Paper 9

Entered: March 17, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CISCO SYSTEMS, INC. and QUANTUM CORPORATION, Petitioners,

V.

CROSSROADS SYSTEMS, INC., Patent Owner.

Case IPR2014-01463 Patent 7,934,041 B2

Before HYUN J. JUNG, NEIL T. POWELL, and KRISTINA M. KALAN, *Administrative Patent Judges*.

POWELL, Administrative Patent Judge.

DECISION
Institution of *Inter Partes* Review
37 C.F.R. § 42.108

CROSSROADS EXHIBIT 2144
NetApp Inc. v. Crossroads Systems, Inc.



I. INTRODUCTION

Cisco Systems, Inc. and Quantum Corporation ("Petitioners") filed a Petition (Paper 3, "Pet."), requesting institution of an *inter partes* review of claims 1–53 of U.S. Patent No. 7,934,041 B2 (Ex. 1001, "the '041 Patent"). Crossroads Systems, Inc. ("Patent Owner") timely filed a Preliminary Response (Paper 7, "Prelim. Resp."). We have jurisdiction under 35 U.S.C. § 314.

We determine that the information presented in the Petition and in the Preliminary Response shows that there is a reasonable likelihood that Petitioners would prevail with respect to at least one of the claims challenged in the Petition. *See* 35 U.S.C. § 314(a). Accordingly, we institute *inter partes* review with respect to claims 1–53.

A. Related Proceedings

The '041 Patent has been asserted against Petitioners in the following district court proceedings: *Crossroads Systems, Inc. v. Cisco Systems, Inc.*, Case No. 1-14-cv-00148 (W.D. Tex.); and *Crossroads Systems, Inc. v. Quantum Corporation*, Case No. 1-14-cv-00150 (W.D. Tex.). Pet. 1. The '041 Patent is also the subject of other district court proceedings. *Id.*

The '041 Patent was also the subject of a petition for *inter partes* review in Case IPR2014-01177. That petition was denied. *See Oracle Corporation, NetApp Inc., and Huawei Technologies Co., Ltd. v. Crossroads Systems, Inc.*, Case IPR2014-01177, slip op. (Jan. 28, 2015) (Paper 13).

Additionally, the '041 Patent belongs to a family of patents that were the subject of multiple petitions for *inter partes* review in other cases, including IPR2014-01197, IPR2014-01207, IPR2014-01209, IPR2014-01226, and IPR2014-01233. *Inter partes* review was instituted in IPR2014-



01197, IPR2014-01207, IPR2014-01209, and IPR2014-01226. See Oracle Corporation, NetApp Inc., and Huawei Technologies Co., Ltd. v. Crossroads Systems, Inc., Case IPR2014-01197, slip op. (Jan. 29, 2015) (Paper 13); Oracle Corporation, NetApp Inc., and Huawei Technologies Co., Ltd. v. Crossroads Systems, Inc., Case IPR2014-01207, slip op. (Feb. 2, 2015) (Paper 12); Oracle Corporation, NetApp Inc., and Huawei Technologies Co., Ltd. v. Crossroads Systems, Inc., Case IPR2014-01209, slip op. (Jan. 30, 2015) (Paper 12); Cisco Systems, Inc. and Quantum Corporation v. Crossroads Systems, Inc., Case IPR2014-01226, slip op. (Jan. 30, 2015) (Paper 9). The petition for inter partes review was denied in IPR2014-01233. See NetApp Inc. v. Crossroads Systems, Inc., Case IPR2014-01233, slip op. (Feb. 10, 2015) (Paper 8).

B. The '041 Patent (Ex. 1001)

The '041 Patent discloses "[a] storage router and storage network [that] provide virtual local storage on remote storage devices." Ex. 1001, Abstract. One embodiment of the storage network appears in Figure 3. *Id.* at col. 3, ll. 19–21, col. 4, ll. 25–27. Figure 3 is reproduced below.



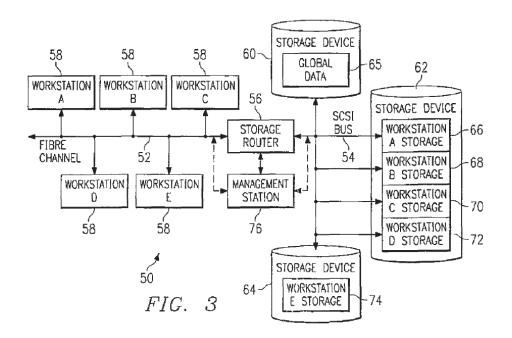


Figure 3 of the '041 Patent shows storage network 50, which includes storage router 56 bridging Fibre Channel high speed serial interconnect 52 and SCSI bus 54. *Id.* at col. 4, ll. 25–30. Storage router 56 allows a number of workstations 58 to interconnect on a common storage transport and "access common storage devices 60, 62 and 64 through native low level, block protocols." *Id.* at col. 4, ll. 30–33. Storage router 56 also implements security controls to allow each workstation 58 to access a specific subset of data stored in storage devices 60, 62, and 64. *Id.* at col. 4, ll. 35–39.

The '041 Patent shows more details of one embodiment of storage router 56 in Figure 4. *Id.* at col. 3, ll. 22–23, col. 5, ll. 34–35. Figure 4 is reproduced below.



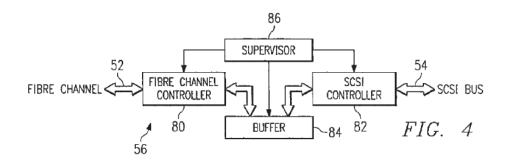


Figure 4 of the '041 Patent shows components of storage router 56, including supervisor 86, buffer 84, Fibre Channel controller 80, and SCSI controller 82. *Id.* at col. 5, ll. 35–41. Buffer 84 connects to Fibre Channel controller 80 and SCSI controller 82. *Id.* at col. 5, ll. 37–39. Memory work space is provided by buffer 84. *Id.* Supervisor unit 86 includes a microprocessor for controlling storage router 56. *Id.* at col. 5, ll. 41–43. The microprocessor of supervisor unit 86 also processes mapping and security access for requests between Fibre Channel 52 and SCSI bus 54. *Id.* at col. 5, ll. 41–44.

C. Illustrative Claim

Petitioners challenge claims 1–53 of the '041 Patent. Claims 1, 20, and 37 are independent. Each of claims 2–19, 21–36, and 38–53 depends directly or indirectly from one of claims 1, 20, and 37. Claim 1 is illustrative and is reproduced below:

- 1. A storage router for providing virtual local storage on remote storage devices, comprising:
- a first controller operable to interface with a first transport medium, wherein the first medium is a serial transport media; and
- a processing device coupled to the first controller, wherein the processing device is configured to:



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

