Paper 10 Entered: April 3, 2015

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CISCO SYSTEMS, INC. and QUANTUM CORPORATION, Petitioners,

v.

CROSSROADS SYSTEMS, INC., Patent Owner.

Case IPR2014-01544 Patent 7,051,147 B2

Before KALYAN K. DESHPANDE, MATTHEW R. CLEMENTS, and J. JOHN LEE, *Administrative Patent Judges*.

LEE, Administrative Patent Judge.

SCHEDULING ORDER

CROSSROADS EXHIBIT 2128
NetApp Inc. v. Crossroads Systems, Inc.
IPR2015-0077



A. DUE DATES

This order sets due dates for the parties to take action after institution of the proceeding. The parties may stipulate to different dates for DUE DATES 1 through 5 (earlier or later, but no later than DUE DATE 6). A notice of the stipulation, specifically identifying the changed due dates, must be promptly filed. The parties may not stipulate to an extension of DUE DATES 6 and 7.

In stipulating to different times, the parties should consider the effect of the stipulation on times to object to evidence (37 C.F.R. § 42.64(b)(1)), to supplement evidence (37 C.F.R. § 42.64(b)(2)), to conduct cross-examination (37 C.F.R. § 42.53(d)(2)), and to draft papers depending on the evidence and cross-examination testimony (see Section B, below).

The parties are reminded that the Testimony Guidelines appended to the Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,772 (Aug. 14, 2012) (Appendix D), apply to this proceeding. The Board may impose an appropriate sanction for failure to adhere to the Testimony Guidelines. 37 C.F.R. § 42.12. For example, reasonable expenses and attorneys' fees incurred by a party may be levied on a person who impedes, delays, or frustrates the fair examination of a witness.

1. INITIAL CONFERENCE CALL

The parties are directed to contact the Board within one month of this Order if there is a need to discuss proposed changes to this Scheduling Order or proposed motions. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,765–66 (Aug. 14, 2012) (guidance for initial conference calls).



2. ALTERNATIVE DISPUTE RESOLUTION STATEMENT

The parties are encouraged to discuss promptly alternative means for resolving their disputes regarding the subject matter of this proceeding. To advance the opportunities for early disposition, Petitioners are encouraged to notify the Board, by the due date identified in the Appendix to this Order, that the parties have conferred regarding alternative dispute resolution and whether the parties have reached any agreements.

3. DUE DATE 1

Patent Owner may file—

- a. A response to the petition (37 C.F.R. § 42.120), and
- b. A motion to amend the patent (37 C.F.R. § 42.121).

Patent Owner must file any such response and/or motion to amend by DUE DATE 1. If Patent Owner elects not to file anything, Patent Owner must arrange a conference call with the parties and the Board. Patent Owner is cautioned that any arguments for patentability not raised in the response will be deemed waived.

4. DUE DATE 2

Petitioners must file any reply to Patent Owner's response and any opposition to the motion to amend by DUE DATE 2.

5. DUE DATE 3

Patent Owner must file any reply to Petitioners' opposition to Patent Owner's motion to amend by DUE DATE 3.



6. DUE DATE 4

- a. Each party must file any motion for an observation on the cross-examination testimony of a reply witness (see section C, below) by DUE DATE 4.
- b. Each party must file any motion to exclude evidence (37 C.F.R § 42.64(c)) and any request for oral argument (37 C.F.R. § 42.70(a)) by DUE DATE 4.

7. DUE DATE 5

- a. Each party must file any response to an observation on cross-examination testimony by DUE DATE 5.
- b. Each party must file any opposition to a motion to exclude evidence by DUE DATE 5.

8. DUE DATE 6

Each party must file any reply for a motion to exclude evidence by DUE DATE 6.

9. DUE DATE 7

The oral argument (if requested by either party) is set for DUE DATE 7.

B. CROSS-EXAMINATION

Except as the parties might otherwise agree, for each due date—

1. Cross-examination begins after any supplemental evidence is due. 37 C.F.R. § 42.53(d)(2).



2. Cross-examination ends no later than a week before the filing date for any paper in which the cross-examination testimony is expected to be used. *Id*.

C. MOTION FOR OBSERVATION ON CROSS-EXAMINATION

A motion for observation on cross-examination provides the parties with a mechanism to draw the Board's attention to relevant cross-examination testimony of a reply witness because no further substantive paper is permitted after the reply. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,768 (Aug. 14, 2012). The observation must be a concise statement of the relevance of precisely identified testimony to a precisely identified argument or portion of an exhibit. Each observation should not exceed a single, short paragraph. The opposing party may respond to the observation. Any response must be equally concise and specific.

D. MOTION TO AMEND

Notwithstanding the page limits set forth in 37 C.F.R. § 42.24, we hereby expand those limits for the following papers: a motion to amend, if filed in this proceeding, as well as Petitioners' opposition to the motion to amend, each are limited to twenty-five (25) pages; Patent Owner's reply to the opposition to the motion to amend is limited to twelve (12) pages; and the claim listing may be contained in an appendix to the motion to amend, and does not count toward the page limit of the motion. *See* 37 C.F.R. § 42.5(b).



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