

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

NETAPP INC.,
Petitioner

v.

CROSSROADS SYSTEMS, INC.,
Patent Owner

Case IPR2015-00773
Patent 7,051,147

REVISED MANDATORY NOTICES

Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owner provides the following revised mandatory notices.

A. Real Party-In-Interest – Unchanged

Patent Owner certifies that the real party in interest is Crossroads Systems, Inc.

B. Related matters – Revised

The following patents and patent applications claim priority through United States Patent No. 7,051,147:

- 11/191,254, filed on Jul. 27, 2005, abandoned
- 11/353,826, filed on Feb. 14, 2006, issued as U.S. Pat. No. 7,340,549, reissued as RE42,761
- 11/442,878, filed on May 30, 2006, abandoned
- 11/851,724, filed on Sep. 7, 2007, issued as U.S. Pat. No. 7,689,754
- 11/851,775, filed on Sep. 7, 2007, issued as U.S. Pat. No. 7,552,266
- 11/851,837, filed on Sep. 7, 2007, issued as U.S. Pat. No. 7,694,058
- 11/980,909, filed on Oct. 31, 2007, issued as U.S. Pat. No. 8,046,515
- 11/947,499, filed on Nov. 29, 2007, issued as U.S. Pat. No. 7,984,221
- 12/552,807, filed on Sep. 2, 2009, issued as U.S. Pat. No. 7,937,517
- 12/552,885, filed on Sep. 2, 2009, issued as U.S. Pat. No. 7,934,040
- 12/552,913, filed on Sep. 2, 2009, issued as U.S. Pat. No. 8,015,339

- 12/690,592, filed on Jan. 20, 2010, issued as U.S. Pat. No. 7,934,041
- 12/910,375, filed on Oct. 22, 2010, issued as U.S. Pat. No. 8,028,117
- 12/910,431, filed on Oct. 22, 2010, issued as U.S. Pat. No. 7,984,224
- 12/910,476, filed on Oct. 22, 2010, issued as U.S. Pat. No. 8,402,193
- 12/910,515, filed on Oct. 22, 2010, issued as U.S. Pat. No. 7,987,311
- 13/197,534, filed on Aug. 3, 2011, issued as U.S. Pat. No. 8,402,194
- 13/766,301, filed on Feb. 13, 2013

Patent Owner identifies the following active litigation and IPRs as relating to U.S. Patent No. 7,051,147:

- *Crossroads Systems, Inc. v. Oracle Corporation*
Case No. 1:13-CV-00895-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. Huawei Technologies Co. Ltd. et al.*
Case No. 1:13-CV-01025-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. Cisco Systems, Inc.*,
Case No. 1:14-CV-00148-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. NetApp, Inc.*,
Case No. 1:14-CV-00149-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. Quantum Corporation*,
Case No. 1:14-CV-00150-SS (W.D. Tex.)

Petitions for *inter partes* review have been filed by Defendants in the above-captioned cases as follows:

- IPR2014-01207 (United States Patent No. 7,051,147) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.
- IPR2014-01209 (United States Patent No. 7,051,147) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.
- IPR2014-01544 (United States Patent No. 7,051,147) by Cisco Systems, Inc. and Quantum Corporation
- IPR2015-00852 (United States Patent No. 7,051,147) by Oracle Corporation
- IPR2015-01064 (United States Patent No. 7,051,147) by Oracle Corporation
- IPR2014-01177 (United States Patent No. 7,934,041) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd. (not instituted)
- IPR2014-01197 (United States Patent No. 6,425,035) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.
- IPR2014-01226 (United States Patent No. 6,425,035) by Cisco Systems, Inc. and Quantum Corporation

- IPR2014-01233 (United States Patent No. 7,987,311) by NetApp, Inc.
(not instituted)
- IPR2014-01463 (United States Patent No. 7,934,041) by Cisco
Systems, Inc. and Quantum Corporation
- IPR2015-00772 (United States Patent No. 7,987,311) by NetApp, Inc.
- IPR2015-00776 (United States Patent No. 7,934,041) by NetApp, Inc.
- IPR2015-00777 (United States Patent No. 6,425,035) by NetApp, Inc.
- IPR2015-00822 (United States Patent No. 6,425,035) by Dot Hill
Systems Corp.
- IPR2015-00825 (United States Patent No. 6,425,035) by Oracle
Corporation and Dot Hill Systems Corp.
- IPR2015-00854 (United States Patent No. 7,934,041) by Oracle
Corporation
- IPR2015-01063 (United States Patent No. 6,425,035) by Oracle
Corporation
- IPR2015-01066 (United States Patent No. 7,934,041) by Oracle
Corporation

United States Patent Nos. 6,425,035; 7,051,147; 7,934,041; and 7,987,311
referenced above claim priority to United States Patent No. 5,941,972 filed Dec.

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