I, Julia Fraser, am Head of Knowledge Management at the Office of Communications ("Ofcom"), an independent regulator for the communications sector in the United Kingdom located in London. I was previously responsible for maintaining publications at the Radiocommunications Agency starting in the Spring of 1990. The Radiocommunications Agency, a public agency of the United Kingdom, was formerly an Executive Agency of the Department of Trade and Industry and merged with several other regulatory bodies in the United Kingdom to form Ofcom in 2003.

I am the custodian for the attached document:

MPT 1343: Performance Specification System Interface Specification for radio units to be used with commercial trunked networks operating in Band III sub-bands 1 and 2

I certify that the attached is a true and correct copy from the records of Ofcom and has been in Ofcom's possession (and previously that of the RA) since its original release in 1991.

I takaee

Julia Fraser Head of Knowledge Management Office of Communications

**Ex. 1036** 

2-8 Aug 2015

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 1 of 214

Ofcom

Knowledge Centre

动田



RADIOCOMMUNICATIONS AGENCY

# 61c 42451

# MPT 1343

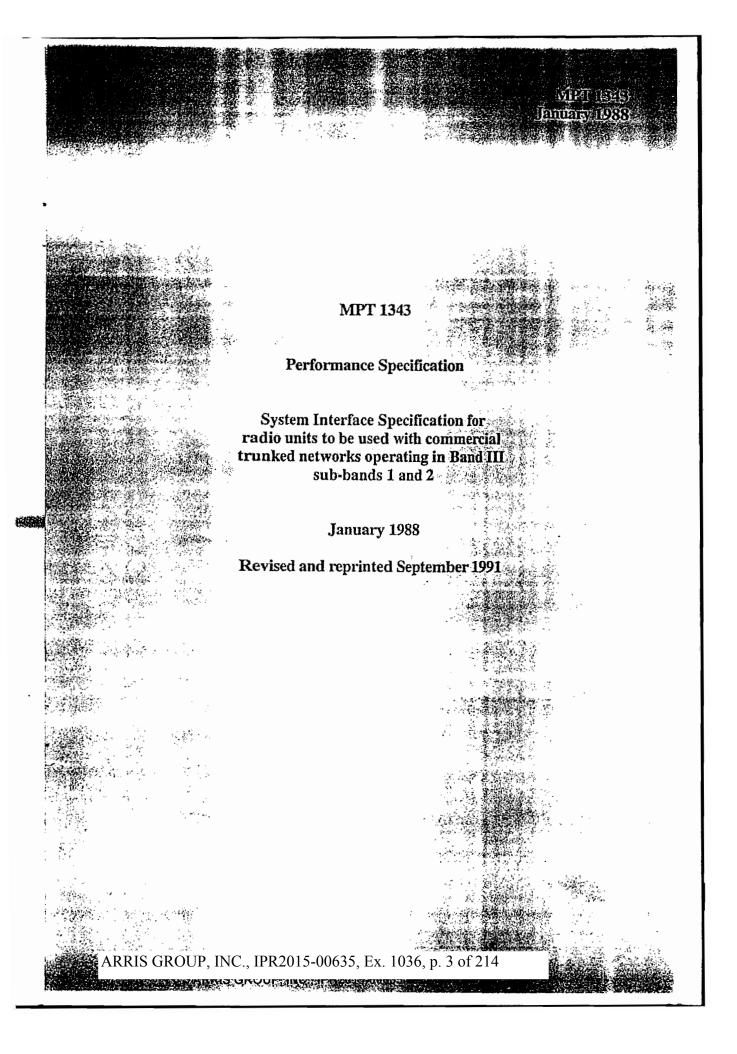
**Performance Specification** 

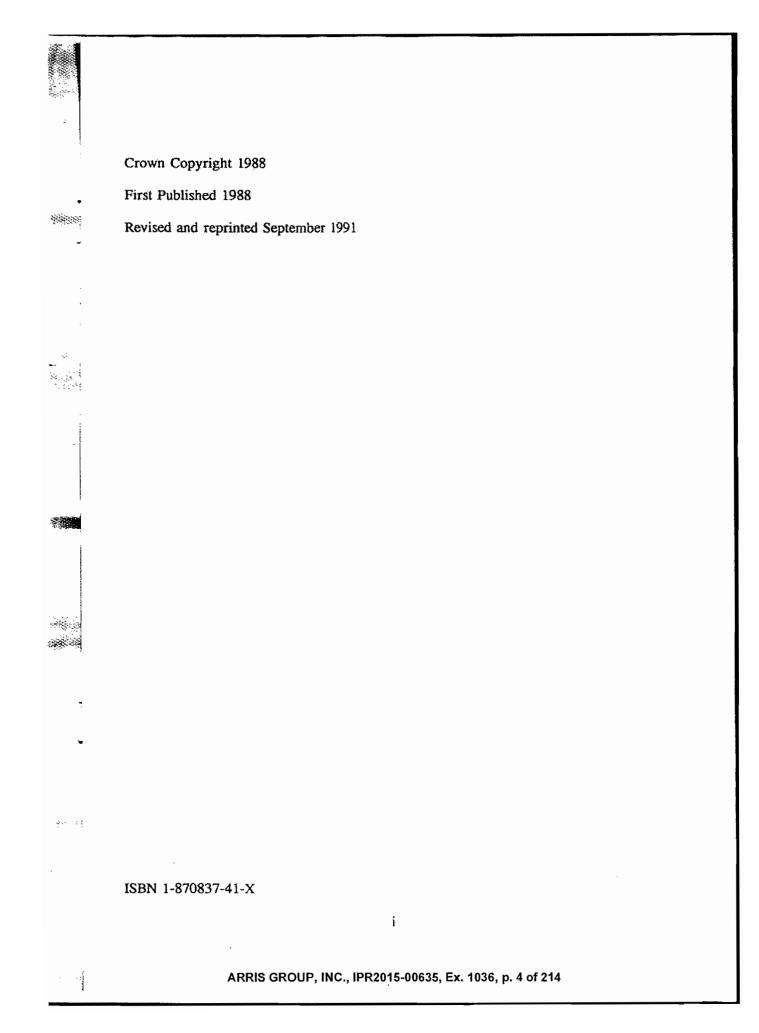
System Interface Specification for radio units to be used with commercial trunked networks operating in Band III sub-bands 1 and 2

January 1988

**Revised and reprinted September 1991** 

Librory copy Do not remove





## FOREWORD

1.20 B

dissing 1

This specification contains the minimum performance requirements additional to MPT 1323 to be met by radio units used with commercial trunked networks operating in Band III, subbands 1 and 2. A companion specification, MPT 1347, contains the additional minimum performance requirements to be met by network fixed equipment. Together these common specifications are intended to allow a user to migrate from one trunked radio network to another without having to change radio equipment.

It should be noted that some aspects of the specification remain relatively unproven operationally. All reasonable efforts have been made to ensure accuracy, but it may prove necessary to amend some sections in the light of practical experience. An example is the way that the radio unit caters for time-shared and discontinuous control channels.

#### **Intellectual Property Rights**

Firms intending to manufacture equipment which complies with the specification should be aware that certain features of the specification are subject to IPR claims.

