UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE PATENT TRIAL AND APPEAL BOARD

GOOGLE INC. Petitioner,

v.

AT HOME BONDHOLDERS' LIQUIDATING TRUST Patent Owner.

> Case IPR2015-00662<sup>1</sup> Patent 6,014,698

## **OBJECTIONS TO EVIDENCE**

\_\_\_\_\_

## PURSUANT TO 37 C.F.R. § 42.64(b)(1)

Mail Stop "PATENT BOARD"

DOCKE.

Δ

<sup>1</sup> Case IPR2015-00666 has been consolidated with this proceeding.

LARM Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

### Case IPR2015-00662 U.S. Patent No. 6,014,698

Petitioner Google Inc. hereby makes the following objections to the admissibility of documents submitted with Patent Owner's Preliminary Response.

- Google objects to AHBLT-2001 as inadmissible hearsay (FRE 801, 802). Patent Owner uses AHBLT-2001 to show that "caching was essential to the growth of the web but a problem for on-line advertisers." (Paper 10, p. 6.) The document's authors are not under oath and are not subject to cross-examination in this proceeding. Because AHBLT-2001 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay. Also, AHBLT-2001 purports to quote analysts to show caching allegedly becoming an integral part of the Internet. (Paper 10, p. 6.) Thus, AHBLT-2001 contains hearsay within hearsay and no part of the combined statements conforms with an exception to the rule against hearsay.
- 2. Google objects to AHBLT-2002 as inadmissible hearsay (FRE 801, 802). Patent Owner uses AHBLT-2002 to show that the caching problem for advertisers allegedly was recognized. (Paper 10, p. 7.) The document's authors are not under oath and are not subject to cross-examination in this proceeding. Because AHBLT-2002 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay. Also, AHBLT-2002 purports to quote

### Case IPR2015-00662 U.S. Patent No. 6,014,698

Matchlogic Inc. to show that Matchlogic "plans to introduce software that *it [Matchlogic] says* will solve the problem, allowing accurate counts of how many people see a Web ad." (Paper 10, p. 7.) AHBLT-2002 further purports to quote Dick Bennett "agreeing that [Matchlogic] technology did what they were claiming it did" and quote Philip Guarascio saying the "technology is going to give us what we think is the most accurate headcount." (AHBLT-2002, p. 1.) Thus, AHBLT-2002 contains hearsay within hearsay and no part of the combined statements conforms with an exception to the rule against hearsay.

3. Google objects to AHBLT-2003 as inadmissible hearsay (FRE 801, 802). Patent Owner uses AHBLT-2003 to show that MatchLogic's technology allegedly raised the bar in terms of providing more complete activity reporting. (Paper 10, pp. 7-10.) The document's authors are not under oath and are not subject to cross-examination in this proceeding. Because AHBLT-2003 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay. Also, AHBLT-2003 purports to quote Michael Lavery to show MatchLogic allegedly raised the bar in terms of providing more complete activity reporting. (Paper 10, pp.7-8.) AHBLT-2003 further purports to quote Evan Neufeld to show TrueCount allegedly was widely adopted. (Paper 10, p. 8.) Thus, AHBLT-2003 contains hearsay within hearsay and no part of the combined statements conforms with an exception to the rule against hearsay.

4. Google objects to AHBLT-2004 as inadmissible hearsay (FRE 801, 802), for lacking relevance given its 2013 copyright date (FRE 401, 402), and for lacking authentication (FRE 901). Patent Owner uses AHBLT-2004 to show that Audit Bureau of Circulations (ABC) is a not-for-profit, voluntary organization consisting of publishers, advertisers and advertising agencies. (Paper 10, p. 8.) The document's authors are not under oath and are not subject to cross-examination in this proceeding. Because AHBLT-2004 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay. And because AHBLT-2004 carries a copyright marking of 2013, it lacks relevance as to how the Bureau operated at the time of its review of MatchLogic's technology in 1997. AHBLT-2004 appears to be from the web site of an Indian organization "covering most of the major towns in India." (AHBLT-2004, p. 1.) AHBLT-2004 is an unauthenticated document and is not self-authenticating under FRE 902. Thus, AHBLT-2004 lacks authentication.

## Case IPR2015-00662 U.S. Patent No. 6,014,698

- 5. Google objects to AHBLT-2005 as inadmissible hearsay (FRE 801, 802) and for unfair prejudice (FRE 403). Patent Owner uses AHBLT-2005 to show that the NetGravity working draft allegedly described the invention of the '698 patent in detail. (Paper 10, p. 10.) The document's authors are not under oath and are not subject to cross-examination in this proceeding. Because AHBLT-2005 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay. In addition, the probative value is substantially outweighed by the danger of unfair prejudice, leading to confusion and waste of time.
- 6. Google objects to AHBLT-2006 as inadmissible hearsay (FRE 801, 802) and for unfair prejudice (FRE 403). Patent Owner uses AHBLT-2006 to show that the NetGravity proposal allegedly was adapted by the Internet Advertising Bureau (IAB) into a set of guidelines. (Paper 10, p. 10.) The document's authors are not under oath and are not subject to cross-examination in this proceeding. Because AHBLT-2006 is an out of court statement offered for its truth, and does not fall within any exception to the rule against hearsay, it is inadmissible hearsay. In addition, Patent Owner uses AHBLT-2006 to show that members of IAB allegedly included Google and DoubleClick. The probative value is substantially

## DOCKET A L A R M



# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.