer and used just as any other meta-data, e.g., to define the local functions that should be available for use with that object (e.g., buy, search, etc.)

A particular example is an on-line catalog of stock photography. Each photograph is watermarked with MGA data. To identify the photographer, copyright date, price, telephone number, subject, etc., an application program can link to the MGA site for that photograph, and obtain the corresponding meta-data. This data can then be displayed or used as needed. Data objects of disparate formats thus can readily be handled within a single, simple application program, since the program needn't concern itself with the varying formats for the associated meta-data (assuming the name servers provide this data in standardized format). Substantial flexibility in programming and object formatting is thereby achieved.

Returning to the internet search engine example described above, MGAs may become recognized as repositories rich in meta-data for media objects. Specialized search engines may focus their data collection around such sites, and be able to quickly identify the MGA sites corresponding to various boolean combinations of meta-tag parameters.

#### Asset Management/Containers

Much has been written on the topic of asset rights management. Sample patent documents include U.S. Patents 5,892,900, 5,715,403, 5,638,443, 5,634,012, 5,629,980 and laid-open European application EP 862,318. Much of the technical work is memorialized in journal articles, which can be identified by searching for relevant company names and trademarks such as IBM's Cryptolope system, Portland Software's ZipLock system, the Rights Exchange service by Softbank Net Solutions, and the DigiBox system from InterTrust Technologies.

An exemplary asset management system makes content available (e.g. from a web server, or on a new computer's hard disk) in encrypted form. Associated with the encrypted content is data identifying the content (e.g. a preview) and data specifying various rights associated with the content. If a user wants to make fuller use of the content, the user provides a charge authorization (e.g. a credit card) to the distributor, who then provides a decryption key, allowing access to the content. (Such systems are often realized using object-based technology. In such systems, the content is commonly said to be distributed in a "secure container.")

Desirably, the content should be marked (personalized/serialized) so that any illicit use of the content (after decryption) can be tracked. This marking can be performed with watermarking, which assures that the mark travels with the content wherever -- and in whatever

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form — it may go. The watermarking can be effected by the distributor — prior to dissemination of the encrypted object — such as by encoding a UID that is associated in a database with that particular container. When access rights are granted to that container, the database record can be updated to reflect the purchaser, the purchase date, the rights granted, etc. An alternative is to include a watermark encoder in the software tool used to access (e.g. decrypt) the content. Such an encoder can embed watermark data in the content as it is released from the secure container, before it is provided to the user. The embedded data can include a UID. This UID can be assigned by the distributor prior to disseminating the container. Alternatively, the UID can be a data string not known or created until access rights have been granted. In addition to the UID, the watermark can include other data not known to the distributor, e.g. information specific to the time(s) and manner(s) of accessing the content.

As noted earlier, access rights systems can be realized with watermarks without containers etc. For example, in a trusting world, copyrighted works can be freely available on the web. If a user wishes to make lawful use of the work, the user can decode its watermark to determine the work's terms and conditions of use. This may entail linking to a web site specified by the embedded watermark (directly, or through an intermediate database), which specifies the desired information. The user can then arrange the necessary payment, and use the item knowing that the necessary rights have been secured.

#### 20 Remote Reconfiguration of Watermark Detectors

In some cases, it is desirable to reconfigure watermark detectors remotely. Such functionality is desirable, for example, if a watermark system is hacked or otherwise compromised.

In accordance with this aspect of the present invention, some aspect of a watermark detector's operation is changed in response to a command. The change can take various forms. In watermark systems employing pseudo-random key data (e.g., spread spectrum spreading signals), the pseudo-random signal used for detection can be changed. In systems using DFT processing, the mapping between message bits and DFT coefficients can be changed. In still other systems, the decoding can proceed as before, but the significance of one or more bits can be changed (e.g., bits that were normally interpreted as defining Field A can be interpreted as defining Field B, and vice versa). In yet other systems, the decoding can proceed as before, but the response of a device to a given watermark signal can be changed. In still other systems, a set of software instructions can be re-written or re-ordered to effect a change in detector operation.

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The command can be conveyed in various ways. In one embodiment, it can be a trigger bit in the watermark payload. Normally the bit has a value of "0." If the bit has a value of "1," the detector system responds by changing its operation. A trigger pattern can also be established, so that detection of a certain combination of bits in the watermark payload serves to trigger the change. Reserved states of certain data fields are examples of patterns that might be employed.

The command can also be conveyed through another channel different than the watermark channel (e.g., an SCA channel of an FM broadcast, or the sub-titling data channel of video broadcasts, or header data within an MPEG data stream, etc., etc.).

The change can proceed in accordance with a pre-programmed rule (e.g., codes progressing successively through a numerically or algorithmically-determined progression), or the change can proceed in accordance with data specified elsewhere in the payload of the watermark bearing the trigger bit (e.g., instead of being interpreted in normal fashion, the non-trigger bits of the detected watermark can define a new pseudo-random key data. Or the change can proceed in accordance with data conveyed in successively-presented watermark payloads, as might be done in video encoding where each frame of video can convey further watermark information. (This latter arrangement is one offering a high-bandwidth reprogramming channel through which, e.g., extensive firmware instructions might be transferred to the detector to replace instructions earlier stored.)

By such arrangements, greatly increased detector versatility and functionality can be achieved.

# Concluding Remarks

Many diverse embodiments are reviewed above – each with a unique set of features. (Still others are disclosed in the assignee's patents incorporated by reference.) To provide a comprehensive disclosure without unduly lengthening the specification, applicants incorporate by reference the patents and patent applications referenced above.

This specification should be construed as explicitly teaching that features illustrated in one such embodiment can generally be used in other embodiments as well. Thus, for example, a date field was not particularly discussed in connection with payload data for video watermarking. Nor were "play once" watermarks so-considered. The inclusion of a calibration signal with (or as part of) the watermark is shown in embodiments of the issued patents, but is not belabored in the above-described embodiments. Likewise with "simple universal codes." The pre-stored commerce profile described in one of the foregoing embodiments is equally

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applicable to other embodiments as well. Likewise, the presentation of advertising was discussed in connection with one embodiment but not others, although it, too, is generally applicable. All of these concepts are familiar at Digimarc and are regarded as generally applicable throughout the work expressed in Digimarc's patent disclosures. Practicality prevents an exhaustive recitation of each individual permutation and combination.

Having described and illustrated the principles of our invention with reference to illustrative embodiments, it will be apparent that the detailed arrangements can be modified in arrangement and detail without departing from such principles.

For example, while reference has been made to various uses of wireless, it should be understood that such reference does not just cover FM broadcast, and wireless internet networking and the like, but also includes other wireless mechanisms. Examples include cell phones and direct satellite broadcast.

Likewise, while certain embodiments were illustrated with a watermark payload of 100+ bits, in other systems much smaller (or sometimes larger) payloads are desirable – sometimes as small as 1-8 bits.

While the foregoing examples have each been illustrated with reference to a particular media type (e.g., video, audio, etc.), it will be recognized that the principles of each embodiment find application with the other media types as well.

Certain of the appliances contemplated above require user interfaces more sophisticated than are presently typical on such devices. The simplicity of the underlying audio appliance can be preserved, in many instances, by using a palmtop computer – coupled by infrared or otherwise – as a temporary user interface to the appliance. Some of the processing capability can likewise be off-loaded to an ancillary palmtop. (Palmtop is here meant to refer generally to any pocket-size programmable computing device.)

Unless otherwise stated, it should be understood that the digital music, video, and imagery contemplated herein is not of any particular form or format. Audio, for example, can be of various forms, both streaming and non-streaming, and of various formats (e.g. MP3, MP4, MS Audio, Windows Media Technologies, RealAudio, *.WAV, MIDI, Csound, Dolby's Advanced Audio Codec (AAC), etc.

Having described and illustrated the principles of the invention with reference to illustrative embodiments, it should be recognized that the invention is not so limited.

For example, while digital watermarking typically does not leave any human-apparent evidence of alteration or data representation, certain of the foregoing applications do not require this. The markings used may be visible, and even conspicuous, without impairing

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essential functionality. Thus, bar codes, data glyphs, OCR markings, and other machinereadable indicia may be substituted, depending on the particular application requirements.

While the detailed embodiments were generally described with reference to desktop computers, it is recognized that such devices will increasingly be supplanted by other digital appliances, including general purpose personal digital assistants, multifunction cell phones, and specialized devices. Moreover, the power and utility of the above-detailed embodiments and devices can be further enhanced by employing various wireless communications technologies, including the evolving Bluetooth standard.

The implementation of the watermark encoding and decoding systems is straightforward to artisans in the field, and thus not belabored here. Conventionally, such technology is implemented by suitable software, stored in long term memory (e.g., disk, ROM, etc.), and transferred to temporary memory (e.g., RAM) for execution on an associated CPU. In other implementations, the functionality can be achieved by dedicated hardware, or by a combination of hardware and software. Reprogrammable logic, including FPGAs, can advantageously be employed in certain implementations.

It should be recognized that the particular combinations of elements and features in the above-detailed embodiments are exemplary only; the interchanging and substitution of these teachings with other teachings in this and the incorporated-by-reference patents/applications are also contemplated.

