





PROPRIETARY

NOTEBOOK CONTROL INFORMATON

| COMPANY NAME | | | |
|---------------------------|--|--|--|
| SECTION | | | |
| CONTROL (NOTEBOOK) NUMBER | | | |
| ASSIGNED TO | | | |
| SIGNATURE | | | |
| ISSUE DATELAST ENTRY | | | |
| OLD CONTROL NUMBER | | | |
| NEXT CONTROL NUMBER | | | |
| ASSIGNED BY | | | |
| TOTAL PAGES DATA ENTERED | | | |
| | | | |

ATTORNEYS'
EYES ONLY

CRD5_ 41322



BOSUI 422 9630

LABORATORY RECORDKEEPING PROCEDURES

General Instructions

Laboratory Notebooks are the means for keeping a permanent record of the details of an individual's day-to-day work in the reaserch and development areas. They provide I) a basic reference which the individual and others can refer to at a later date; 2) legal evidence with respect to the insterials recorded, such as conception of an invention and the date thereof or date of reduction to practice and test ranks; and 3) in some leasances, compliance with the provisions of meany contractual arrangements.

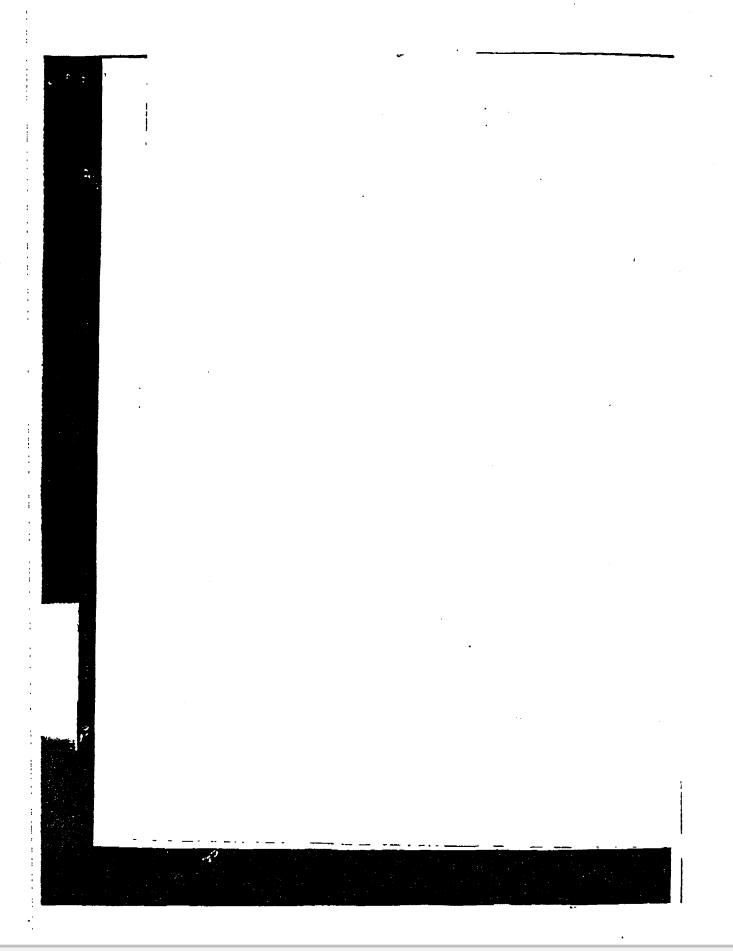
Instructions for Recording Data in the Laboratory Notebook