All firms are therefore advised that they should make appropriate enquiries through their Patent Agents before proceeding.

ii

CON	TENTS

the second second

2053

.

wind the

2.3

. 🔶

			Page
1.	SCOPE		1-1
2.	ASSOCIATE	ED DOCUMENTS	2-1
3.	GENERAL		
	3.1	Definitions	3-1
	3.2	Test Conditions	3-9
	3.3	General Requirements	3-9
4.	TRANSMIT	TER PARAMETERS	
	4.1	Frequency Parameters	4-1
	4.2	Modulation Characteristics	4-2
	4.3	Performance Parameters	4-3
5.	RECEIVER	PARAMETERS	
	5.1	Frequency Parameters	5-1
	5.2	Demodulation Characteristics	5-2
	5.3	Muting	5-3
	5.4	Performance Parameters	5-3
6.	STORAGE I	REQUIREMENTS	
	6.1	Introduction	6-1
	6.2	Types of Memory	6-1
	6.3	Summary of Storage Requirements	6-2
7.	SECURITY		7-1
8.	MAN-MACI	HINE INTERFACE	
	8.1	Confidence Indications	8-1
	8.2	Call Number Convention	8-10
	8.3	Ready for Communication Control	8-39
9.	CONTROL	CHANNEL ACQUISITION AND RETENTION	
	9.1	Introduction	9-1
	9.2	Radio Unit Storage Requirements	9-2
	9.3	Control Channel Acquisition Procedures	9-5
	9.4	Leaving a Control channel	9-34
	9.5	Multiple Control Channels	9-38
10.	REGISTRAT	ΓΙΟΝ	
	10.1	General	10-1
	10.2	Single Registration	10-1
	10.3	Multiple Registration	10-8

iii

15. I					Page
	11.	CALL		ESSING	-
			11.1	Introduction	11-1
and the second			11.2		11-4
			11.3		11-4
			11.4	0	11-5
and the second sec			11.5	Codeword Structure	11-6
			11.6 11.7		11-18
•			11.7		11-20
			11.0	8	11-21
				Emergency Call Procedures	11-23 11-31
1				Include Call Procedures	11-31
				Call Diversion Procedures	11-31
				Status Message Procedures	11-32
-				Short Data Message Procedures	11-32
				Data Interrogation Procedures	11-32
				Not Used	11-32
				Standard Data procedures	11-33
1000-Ave-					11.33
	12.	NON-		DARD DATA INTERFACE PROVISION	
			12.1	Muting	12-1
			12.2	J	12-1
			12.3		12-1
and a serie and a series of the			12.4		12-1
		-	12.5	Facilities	12-2
	13.	FALL	-BACK	MODE	
			13.1	Introduction	13-1
ii a na na difere			13.2	Storage Requirements	13-1
•			13.3		13-1
			13.4	Procedures in Fall-back Mode	13-2
3333355			13.5	Leaving Fall-back Mode	13-3
2000001			13.6	User Initiated Change of Network	13-4
	14.	SHUB	ጥ ኮልፕ	A ON THE CONTROL CHANNEL USING RQC	
	14.	SHOR	14.1	Introduction	14-1
<b>•</b> .			14.2	Message Format	14-1
			14-3	The use of Control Fields for $STF = '1'$	14-2
-			14-4	Procedure for Radio units	14-10
			14-5	An Example of the Procedures for Extended Data Messages	14-17
	APPEN	IDIV	٨	EDDOD DEDEODMANCE DEOLUDEMENTS	
	AFTER	UDIA.	A A.1	ERROR PERFORMANCE REQUIREMENTS Definition	Á 1
ereggeligerer a			A.2	Method of Measurement	A-1 A-1
· •			A.3	Limits	A-1 A-3
			n.J	Linita	A-3

APPENDIX	B B.1 B.2	TIMING AND DEFAULT PARAMETERS Default Parameters Timing Parameters	B-1 B-2
ANNEX	AN1	Clarification of Radio Unit Operation on Time Shared Control Channels	AN-1
	AN2	Recommendation for Radio Unit Behaviour once the Comprehansive Hunt Sequence has been entered	AN-2

.

- 法法法法

1. 201

AND DO

29

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 8 of 214

v

## SCOPE

1.

986.55

Sec. of

1.1 This specification covers the minimum performance requirements for radio units used with commercial trunked networks operating in Band III, sub-bands 1 and 2. It covers:

signalling requirements based upon the standard MPT 1327.

MPT 1343 is designed to be read in association with MPT 1327.

- radio frequency requirements, where they are additional to those in MPT 1323.
- user interface requirements, where advantages are to be gained from standardisation.

1.2 The specification includes two types of requirement:

those that are mandatory in all radio units.

 those that are optional in a radio unit, but shall be implemented in a standard manner.

## 2. ASSOCIATED DOCUMENTS

- MPT 1317 (1981) Code of practice for the transmission of digital information over land mobile radio systems.
- MPT 1318 (1986) Engineering memorandum: Trunked systems in the land mobile service.
- MPT 1323 (1987) Angle modulated radio equipment for use at base and mobile stations in the private mobile radio service operating in the frequency band 174-225 MHz.
- MPT 1327 (1988) A signalling standard for trunked private land mobile radio systems.
- MPT 1331 (1987) Code of practice for radio site engineering.

See .

- MPT 1347 (1988) Radio interface specification for commercial trunked networks operating in Band III sub-bands 1 and 2.
- MPT 1352 (1991) Test schedule for the approval of radio units to be used with commercial trunked networks operating in Band III sub-bands 1 and 2.

## 3. GENERAL

## 3.1 <u>Definitions</u>

Section numbers appearing between !! !! delimiters in this specification refer to section numbers within MPT 1327.

Words appearing between asterisks in this section are defined terms.

<u>Acquisition</u>: The condition obtained by the successful completion of the \*control channel acquisition procedure\* for a control channel which allows a radio unit to transmit on that channel.

Acquisition Authorisation Data: \*Network personalisation\* data which enables the \*radio unit\* to determine by examination of the relevant sub-fields within a \*system identity code\* received on a \*control channel\* whether the \*radio unit\* is authorised to access on that \*control channel\*.

<u>Active on a Channel</u>: A \*radio unit\* is \*active on a channel\* when, on that channel, it is enabled to respond to \*messages\* addressed to it, or is transmitting, or is in transition between the two states.

Note: a \*radio unit\* becomes active on an assigned \*traffic channel\* as soon as it can receive on that channel, whereas, on a \*control channel\* it shall not become active until it has received a codeword containing an appropriate \*system identity code\*.

<u>Address</u>: A 20-bit number by which a unit or group of units is known within a \*system\*. The \*address\* comprises two \*fields\*; a 7-bit \*prefix\* and a 13-bit \*ident\*.

Address Codeword: A 64-bit codeword, conforming to the requirements of MPT 1327, where the first bit is set to '1'. An \*address codeword\* is always the first codeword in any \*message\*, and defines the nature of the \*message\*.

Available for Customisation: If the \*trunking system controller\* implements such a customised function, then if the \*radio unit\* implements the function it shall respond in the manner specified by the network operator of that \*trunking system controller\*. Such functions will not modify existing standardised functions. If the radio unit does not understand the customised function in the context of a \*system\* it is currently using, then it shall ingnore that function. The \*radio unit\* shall not infringe any of the requirements of section 5 of MPT 1327.

Base Station: The entirety of transmitters and receivers operated by a \*trunking system controller\* at any one site.

<u>Call</u>: A complete information exchange between two or more \*parties\* which includes one or more \*transactions\* and may include direct user-to-user communication on a \*traffic channel\*.

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 11 of 214

10000

, T

್

States :

.

<u>Called Unit (or Group)</u>: The unit, or group of units, which a \*calling unit\* identifies as the desired recipient(s) of a \*call\*. The \*called unit (or group)\* retains this designation for the duration of the \*call\* and this convention is used in messages relating to that particular \*call\* irrespective of the origin of such \*messages\*.

<u>Calling Unit</u>: A \*radio unit\* or \*line unit\* which requests a \*call\*. The \*calling unit\* retains this designation for the duration of a \*call\* and this convention is used in \*messages\* relating to that particular \*call\* irrespective of the origins of such \*messages\*.

<u>Common Prefix Call</u>: A \*call\* where the values of the \*prefixes\* in the calling and called \*addresses\* are the same. \*Common prefix calls\* use \*short addressing\* procedures.

<u>Confirmation</u>: A procedure employed by the \*radio unit\* to facilitate the selection of an appropriate \*control channel\* to allow a \*session\* with a \*system\* to be initiated or continued. The \*confirmation\* process consists of applying prescribed tests to the signal content of the received \*forward channel\*.