In view of the wide variety of embodiments to which the principles and features discussed above can be applied, it should be apparent that the detailed embodiments are illustrative only and should not be taken as limiting the scope of the invention. Rather, we claim as our invention all such modifications as may come within the scope and spirit of the following claims and equivalents thereof.

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#### WE CLAIM

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1. A method comprising:

encoding digital source material to steganographically convey plural-bit auxiliary data; passing the encoded source material to a destination through at least one intervening computer;

at said intervening computer, detecting encoded source material transmitted thereby; and

crediting a payment in response to said detection of the encoded source material, in accordance with the plural-bit auxiliary data steganographically conveyed by the encoded source material.

- The method of claim 1 which includes decoding plural-bit auxiliary data only from source material that has first been tested to indicate the likely presence of such auxiliary data therein.
  - 3. The method of claim 2 which includes testing source material by reference to an encoding attribute that is supplemental to said encoded plural-bit auxiliary data.
- 20 4. The method of claim 3 in which said attribute is the presence of a characteristic signature signal conveyed by said source material.
  - 5. The method of claim 4 in which the signature signal is a repetitive noise burst signal.

- 6. The method of claim 1 in which said transmitting includes distributing through a network of interconnected computers.
- 7. The method of claim 1
   30 reporting said detection to a location remote from detection; and crediting royalties based on detection.

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#### 8. A method comprising:

presenting audio source material to a consumer, the material being encoded steganographically to convey plural-bit auxiliary data;

decoding the audio source material that is presented to the consumer to decode the 5 auxiliary data therefrom; and

using the plural-bit auxiliary data to retrieve information about the source material from a remote location.

- 9. The method of claim 8 that includes:
- 10 storing data indicating the audio source material(s) presented to the consumer; generating a report based on the stored data, indicating the audio source material(s) presented to the consumer.
- 10. The method of claim 8 which includes detecting the presented audio source 15 material with a microphone, and decoding the auxiliary data from a microphone output signal.
  - 11. A method comprising:

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receiving an object steganographically encoded with plural-bit auxiliary data; decoding the plural-bit auxiliary data from the object;

- 20 consulting a registry to determine a proprietor of the object, by reference to said decoded plural-bit auxiliary data; and making a payment to said proprietor.
- 12. The method of claim 11 that includes making said payment through the 25 registry.
  - 13. The method of claim 11 in which the object is a work of authorship, and the encoding adds a generally imperceptible level of noise to the object as it is perceived by a consumer thereof.

14. The method of claim 11 in which the registry comprises a database accessible through the internet.

15. A method of encoding a digital object, comprising:

encoding the object with a first information signal, said first information signal having relatively small information content, but permitting rapid decoding; and

encoding the object with a second information signal, said second information signal conveying more information content than the first information signal, requiring relatively more time to decode; wherein the first and second information signals comprise at least one watermark embedded in the digital object.

- 16. The method of claim 15 in which the first information signal is a signal indicating to decoding equipment that the object is not to be copied, and the second information signal is a signal conveying information relating to ownership of the object.
  - 17. The method of claim 15 in which:

the digital object is a digital representation of music; and

- 15 the first information signal is a repetitive signal that is conveyed at a low level within said music.
  - 18. The method of claim 15 in which the first and second signals are independent of each other.

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- 19. The method of claim 15 in which the first and second signals are aspects of a combined watermark signal.
- 20. A method of processing an object that has been steganographically encodedwith first and second information signals, the method comprising:

decoding from the object the first information signal;

controlling an operation of an apparatus in accordance with the decoded first information signal; and

decoding from the object the second information signal, wherein the second information signal conveys a master global address.

21. A method of encoding audio with a marker signal indicating a master global address used to link to a web site, wherein the marker signal is characterized by being in-band and repetitive.

- 22. A method comprising:
- reading payload data from a watermark on a physical object using a device; and using the payload data read by the device in connection with a commercial transaction involving music related to said object.
  - 23. The method of claim 22 in which the object is a poster having artwork thereon.
- 24. The method of claim 22 in which the object is a storage medium having a music video recorded thereon.
  - 25. The method of claim 22 in which the device is a handheld, battery powered device.
- 26. A method of altering music data to steganographically insert plural bits of watermark data therein, characterized by inserting a first group of said bits for benefit of an end-user of the music data, inserting a second group of bits different than the first for benefit of an artist whose music is encoded by said music data, and inserting a third group of bits different than the first two for benefit of a distributor of the music data.

- 27. The method of claim 26 in which the first group of bits represents an internet address of a web site that may be accessed by end-users of the music data.
- The method of claim 26 in which the second group of bits includes bits
   representing a unique identifier for the music data, permitting machine identification of the data and royalty credit to the artist.
  - 29. The method of claim 26 in which the third group of bits represents usage restrictions to which audio appliances are responsive, thereby driving distribution of additional copies of the music data.

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- 30. A media object clearinghouse system comprising:
- a media object clearinghouse operable to transfer a media object electronically;
- a watermark decoder in communication with a media object receiver to receive a media object signal and operable to decode a watermark from the media object signal identifying the media object; and

a transmitter in communication with the decoder for receiving a media object identifier derived from the watermark and for transmitting the media object identifier and a user identifier to the clearinghouse;

wherein the media object clearinghouse is operable to identify the media object based on the media object identifier and the user based on the user identifier and electronically transfer a copy of the media object to a predetermined location associated with the user.

31. The media object clearinghouse of claim 30 wherein the predetermined location is a computer of the user.

32. The system of claim 30 wherein the clearinghouse is operable to determine a fee based at least in part on the media object identifier and to credit an account of the user with the fee for the copy of the media object.

- 33. The system of claim 30 wherein the predetermined location is a website, and the copy is accessible to the user at the website via a user-set password.
  - 34. The system of claim 30 wherein the predetermined location is a personal library of the user that is consolidated with libraries of other users in a central location.

35. The system of claim 30 wherein the predetermined location is a personal library of the user.

- 36. The system of claim 35 wherein the clearinghouse and the personal library are connected via an internet connection and the personal library receives the copy from the clearinghouse over the internet connection.
- 37. The system of claim 35 wherein the personal library is operable to receive the copy of the media object from the clearinghouse via a wireless broadcast.

- 38. The system of claim 35 wherein the personal library provides the copy to a playback device by a wireless broadcast.
- 5 39. The system of claim 30 wherein the watermark includes a key to information about the media object, and the key is used to look up information about the media object.
  - 40. The system of claim 39 wherein the information about the media object is presented to a user through the media object receiver.

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- 41. The system of claim 40 wherein the information is stored in a device including the media object receiver, and the information is updated from a remote source.
- 42. The system of claim 30 wherein the media object receiver includes a user interface that enables a user to select a media object for watermark decoding and that presents information to the user about the media object derived from the watermark.
  - 43. The system of claim 30 wherein the media object receiver includes a user interface that enables a user to select a media object for watermark decoding and that enables the user to instruct the clearinghouse to send a copy of the selected media object to another user.
  - 44. The system of claim 30 wherein the media object receiver includes a user interface that enables a user to select a media object for watermark decoding and that enables the user to query a database for related information about the selected media object using data derived from the watermark.
  - 45. The system of claim 44 wherein the user interface is operable to present the related information to the user.
- 46. The system of claim 30 wherein the media object is a song and the receiver is a radio operable to receive the song via a radio broadcast.
  - 47. The system of claim 30 wherein the media object is a song and the receiver is an audio player that receives the media object via a computer network.

48. A media object clearinghouse method comprising:
receiving a media object from a broadcast or electronic transfer;
decoding a watermark from the media object;
deriving a media object identifier from the watermark;
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transmitting the media object identifier and a user identifier to a clearinghouse; in the clearinghouse, identifying the media object based on the media object identifier and the user based on the user identifier and electronically transferring a copy of the media object to a predetermined location associated with the user.

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49. The method of claim 48 including:

in the clearinghouse, charging a user account associated with the user identifier with a fee for the copy.

15 50. A method for linking an audio object with additional information or actions related to the audio object comprising:

decoding a watermark from the media object;

deriving a master global address from the watermark;

connecting to a remote device and retrieving additional information associated with the audio object based on the master global address.

51. The method of claim 50 including:

retrieving information about the audio object from a web server linked to the audio object through the master global address.

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- 52. The method of claim 50 including retrieving menu options about the audio object from a remote device based on the master global address.
- 53. The method of claim 52 wherein the menu options are responsive to user input to control use, rendering or playback of the audio object.
  - 54. The method of claim 52 wherein the menu options are responsive to user input to initiate electronic payment for the audio object.

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- 55. The method of claim 52 wherein the menu options are combined with standard menu options for a file type associated with the audio object.
- 56. The method of claim 50 including retrieving instructions governing use of theaudio object.
  - 57. The method of claim 50 including initiating an electronic commercial transaction relating to the audio object.
- 10 58. The method of claim 50 including: using the master global address to query a server, which in turn looks up an address of a second device to which the query is to be routed.
- 59. The method of claim 58 wherein the second device returns information related to15 the audio object.
  - 60. The method of claim 59 wherein the information returned by the second device includes a web page.
- 20 61. An electronic money method comprising:

establishing secret data, and storing same in a repository accessible by a first party, the secret data having a monetary value ascribed thereto;

sharing the secret data with a second party in exchange for a fee;

providing the secret data from the second party to a third party in a commercial transaction:

forwarding the secret data from the third party to the first party to determine whether the secret data is valid, said determination being made by reference to the repository; and

if the secret data is determined to be valid, crediting the third party with the monetary value.