- All entries abould be made in a legible and orderly manner using permanent ink, preferably black. Make entries clear and complete, that someone class could repeat the experiment.
- Avoid ensures. If an error is made, cross it out and make the correction immediately thereafter. Cancellations or insertions should be initialed, dated and explained (in the margin, if possible, by an appropriate notation).
- 3. Make more control page in filled out prior to usage.
- 4 Complete the table of contents as you make entries in the notebook.
- State the object and results of each experiment clearly and concisely. Give a complete, factual and self-explanatory account of the progress of the work and the procedure followed (reference to sartier work done by yourself or another may be accomplished by noting a previous page of the same notebook or the page and number of an earlier notebook). All operating details and conditions must be reordered, indicating yeilds, conversions, etc., and identifying products. Describe and give quantities of all materials used. Explain all code numbers and abbreviations.
- 6. An entry dealing with a conception (invention, idea) must describe the thing conceived (Example: whether it is a chemical compound, a combination of compounds, a combination of a compound and a solvent, etc.) as well as the utility for the thing conceived, how it is to be used and the method(s) by which it is to be prepared, including equivalent materials which could be used. Statements with regard to utility should be stated positively. Work toward procued implementation of an invention should start as soon as possible after disclosure in a notebook.
- Negative or deprecatory entries should be avoided. (Example: A reaction gives a 2% yield was expected. Do not make "Process does not work:.) Phraseology which expresses an opinion rather than a positive statement and grainitous comments should be avoided. This is not an instruction to omit the conclusion in an experiment which had less than the expected results. Be factual.
- Each day's work should, whenever possible, he started on a separate page with lines drawn angularly across the unused portion of the pervious page. (This gives legal evidence that additions were not made at a later date.) It is permissible, as stated above, to make reference to an sartier page by reciting "Continued from page...". It is extremely important that each page abow the date of entry.
- 9. Each page must be signed an dated by the individual who makes the enery and does the work. In addition, each page must be wincessed (signed and dated), using the actation "Read and understood", preferably on the same day, but at least within one week. The witness should not be consected with the conception, should not have taken part in the experimental work performed by another, but should understand the technical field of the enery. Record Lab notebook number and project on each page.
- 10. Where a conception is made by two or more individuals it need only be entered in the notebook of one, but must be signed and dated by all the conceivers. Ideally, all committees should agn on the same day.
- 11. For microfilming purposes, graphs, charts, analytical data, etc. must be attached to the notebook pages with a permanent adhesive and should, when unfolded, be kept within the confines of the opened notebook. NO ENTRIES SHOULD BE MADE ON THE PAGE BENEATH ATTACHED SHEETS AND NOTHING MUST BE OBSCURED. Leave the heading at the top and the space provided for the witnessing argesture at the bottom of each page exposed. Inserts should be signed and dated by the person traking the entry and witnessed by another to provide the best legal evidence. If materials such as spectra, graphs, etc. are not kept in the notebook, they must be signed, dated and identified in such a manner as to provide a reference back to the pertinent page(s) of the Laborstory Notebook itself.
- 12 Report the loss or theft of a research notebook.
- 13. Following the use of all the pages of each Laboratory Notebook, it must be sent to the Library for record maintenance. Make sure table of contents pages are complete. After it has been recorded it will be returned to the individual. An individual may retain in his possession the Laboratory Notebook immediately preceding the one in which entries are currently being made. Normally, all other Laboratory Notebooks will be kept on the file in the Library or in vault storage. They may then be checked out for reasonable lengths of time by authorized individuals.