<u>Control Category</u>: A designation given to the \*radio unit\* during \*network personalisation\* which governs that unit's right of access to \*control channels\* radiated by that \*network\*. A \*radio unit\* shall only access a \*control channel\* when the value of the LAB field in the \*system identity code\* indicates that the unit's \*control category\* is permitted to use that channel.

<u>Control Channel</u>: A \*forward channel\* and \*return channel\* being used for the transmission of \*messages\* conforming to MPT 1327 with the primary purpose of enabling the \*trunking system controller\* to control \*radio units\*.

<u>Control Channel Acquisition Procedure</u>: A procedure employed by the \*radio unit\* to select an appropriate \*control channel\* to allow a \*session\* with a \*system\* to be initiated or continued. The procedure consists of \*hunting\* for candidate \*control channels\* which are submitted to \*confirmation\* tests to determine if \*acquisition\* is permitted. \*Hunting\* continues until such time as a successful \*acquisition\* is confirmed.

<u>Data Codeword</u>: A 64-bit codeword, conforming to the requirements of MPT 1327, where the first bit is set to '0'. \*Data codewords\* are concatenated to an \*address codeword\* and supplement the information in the \*address codeword\*.

<u>Decodable</u>: A transmitted codeword shall be considered \*decodable\* if, after receipt, and after error correction (see Section 11.3.2.3) has been applied, a valid codeword from the . (64,48) code defined in section 3.2.3 of MPT 1327 is formed.

<u>Diversion</u>: A procedure whereby a \*party\* may request that future \*calls\* to a particular called \*address\* be directed to an alternative destination.

Explicit Registration: A \*registration\* achieved by means of an RQR message.

Extended Addressing: A method which allows called \*party\* details to be conveyed to the \*trunking system controller\* when the \*call\* details cannot be accommodated in a single

3-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 12 of 214

\*address codeword\*. These called-party details may be an \*address\* or addressing information in a different form (eg PSTN dialling digits).

Fall-back Mode: A customised form of operation which may be used by a \*network\* suffering equipment malfunction.

Field: A number of contiguous bits in a codeword which is specified in terms of the position within the codeword and the number of bits.

Fixed Radio Unit: A \*radio unit\* used with its antenna at a fixed location.

Nº ANY

ranksea

sec.

Forward Channel: A radio bearer where the direction of transmission is from the \*trunking system controller\* to the \*radio units\*.

Free Format Data: Data within a codeword which, in this specification, is constrained only by its position and length.

<u>Gateway</u>: A \*special ident\* which is used to identify a \*message\* relating to a \*call or transaction\* to or from a communications service outside the \*system\* (eg the PSTN). For the purpose of this specification the interprefix \*ident\*, IPFIXI, is also regarded as a \*gateway\*.

<u>Group Address</u>: An \*address\* which is common to more than one unit and which, when nominated as the called \*address\*, signifies a \*group call\*. Units may be assigned any practicable number of \*group addresses\*.

<u>Group Call</u>: A \*call\* in which a \*group address\* is specified as the called \*party\* and, accordingly, provides a means of communication between more than two units. The calling \*party\* in a \*group call\* may opt for a conversational mode, where all \*parties\* are able to speak, or for an announcement mode where only the caller may speak.

Head Message: Single transmission of an MPT1327 HEAD \*address codeword\* and its appended \*data codewords\*.

<u>Hunting</u>: A procedure employed by the \*radio unit\* to facilitate the selection of an appropriate \*control channel\* to allow a \*session\* with a \*system\* to be initiated or continued. The \*hunting\* process consists of systematic sampling of the \*forward channel\* frequencies by the \*radio unit\* until it selects and \*confirms\* one of the \*forward channels\*, which together with its paired \*return channel\* is an appropriate \*control channel\*.

Hunting Sequence: A procedure employed by the \*radio unit\* during \*hunting\* which consists of one or more \*hunting stages\*. There are five described \*hunting sequences\*:

- (a) "Resuming a control channel sequence"
- (b) "Single channel hunt sequence"
- (c) "Preferential hunt sequence"
- (d) "Normal hunt sequence"
- (e) "Comprehensive hunt sequence"

3-3

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 13 of 214

A \*hunting sequence\* is completed when all \*hunting stages\* appropriate to that \*hunting sequence\* have been completed or, prior to this, if a successful \*control channel\* \*confirmation\* is achieved.

Hunting Stage: A procedure employed by the \*radio unit\* during \*hunting\* which consists of sampling all channel numbers applicable to the type of \*hunting sequence\* in progress at a particular acquisition threshold level. A \*hunting stage\* is completed when all channel numbers applicable have been sampled and \*confirmation\* attempted on any candidate . \*control channels\* located or may be completed prior to this if a successful \*control channel\* \*confirmation\* is achieved.

2

1000

Ident: A 13-bit number used for identification purposes. Values of \*ident\* between 1 and 8100 inclusive are assigned to individual units or groups (see section 8.2), in which case they are associated with a \*prefix\* to form a 20-bit address. Values of \*ident\* above 8100 are designated \*special idents\* and these are not associated with any particular \*prefix\*, nor is the \*ident\* value 0 (DUMMYI).

<u>Idle State</u>: A \*radio unit\* is in the \*idle state\* on a \*system\* when it is \*active on a channel\*, is receiving on an appropriate \*control channel\* from the \*system\*, is not currently within a \*message\* exchange and has no current \*message\* transfer requirement.

<u>Implicit Registration</u>: A \*registration\* achieved by means other than an RQR message and associated Acknowledgement message from the \*network\*.

<u>Include</u>: A procedure whereby \*parties\* may be introduced into a \*call\* in progress at the request of an existing \*party\* to the \*call\*.

<u>Individual Address</u>: An \*address\* by which a single unit is known within a \*system\*, allowing that unit to be uniquely addressed by that \*system\*. Units may be assigned any practicable number of \*individual addresses\* provided that at least one is assigned to each unit.

Individual Call: A \*call\* between a calling \*party\* and a single called \*party\*.

<u>Interprefix Call</u>: A \*call\* where the values of the \*prefixes\* in the calling and called \*addresses\* are different. \*Interprefix calls\* require \*extended addressing\* procedures.

<u>Item</u>: A complete user transmission on a traffic channel by one \*party\* within a \*call\* at the conclusion of which that \*party\* rests from transmission. It is possible for a \*call\* to \_ contain only one \*item\*.

<u>Line Unit</u> (LU): A user station which is allocated an \*individual address\* and is directly connected to a \*trunking system controller\* via a medium other than that part of the radio spectrum to which this specification applies.

Mandatory: The \*radio unit\* shall implement the function or facility.

Message: A single contiguous data transmission which consists of a codeword

3-4

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 14 of 214

synchronisation sequence, an \*address codeword\* and (optionally) one or more \*data codewords\* conforming to MPT 1327.

Network: Interconnected \*systems\*.

rsenia

ž

S. 200

<u>Network Personalisation</u>: A procedure undertaken when a subscription to \*network\* is taken out by a user whereby that user's \*radio units\* are implanted with data to enable it to function correctly on that \*network\*. \*Radio units\* which are required to access more than one \*network\* shall be personalised for each \*network\* to be accessed.

<u>Non-dedicated</u>: A procedure which may be adopted by a \*trunking system controller\* whereby channels used by the \*system\* may be flexibly allocated as \*control channels\* or \*traffic channels\* depending on the traffic load on the \*system\*. When this procedure is employed, the current \*control channel\* would normally be allocated as a \*traffic channel\* when all other channels are in use for traffic and the \*control channel\* facility restored when possible, eg by allocating the next \*traffic channel\* to become free as a new \*control channel\*.

Non-prescribed data: Any data traffic which does not conform to the data protocols defined in MPT 1327.