62. The method of claim 61 in which the

62. The method of claim 61 in which the secret data is a pseudo-random series of binary bits, the first party is a bank, and the repository is a bank computer.

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63. In a method of providing media from a repository to a consumer over the internet, an improvement comprising:

permitting the consumer to specify whether the media is to include commercials or not; charging the consumer a first fee for providing the media with commercials; and charging the consumer a second fee higher than the first fee for providing the media without the commercials.

- 64. The method of claim 63 in which the media is video that was earlier broadcast and is archived for internet distribution.
- 65. A method for internet distribution of video comprising: displaying to a consumer a listing of video titles; receiving a signal indicative of a video title selected by the user; exchanging a fee;
- 15 watermarking the video on-the-fly; and transmitting the video to the consumer.
  - 66. The method of claim 65 in which the watermarking includes watermarking the video with at least one data from the list comprising: an identifier of the date, an identifier of an internet site from which the selected video is provided, an identifier of the consumer, and an identifier of an internet address to which the selected video is transmitted.
  - 67. The method of claim 66 which includes watermarking the video with at least two data from said list.
  - 68. The method of claim 66 which includes watermarking the video with at least three data from said list.
- 69. The method of claim 66 which includes watermarking the video with all four data
   30 from said list.
  - 70. A method of tracing unauthorized media objects comprising: monitoring processing of media objects in a device;

decoding watermarks embedded in the media objects to determine unauthorized processing of the media objects in the device; and

writing a covert record memorializing unauthorized use of a media object.

5 71. A method of linking a media object with meta data associated with the object comprising:

decoding a watermark embedded in the media object; and using information in the watermark to fetch meta data associated with media object from a remote site.

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72. A method for reconfiguring a watermark detector comprising:

decoding a watermark embedded in a signal of a media type, where the watermark includes a command signal used to trigger a change in operation of the watermark detector;

based on the command signal, changing operation of the watermark detector, including changing how the watermark detector decodes or interprets a watermark in a signal of the media type.

73. The method of claim 72 wherein the command signal is represented as one or more bits in a payload of the watermark.

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- 74. The method of claim 72 wherein changing operation of the watermark detector comprises re-programming the watermark detector.
- 75. The method of claim 74 wherein changing operation of the watermark detector includes transferring instructions from a remote location to the detector to replace instructions stored earlier in the detector.
  - 76. The method of claim 72 wherein changing operation of the watermark detector includes changing the watermark detector in accordance with a preprogrammed rule in the watermark detector.
  - 77. The method of claim 76 wherein the preprogrammed rule defines a change in a watermark key.

- 78. The method of claim 72 wherein changing operation of the watermark detector includes changing a watermark key used to decode a watermark.
- 79. The method of claim 78 wherein the watermark key comprises pseudo-random5 key data.
  - 80. The method of claim 72 wherein changing the operation of the watermark detector includes changing how the detector interprets one or more bits of a watermark payload.
- 10 81. The method of claim 72 wherein changing the operation of the watermark detector includes changing a response of a device to a watermark signal.
  - 82. The method of claim 72 wherein the command signal comprises a combination of bits of a watermark payload.

- 83. The method of claim 72 including:
- changing the operation of the watermark detector with data provided in a watermark payload.
- 20 84. The method of claim 83 wherein the command signal comprises a one or more bit trigger in the watermark payload.
  - 85. The method of claim 83 wherein the data comprises a watermark key in the watermark payload.

- 86. The method of claim 85 wherein the watermark key comprises pseudo-random key data.
- 87. The method of claim 83 wherein successively-presented watermark payloads in the30 signal provide the data used to change the operation of the watermark detector.
  - 88. A method for reconfiguring a watermark detector comprising: receiving a media object and a command associated with the media object signaling that the watermark detector requires an upgrade to decode a watermark from the media object;

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in response to the command, updating the watermark detector to create an updated watermark detector; and

decoding the watermark from the media object with the updated watermark detector.

- 5 89. The method of claim 88 wherein the command is embedded into the media object in a watermark.
  - 90. The method of claim 88 wherein the command is conveyed in channel different than a watermark channel in the media object, and the channel conveying the command is transmitted along with the media object.
  - 91. The method of claim 90 wherein the command is conveyed in a header of a file including the media object.
- 92. A re-programmable watermark detector comprising: a watermark decoder for detecting a command to upgrade the detector; and detector instructions that are replaceable in response to detecting the command to upgrade the detector.
- 20 93. The detector of claim 92 wherein new instructions are conveyed to the watermark detector along with a media object.
  - 94. The detector of claim 93 wherein the new instructions are conveyed in a watermark payload to the watermark decoder for decoding.

95. The detector of claim 93 wherein the detector decodes watermarks embedded in media objects of a media type, and the new instructions are conveyed along with at least one media object of the media type in a channel different than a watermark embedded in the at least one media object.

- 96. The detector of claim 95 wherein the new instructions are provided in a media object file that includes a media object of the media type.
  - 97. The detector of claim 96 wherein the media object comprises an image signal.

- 98. The detector of claim 96 wherein the media object comprises an audio signal.
- 99. A method of an encoding an upgrade trigger in a watermark comprising: receiving a media object of a given media type;

encoding a watermark into the media object, where the watermark includes a command signal used to trigger a change in operation of a watermark detector, the change being operable to change how the watermark detector decodes or interprets a watermark in a signal of the media type.

10

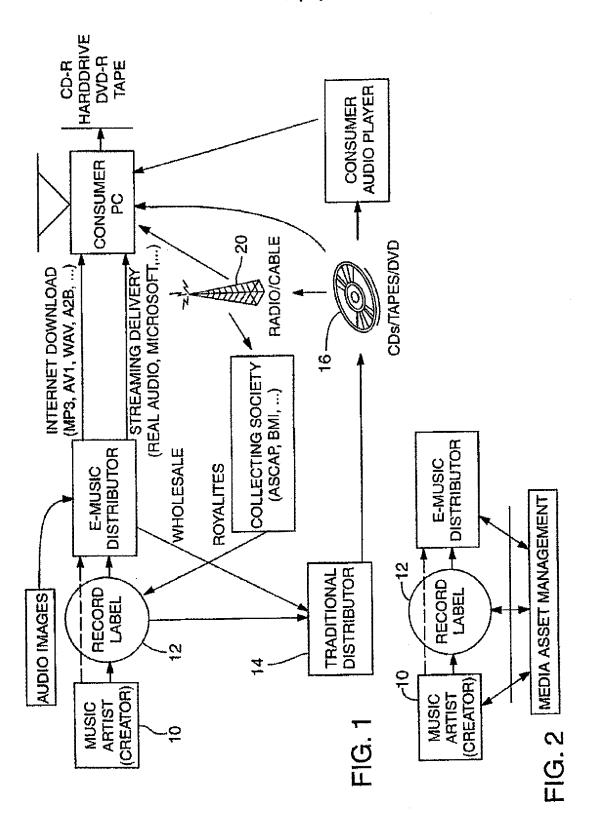
5

- 100. The method of claim 99 including encoding the command in a payload of the watermark.
- 101. The method of claim 99 including encoding one or more instructions in thepayload of the watermark.
  - 102. The method of claim 99 including:

    transmitting the encoded media object to one or more watermark detectors from a location remote to the one or more watermark detectors.

20

- 103. A method for encoding a media object comprising: encoding a watermark into the media object; and encrypting the media object;
- wherein the watermark includes information used to track the media object after being decrypted.
  - 104. A method for encoding a media object comprising: decrypting the media object from a secure container; after decrypting the media object, encoding a watermark into the media object.
- 30 105. The method of claim 104 wherein the watermark includes a data string not know or created until access rights have been granted to the secure container.
  - 106. The method of claim 105 wherein the data string includes information specific to a time or manner of accessing the media object.



# INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/13798

A. CLASSIFICATION OF SUBJECT MATTER  IPC(7) :G06F 17/60  US CL :705/52  According to International Patent Classification (IPC) or to both national classification and IPC									
	DS SEARCHED	i national classification and IPC							
<del></del>	locumentation searched (classification system follower	ed by placeification emphale)							
U.S. :		to by Classification symbols)							
Doguesania	tion are solved orders there minimum degree exterior to the	avinet that exch decrements are implicated i	n the fields seemskad						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched									
Electronic o	data base consulted during the international search (no	ame of data base and, where practicable.	search terms used)						
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST, Dialog, IBM Bulletin, EPO, IPO									
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.						
Y	US 5,613,004 A (COOPERMAN ET col 4, lines 10-15, col 7, lines 50-51, col 23	1-106							
Y	US 5,710,834 A (RHOADS) 20 JAN lines 42-67, col 53, lines 42-43, col 65 27-29	1-106							
Υ	US 5,822,432 A (MOSKOWITZ ET AL) 13 OCTOBER 1998, abstract, lines 1-4, 17-23, col 3, line 15-col 4, lines 1-56, col 7, line 44-col 9, lines 1-28, col 9, line 29-col 10, line 30								
Purth	er documents are listed in the continuation of Box C	. See patent family annex.							
• Spe	ecial wategories of cited documents	"I" fater document published after the inte							
	cument defining the general state of the art which is not considered be of particular relevance	date and not in conflict with the appli- the principle of theory underlying the							
¹Ê° ear	ed to involve an inventive step								
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	could reason tax specified: cument teletring to an oral disclosure, use, exhibition or other one	"Y" document of particular relevance, the considered to sixulve an inventive combined with one or more other such home obvious to a person skilled or it.	step when the document is documents, such combination						
*P* doc	pument published prior to the international filing date but later than priority date claimed	being obvious to a person skilled in the art "&" document member of the same patent family							
Date of the actual completion of the international search  Date of mailing of the international search report									
27 JULY	2000	14 AUG 2000							
Name and mailing address of the ISA/US		Authorized officer							
Box PCT	ner of Patents and Trademarks	TOD SWANN Rugenia Jagan Telephone No. (703) 88-779							
-	n. D.C. 20231 in (703) 305-3230	Telephone No. (703) 302-779							

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Electronic Patent Application Fee Transmittal							
Application Number:	12015320						
Filing Date:	16	16-Jan-2008					
Title of Invention:		Method for Managing Media					
First Named Inventor/Applicant Name:	Russell W. White						
Filer:	Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number:	AFF.0004C5US						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	180			

Electronic Acknowledgement Receipt					
EFS ID:	7120006				
Application Number:	12015320				
International Application Number:					
Confirmation Number:	2156				
Title of Invention:	Method for Managing Media				
First Named Inventor/Applicant Name:	Russell W. White				
Customer Number:	21906				
Filer:	Mark J. Rozman/Stephanie Petreas				
Filer Authorized By:	Mark J. Rozman				
Attorney Docket Number:	AFF.0004C5US				
Receipt Date:	02-MAR-2010				
Filing Date:	16-JAN-2008				
Time Stamp:	11:57:51				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	10534
Deposit Account	201504
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3	NPL Documents	AFF004NPLNokia9110Pt1.pdf	2422064	no	95
3	W E Documents	ATTOO THE ENORMALE TO CT. par	75d19a6e1ab6acbb9ca9edd0c5e7a4a1de2 48988	110	95
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6	NPL Documents	AFF004NPLSonyPCGPt2.pdf	d53374d534415601b99a6c09c49b42f85e5 fe72f	no	82
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7	NPL Documents	AFF004NPLSierraWireless.pdf	220f027fadae0f61b51504ca9ab05a4a5d1b f0bb	no	2
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8	NPL Documents	AFF004NPLMusicMatch.pdf	c879a520d1178ec69d8fe4f5062d3aa74fed 6972	no	32
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15	NPL Documents	AFF004NPLBluetoothCorePt1.	3550241	no	109
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			Samsung Ex.	. 1320 p. 2	91

18	NPL Documents	AFF004NPLBluetoothCorePt4.	3390100	no	104
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		12015320
	Filing Date		2008-01-16
INFORMATION DISCLOSURE	First Named Inventor	Russe	ell White, et al.
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1,99)	Art Unit	•	2617
(Not lot Submission under 67 of 10 1.50)	Examiner Name	Erika	A. Gary
	Attorney Docket Numb	er	AFF.004C5US

	U.S.PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1	5974333		1999-10-26	Chen				
	2	6418330		2002-07-09	Samsung				
	3	6587127		2003-07-01	Leeke, et al.				
	4	7123936		2006-10-17	Rydbeck, et al.				
	5	6177950		2001-01-23	Robb				
	6	6510325		2003-01-21	Mack, II, et al.				
	7	62788842		2001-08-21	Kim				
	8	7339993		2008-03-04	Brooks				

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number | 12015320 Filing Date | 2008-01-16 First Named Inventor | Russell White, et al. Art Unit | 2617 Examiner Name | Erika A. Gary Attorney Docket Number | AFF.004C5US

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	9	6917923		2005-12-06	Dimenstein	
	10	6157619		2000-12-05	Ozluturk	
	11	6609105		2003-08-19	Van Zoest, et al.	
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	13	7444353		2008-10-28	Chen	
	14	5963916		1999-10-05	Kaplan	
	15	5956651		1999-09-21	Willkie	
If you wish	to ac	ld additional U.S. Paten	t citatio	n information pl	ease click the Add button.	
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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If you wish	n to ac	ld additional U.S. Publis	hed Ap	plication citation	n information please click the Add	d button,

FOREIGN PATENT DOCUMENTS

Application Number		12015320
Filing Date		2008-01-16
First Named Inventor Russe		ell White, et al.
Art Unit		2617
Examiner Name Erika		A. Gary
Attorney Docket Number		AFF.004C5US

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code²i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	WO 00/70523	wo		2000-11-23	Digimarc Corporation		
If you wisl	n to ac	ı dd additional Foreign F	atent Docume	nt citation	information pl	I lease click the Add butto	<u>l</u> ⊮n	<u> </u>
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Examiner Initials*	Cite No		nal, serial, syn	nposium,	catalog, etc), o	the article (when approp date, pages(s), volume-i		<b>T</b> 5
	1	Nokia 9110 Communica	itor User Manual	l, Copyrigh	t 1999.			
Sony, "Sony Notebook Computer User Guide PCG-717/719," User Guide, 1997.								
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Application Number		12015320
Filing Date		2008-01-16
First Named Inventor	Russe	ell White, et al.
Art Unit		2617
Examiner Name Erika		A. Gary
Attorney Docket Number		AFF.004C5US

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Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor	Russe	ell White, et al.	
Art Unit		2617	 $\neg$
Examiner Name	Erika	A. Gary	
Attorney Docket Number		AFF.004C5US	

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	12015320
	2008-01-16
Russe	ell White, et al.
	2617
Erika	A. Gary
er	AFF.004C5US
	Erika

	CERTIFICATION STATEMENT										
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	foreign patent of after making re any individual	office in a counterpart foreign a asonable inquiry, no item of info	opplication, and, to the knowledge formation contained in the informat	was cited in a communication from e of the person signing the certificatio tion disclosure statement was known the filing of the information disclosur	n to						
	See attached c	ertification statement.									
$\square$	Fee set forth in	37 CFR 1.17 (p) has been subn	nitted herewith.								
	None										
	ignature of the a		SIGNATURE uired in accordance with CFR 1.33	3, 10.18. Please see CFR 1.4(d) for the	∋						
Sign	nature	/Mark J. Rozman/	Date (YYYY-MM-D	DD) 2010-03-02							
Nar	ne/Print	Mark J. Rozman	Registration Numb	er 42117							
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Electronic Ac	knowledgement Receipt
EFS ID:	7120289
Application Number:	12015320
International Application Number:	
Confirmation Number:	2156
Title of Invention:	Method for Managing Media
First Named Inventor/Applicant Name:	Russell W. White
Customer Number:	21906
Filer:	Mark J. Rozman/Stephanie Petreas
Filer Authorized By:	Mark J. Rozman
Attorney Docket Number:	AFF.0004C5US
Receipt Date:	02-MAR-2010
Filing Date:	16-JAN-2008
Time Stamp:	12:20:20
Application Type:	Utility under 35 USC 111(a)

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5USIDSPart2TOFILE.	132445	6	
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11	NPL Documents	AFF004NPLRealPlayer.pdf	4517698	no	125
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12	NPL Documents	AFF004NPLSoundJam.pdf	1884767	no	56
			97b578f5d4651244d7bfd725495f397e709 a839e		
Warnings:			•		
Information:					
13	NPL Documents	AFF004NPLiTunes.pdf	747447	no	10
			7b60574754768dcd396bde501d3fad245d b6b5fb		
Warnings:					
Information:					
14	NPL Documents	AFF004NPLCaras Mobile Media.	372790	no	5
		pdf	944bfc882f574e4e45e99bd8580da95c844f fdac		
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15	NPL Documents	AFF004NPLWiredWheels.pdf	497971	no	6
		,	03928c1a4f66d70a3cac76ce626a5c6c4ef7 72b6		-
Warnings:			<u> </u>		
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16	NPL Documents	AFF004NPLNetworkVehicle.pdf	592370	no	16
			373ae132b32c113741e9df185c2cc013461 1bd13	.,,	
Warnings:					
Information:					
		Total Files Size (in bytes)	352	19838	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0651-0031
Ormation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320		
INITIODIA TION DIOCE ACCUSE	Filing Date		2008-01-16		
INFORMATION DISCLOSURE	First Named Inventor Russell		ussell White, et al.		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617		
(Notice submission under 57 OFR 1.99)	Examiner Name	Erika	ika A. Gary		
	Attorney Docket Numb	er	AFF.004C5US		

U.S.PATENTS												
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C	)ate	of cited Document			s,Columns,Lines where ant Passages or Releves Appear	e rant		
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If you wish to add additional U.S. Patent citation information please click the Add button.												
U.S.PATENT APPLICATION PUBLICATIONS												
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines wher Relevant Passages or Rele Figures Appear				
	1											
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				FOREIG	N PAT	ENT DOCUM	ENTS					
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	,	Kind Code4	Publication Date	Name of Patentee Applicant of cited Document	or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5		
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	12015320	
	2008-01-16	
Russe	ell White, et al.	-
	2617	<u></u>
Erika	A. Gary	
er	AFF.004C5US	<u> </u>
	Erika	2008-01-16 Russell White, et al. 2617 Erika A. Gary