ATTORNEYS' EYES ONLY

CRDS 41323







| PAGE 1 10/31 Compage Conf Com 2 10/31 Compage Conf Com 2 10/31 Compage Conf Com 3 Primity for som DS 4 1. 6(75/5075/ANT/SSC MAPP D.O. 1.1. 2: 5 2 Lesing person 6 3 Fur Primity 8 Market To 50.3 Harbery The corst for form 9 For 97216 10 11 - South Mi B 2: good affined K 12 13 14 16/31 Jutshillian conf can 15 Dais (Hinson Livin Good for ing) 16 17 Take softens 18 19 (AN MINIMIS 19 (AN MINIMIS 20 First Livin Good for ing 20 First Livin Good for ing 21 22 23 25 First Livin Mi Conf Signification 26 First Livin Good for inco 27 28 First Livin Good for inco 29 10 Stron 20 11 Conf Signification 20 12 Conf Signification 21 22 23 24 First Livin Good for inco 25 First Livin Good for inco 26 First Livin Good for inco 27 28 First Livin Good for inco 29 20 First Livin Good for inco 20 21 22 23 24 First Livin Good for inco 25 First Livin Good for inco 26 First Coppis 27 28 30 First Coppis 31 32 13 34 18 18 18 18 18 18 18 18 18 1 | , 1 | TABLE OF CONTENTS | - |
|--|-------------|--|------------------|
| PAGE 1 10/31 Compage Cont Color 2 3 April 1 76/2 SIN 100 DS 4 1 10/35 STYS / ASTREE WATER OF 11 2 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | • | - 51-11 1/454 | i. |
| PAGE 1 16/31 Compage Conf Coll 2 1 16/31 Compage Conf Coll 3 PRICHITY TELL SW WAYS 4 1 COTS / SETS / ESTALLS WAFF DID IN 2 2 5 1 Comment for the STALLS WAFF DID IN 2 2 6 2 FO Devotes D 7 2 TWANT 8 - MAIL TO STALL FOR COLL Y THE WATER FOUT / WAR 9 FAM 9726 C 10 - SEND MIB Z: 900 U G/Ruyel K 11 - SEND MIB Z: 900 U G/Ruyel K 12 13 14 16/51 INTERCHANA COLF COLL 15 DALS WAFFERS 16 14 16/51 INTERCHANA COLF COLL 17 TAK SUPERIS 18 14 16/51 INTERCHANA COLF COLL 19 COLLING AND MID 12: 19 COLLING AND MID 12: 20 Extra Liventh 20 Extra Liventh 21 COLLING AND MID 12: 22 COLLING AND MID 12: 23 7-71 COSTALL 24 PARK SHOW FURTHER DOWN THE MID 12: 25 FARTH CONTINUED SUPERIS | | | |
| 1 10:31 Com ARC CONF CALL 2 PRICHITY FOR SW. MOVS 4 1. 60TS /58TS /AST/SSS MAPPS 5 2 ROTHER MERCEN 6 3 FW DEVENCED D 7 3- THURST WITH 8 MARIET TO SOME HORDOLY THE LETEST ENT PLAN 9 FAM 97266 10 11 - SAND MIB TO SOUTH AFRICALL K. 12 13 14 10:31 IMPERIAL CONF CALL 15 DATA LIKESON LIVIN GOICK LOND MAPPEN STORY 16 THE SOFTENS 18 INC. MOS MINANIS 19 CONTINUE AT PRISHED DOWN FROM MAPPING OF 4% 19 CONTINUE AT PRISHED DOWN FROM MAPPING OF 4% 19 CONTINUE AT PRISHED DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING DOW | | NOTEBOOK NO. | -×. |
| 1 10:31 Com ARC CONF CALL 2 PRICHITY FOR SW. MOVS 4 1. 60TS /58TS /AST/SSS MAPPS 5 2 ROTHER MERCEN 6 3 FW DEVENCED D 7 3- THURST WITH 8 MARIET TO SOME HORDOLY THE LETEST ENT PLAN 9 FAM 97266 10 11 - SAND MIB TO SOUTH AFRICALL K. 12 13 14 10:31 IMPERIAL CONF CALL 15 DATA LIKESON LIVIN GOICK LOND MAPPEN STORY 16 THE SOFTENS 18 INC. MOS MINANIS 19 CONTINUE AT PRISHED DOWN FROM MAPPING OF 4% 19 CONTINUE AT PRISHED DOWN FROM MAPPING OF 4% 19 CONTINUE AT PRISHED DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING OF 4% 10 DATE LIKESON MAPPING DOWN FROM MAPPING DOW | | | - 14 - 15 |
| 1 10/31 Com ARC CONF CALL 2 PRICE TO FOR SWANDS 4 1. 60TS /5875 / ASTRONOMED DIO 1-1 2 2 5 2 100 me / Kelson 6 3 For Description 7 3- 3 Market 8 - 3 For Description 8 - 400 me / Market 9 For 972-16 10 11 - 580 D M.B. To Swall Golffright K. 12 13 14 10/31 jump Rilliam confication 15 Deta 1465-00 Livin Goal Lending 16 17 TEK Suffers 18 ille 1 Arc Millians 19 (conting at prisable plant Finger Most 44 Conting at prisable finger M | | | وَ ا |
| 1 10/31 Com ABG COM CALL 2 PRICE INTO THE SW MOVS 4 1. 6075 /5885 / ASTREE WATER OF 1-1 2 i 5 2 102 may feeter water of 1 in 1 i | PAGE | ENTRY | DATE -: |
