

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC.,

Petitioner

v.

VIRNETX INC.,

Patent Owner

Case No. IPR2015-00811

Patent No. 8,868,705

**PETITIONER'S MOTION TO SUBMIT SUPPLEMENTAL
INFORMATION PURSUANT TO 37 C.F.R. § 42.123(a)**

Table of Contents

I. Introduction..... 1

II. Background..... 2

 A. Legal Standard..... 2

 B. This Proceeding..... 4

III. Argument 5

 A. Petitioner’s Motion Is Timely and the Supplemental Information Is Relevant as Required by § 42.123(a) 5

 1. Exhibits 1057-1059 Support the Board’s Finding that Aventail Is Prior Art 5

 2. Exhibits 1060-1065 Support the Board’s Finding that RFC 2401 Is Prior Art 9

 B. Consideration of the Supplemental Information Relating to the Public Availability of Prior Art References Is Appropriate 11

IV. Conclusion 13

I. Introduction

Petitioner Apple Inc. (“Petitioner”) respectfully moves to submit Exhibits 1057 to 1065¹ as supplemental information under 37 C.F.R. § 42.123(a). Each of these exhibits is relevant to a claim at issue in this trial as required by 42.123(a)(2). Exhibits 1057 to 1059 are relevant because they are evidence of the public availability of the Aventail reference prior to February 2000, the effective filing date of the challenged patent. These exhibits include testimony, offered in related proceedings, from Aventail Corp.’s co-founder Chris Hopen. Exhibits 1060 to 1065 are relevant because they are evidence that RFC 2401 was published and publicly available in November 1998. These exhibits include a declaration and deposition testimony concerning RFC 2401 and numerous other RFCs by Sandy Ginoza, a representative of the Internet Engineering Task Force (“IETF”), and additional documentation that addresses RFC 2401’s public availability.

The Board should admit these exhibits into the record because they are “additional evidence that allegedly confirms the public accessibility of” prior art

¹ Petitioner has moved to submit Exhibits 1057 to 1065 as supplemental information in the proceedings that primarily rely on Aventail (IPR2015-00811 and -00871), and Exhibits 1060 to 1065 in the proceedings that primarily rely on Beser (IPR2015-00810, -00812, -00866, -00868, and -00871).

references at issue in this trial. *Palo Alto Networks, Inc v. Juniper Networks, Inc.*, IPR2013-00369, Paper 37 at 3 (Feb. 5, 2014). So, apart from being relevant to the claims at issue, these exhibits merely supplement information already present in the record, do not alter the scope of the instituted grounds, and their consideration will not unduly delay the trial's schedule. *Id.* at 3-4 (granting motion under 42.123(a) based on consideration of these factors). Accordingly, Petitioner respectfully requests that its motion be granted.

II. Background

A. Legal Standard

A party may submit supplemental information under 37 C.F.R. § 42.123(a) if: (1) a “request for the authorization to file a motion to submit supplemental information is made within one month of the date the trial is instituted” and (2) the “supplemental information [is] relevant to a claim for which the trial has been instituted.” Unlike supplemental information submitted later in trial (§ 42.123(b)) or information not relevant to a claim for which trial was instituted (§ 42.123(c)), a motion under § 42.123(a) need not “show why the supplemental information reasonably could not have been obtained earlier, and that consideration of the supplemental information would be in the interests-of-justice.”

Instead, under § 42.123(a) the Board has considered whether the information changes “the grounds of unpatentability authorized in this proceeding” or “the

evidence initially presented in the Petition to support those grounds of unpatentability.” *Palo Alto Networks*, IPR2013-00369, Paper 37 at 3; *see also Biomarin Pharma. Inc., v. Genzyme Therapeutic Prods Limited Partnership*, IPR2013-00534, Paper 80 at 5 (Jan. 7, 2015) (considering the same factors under § 42.123(b)). The Board has also considered whether granting the motion would prevent the just, speedy, and inexpensive resolution of the proceeding, *Palo Alto*, IPR2013-00369, Paper 37 at 4, or would prejudice the other party, *Unified Patents Inc., v. Dragon Intellectual Property, LLC*, IPR 2014-01252, Paper 43 at 3 (Apr. 14, 2015); *see also Rackspace US, Inc. v. Personal Web Techs., LLC*, IPR2014-00058, Paper 16 at 6 (Apr. 30, 2014) (denying motion to submit supplemental expert report that was presented to challenge the Board’s claim constructions).

Where a party has sought to submit information that confirms the public accessibility of a prior art reference at issue in the trial, the Board has repeatedly found such evidence to be proper supplemental information. *See, e.g., Biomarin*, IPR2013-00534, Paper 80 at 5 (granting motion under stricter standard of § 42.123(b)); *Valeo North Am., Inc. v. Magna Elecs, Inc.*, IPR2014-01204, Paper 26 at 2-5 (Apr. 10, 2015); *Palo Alto Networks*, IPR2013-00369, Paper 37 at 2-5; *Motorola Sol’ns, Inc. v. Mobile Scanning Techs, LLC*, IPR2013-00093, Paper 39 at 2 (July 16, 2013). As the Board has recognized, “a trial is, first and foremost, a search for the truth.” *Edmund Optics, Inc., v. Semrock, Inc.*, IPR2014-00599,

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.