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	1		Request for Inter Partes Reexamination of U.S. Patent No. 7,187,947, filed on November 13, 2009, with accompanying Claim Charts.													
	2		Request for Inter Partes Reexamination of U.S. Patent No. 7,440,772, filed on November 13, 2009, with accompanying Claim Charts.													
	3		Request for Inter Partes Reexamination of U.S. Patent No. 7,324,833, filed on November 13, 2009, with accompanying Claim Charts.													
	4		Request for Inter Partes Reexamination of U.S. Patent No. 7,486,926, filed on November 13, 2009, with accompanying Claim Charts.													
	5	Request for Inter Partes Reexamination of U.S. Patent No. 7,634,228, filed on February 3, 2010, with accompanying Claim Charts.														
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Examiner	Signa	ture								Date	Conside	red				
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( Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika A. Gary			
Attorney Docket Numb	er	AFF.004C5US		

_		CERTIFICATION	STATEMENT					
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OF	<b>:</b>							
	foreign patent o after making rea any individual d	information contained in the information deffice in a counterpart foreign application, and asonable inquiry, no item of information contributed in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	id, to the knowledge of thating in the information d	ne person signing the certification isclosure statement was known to				
	See attached ce	rtification statement.						
$\boxtimes$	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	٦.					
	None							
	ignature of the ap of the signature.	SIGNA* oplicant or representative is required in accordance.		18. Please see CFR 1.4(d) for the				
Sign	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-03-02				
Nan	ne/Print	Mark J. Rozman	Registration Number	42117				
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application	on. Confidentiality is gove	rned by 35 U.S.C. 122 and 37 CFR				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Electronic Patent Application Fee Transmittal							
Application Number:	120	015320					
Filing Date:	16-	Jan-2008					
Title of Invention:	Method for Managing Media						
First Named Inventor/Applicant Name:	Russell W. White						
Filer:	Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number:	torney Docket Number: AFF.0004C5US						
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt					
EFS ID:	7120679				
Application Number:	12015320				
International Application Number:					
Confirmation Number:	2156				
Title of Invention:	Method for Managing Media				
First Named Inventor/Applicant Name:	Russell W. White				
Customer Number:	21906				
Filer:	Mark J. Rozman/Stephanie Petreas				
Filer Authorized By:	Mark J. Rozman				
Attorney Docket Number:	AFF.0004C5US				
Receipt Date:	02-MAR-2010				
Filing Date:	16-JAN-2008				
Time Stamp:	12:56:43				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	11236
Deposit Account	201504
Authorized User	

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Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Information Disclosure Statement (IDS)	AFF004C5USNewRequestIDST	87728	no	3
'	Filed (SB/08)	OFILE.pdf	7738bb171f94aa254033fe769d03378f78f5 e482	110	3
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Information:					
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2	2 NPL Documents AFF004B3Request1.pdf		3302054	no	88
2			0ff11e24ac991935e139a9d79dfdd2c4d4d9 f64a	110	00
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Information:					
3	NPL Documents	AFF004B3Request2.pdf	2853555	no	89
3	NF E Documents	Ai i 004b3Nequestz.pui	d379a8bfcde153bcad39d38f52cb9b88dff8 7cca	110	89
Warnings:					
Information:					
4	AIDL Description	AFF004D2D2 +2 46	3445209		100
4	NPL Documents	AFF004B3Request3.pdf	539fcc2ee84ea6b9e4c0e51b605fb9926eb8 ba8f	no	
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5	NPL Documents	AFF004B3ClaimChart1.pdf	4104240	l no	90
3	NEL Documents	AFF004B3ClaimChart1.pui	54f65a1ca2201d6cd01b1886a25ef281ede3 61d3	no	90
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Information:					
6	NPL Documents	AFF004B3ClaimChart2.pdf	5920091		125
0	NPL Documents	AFF00463ClaimChartz.pui	a148dc12c1a7b83cf55a2d664e391027851 2982d	no	125
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7	NPL Documents	AFF004B3Claim Chart 3.pdf	93d7f58bde5a6a58c92ee18a93f60745337a 6ea3	no	105
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Information:					
	NDI Description	AFFOOAD2Claim.Clair.4	4119799		66
8	NPL Documents	AFF004B3ClaimChart4.pdf	6b60300e199c6bf7d9d50c87b04fad3153d 2f939	no	89
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9	NPL Documents	AFF004B4Request1.pdf	3573889	no	98		
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10	NPL Documents	AFF004B4Request2.pdf	3165019	no	84		
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11	NPL Documents	AFF004B4Request3.pdf	2567071	no	71		
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12	NPL Documents	AFF004B4ClaimChart1.pdf	4376696		109		
12	NF E Documents	b4588217efaa1558d0b7aa03427188f23d9 1987b					
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13	NPL Documents	AFF004B4ClaimChart2.pdf	4568126	no	115		
13	WE Documents	All 004b4ClaimChartz.pui	cac6adb559603f71d7590c4125f660a22fa0 2bbf	110	113		
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Information:							
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16	NDI Danimanta	AFFOOADACI-iCh	4546314		116		
16	NPL Documents	AFF004B4ClaimChart5.pdf	3aa332d5dba2c506853f86699c4533a406c 01a8f	no	116		
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17	NPL Documents	AFF004B5Request1.pdf	aa6a3081bcba0bf2892347da146781d1044 bdd6f	no	100		
Warnings:					•		
Information:							
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18	NPL Documents	AFF004B5Request2.pdf	3606566	no	109
	14 L Documents	Al 1004b5Nequest2.pdf	f8c55b409c5e5da1d9d055e9b4e9eaac37c 089d2	110	109
Warnings:					
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10	NDI Danimanta	AFF004PFP	2565081		87
19	NPL Documents	AFF004B5Request3.pdf	65fd56175cbabd67ad30f303c0b29829da5f 2520	no	8/
Warnings:					
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20	NPL Documents	AFF004PFP	1984957		67
20	MPL Documents	AFF004B5Request4.pdf	ffb97d76f5150a73c7ca130e0500ea1e5004 b000	no	67
Warnings:					
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21	Fee Worksheet (PTO-875)	fee-info.pdf	30162		2
21	ree worksneet (FTO-6/3)	ree-imo.pai	5356b60f78f8c5b31bf8390dac695dc7bb89 d90a	no	2
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		Total Files Size (in bytes)	719	982065	
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0651-0031
Ormation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
INFORMATION DISCLOSURE	Filing Date		2008-01-16	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Russe		ell White, et al.	<u>-</u>
(Not for submission under 37 CFR 1.99)	Art Unit		2617	<u>-</u> -
	Examiner Name Erika		A. Gary	-
	Attorney Docket Numb	er	AFF.004C5US	<u>-</u>

-					U.S.	PATENTS				<del>-</del>	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C	Date	Name of Pat of cited Doc	of Patentee or Applicant Document Pages, Columns, Lines whe Relevant Passages or Rele Figures Appear				
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				FOREIG	IN PAT	ENT DOCUM	ENTS				
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Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor	Russe	ell White, et al.	-
Art Unit		2617	
Examiner Name	Erika .	A. Gary	
Attorney Docket Number	er	AFF.004C5US	· .

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	1		uest for Inter F n Charts.	artes Reex	xaminatio	on of U.S	S. Paten	t <b>N</b> o. <b>7</b> ,1	87,947	, filed on	Novemb	er 13, 2	2009, wi	th acco	mpanying	
	2		uest for Inter P	artes Reex	xaminatio	on of U.S	S. Paten	t No. 7,4	140,772	, filed on	Novemb	er 13, 2	2009, wi	th acco	mpanying	
	3		est for Inter P n Charts.	artes Reex	xaminatio	on of U.S	S. Patent	t <b>N</b> o. 7,3	324,833,	, filed on	Novemb	er 13, 2	2009, wi	th acco	mpanying	
	4		iest for Inter P າ Charts.	artes Reex	xaminatio	on of U.S	S. Patent	t No. 7,4	86,926,	, filed on	Novemb	er 13, 2	2009, wi	th acco	mpanying	
	5		est for Inter P n Charts.	artes Reex	xaminatio	on of U.S	S. Patent	t No. 7,6	34,228,	, filed on	February	y 3, 201	0, with	accomp	anying	
If you wis	h to ac	id ado	ditional non-	patent liter	rature d	ocumer	nt citatio	on infor	mation	please	click the	Add b	utton	-		
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Examiner	Signa	ture								Date	Conside	red				
			reference co rmance and												rough a	
Standard ST ⁴ Kind of do-	Γ.3). ³ F cument	or Japa by the a	O Patent Docu anese patent do appropriate syn on is attached.	ocuments, the	re indication	on of the	year of th	ie reign o	f the Em	peror mu	st precede	the seri	ial numbi	er of the	patent docu	ument.

