UNITED STATES PATENT AND	O TRADEMARK OFFICE
BEFORE THE PATENT TRIAL	AND APPEAL BOARD

LUMENTUM HOLDINGS, INC., LUMENTUM, INC., and LUMENTUM OPERATIONS LLC Petitioner,

v.

CAPELLA PHOTONICS, INC.
Patent Owner.

Case IPR2015-00739
Patent RE42,678

## TRANSMITTAL LETTER ACCOMPANYING SUBMISSION OF EXHIBIT 2036

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450



Case IPR2015-00739 of U.S. Patent No. RE42,678

On February 24, 2016 Petitioner, Lumentum Holdings, Inc. et al., and Patent Owner, Capella Photonics, Inc., spoke with the Patent Trial and Appeal Board on a conference call regarding the following items:

- 1. Whether Schedule 5.5(A) of Lumentum's 8-K SEC filing proves Lumentum assumed responsibility of this IPR proceeding from JDS Uniphase Corp. (now named Viavi Solutions Inc.); and
- 2. Whether the Board will authorize Capella to file a motion for additional discovery of an unredacted copy of Schedule 5.5(A).

Accordingly, Patent owner, Capella Photonics, Inc. has submitted the full transcript of the conference call discussing these items as **Exhibit 2036** in IPR2015-00739.

Respectfully Submitted,
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Date: March 4, 2016 /Jason D. Eisenberg/

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## CERTIFICATE OF SERVICE (37 C.F.R. § 42.6(e))

The undersigned hereby certifies that the foregoing **TRANSMITTAL** 

## LETTER ACCOMPANYING SUBMISSION OF EXHIBIT 2036, PATENT OWNER'S UPDATED EXHIBIT LIST PURSUANT TO 37 C.F.R. § 42.63(e),

and **EXHIBIT 2036** were served electronically via e-mail on March 4, 2016 in their entireties on the following counsel of record for Petitioner:

Walter C. Linder (Lead Counsel) Kenneth Liebman (Back-up Counsel) Paul Sherburne (Back-up Counsel)

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