Normal Operation Mode: The operation mode of a \*radio unit\* or \*control channel\* which is not in the \*fall-back mode\*.

Normal Registration Mode: A \*control channel\* mode in which the \*network\* will accept and maintain \*registration\* requests.

Normal Registration Record: A \*registration\* record which has an indication that the \*network\* is maintaining the \*registration\* record.

<u>Null Registration Record</u>: A \*registration\* record which includes a NULL indicator together with the AREA code.

<u>Party</u>: A source and/or recipient of information within a \*call\*. The term includes the totality of equipment at the user station and, where the context permits, the equipment user. A \*party\* may be an individual or a group.

Personality: The totality of \*network personalisation\* data entered into the \*radio unit\*.

<u>Portable radio unit</u>: A portable radio unit is distinguished from any other radio unit by the following criteria:-

- the equipment covered is intended to be used only with an integral antenna, which may be detachable. When this equipment is used with a non-integral antenna it ceases to be defined as a portable radio unit for the purpose of this specification;
  - the maximum effective radiated power shall not exceed 5 watts; the equipment shall be powered by a self contained battery unit.

3-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 15 of 214

<u>Prefix</u>: The 7 most significant bits of an \*address\*. Normally units within a fleet will be allocated the same \*prefix\* since \*calls\* between units and groups with the same \*prefix\* can be made without the use of \*extended addressing\* procedures. A \*prefix\* is only relevant to \*individual addresses\* and \*group addresses\*.

<u>Prime Registration Record</u>: The most recently created \*registration\* record held by the \*radio unit\*. Note that the \*prime registration record\* does not include timing information.

<u>Radio Unit</u> (RU): A mobile or other user station contacting a \*system\* by normal land mobile radio in accordance with the specification.

<u>Random Access Attempt</u>: The method by which a \*radio unit\* transmits an unsolicited \*message\* to the \*trunking system controller\* on a \*control channel\*. The method requires that a \*radio unit\* repeats a random access \*message\* if a response \*message\* is not received within a designated waiting time. Further repeats are required, in the absence of an appropriate acknowledgement, until a

designated number of repeats is reached. In this specification a \*random access attempt\* covers the period from initiation of the \*transaction\* to the receipt of an appropriate acknowledgement or the expiry of a timeout.

<u>Read-only Memory</u>: A storage facility within the \*radio unit\* which contains \*network personalisation\* data. The contents of the \*read-only memory\* cannot be modified or added to by the action of the \*radio unit\* or its user. It is intended that the contents of \*read-only memory\* are placed there only during \*network personalisation\* by a suitably authorised agent (normally a service provider).

<u>Read/Write Memory</u>: a storage facility within the \*radio unit\* the contents of which may be modified by the \*radio unit\*.

<u>Ready for Communication Control</u> (RFCC): A device or system to inform a unit of the user's readiness to communicate (see section 8.3).

<u>Registration</u>: A procedure which confirms that a \*radio unit\* is in a \*session\* on a \*system\*. The \*registration\* procedures may be initiated by a demand from the \*trunking system controller\*, or at the initiative of the \*radio unit\*, depending on the circumstances of the registration.

<u>Requested Unit (or Group)</u>: A unit, or group of units, which takes part in a \*transaction\* initiated by the \*trunking system controller\* or another \*party\*.

<u>Requesting Unit</u>: A \*radio unit\* or \*line unit\* which initiates a \*transaction\* with the \*trunking system controller\* or another \*party\*, via the \*trunking system controller\*.

<u>Reserved</u>: Codewords and \*fields\* which are designated as \*reserved\* in MPT 1327 are intended for future phases of standardisation and shall not be used in the interim for the conveyance of information. \*Reserved fields\* must be set to the default value specified in MPT 1327.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 16 of 214

<u>Return Channel</u>: A radio bearer where the direction of transmission is from \*radio units\* to the \*trunking system controller\*.

Segment: For a known \*transaction\*, the portion of the \*T-message\* contained in a \*HEAD message\*.

es lige

28.7

5

232 - - - 5

<u>Selected Network</u>: The \*network\* which the user of the \*radio unit\* has selected for the time being and which is the only \*network\* that the \*radio unit\* may attempt to access until such time as the user designates a new \*selected network\*. Where the \*radio unit\* does not allow the user to select from a choice of \*networks\* then only one \*network\* shall be available to that \*radio unit\* for access and this \*network\* shall be, per se, the \*selected network\*.

Session: A \*session\* is a period of operation associated with one \*system\*. A \*session\* on a \*system\* starts when a \*radio unit\* becomes \*active on a control channel\* of that \*system\*, either after switch on or after being \*active on a control channel\* of a different \*system\*. A \*session\* ends either when the \*radio unit\* is switched off or when it starts its next \*session\*.

<u>Short Addressing</u>: The method used when the \*parties\* to a \*call\* can be completely specified by a single \*prefix\* and two \*idents\*. This form of addressing minimises the signalling required.

Short Data: A procedure which allows a data \*message\* to be exchanged between \*parties\* or between \*parties\* and the \*trunking system controller\*. This procedure does not support \*messages\* which include more than four \*data codewords\*.

<u>Short-Form PSTN Destination</u>: A called PSTN \*party\* previously agreed between the system operator and the user of the \*calling unit\* which can be specified by a \*special ident\* rather than the full stream of dialling digits representing the directory number.

Single Segment Transaction (SST): Short data \*transaction\* requiring only one \*segment\*.

<u>Spare</u>: Codewords and \*fields\* which are designated \*spare\* are available for free use by \*systems\* (ie \*system\* customisation) provided that the conditions of MPT 1327 are not infringed. The use of \*spare\* codewords and \*fields\* may vary from \*system\* to \*system\*.

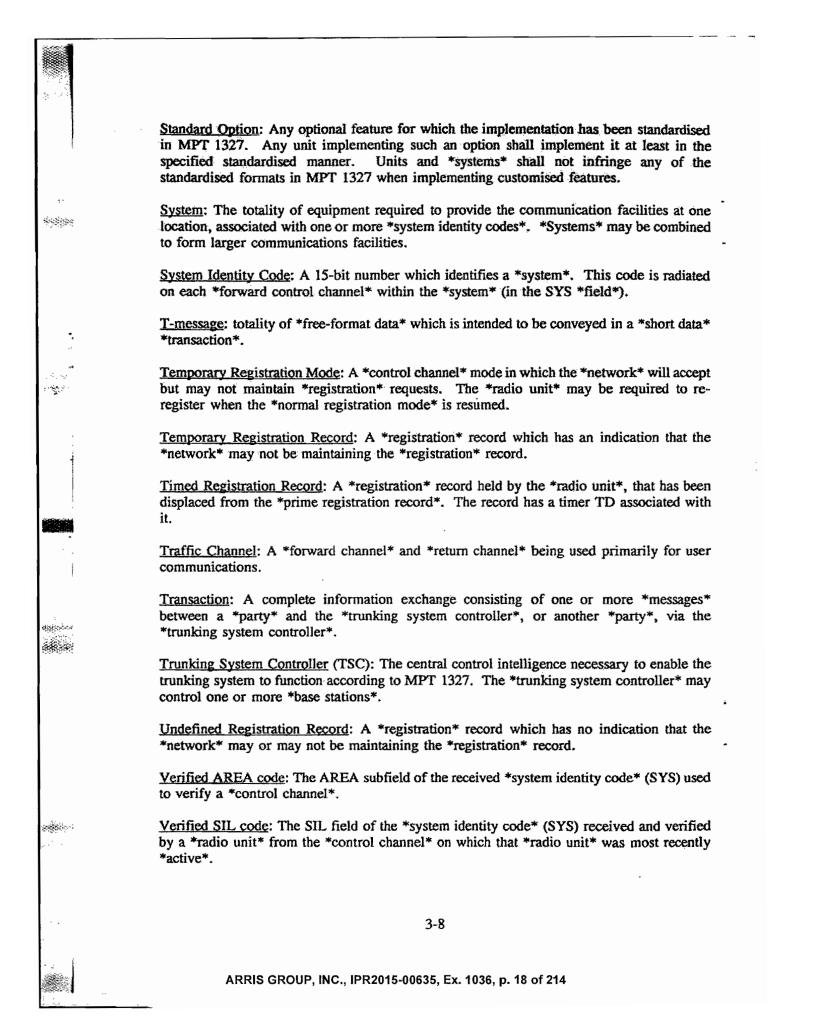
Special Ident: An \*ident\* with a value greater than 8100. These \*idents\* are used for a variety of special purposes. Some of these are specified in MPT 1327, others may be nominated by system operators. \*Special idents\* are not associated with a \*prefix\* to form an \*address\*.