( Not for submission under 37 CFR 1.99)

Application Number	12015320
Filing Date	2008-01-16
First Named Inventor	Russell White, et al.
Art Unit	2617
Examiner Name	Erika A. Gary
Attorney Docket Number	er AFF.004C5US

		CERTIFICATION	STATEMENT					
Ple	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):					
	from a foreign p	of information contained in the information patent office in a counterpart foreign applications osure statement. See 37 CFR 1.97(e)(1).		,				
OF	ŧ							
	foreign patent of after making rea any individual d	information contained in the information diffice in a counterpart foreign application, an sonable inquiry, no item of information contracted in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	id, to the knowledge of thained in the information di	ne person signing the certification isclosure statement was known to				
	See attached ce	rtification statement.						
$\boxtimes$	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	٦.					
	None							
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	n of the signature.	plicant or representative is required in accord	dance with CFR 1.33, 10.	To. Please see CFR 1.4(a) for the				
Signature /Mark J. Rozman/ Date (YYYY-MM-DD) 2010-03-02								
Nar	ne/Print	Mark J. Rozman	Registration Number	42117				
pub 1.1	lic which is to file 4. This collection	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, inclued USPTO. Time will vary depending upon the	on. Confidentiality is gove iding gathering, preparing	rned by 35 U.S.C. 122 and 37 CFR and submitting the completed				

require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,** 

EFS Web 2,1,16

VA 22313-1450.

Electronic Acl	knowledgement Receipt
EFS ID:	7121069
Application Number:	12015320
International Application Number:	
Confirmation Number:	2156
Title of Invention:	Method for Managing Media
First Named Inventor/Applicant Name:	Russell W. White
Customer Number:	21906
Filer:	Mark J. Rozman/Stephanie Petreas
Filer Authorized By:	Mark J. Rozman
Attorney Docket Number:	AFF.0004C5US
Receipt Date:	02-MAR-2010
Filing Date:	16-JAN-2008
Time Stamp:	13:31:21
Application Type:	Utility under 35 USC 111(a)

## **Payment information:**

Submitted with Payment	no
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## File Listing:

1 Information Disclosure Statement (IDS) Filed (SB/08) AFF004C5USNewRequestIDST OFILE.pdf 87728 no	Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
Filed (SB/08) OFILE.pdf 7738bb171f94aa254033fe769d03378f78f5	1		•	87728	no	3
	1	Filed (SB/08)	OFILE.pdf	7738bb171f94aa254033fe769d03378f78f5 e482		

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Information:

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APROJUBSCISIMCHART.pdf	This is not an USP1	O supplied IDS fillable form	1	1	Т	
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11	NPL Documents	AFF004B6ClaimChart2.pdf	6318196	no	109
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0651-0031
Ormation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
INFORMATION BLOCK COURT	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor R		Russell White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Notice submission under 57 OFK 1.55)	Examiner Name	Erika	A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

	U.S.PATENTS											
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue C	ate	Name of Patentee or Applicant of cited Document  Pages, Columns, Lines w Relevant Passages or R Figures Appear			ant Passages or Relev			
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	12015320	-		
	2008-01-16			
Russe	ell White, et al.	••		
Art Unit				
Examiner Name Erika				
Attorney Docket Number		-		
	Erika	2008-01-16 Russell White, et al. 2617 Erika A. Gary	2008-01-16 Russell White, et al. 2617 Erika A. Gary	

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	1		est for Inter Partes Reexamination of U.S. Patent No. 7,187,947, filed on November 13, 2 n Charts.	2009, with accompanying	
	2		est for Inter Partes Reexamination of U.S. Patent No. 7,440,772, filed on November 13, 2 on Charts.	2009, with accompanying	
	3		est for Inter Partes Reexamination of U.S. Patent No. 7,324,833, filed on November 13, 2 n Charts.	2009, with accompanying	
	4		est for Inter Partes Reexamination of U.S. Patent No. 7,486,926, filed on November 13, 2 n Charts.	2009, with accompanying	
	5		est for Inter Partes Reexamination of U.S. Patent No. 7,634,228, filed on February 3, 201 n Charts.	0, with accompanying	
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( Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor Russe		ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Number		AFF.004C5US		

	CERTIFICATION STATEMENT								
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR									
	foreign patent of after making rea any individual d	information contained in the information diffice in a counterpart foreign application, an sonable inquiry, no item of information contaesignated in 37 CFR 1.56(c) more than thr 37 CFR 1.97(e)(2).	d, to the knowledge of thained in the information di	ne person signing the certification sclosure statement was known to					
	See attached ce	rtification statement.							
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Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-03-02					
Name/Print Mark J. Rozman Registration Number 42117				42117					
pub	lic which is to file	rmation is required by 37 CFR 1,97 and 1,98 (and by the USPTO to process) an applicatio is estimated to take 1 hour to complete, inclu	n. Confidentiality is gover	rned by 35 U.S.C. 122 and 37 CFR					

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EFS Web 2,1,16

VA 22313-1450.

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	7121276					
Application Number:	12015320					
International Application Number:						
Confirmation Number:	2156					
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Russell W. White					
Customer Number:	21906					
Filer:	Mark J. Rozman/Stephanie Petreas					
Filer Authorized By:	Mark J. Rozman					
Attorney Docket Number:	AFF.0004C5US					
Receipt Date:	02-MAR-2010					
Filing Date:	16-JAN-2008					
Time Stamp:	13:46:27					
Application Type:	Utility under 35 USC 111(a)					

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	AFF004C5USNewRequestIDST OFILE.pdf	87728 	no	3
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Information:

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2	NPL Documents	AFF004B7Request.pdf	1945672	n o	68
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3	NPL Documents	AFF004B7ClaimChart1.pdf	5339901	no	88
		'	7d90eb13633af073b09b1d59c0d4873d157 4bc3b		
Warnings:					
Information:					
4	NPL Documents	AFF004B7ClaimChart2.pdf	5611060	no	92
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Warnings:					
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5	NPL Documents	AFF004B7ClaimChart3.pdf	6173553	no	98
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Warnings:					
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6	NPL Documents	AFF004B7ClaimChart4.pdf	3782917	no	71
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## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number		12015320	
	Filing Date		2008-01-16	
	First Named Inventor Russell W. White, et al.		ell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission under or of K 1.00)	Examiner Name Erika		a A. Gary	
	Attorney Docket Number		AFF.004C5US	

					U.S.I	PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	Date	of cited Document		Releva	,Columns,Lines wh ant Passages or Re s Appear	
	1	5539658		1996-07	7-23	McCullough, T	imothy L.			
	2	6675233		2004-01	I-26	Du				
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	1	20030126335		2003-07	7-03	Silvester, Kela	n C.			
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	1	DE 19 651 308 A1	DE			1996-10-12	Becker GmbH			
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Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	ell W. White, et al.		
Art Unit		2617		
Examiner Name	Erika A. Gary			
Attorney Docket Number		AFF.004C5US		

Examiner Initials*	Cite No		RS), title of the article (when appropriate), title of the item stalog, etc), date, pages(s), volume-issue number(s),	T5				
	1	'Universal Serial Bus Specification," Revision 1.1, Se	ersal Serial Bus Specification," Revision 1.1, September 23, 1998, pages ii-106.					
	2	eply to Office Action Mailed August 5, 2009 in Reexamination Control No. 90/010,333 of U.S. Patent No. 7,324,833 long with a Supplemental Reply and Second Supplemental Reply).						
	3	Response to "Notice of Failure to Comply with Inter Partes Reexamination Request Filing Requirements (37 CFR .915(d)) filed on September 22, 2009. Requestor: Volkswagen Group of America, Inc. with Replacement Request for Inter Partes Reexamination of U.S. Patent No. 7,324,833 and Claim Charts A-JJ.						
	4	The United States Patent And Trademark Office, Office Action Mailed November 9, 2007 in related patent application serial no. 10/947,755.						
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Examiner	Signa	ure	Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
¹ See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.								

( Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	ell W. White, et al.		
Art Unit		2617		
Examiner Name	Erika A. Gary			
Attorney Docket Number		AFF.004C5US		

		CERTIFICATION	NSIAIEMENI					
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate select	ion(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	!							
	foreign patent of after making rea any individual d	information contained in the information of ffice in a counterpart foreign application, ar isonable inquiry, no item of information cont esignated in 37 CFR 1.56(c) more than th 37 CFR 1.97(e)(2).	nd, to the knowledge of that ained in the information di	ne person signing the certification sclosure statement was known to				
	See attached ce	rtification statement.						
X	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewit	h.					
	None							
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2009-11-16				
Name/Print Mark J. Rozman		Mark J. Rozman	Registration Number	42117				
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, inclu	on. Confidentiality is gove	rned by 35 U.S.C. 122 and 37 CFR				

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



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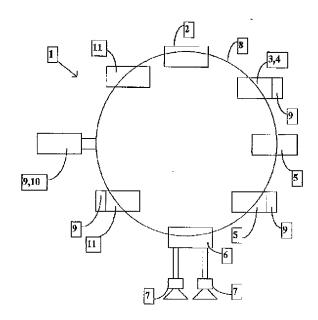
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## Die folgenden Angaben sind den vom Anmelder eingereichten Unterlagen entnommen

