UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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NETAPP INC.,
Petitioner

v.

CROSSROADS SYSTEMS, INC.,
Patent Owner

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Case IPR2015-00773
Patent 7,051,147

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MANDATORY NOTICES

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner provides the following mandatory notices.

A. Real Party-In-Interest

Patent Owner certifies that the real party in interest is Crossroads Systems, Inc.

B. Related matters

The following patents and patent applications claim priority through United States Patent No. 7,051,147:

- 11/191,254, filed on Jul. 27, 2005, abandoned

- 11/353,826, filed on Feb. 14, 2006, issued as U.S. Pat. No. 7,340,549, reissued as RE42,761

- 11/442,878, filed on May 30, 2006, abandoned

- 11/851,724, filed on Sep. 7, 2007, issued as U.S. Pat. No. 7,689,754

- 11/851,775, filed on Sep. 7, 2007, issued as U.S. Pat. No. 7,552,266

- 11/851,837, filed on Sep. 7, 2007, issued as U.S. Pat. No. 7,694,058

- 11/980,909, filed on Oct. 31, 2007, issued as U.S. Pat. No. 8,046,515

- 11/947,499, filed on Nov. 29, 2007, issued as U.S. Pat. No. 7,984,221

- 12/552,807, filed on Sep. 2, 2009, issued as U.S. Pat. No. 7,937,517

- 12/552,885, filed on Sep. 2, 2009, issued as U.S. Pat. No. 7,934,040

- 12/552,913, filed on Sep. 2, 2009, issued as U.S. Pat. No. 8,015,339

- 12/690,592, filed on Jan. 20, 2010, issued as U.S. Pat. No. 7,934,041

- 12/910,375, filed on Oct. 22, 2010, issued as U.S. Pat. No. 8,028,117

- 12/910,431, filed on Oct. 22, 2010, issued as U.S. Pat. No. 7,984,224

- 12/910,476, filed on Oct. 22, 2010, issued as U.S. Pat. No. 8,402,193

- 12/910,515, filed on Oct. 22, 2010, issued as U.S. Pat. No. 7,987,311

- 13/197,534, filed on Aug. 3, 2011, issued as U.S. Pat. No. 8,402,194

- 13/766,301, filed on Feb. 13, 2013

Patent Owner identifies the following active litigation and IPRs as relating to U.S. Patent No. 7,051,147:

- *Crossroads Systems, Inc. v. Oracle Corporation*

  Case No. 1:13-CV-00895-SS (W.D. Tex.)

- *Crossroads Systems, Inc. v. Huawei Technologies Co. Ltd. et al.*

  Case No. 1:13-CV-01025-SS (W.D. Tex.)

- *Crossroads Systems, Inc. v. Cisco Systems, Inc.*,

  Case No. 1:14-CV-00148-SS (W.D. Tex.)

- *Crossroads Systems, Inc. v. NetApp, Inc.*,

  Case No. 1:14-CV-00149-SS (W.D. Tex.)

- *Crossroads Systems, Inc. v. Quantum Corporation*,

  Case No. 1:14-CV-00150-SS (W.D. Tex.)

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Petitions for *inter partes* review have been filed by Defendants in the above-captioned cases as follows:

- IPR2014-01207 (United States Patent No. 7,051,147) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.

- IPR2014-01209 (United States Patent No. 7,051,147) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.

- IPR2014-01544 (United States Patent No. 7,051,147) by Cisco Systems, Inc. and Quantum Corporation

- IPR2015-00852 (United States Patent No. 7,051,147) by Oracle Corporation

- IPR2014-01177 (United States Patent No. 7,934,041) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd. (not instituted)

- IPR2014-01197 (United States Patent No. 6,425,035) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.

- IPR2014-01226 (United States Patent No. 6,425,035) by Cisco Systems, Inc. and Quantum Corporation

- IPR2014-01233 (United States Patent No. 7,987,311) by NetApp, Inc. (not instituted)

- IPR2014-01463 (United States Patent No. 7,934,041) by Cisco Systems, Inc. and Quantum Corporation

- IPR2015-00772 (United States Patent No. 7,987,311) by NetApp, Inc.

- IPR2015-00776 (United States Patent No. 7,934,041) by NetApp, Inc.

- IPR2015-00777 (United States Patent No. 6,425,035) by NetApp, Inc.

- IPR2015-00822 (United States Patent No. 6,425,035) by Dot Hill Systems Corp.

- IPR2015-00825 (United States Patent No. 6,425,035) by Oracle Corporation and Dot Hill Systems Corp.

- IPR2015-00854 (United States Patent No. 7,934,041) by Oracle Corporation

United States Patent Nos. 6,425,035; 7,051,147; 7,934,041; and 7,987,311 referenced above claim priority to United States Patent No. 5,941,972 filed Dec. 31, 1997. The following patents and patent applications also claim priority to United States Patent No. 5,941,972: 6,421,753; 6,425,036; 6,789,152; 6,738,854; 6,763,419.

C. Lead and back-up counsel

Lead Counsel:

Russell Wong (Reg. No. 32,322)

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