<u>Speech Call</u>: A \*call\* as a result of the receipt of a GTC \*message\* with the 'D' \*field\* set to '0'. The audio is not muted.

Standard Data: The procedure by which information exchange takes place using the data protocol defined in section 17 of MPT 1327.

3-7

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 17 of 214



## 3.2 <u>Test Conditions</u>

Unless otherwise specified, the \*radio unit\* shall meet the requirements of this specification under extreme test conditions, as defined in MPT 1323 section 2.4

## 3.3 General Requirements

1983

The \*radio unit\* shall not operate duplex.

The facility to switch from one \*network\* to another is a \*standard option\*.

If implemented, it is \*mandatory\* that \*network\* switching shall be under control of the user. Autonomous switching between \*networks\* by the \*radio unit\* shall not be permitted.

It shall be possible to transfer, between \*network(s)\* in a \*radio unit\* by changing the \*personality\* (see section 6).

## 5.1.3 Frequency Tolerance

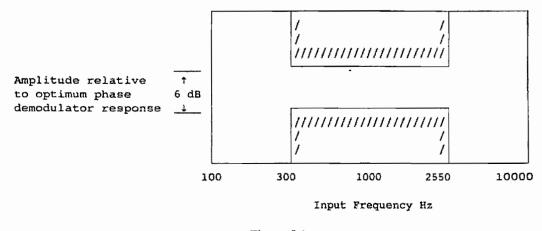
The centre frequency of the response of the radio receiver shall be within 1.5 kHz of the nominal receive frequency except during channel switching.

This tolerance includes crystal ageing and shall be maintained under both normal and extreme temperature conditions, as defined in MPT 1323 sections 2 and 3, for a period of at least one year, without re-adjustment.

5.2 Demodulation Characteristics

## 5.2.1 Speech Signals

The speech demodulator shall have a phase demodulation response characteristic. The permitted departure from the phase demodulation response amplitude characteristic over the audio frequency range 300 Hz to 2.55 kHz shall be  $\pm 3$  dB, ie the total audio output power shall fit totally within the mask of figure 5.1. The radio unit shall be deemed to have passed this requirement if it meets the permitted departure either at the electrical connections to the loudspeaker (with a suitable resistive load if the loudspeaker is disconnected), or acoustically.





#### 5.2.2 Data Signals

Received standard data signals have a fast frequency shift keying (FFSK) characteristic. Standard data modulation follows the requirements of MPT 1323, that is phase continuous 1200 baud FFSK, with 1800 Hz representing binary '0' and 1200 Hz representing binary '1'. Units shall meet the error performance requirements of Appendix A of this specification.

Note: The received peak deviation of standard data does not exceed  $\pm 2.0$  kHz and is not less than  $\pm 1.0$  kHz. For non-prescribed data, the peak frequency deviation does not exceed  $\pm 2.5$  kHz.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 20 of 214

#### 5. **RECEIVER PARAMETERS**

The requirements of this section shall be met under normal test conditions, as defined in MPT 1323 section 2.3, unless otherwise specified.

## 5.1 Frequency Parameters

This section contains the frequency parameters for radio units operating in Band III sub-bands 1 and 2.

All radio units shall operate on any of the channels in the frequency range to which they are directed by network messages and shall examine and if necessary, operate on that channel, in that range, in accordance with the procedures specified in Section 9. If directed by network messages to any channel outside this range, radio units shall not comply with the messages and shall remain on the channel from which the message was sent.

#### 5.1.1 Channel Spacing and Designations for Sub-band 1

The channel spacing shall be 12.5 kHz. The channel designations shall be as follows:

Channel Number	CHAN field binary representation of channel number	Radio Unit Receive Frequency
1		176.5000 MHz
58	100000001	177.2125 MHz
560	1111110111	183.4875 MHz

The radio equipment shall receive over channels 58 to 560 (177.2125 MHz to 183.4875 MHz) inclusively.

#### 5.1.2 Channel spacing and designations for Sub-band 2

The channel spacing shall be 12.5 kHz. The channel designations shall be as follows:

Channel Number	CHAN field binary representation of channel number	Radio Unit Receive Frequency
1		200.5000 MHz
58	000000001	201.2125 MHz
560	0111110111	207.4875 MHz

The radio equipment shall receive over channels 58 to 560 (201.2125 MHz to 207.4875 MHz) inclusively.

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 21 of 214

**SHARK** 

in the second

## 4.2.3.2 Data Peak Deviation

For standard data modulation.

Angle modulation:	Nominal	1.5 kHz ±250 Hz
	Extreme	1.5 kHz ±500 Hz

333336

Section 1

## 4.3 <u>Performance Parameters</u>

Radio units shall meet the requirements of MPT 1323.

#### 4.1.3 Frequency Tolerance

The carrier frequency of a signal transmitted by a radio unit shall be within 1.5 kHz of the nominal transmit frequency.

This tolerance includes crystal ageing and shall be maintained under both normal and extreme temperature conditions, as defined in MPT 1323 sections 2 and 3, for a period of at least one year, without re-adjustment.

#### 4.2 Modulation Characteristics

4.2.1 General

ference a

in di Series The peak permissible frequency deviation shall not exceed +2.5 kHz.

4.2.2 Speech Modulation

Speech transmissions shall employ a phase modulation characteristic.

4.2.3 Data Modulation

4.2.3.1 Data Modulation Method

Standard data modulation shall be by subcarrier modulation employing phase continuous fast frequency shift keying (FFSK) to a frequency modulation characteristic. Speech shall be muted by at least 35 dB (measured at the transmitter output) during transmission of standard data. The parameters of the modulation shall be as follows:

Bit rate	1200 bit/s
Modulation rate	1200 baud
Binary '0'	1800Hz
Binary '1'	1200Hz
Amplitude difference	<1.5dB

During the transmission of call maintenance messages (pressel-on, periodic and pressel-off), speech shall be muted as follows:

(a) During all maintenance messages, speech shall be muted by at least 35dB from the start of the preamble to the end of the hang-over bit.

(b) For the periodic and pressel-off messages, the muting of speech shall not commence more than 20ms before the start of the preamble.

(c) For the periodic and pressel-on messages, the muting shall be removed no later than 10ms after the end of the hang-over bit.

The method for modulating non-standard data is not prescribed in this specification.

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 23 of 214

## TRANSMITTER PARAMETERS

### 4.1 Frequency Parameters

4.

3553

Sec.

This section contains the frequency parameters for radio units operating in Band III sub-bands 1 and 2.

All radio units shall operate on any of the channels in the frequency range to which they are directed by network messages and shall examine and if necessary, operate on that channel, in that range, in accordance with the procedures specified in Section 9. If directed by network messages to any channel outside this range, radio units shall not comply with the messages and shall remain on the channel from which the message was sent.

#### 4.1.1 Channel Spacing and Designation for Sub-band 1

The channel spacing shall be 12.5 kHz. The channel designations shall be as follows:

Chann	el Number	CHAN field binary representation of channel number	Radio Unit Transmit Frequency
	1		184.5000 MHz
	58	100000001	185.2125 MHz
	560	1111110111	191.4875 MHz

The radio unit equipment shall operate over channels 58 to 560 (185.2125 MHz to 191.4875 MHz) inclusively. Transmission outside this frequency range is not permitted.