Prüfungsantrag gem. § 44 PatG ist gestellt

- Audio-Soundsystem f
  ür ein Kraftfahrzeug
  - Audio-Soundsystem (1) für ein Kraftfahrzeug mit einer Steuereinheit (2), mit einer Eingabeeinheit (3) zur Bedienung des Systems (1), mit einer Anzeigeeinheit (4), mit einer Einheit (5) zur Generierung der Quelldaten in Form von Audiodaten, mit einer Verstärkereinheit (6) zur Verstärkung der Quelldaten, mit einem oder mehreren Lautsprechern (7) und mit einem Bussystem (8), das die Übertragung der Quelldaten und der Steuerdaten zur Steuerung der Einheiten (2, 3, 4, 5, 6, 7) zwischen den einzelnen Einheiten (2, 3, 4, 5, 6, 7) sicherstellt, bei dem zumindest eine von der Steuereinheit (2) verschiedene Einheit (3, 4, 5, 6, 7) des Systems (1) einen ihr zugeordneten Speicher (9) aufweist, in dem der Funktionsumfang dieser Einheit (3, 4, 5, 6, 7) dargestellt ist, und dieser Funktionsumfang über den Bus (8) an die Steuereinheit (2) übertragbar ist und in dieser der übermittelte Funktionsumfang zumindest teilweise zur Bildung des Funktionsumfanges des gesamten Systems (1) herangezogen werden kann. Dabei sind die Steuereinheit (2), die Eingabeeinheit (3) und die Anzeigeeinheit (4) dergestalt miteinander verbunden, daß mittels der Anzeigeeinheit (4) die für die Bedienung des gesamten Systems (1) erforderlichen Bedienmenues entsprechend dem Funktionsumfang des gesamten Systems (1) darstellbar sind und die Bedienung des Systems (1) mit Hilfe der Eingabeeinheit (3) anhand der Darstellungen in der Anzeigeeinheit (4) erfolgen kann.



#### Beschreibung

Die Erfindung betrifft ein Audio-Soundsystem für ein Kraftfahrzeug mit einer Steuereinheit, mit einer Eingabeeinheit zur Bedienung des Systems, mit einer Anzeigeeinheit, mit einer Einheit zur Generierung der Quelldaten in Form von Audiodaten, mit einer Verstärkereinheit zur Verstärkung der Quelldaten, mit einem oder mehreren dieser zugeordneten Lautsprechern und mit einem Bussystem, das die Übertragung der Quelldaten und der Steuerdaten zur Steuerung 10 der Einheiten zwischen den einzelnen Einheiten sicherstellt,

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Ein derartiges Audio-Soundsystem ist allgemein in der EP-A-0 725 522 beschrieben. Weiterhin ist ein derartiges System in der Druckschrift "OCC 8001" CONAN "Optical Transciever", C&C Electronics Ltd., 1996 beschrieben. Die 15 über ihnen zugeordnete Speicher verfügt, in denen die für dargestellten Audio-Soundsysteme zeigen eine Steuereinheit, welche auch Head Unit genannt wird, die die Steuerung des gesamten Systems bewirkt und die die Ausgabe von Information über die Anzeigeeinheit, die Bedienung des Systems über die Eingabeeinheit durch den Benutzer sowie die 20 Umsetzung in entsprechende Steuerbefehle für die einzelnen Einheiten des Audio-Soundsystems ermöglicht. Dabei zeigen die bekannten Audio-Soundsysteme für Kraftfahrzeuge einen starren Systemaufbau, der es nur erlaubt, bestimmte Einheiten in das System zu integrieren, welche 25 durch die Steuereinheit/Head Unit vorgesehen sind, Datnit erweisen sich die bekannten Audio-Soundsysteme für Kraftfahrzeuge als wenig flexibel, wenig benutzerfreundlich und sehr teuer im Falle einer Adaption des Systems an die neuen Gegebenheiten. Eine Anpassung an neue, andersartige, zu- 30 sätzliche Komponenten wird bei den bekannten Audio-Soundsystemen dadurch gewährleistet, daß neben der neuen Hinheit zusätzlich eine neue Steuereinheit/Head Unit in das System integriert werden muß, was die bereits beschriebenen Nachteile mit sich bringt.

Aufgabe der Erfindung ist es, das Audio-Soundsystem für ein Kraftfahrzeug so auszubilden, daß Änderungen am System möglichst einfacher, kostengünstiger und sicherer durchgeführt werden können.

Diese Aufgabe wird bei dem bekannten Audio-Soundsy- 40 stem dadurch erreicht, daß die Steuereinheit in die Lage versetzt ist, daß sie von einer oder mehreren oder allen anderen Binheiten des Systems deren möglichen Funktionsumfang, welcher in einem dieser zugeordneten Speicher abgelegt ist, über das Bussystem zugeführt bekommt und aus der Summe 45 der einzelnen Funktionsumfänge der Funktionsumfang des Gesamtsystems gebildet wird. Dieser Funktionsumfang des gesamten Systems bildet stets eine Teilmenge aller Funktionsumfänge der einzelnen Einheiten des Audio-Soundsystems. Das dargestellte Audio-Soundsystem zeigt erfin- 50 dungsgemäß eine Struktur, welche an dezentralen Stellen den Funktionsumfang der einzelnen Einheiten hinterlegt hat und wo an zentraler Stelle vorzugsweise in der Steuereinheit ein aus den verschiedenen einzelnen Funktionsumfängen der einzelnen Einheiten gebildeter Funktionsumfang des ge- 55 samten Systems zur Steuerung des gesamten Systems hinterlegt ist.

Anders als im Stand der Technik wird bei einer Änderung, beispielsweise beim Hinzufügen einer neuen, ursprünglich nicht vorgesehenen Einheit des Audio-Soundsystems, nicht 60 mehr die Steuereinheit, respektive die Head Unit, ausgetauscht, sondern in ihrer Art beibehalten und sichergestellt, daß der hinzugekommene Funktionsumfang der zusätzlichen Einheit zur Bildung des Funktionsumfangs des gesatnten Systems mit herangezogen wird, wodurch den Änderun- 65 gen des gesamten Systems Rechnung getragen wird und der Benutzer den Änderungen entsprechend neue, geänderte Bedienmöglichkeiten und entsprechende Funktionalitäten

des Systems zur Verfügung gestellt bekommt. Es wird damit, soweit erforderlich, dem neuen Funktionsumfang des gesamten Systems entsprechend eine neue Bedienungsführung zur Bedienung des gesamten Systems zur Verfügung gestellt, was ggf. mit geänderten Darstellungen in der Anzeigeeinheit bzw. mit geänderten Zuordnungen von Befehlen zu den Eingabetasten der Eingabeeinheit verbunden ist.

Durch diese Ausbildung des Audio-Soundsystems gelingt es, den Funktionsumfang des Audio-Soundsystems neuen Anforderungen sicher, einfach und kostengünstig anzupassen. Aufwendige Zusatzgeräte und Neugeräte sind in diesem Falle nicht erforderlich.

Ein besonders vorteilhaftes, flexibles Audio-Soundsystem ist dann gegeben, wenn eine Vielzahl von Einheiten sie spezifischen Funktionsumfänge abgelegt sind und aus diesen der Gesamtfunktionsumfang des Systems gebildet wird, in dem alle Funktionalitäten verwendet werden oder nur bestimmte Teile der Funktionalitäten der einzelnen Einheiten in die Funktionalität des Gesamtsystems übertragen werden sollen. Diese teilweise Übertragung kann automatisch durch die Steuereinheit oder durch den Benutzer oder durch eine zusätzliche, zeitweise in das Soundsystem über die Busteitung angeschlossene, integrierte Einheit erfolgen.

Damit wird die Vielfältigkeit des Systems auf besonders vorteilhafte Weise nach den jeweiligen Bedürfnissen des Benutzers entsprechend den jeweils zur Verfügung stehenden Einheiten zur Erzeugung von Audiodaten oder den Einheiten zur Verstärkung dieser Daten sowie den Lautsprechern flexibel und frei konfigurieren, was auch der Fall sein kann, wenn keine neuen Einheiten in das System aufgenommen werden, sondern nur die verwendeten Teilmengen der Einzelfunktionsumfänge neuen Anforderungen durch Erweiterung oder Einschränkung angepaßt werden.

Nach einer bevorzugten Ausführungsform der Erfindung ist der einer Binheit zugeordnete Speicher als Teil dieser Einheit ausgebildet, Dadurch ist gewährleistet, daß der Speicherinhalt mit dem möglichen Funktionsumfang der Einheit nicht über das Bussystem an die Einheit übertragen werden muß, um anschließend den Funktionsumfang von der Einheit wiederum über die Busleitung an die Steuereinheit zu übermitteln. Im Fall eines optischen Bussystems, welches besonders große Vorteile in Richtung EMV-Stabilität und Gewichtsersparnis aufweist, kann auf die zwingend erforderlichen, kostenintensiven elektrisch-optischen Wandler zwischen den Speichern und den Einheiten verzichtet werden, was das System weniger anfällig gegen Störungen macht und dadurch die Sicherheit der Bedienung und damit die Bedienfreundlichkeit des Systems erhöht. Darüberhinaus erweist sich diese Ausbildung als besonders kostengünstig.