| 2 PRICE TO THE SET SHOWS 4 1. COTS SETS ASSECT MAP DO IN 2 i 5 2 LESTING PERSON 6 3 FOR POWERS D 7 3 FOR STATE 8 | 1 | 10-131 Complex Cont Call | - યુ |
| 3 | | | -1 |
| 4 1. 6(75 5845 ADTICIO MAP DO 11. 2 i. 5 2. Learne Kelsen 6 3 F. Denneson 7 3 T. REALTH 8 AND LEO BOB HORDEST THE LETEST ENT PLAN 9 FAM G7296 10 11 - SEND MIB TO SOUT GIRLING IN 12 13 14 10 31 JATEN GIRLING CONF COLL 15 DAVE HUSSON LIVIN GOICK LON MAY 16 17 THE SOPRESS 18 1111 'S MAR MANUAL'S 19 CONFORT AT PRISHS ADM FROM MAY FOR AND | | PRICHITY FOIZ SW, MOUS | -11 |
| 5 | 4 | 1. 6(TS /5885 / ASTRICE HALP 0-0 1-1 2 2 | |
| 8 | 5 | | - 1 |
| 8 | | | - 1 |
| ### ################################## | 7 | 3 - 3 8.15 REST | h |
| 10 11 12 12 13 14 16 31 | | - MAIL TO BUB HORDLEY THE LETEST EUT PL | 1 |
| 11 | | FUL 47296 | |
| 12 13 14 16 15 Delia presentation con con con from fine present the first present the first fill for f | | | ———- 1 |
| 13 14 16 15 Delia (History Livin and Len ing) 16 17 The copposite of middle of and control of and copposite of and cop | | - SEND MID TO SCOTI G/Kinger K | |
| 14 15 Delia checan cind and tening 16 17 The special control of the sing 18 The special and pure start and | | | |
| Delia extensión and ten iny 16 17 Tele superiore 18 Tele superiore 19 Contra et prises esta recipione recipión de la contra et prises esta recipión de la contra dela contra de la contra del la contra d | | 1.121 | B |
| 16 17 18 19 19 19 19 10 10 11 10 11 10 11 11 11 11 11 11 11 | | Discourse find find from | |
| 17 THE SUPPLIES 18 18 19 19 19 10 10 11 11 11 11 11 | | Date (Hesite sim dott | |
| 18 19 19 19 10 10 11 10 11 11 11 | | Territary VII | - 1 |
| 19 20 | 18 | | |
| 20 | 19 | cook has to not suite stated File-INPANO of 4 | ·K - (|
| 20 | 20 | Fita 2/ winter | . 1 |
| 21 22 23 24 FRANK SHU FRAN | | 12055 to seed finte locks - | |
| 23 7, 31 60 5 17 500 24 FRANK SHU F. (1982), HELL, NIT 25 26 FR. TENLY COSSISSING D. SUPPLY OF SUPPLY O | | | - 1 |
| 24 FRANK SHU F. (1984), HELL, MIT 25 26 FR. TENLY (1981), HELL, MIT 27 28 70 54T CDB'S 29 30 10 10 10 10 10 10 10 10 10 10 10 10 10 1 | | | 3 |
| 26 FD, xx-4 COSSISSAND SUPINOUS 27 28 10 54T CDB'S 29 30 11 Stroth have deplay 31 Stroth have deplay 32 12 We strouble finely 33 13 14 15 16 16 16 16 16 16 16 16 16 | | | |
| 26 FD, remy Cossistan-D Survivers 28 10 54Th CDB's 29 30 preed by road offlow 31 Straft have drive in donor 32 we strant lime between forces | | FRANK SILL E. C. PENI, HELL, NIT. | |
| 27 28 30 30 31 31 31 32 32 33 34 35 36 37 38 38 39 39 30 30 30 30 30 31 31 32 33 33 34 35 35 | | | |
| 28 10 54T CDB'S 29 30 precd by and officer 31 Straft has dare in done 32 we should from believe. | ·— | Elli Lent Consission - D Shipper | |
| 29 30 precd by med of plan 31 Straft han drive in d-nor 32 we should (nor belin from) 33 34 pres 35 | |) Kilm a ben'i | |
| 30 precd by good offlow 31 Straft have direction of now 32 we stranged live below from 1 34 press 35 | | 10 99TL CDISS | . († |
| 31 Should have defend one 32 we should live below from 1 | | بدوله النبايية ما المريخ | <u>-</u> . ¶ |
| 32 we should (some below from 1) 34 pres | | | <u>i</u> |
| 33 34 PICE | 32 | | |
| 35 | 33 | the property of the property o | |
| | 34 | ring_ | |
| 36 113 5-76- | 35 | | |
| | | 113 STAFF | 8 |
| 37 - CE CONTRACT FOR TEST IF MEEDIN | | | |
| 38 | | | |
| 39 | | | j. |
| 40 | 40 | | · i· |
| | | | |
| OTTONICUO: | | | |
| EYES DNLY CRDS 41324 | | EYES DNLY | 324 |



CRD5 41324

DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

