

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

LUMENTUM HOLDINGS, INC., LUMENTUM, INC., AND LUMENTUM
OPERATIONS, LLC

Petitioner

v.

CAPELLA PHOTONICS, INC.

Patent Owner

Case No. IPR2015-00731

Patent No. RE 42,368

PETITIONER'S UPDATED EXHIBIT LIST

Case No. IPR2015-00731
Petitioner's Updated Exhibit List

Exhibit No.	Description:
Exhibit 1001	U.S. Reissued Patent No. RE42,368 to Chen et al. ("368 Patent")
Exhibit 1002	File History of U.S. Patent No. RE42,368 to Chen et al. ("368 File History")
Exhibit 1003	U.S. Patent No. 6,498,872 to Bouevitch et al. ("Bouevitch")
Exhibit 1004	U.S. Patent No. 6,625,340 to Sparks et al. ("Sparks Patent," or "Sparks")
Exhibit 1005	Excerpts from Born et al., Principles of Optics, (6th Ed., Pergammon Press 1984)
Exhibit 1006	U.S. Patent No. 6,798,992 to Bishop et al. ("Bishop")
Exhibit 1007	U.S. Patent No. 6,507,421 to Bishop et al. ("Bishop '421")
Exhibit 1008	Provisional Patent App. No. 60/277,217 ("368 Provisional")
Exhibit 1009	U.S. Patent No. 6,253,001 to Hoen ("Hoen")
Exhibit 1010	U.S. Patent No. 5,661,591 to Lin at al. ("Lin")
Exhibit 1011	Doerr et al., An Automatic 40-Wavelength Channelized Equalizer, IEEE Photonics Technology Letters, Vol., 12, No. 9, (Sept. 2000)

Case No. IPR2015-00731
Petitioner's Updated Exhibit List

Exhibit No.	Description:
Exhibit 1012	U.S. Patent No. 5,936,752 to Bishop et al. ("Bishop '752")
Exhibit 1013	Excerpt from New World English Dictionary ("servo" and "servomechanism")
Exhibit 1014	Excerpt from Collins English Dictionary - Complete & Unabridged 10th Edition. HarperCollins Publishers ("feedback")
Exhibit 1015	Ford et al., Wavelength Add-Drop Switching Using Tilting Micromirrors, Journal of Lightwave Technology, Vol. 17, No. 5 (May 1999) ("Ford")
Exhibit 1016	U.S. Patent No. 6,069,719 to Mizrahi ("Mizrahi")
Exhibit 1017	U.S. Patent No. 6,204,946 to Aksyuk et al. ("Aksyuk")
Exhibit 1018	U.S. Patent Application Publication No. US 2002/0105692 to Lauder et al. ("Lauder")
Exhibit 1019	Giles et al., Reconfigurable 16-Channel WDM DROP Module Using Silicon MEMS Optical Switches, IEEE Photonics Technology Letters, Vol. 11, No. 1, (Jan. 1999) ("Giles 16-Channel WDM DROP Module")
Exhibit 1020	Andrew S. Dewa, and John W. Orcutt, Development of a silicon 2-axis micro-mirror for optical cross-connect, Technical Digest of the Solid State Sensor and Actuator Workshop, Hilton Head Island, SC, June 4-8, 2000) at pp. 93-96 ("Dewa")

Case No. IPR2015-00731
Petitioner's Updated Exhibit List

Exhibit No.	Description:
Exhibit 1021	U.S. Patent No. 6,011,884 to Dueck et al. ("Dueck")
Exhibit 1022	U.S. Patent No. 6,243,507 to Goldstein et al. ("Goldstein '507")
Exhibit 1023	U.S. Patent No. 6,567,574 to Ma, et al. ("Ma")
Exhibit 1024	U.S. Patent No. 6,256,430 to Jin, et al. ("Jin")
Exhibit 1025	U.S. Patent No. 6,631,222 to Wagener et al. ("Wagener")
Exhibit 1026	U.S. Patent No. 5,875,272 to Kewitsch et al. ("Kewitsch")
Exhibit 1027	U.S. Patent No. 6,285,500 to Ranalli at al. ("Ranalli")
Exhibit 1028	Declaration of Sheldon McLaughlin
Exhibit 1029	Declaration of Dr. Dan Marom filed in Inter Partes Review Case 2014-01166
Exhibit 1030	James A. Walker et al., Fabrication of a Mechanical Antireflection Switch for Fiber-to-the-Home Systems, 5 J. Microelectromechanical Sys. 45, 46-47, Fig. 3 (1996) ("Walker")
Exhibit 1031	U.S. Patent No. 5,414,540 to Patel et al. ("Patel")
Exhibit 1032	Borella, et al., Optical Components for WDM Lightwave Networks, Proceedings of the IEEE, Vol. 85, NO. 8, August 1997 ("Borella")

Case No. IPR2015-00731
Petitioner's Updated Exhibit List

Exhibit No.	Description:
Exhibit 1033	U.S. Patent No. 6,928,244 to Goldstein et al. ("Goldstein '244")
Exhibit 1034	Steffen Kurth et al., Silicon mirrors and Micromirror Arrays for Spatial Laser Beam Modulation, Sensors and Actuators, A 66, July 1998
Exhibit 1035	C. Randy Giles and Magaly Spector, The Wavelength Add/Drop Multiplexer for Lightwave Communication Networks, Bell Labs Technical Journal, (Jan.-Mar. 1999) ("Giles and Spector")
Exhibit 1036	U.S. Patent No. 5,872,880 to Maynard (the "Maynard patent")
Exhibit 1037	Lumentum Holdings, Inc. Form 8-K, filed 08/06/2015
Exhibit 1038	Declaration of Joel D. Sayres in Support of Motion for <i>Pro Hac Vice</i> Admission
Exhibit: 1039	Schedule 5.5(A) (Redacted)

Dated: February 9, 2016

Respectfully submitted,

Faegre Baker Daniels LLP

Faegre Baker Daniels
2200 Wells Fargo Center
90 S. Seventh Street
Minneapolis, MN 55402
Tel: (612) 766-7000

By: / Walter Linder /
Walter C. Linder
Reg. No. 31, 707
Lead Counsel
Telephone: 612-766-8801

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