Nach einer vorteilhaften Weiterbildung der Erfindung ist der Speicher als Teil einer Rechnereinheit ausgebildet, welche über das Bussystem mit der dem Speicher zugeordneten Einheit verbunden ist, und welche als eigenständige, räumlich von den anderen Einheiten separierte Rechnereinheit ausgebildet ist, und welche bei Bedarf über das Bussystem den Funktionsumfang der dem Speicher zugeordneten Einheit direkt oder indirekt der Steuereinheit zur Bildung des Funktionsumfangs des gesamten Systems zuführen kann. Dabei erweist sich die Verwendung einer Rechnereinheit als besonders vorteilhaft, da sie sehr flexible Speicherstrukturen aufweist, in welche über andere Schnittstellen der Rechnereinheit auf einfache Weise andere, geänderte Funktionsumfänge einschreibbar sind. Auch ist ermöglicht, eine gegebenenfalls zentrale Rechnereinheit mit entsprechendem Speicher in dem System vorzusehen, welche die zentrale Verwaltung der einzelnen Funktionsumfänge der einzelnen Ein-

heiten gewährleistet und bei Bedarf diese direkt oder indirekt an die Steuereinheit zur Bildung des neuen, geänderten Funktionsumfangs des gesamten Audio-Soundsystems über das Bussystem überträgt, Vorzugsweise ist die Reclinereinheit lösbar mit dem System verbunden. Durch Verwendung einer geliehenen spezifischen Rechnereinheit in der Art einer vorübergehenden Integration in das System über eine vorbereitete Schnittstelle im Bussystem kann dem System ein neuer, geänderter Gesamtfunktionsumfang zugrunde gelegt werden. Dadurch ist ein sehr flexibles und für den Benutzer kostengünstiges System gegeben, Beispielsweise kann durch ein derartiges System sehr einfach eine neue Funktionalität, beispielsweise ein neuer Typ von 3-D-Sound als neue Funktionalität des Systems einschließlich der dieser ben werden und damit den individuellen Bedürfnissen des Benutzers auf sehr einfache, kostengünstige und flexible Weise angepaßt werden. Damit gelingt es, die Notwendigkeit des Ersetzens kompletter Einheiten oder des gesamten Audio-Soundsystems in vielen Fällen durch eine reine Neu- 20 programmierung des Systems zu ersetzen, was sich neben den genannten Vorteilen auch im Hinblick auf die Verschwendung von Ressourcen und die Vermeidung von Abfall vorteilhaft bemerkbar macht.

Eine besonders einfache und angenehme Art der Bedie- 25 nung des Audio-Soundsystems wird dadurch erreicht, daß die Steuereinheit, die Eingabeeinheit und die Anzeigeeinheit dergestalt miteinander verbunden sind, daß entsprechend dem Funktionsumfang des gesamten Systems auf der Anzeigeeinheit spezifische Bedienmenues dargestellt wer- 30 den, die die Bedienung des Systems mit Hilfe der Eingabeeinheit anhand der Darstellungen in der Anzeigeeinheit zielstrebig und einfach ermöglichen. Die Bedienmenues können spezifisch sein für die einzelnen Funktionalitäten der einzelnen Einheiten, sie können aber auch spezifisch sein für die 35 Art und Weise des Vorgangs zur Bildung eines neuen, geänderten Funktionsumfangs des gesamten Systems. Dabei ist es möglich die Anzeigeeinheit mit einer zusätzlichen Sprachausgabe zu versehen, welche die Bedienfreundlichkeit und Bediensicherheit des Gesamtsystems deutlich er- 40 höht, Ebenso kann mit vergleichbaren Vorteilen die Eingabeeinheit auch als sprachgesteuerte Eingabeeinheit ausge-

Als bevorzugte Ausbildung des Audio-Soundsystems hat heit zu einer einzigen Einheit zusammenzufassen und diese bevorzugt so auszubilden, daß die Anzeigeeinheit in einzelne Segmente unterteilt ist, denen einzelne Tasten der Eingabeeinheit räumlich zugeordnet sind und welche jeweils die der zugeordneten Taste zugewiesene Bedienfunktionen 50 darstellen und die entsprechenden Tasten und die Segmente durch die Steuereinheit anhand des Funktionsumfanges des gesamten Systems so ansteuern, daß die den Tasten zugewiesenen und in dem zugeordneten Segment dargestellten Funktionen durch Betätigen der Tasten ausgelöst werden 55 können. Durch diese Ausbildungen gelingt es, das Audio-Soundsystem sehr einfach mit möglichst wenigen Schnittstellen und Einheiten aufzubauen, was die Verwaltung des gesamten Systems, respektive die Steuerung der einzelnen Einheiten, wie auch die Versorgung des Systems mit der er- 60 forderlichen Energie vereinfacht und dadurch das System weniger anfällig gegen Störungen macht. Dadurch ist ein erhöhtes Maß an Bediensicherheit und ein erhöhtes Maß an Vereinfachung der Bedienung des Systems gegeben. Nach der beschriebenen Ausführungsform ist es möglich, eine 65 zentrale Einheit als Man-Machine-Interface, bestehend aus Eingabe- und Anzeigeeinheit im Fahrzeug, vorzugsweise im Dashboard unterzubringen, und all die anderen Einheiten,

wie Tuner, CD-Player, Videoplayer oder ähnliches im Kraftfahrzeug an der ihrer Funktion oder den Möglichkeiten des Fahrzeuges angepaßten und optimierten Position unterzubringen und über das Bussystem so miteinander zu verbinden, daß die erforderlichen Steuer- und Quelldaten zielgerichtet übertragen werden. Damit ist es beispielsweise möglich, den Rundfunktuner oder TV-Tuner am an sich idealen Ort im Bereich der Antenne anzuordnen und nur noch die von diesen abgegebenen Quelldaten an den entsprechenden Verstärker und die Ausgabeeinheit weiterzuleiten. Die Steuerung erfolgt in diesem Fall über die zentrale Einheit, das Man-Machine-Interface, welches dem Benutzer die Möglichkeit der Bedienung aller Systemkomponenten mit deren jeweiligen Funktionsumfängen gewährt. Bei Ände-Funktionalität zugeordneten Bedienungsführung eingege- 15 rungen der Funktionsumfänge des Systems durch Änderung der Funktionsumfänge einzelner Komponenten oder durch Hinzufügen oder Entfernen einzelner Komponenten läßt sich das erfindungsgemäße, beschriebene System besonders einfach und sicher neu konfigurieren.

Als bevorzugte Ausbildung der Erfindung hat sich gezeigt, daß neben den Audiodaten andere Multimediadaten, insbesondere Videodaten, übertragen werden können und daß entsprechende Einheiten zur Generierung dieser Multimediadaten und entsprechende Einheiten zur Darstellung dieser Multimediadaten vorgesehen sind. Als Beispiele für Einheiten zur Generierung von Multimediadaten sind insbesondere DVD-Player, CD-ROM-Lesegeräte oder Navigationsgeräte zu nennen und als Einheiten zur Darstellung dieser Multimediadaten zeigen sich beispielsweise LCD-Displays. Gerade durch die Vielfalt und die sehr dynamische Entwicklung des Multimediabereiches, mit seinen spezifischen Multimediadaten, den spezifischen Informationsumfängen der jeweiligen Geräte einschließlich der Art und Weise der Bedienbarkeit dieser Geräte, zeigt sich der besondere Vorteil des erfindungsgemäßen Systems, da es auf alle möglichen Änderungen von neuen Geräten im Bereich Multimedia und deren spezifische Entwicklungen jederzeit flexibel reagieren kann und das Soundsystem den Erfordernissen einfach und flexibel anpassen kann. Diese Flexibilität erweist sich umso mehr, je größer die Zahl der Binheiten zur Generierung von Audio- und/oder Multimediadaten sind, da bei derartigen Systemen-Änderungen durch Ersetzen der Geräte durch den Benutzer und damit Ändern des Funktionsumfanges sehr viel häufiger gegeben sind als bei Systesich herausgestellt, die Eingabeeinheit und die Anzeigeein- 45 men mit nur einer einzigen Einheit zur Generierung von Audio- und/oder Multimediadaten, Bei derartigen Systemen kann der Benutzer gegebenenfalls nach seinen Vorstellungen den Funktionsumfang des gesamten Systems festlegen und ihm die entsprechenden Steuerbefehle und Bedienbefehle für die Zukunft für die Bedienung des Systems zugrunde legen,

Bei Systemen mit mehreren Verstärkereinheiten zur Verstärkung der Audio-Quelldaten zeigen sich die besonderen Vorteile des erfindungsgemäßen Systems in besonderer Weise, da gerade diese Verstärkereinheiten, insbesondere wenn sie jede für sich spezifisch zugeordnete Einheiten zur Wandlung der verstärkten Quelldaten in Schallwellen aufweisen, eine Vielzahl von unterschiedlichen Funktionalitäten aufweisen. Dies umso mehr, da jeder Verstärkereinheit für jeden ihr zugeordneten Lautsprecher spezifische, dem Ort und den sonstigen Eigenschaften des Lautsprechers angepaßte und spezifisch verstärkte Quelldaten zur Verfügung gestellt werden können, was sich durch unterschiedliche Laufzeitverzögerungen, Vorverzerrungen und ähnliches ausdrücken können. Wird aus irgendwelchen Gründen irgendeine Komponente, insbesondere eine Einheit zur Wandlung der verstärkten Quelldaten, geändert, so lassen sich die einzelnen Funktionsumfänge der Verstärkereinheit so an-