#### 4.1.2 Channel Spacings and Designation for Sub-band 2

The channel spacing shall be 12.5 kHz. The channel designations shall be as follows:

Channel Number	CHAN field binary representation of channel number	Radio Unit Transmit Frequency	
1		192.5000 MHz	
58	000000001	193.2125 MHz	
560	0111110111	199.4875 MHz	

The radio unit equipment shall operate over channels 58 to 560 (193.2125 MHz to 199.4875 MHz) inclusively. Transmission outside this frequency range is not permitted.

4-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 24 of 214

## 5.3 Muting

17.22

and the second

The audio (other than confidence indications) normally heard by the user shall be muted when one of the following conditions is met:

- (a) If the radio unit is not active on a traffic channel.
- (b) If a call is set up as a result of the receipt of a GTC message (see MPT 1327 section 5.4) with the 'D' bit set to '1' (ie the call is a non-prescribed data call).
- (c) If a call is set up as a result of the receipt of a GTT message (ie the call is a standard data call, see MPT 1327 section 5.7.3).
- 5.4 Performance Parameters

Radio units shall meet the requirements of MPT 1323.

## 6. STORAGE REQUIREMENTS

## 6.1 Introduction

This section tabulates data and parameters which radio units are required to store, either temporarily or long-term, in order to carry out functions defined in this specification.

The stored data and parameters may be gleaned by the radio unit from a number of sources:

- by network personalisation; examples of such data are the radio unit's individual address and operating parameters which define the required mode of operation;
- data implanted into the unit by the manufacturer; this method is specific to the radio unit security number (see section 7);
- data gleaned from operation on the selected network; examples of such data are the records of registrations following a registration attempt by the radio unit on an acquired control channel, or data obtained from BCAST messages received from the selected network.

This section is a compilation of the stipulations expressed in other areas of this specification or in MPT 1327 and reference to these should be made for a precise definition of storage requirements.

Radio units which provide the facility for the user to switch between selected networks shall maintain sufficient storage for the requirements herein to be met for each network, save that stored data which is not required to be preserved after a change of selected network may be accommodated in common storage areas which may be sufficient for only one network.

The requirement on radio units to store data depends upon the facility for which the data is required. For facilities which are mandatory in this specification, the storage of necessary data is also mandatory and all radio units shall provide storage for data identified as mandatory. For facilities which are optional but must be carried out in a prescribed manner, the storage of applicable data is mandatory only for radio units which employ the option. Storage of such data is regarded as a standard option. Some facilities are optional and are not fully prescribed. Radio units which employ such options, may choose to follow the procedure identified in this section for the storage of applicable data. Such storage is regarded as optional.

Where parameters relate to a requirement for the radio unit to measure elapsed time (the parameters T-), the radio unit shall be capable of implementing such measurements to a tolerance of  $\pm 10\%$ .

## 6.2 <u>Types of Memory</u>

States and

The storage requirements summarised in this section include a stipulation of the type of memory applicable to each item of data. In some cases variation is allowed, and this has

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 26 of 214

been indicated. Four categories of memory are designated:

Type A - Read only memory which may be set by an external agency but not by the action of the radio unit. The medium of this storage must be such that the data may be reset by an external agency as required to accommodate changes in network subscription details (including changes in network allegiances).

Type B - Read/Write memory which shall be protected from the effects of switching off the radio unit for a period of at least 120 hours or disconnecting the external source of supply to the radio unit for a maximum period of 5 seconds. It is permissible for data to be held in unprotected memory whilst operational and transferred to protected memory on power down or equivalent. The radio unit shall discard any data held in protected memory unless its validity is reasonably assured.

Type C - Read/Write memory the contents of which may be discarded at some time between switching off the radio unit and being made ready for service after being switched on subsequently.

Type D - Read only memory, protected by the security measures specified in Section 7.

6.3 <u>Summary of Storage Requirements</u>

The radio unit storage requirements are summarised in Table 6.1. The columns of this table contains the following information:

Column 1 - a serial item number which has no relevance other than allowing ease of reference to items in the tabulation.

Column 2.- a brief description of the data required to be stored. For a more precise definition of the data, reference should be made to the area of the specification indicated in Column 8.

Column 3 - the storage category to be used. Type A, B, C or D.

Column 4 - the data item size in bits where this is specified. If not specified, the range of values to be accommodated and granulation, where appropriate, are given. FLAG indicates that one of two possible states must be recorded. Where a range of values and, where appropriate, a granulation are stated these shall be accommodated by the radio unit in its storage allocation. Where the size of the parameter is stated (eg 13 bits), this is provided for information only and not intended as a limitation on the form in which the radio unit stores the date.

Column 5 - the number of individual records which shall be accommodated. In some cases the number given is indicated as a maximum or minimum value.

Column 6 - the source of the data. NP indicates network personalisation data. Manufacturer indicates data implanted into the unit by the manufacturer. Operation indicates data gleaned from operation on the selected network.

6-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 27 of 214

Column 7 - whether the storage requirement is mandatory (M), a standard option (SO), optional (O), mandatory for units implementing the keypad scheme in section 8.2 (MK) or an option for units implementing the keypad scheme (OK).

Column 8 - comments for clarification.

Column 9 - the area in MPT 1343 or !!MPT 1327!! where the requirement is defined.

e-esserved

225

2

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 28 of 214

1997 1977 1977 1977 1977 1977 1977 1977		

TABLE 6.1 - RADIO UNIT STORAGE REQUIREMENTS

ITEM NO.	ITEM	STORAGE TYPE	ITEM SIZE/RANGE OF VALUES	NO. DF ENTRIES	SOURCE OF	N/0 · SD	COMMENTS	REFERENCE
1	Dum Prefix	A	7 bits	1	NP	Ħ		11411
2	Own Individual Ident	A	13 bits	1	NP	H	· · · · · · · · · · · · · · · · · · ·	11411
3	Own group address	A	20 bits	4 Hin	NP	н	Incoming Group Calls Responded to	1411
4	Individual Base Ident	A	13 bits	1	NP	MK		8.2.3
5	Group Base Ident	٨	13 bits	1	NP	HK		8.2.3
6	Two or three digit individual calls appropriate to own fleet	۸	Flag	1	NP	NK	Could be deduced from form of Highest Permitted Own Floet Individual Ident (item 8), if stored in dialled digit form	8.2.1
7	Two or three digit group calls appropriate to own fleet	A	flag	1	NP	нқ	Could be deduced from form of Highest Permitted Own Fleet Group ident (item 9), if stored in dialled digit form	8.2.1
8	Highest Permitted Own Fleet Individual Ident	A	13 bits	1	NP	MK	Could be stored as distled	8.2.4
8	Highest Permitted Own Fleet Group Ident	Å	13 bits	1	NР	HX	Could be stored as dialled number rather than IDENT	5.2.4
10	Single Digit Number Destination	A	Unspecified	10 Max	NP	ØK	Destination applicable to Digit 0-9	8.2.4.1
17	Look-up table for 5-digit Inter-fleet Calls	Â	Unspecified	Unspecified	NP	QK		8.2.4.5
12	Inter-Fleet Group Calls Berred	A	Flog	1	NP	NK		8.2.4.8
13	*O# and *Onn# immediate Access	Á	13 bits	1 or 2	NP	оқ	Prime Dispatcher Address	8.2.8.2,1
14	** Abbreviated Dialling Maximum Limit	A	8 bits	1	NP	ОK		8.2.8.1.1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 29 of 214

•

•

á

SHEET 1

. .

