UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

JDS UNIPHASE CORPORATION Petitioner

v.

CAPELLA PHOTONICS, INC. Patent Owner

Case IPR2015-00739 Patent RE42,678

CAPELLA PHOTONICS, INC.'S UPDATED MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(3)

Mail Stop "PATENT BOARD" Patent Trial and Appeal Board U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450



Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owner, Capella Photonics, Inc., hereby files its Mandatory Notices.

I. $37 \text{ C.F.R.} \S 42.8(b)(2) - \text{RELATED MATTERS}$

A. Proceedings Before the USPTO Involving the Patent-at-Issue

Patent Owner notifies the board of the following additional *Inter Partes* review proceedings filed against U.S. Patent Nos. RE42,368 ("the '368 patent") and RE42,678 ("the '678 patent").

| Patent No. | Case Caption | Filing Date |
|------------|---|--------------------|
| RE42,678 | Ciena Corp., Coriant Operations, Inc., Coriant (USA) Inc. and Fujitsu Network Communications, Inc. v. Capella Photonics, Inc., Case IPR2015-00894 (now merged with IPR2014-01276) | March 17, 2015 |
| | Ciena Corp., Coriant Operations, Inc. and Coriant (USA) Inc. v. Capella Photonics, Inc., Case IPR2015-01961 | September 24, 2015 |
| | Coriant Operations, Inc., Coriant (USA) Inc., Ciena Corporation, Cisco Systems, Inc. and Fujitsu Network Communications, Inc. v. Capella Photonics, Inc., Case IPR2015-01971 | September 25, 2015 |
| RE42,368 | Ciena Corp., Coriant Operations, Inc. and Coriant (USA) Inc. v. Capella Photonics, Inc., Case IPR2015-01958 | September 24, 2015 |
| | Coriant Operations, Inc., Coriant (USA) Inc., Ciena Corporation, Cisco Systems, Inc. and Fujitsu Network Communications, Inc. v. Capella Photonics, Inc., Case IPR2015-01969 | September 25, 2015 |



II. 37 C.F.R. § 42.8(b)(3) – LEAD AND BACK-UP COUNSEL

Patent Owner hereby adds Nicholas J. Nowak as back-up counsel. An updated Power of Attorney is concurrently filed herewith. A Motion for *Pro Hac Vice* Admission of Nicholas J. Nowak was filed on September 17, 2015 (Paper 11) and granted on October 1, 2015 (Paper 13).

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Case IPR2015-00739 Patent RE42,678

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III. 37 C.F.R. \S 42.8(b)(4) – SERVICE INFORMATION

Please address all correspondence to the lead and back-up counsel as shown above. Patent Owner consents to electronic service by e-mail to PTAB@skgf.com and at all e-mail addresses provided above.

The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with IPR2015-00739 to Deposit Account 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/Jason D. Eisenberg/

Jason D. Eisenberg Registration No. 43,447 Lead Counsel for Patent Owner

Date: October 9, 2015

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CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

The undersigned hereby certifies that the foregoing **CAPELLA**

PHOTONICS, INC.'S UPDATED MANDATORY NOTICES PURSUANT

TO 37 C.F.R. §42.8(a)(3) and accompanying Updated Power of Attorney were served electronically via e-mail on October 9, 2015 in their entireties on the following counsel of record for Petitioner:

Walter C. Linder (Lead Counsel) Kenneth Liebman (Back-up Counsel)

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