		ž,	•			<u>k</u> 1			<b>*</b> . <u> </u>
				TABLE 6.1 -	RADIO UNIT STOR	AGE REQUIREMENT	s		SHEET
	ITEN NO.	ITEM	STORAGE	ITEN SIZE/RANGE OF VALUES	HO. OF ENTRIES	SOURCE OF	H/0 S0	COMMENTS	REFERENCE
	15	*9# Immediate Address	Å	Undefined	1	NP	OK	Prime Emergency Number	8.2.8.2.5
	16	Acquisition Authorisation Data	*	Hax 9 bits plus Unspecified Classifier	8 Min	NР	н		<b>9.3.4.2.3</b>
1100	17	Zone sub-field (ength (LZ)	¥	Range D-9 bits	1	NP	н		9.3.4.2.3
	18	Area sub-field length (LA)	٨	Range D-9 bits	١	жP	×		9.3.4.2.3
	19	Identity Sode of Selected Network	A	NET-2 bits OPID-7 bits	1	NP	н	Need to differentiate 2 bit and 7 bit values	9.3.4.2.3
	20	Radio Unit Control Category	*	4 possible Values	1	NP	н	LAB field choice	9.5.3
	21	Normal Hunt Channel Kumbers		10 bits + 15 Flag	32	NP	H		9.2.1
	22	Size of Normal Hunt	٨	Range 1 to 32	1	NP	н	Could be achieved by Null Values of Channel Numbers	9.2.1
	23	Lowest Channel No. in Network	*	10 bits	1	NP	ж		9.2.1
	24	Righest Channel No. in Network	A	10 bits	١	NP	Ħ		9.2.1
	25	Non-AppLicable Channel Rumbers	A	10 bits	Unspecified	нр	o	Channels which may be omitted in Comprehensive Munt	9.3.3.5
	26	Last Active Control Channel - Channel Number	с	10 bits	1	Operation	M		9.2.1
	27	Last Active Control Channel - SYS Code used for Confirmation	c	12 bits	1	Operation	я		9.4.1
	28	Add Channel Nos. to Normal Hunt	c	10 bîts + 15 Flag	Unspecified	Operation	so	Compiled from BCAST SYSDEF = 100000+	9.2.2
1	29	Subtract Channel Nos. from Normal Hunt	c	10 bits	Unspecified	Operation	50	Compiled from BCAST SYSDEF = 1000011	9.2.2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 30 of 214

TABLE 6.1 - RADIO UNIT STORAGE REQUIREMENTS

and the second

SHEET 3

iten No.	ITEM	STORAGE TYPE	ITEN SIZE/RANGE OF VALUES	NO. OF ENTRIES	SOURCE OF DATA	H/0 \$0	COMMENTS	REFERENCE
30	Adjacent Site Information	С	10 bits + Unspecified	15	Operation	0	Use of BCAST SYSDEF '00100' and '00101' Unspecified	9.2.2
31	Suppress Comprehensive Hunt	٨	Flag	1	NP	н		9.3.3.5
32	Timed Registration Record - AREA Code	C	Range 0-9 bits plus HULL Flag	2	Operation	<b>S</b> 0		10.3.1
33	Timed Registration Record - Channel Number	°,	10 bits	2	Operation	<b>S</b> 0		10.3.1
34	Timed Registration Record - Registration Indication	С	2 bits	2	Operation	so		10.3.1
35	Prime Registration Record - AREA Code	B	Range 0-9 bits plus NULL Flag	F	Operation	н		10.2.1 and 10.3.1
36	Prime Registration Record - Channel Humber	. 8	10 bits	1	Operation	50		10.2.1 and 10.3.1
37	Prime Registration Record - Registration Indicator	B	2 bits	2	Operation	ж		10.2.1 and 10.3.1
38	Denied Registration - AREA Code	C	Range 0-9 bits	8 Nin	Operation	н	FIFO Store	10.2.1 and 10.3.1
39	REG - Temporary or Normal Registration Indicator	B	1 bit (plus "undefined" state indicator)	1	Operation	н	Received in BCAST SYSDEF (00011)	10.2.1 and 10.3.1
40	Value of INFD to be used in RGR	٨	15 bits	1	KP	н	Default to all zeros	118.2.2.211
41	Channel Number for Fall- Back Service	٨	10 bits	1	¥Р	50	if set to zero disables fall- back	13.2
42	Value of NDD in SYS Code for Fall-Back Service	٨	4 bits or 9 bits	t	NP	50		13.2

6-6.

E A

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 31 of 214

				TABLE 6.1 -	RADIO UNIT STO	RAGE REQUIREMEN	21		SHEET
	ITEN KO,	ITEM	STORAGE	ITEN SIZE/RANGE OF VALUES	NO. OF	SOURCE OF	14/0 50	COMMENTS	REFERENCE
	43	Security Serial Number	D	38 bits	1	Manufact- urer	H		7
	44	181 - Level margin	×	0-40 dB in steps of 6 dB	1	ŅР	sõ	Tolerance 26 dB	9.3.3.7.6
	45	LH2 - Level margin	Å	0-40 d9 in steps of 6 d8	1	NP	50	Tolerance ±6 dB	9.3.3.7.6
	46	1M3 - Level margin	*	0-40 d8 in steps of 6 d8	ŧ	NP	50	Tolerance 16 dB	9.3.3.7.6
	47	NA - Number of Multiple Registrations	8	Range 1 to 3 in steps of 1	1	Operation	50	Received in BCAST SYSDEF (00011)	10.3.1
1	48	NCI - Size of Error Check Sample prior to confirmation	A	0-255 Codewords in steps of 1	Ż	ŅР	н	Different Values for time-shared and continuous channels. Hoximum value may be reduced on review.	9.3.4.3
-	49	NC2 - Size of Error Check Sample after confirmation	*	0-255 Codewords in steps of 1	2	¥Р	н	Different Value for Time-shared and continuous channels. Naximum value may be reduced on review.	9.3.4.3
	50	HT - Maximum TSC response delay to unsolicited traffic channel message	*	103-1236 bit periods in ateps of 103 bit periods	1	¥Р	50		ElAppundix 1El
	51	NV - Number of consecutive CCSCs to select a value of SYS for verification	*	1-16 in steps of 1	2	яр	н	Different Value for time-shared and continuous channels	9.3.4.2.1
	52	NX1 - Error Codewords Limit prior to confirmation		D-255 Codewords in steps of 1	2	я́р	H	Different Value for time-shared and continuous channels. Maximum value may be reduced on review.	9.3.4.3
	53	HX2 - Error Codewords Limit after confirmation	A	0-255 Eodewords in steps of 1	2	¥₽	н	Different Value for Time-shared and continuous channels. Raximum value may be reduced on review.	9.3.4.3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 32 of 214

TABLE 6.1 - RADIO UNIT STORAGE REQUIREMENTS

1

 $(z_{i})$ 

. . . 331

1 - MM

SHEET 5

ITEM NO.	1 TEH	STORAGE TYPE	ITEM SIZE/RANGE OF VALUES	NO. OF ENTRIES	SOURCE OF DATA	11/0 50	CONNENTS	BEFERENCE
54	NZ1 · Number of contiguous error check samples containing no error events	A	1-255 Samples	1	ЧР	м	Maximum value may be reduced on review	9.3.4.4
55	N22 - Number of contiguous error check samples each generating a codeword error event following an initial error event	A	1-255 Samples	1	NР	M	Maximum value may be reduced on review	9.4
56	NPON - Number of Pressel On messages on traffic channel	A	1-5	١	ЯP	\$0		119.2.3.111
57	NPOFF - Number of Pressel Off messages on traffic channel	Ă	1-5	t	ЯР	50		119.2.3.111
58	HS - Number of samples	A	1-10 in steps of 1	1	¥Р	so		9.3.3.7.6
59	NDD preference data		Maximum 9 bits	4 min.	NP.	50		9.3.4.2.3
60	PREFERRED NDD sub-field length	Å	Range 0-9 bits	4 min.	ЯР	so		9.3.4.2.3
61	PREFERRED HDD priority indicator	Å	1-10 in steps of 1	4 min.	ЯР	50		9.3.4.2.3
62	TA - Timeout for called radio unit after receiving ARY	Ă	10-120 Sec. steps OR fixed at 60 Secs.	1	NP	H	Stendard option that TA is variable by network personalisation	Appendix B 11Appendix 111
63	TH - Sampling activity duration	<b>A</b> -	0-100 slots in 1 slot steps	1	NP	so		9.3.3.7.3
8	TL - Sampling activity interval	٨	0-3000 slots in 100 slot steps or 0-320 seconds in 10 second steps	1	NP	so		9.3.3.7.1
65	SIL System Indicator Locator sub-field length	A	Range 3-9 bits in Steps of 1	1	NP	\$0		9.3.4.2.2

**第**考え

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 33 of 214

ITEN NO.	ITEM	STORAGE TYPE	ITEN SIZE/RANGE OF VALUES	NO. OF ENTRIES	SOURCE OF DATA	H/0 50	CONNENTS	REFERENCE
56	TC - Random Access Timeout	λ	0-120 Secs. in 10 Sec. Steps	1	NP	M		Appendix B 11Appendix 111
67	TD - Registration Record Timeout	٨	0-70 Minutes in 5 Minute Steps	۲	NP	<b>SO</b>		10.3.1
68	TGI - Individual Incoming Short Data Timer	×	1-15 Secs. in 1 Sec. Steps	1	NP	50		14.4.2.1.3
69	166 - Group Incoming Short Dats Timer	¥	1-30 Secs. in 1 Sec. Steps	1	NP	50		14.4.2.2.2
70	TJ - Further Signalling Timeout	*	0-60 Secs. in 10 Sec. Steps	1	NP	н		Appendix B   Appendix   }
71	TH - Traffic Charmel Timeout	*	0-10 Secs. In 1 Sec. Steps	1	NP	A		Appendix B HAppendix 111
72	TS - Delay before leaving a Control Channel	*	0-10 Secs. in 1 Sec. Steps	1	мр	н		Appendix B HAppendix 111
73	TT - Haximum (tem Duration	*	0-60 Secs. in 10 Sec. Steps	1	NP	м		Appendix B tiAppendix iti
74	TU - Data Call Duration Timer	Á	30 Secs3 Hinutes in 30 Sec. Steps	1	NP	50		12.4
75	Suppress Data Call Duration Timer	٨	Fing	1	NP	50		12.4
76	TW - Timeout for Radio Unit waiting for Call	A	10-120 Secs. In 10 Sec. Steps OR fixed at 60 Secs.	١	AN da	н	Standard option that TV is variable by network personalisation	Appendix 8 HAppendix 111
77	WI - Waît Parameter in Operation	c	3 bits	1	Operation	н	Storage of latest advised WALT Value	117.3.711

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 34 of 214

TABLE 6.1 - RADIO UNIT STORAGE REQUIREMENTS

SHEET 7

ITEN NO.	ІТЕН	STORAGE TYPE	ITEH SIZE/RANGE OF VALUES	NO. OF ENTRIES	SOURCE OF	#/0 \$0	CONMENTS	REFERENCE
78	Latest Value of PER	C	1 bit	1	Operation	M	Received in BCAST SYSDEF '00010'	119.2.2.611
79	Latest Value of IVAL	C	5 bits	1	Operation	н	Received in BCAST SYSDEF '00010'	119.2.2.611
80	Latest Value of PON	C	1 bit	1	Operation	H	Received in BCAST SYSDEF '00010'	119.2.2.611
81	Latest Value of ID	C	1 bit	1	Operation	M	Received in BCAST SYSDEF (D0010)	119.2.2.611
82	"Home" ZONE	A	Range 0-9 bits	1	NP	ĸ		10.2,1 and 10.3.1
83	Disable FOASCU	٨	Flag	1	NР	so	Default State is Enabled	11.9.2.2.2
84	CLIM - Network personalisation data; maximum call duration for a non-emergency call.	A	10 seconds to 4 mins, 14 seconds in 1 sec. steps, or 5 mins. to 13 mins. in 1 min. steps, or inhibited.	1	NP	0		11.9.2.3.6
85	CLINE - Network personalisation date; maximum call duration for an emergency call.	*	10 seconds to 4 mins. 14 seconds in 1 sec. steps, or 5 mins. to 13 mins. in 1 min. steps, or inhibited.	1	NР	0		11.9.2.3.6
86	TSCLIN - Current traffic channel call duration.	c	8 bits	1	Operation	o	Received in BCAST SYSDEF 1000104	11.9.2.3.6
87	*2 Immediate Access		Undefined	1	NP	0	Prime Short Data Number	8.2.8.2.3.2
		1						•
								<u></u>

6-10

## 7. SECURITY

The radio unit shall meet the following requirements:

1. Each radio unit shall have a unique 38 bit security number (which may be stored encoded) which will be programmed only by the radio unit manufacturer. The radio unit manufacturer shall take reasonable steps to ensure that:

(a) the method of programming the security number is known only to that manufacturer,

(b) any modification to the security number other than by that manufacturer shall disable the radio unit.

- 2. The radio unit shall be designed such that removal of the device (or any of the devices) containing the security number is highly likely to cause irreparable damage to the radio unit and/or the device(s) (eg encasing a PROM containing the security number and the immediate surrounding area in epoxy resin).
- 3. Removal of any device containing the security number or part of the security number shall disable the radio unit.
- 4. The radio unit shall not contain the method by which the 8 check bits defined below are calculated.
- 5. The security number (excluding the check bits, see below) of the radio unit shall be marked visibly and permanently on a part of the radio unit which is inseparable without damage from the device containing the security number. It is preferable that this number is visible with a minimum of dismantling of the radio unit, eg by removing 2 screws or equivalent.
- 6. It is recommended that a check is made on the validity of the security number within the radio unit, using a different set of check bits to the 8 check bits defined below, and that the radio unit shall be disabled if the check bits differ.
  - 7. The format of the security number is as follows:

Manufacturer's Code	Model	Serial number for this model	Check bits	
8 bits	4 bits	18 bits	8 bits	

Note: The security number need not be stored in this format in the radio unit.

8. When requested by the TSC (see section 11.15.2 and also section 15.2 of MPT 1327), the radio unit shall send the security number data to the TSC using the SAMIS message (see MPT 1327 sections 15 and 5.6.1.2.2). The parameter fields of the

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 36 of 214

 SAMIS message are constructed as follows:

1				ş -
	PARAMETERS 1 (20 bits)		ts)	PARAMETERS 2 (18 bits)
	Manufacturer's Code	Model	Check bits	Serial number for this model
agesta (n. s. St. upper s. t	8 bits	4 bits	8 bits	18 bits
	Manufacturer's code:			or more of which is issued to by the Radiocommunications
	Model	for a given n allocated by th models are to manufacturer p	nanufacturer's coo ne manufacturer a be type approved. producing more that	is unique to a radio unit type de. The model number is and when new radio unit In the event of a radio unit an 16 type approved models, an additional manufacturer's
	Serial number (for this model):	This number in normally run fr is exceeded, t	is allocated by the rom 1 up towards the radio unit material lel number to radio	nit of a given model. te manufacturer, and would 262143. Where this capacity anufacturer may allocate an dio units of the same type
84.55	Check bits:	data contained in the UK is av to manufacture incorrect in a r	in the other fields vailable from the R ers and network op radio unit, a netwo	e check bits is based on the above. The algorithm used tadiocommunications Agency perators. If the check bits are ork may refuse access to that ot be present in the radio unit.
	9. The security number	r shall be marked	1 on the radio unit	in the following form:
	xxx/yy/zzzzzz	where xxx yy is the mo zzzz is the ser		irer's code,
	eg 019/09/000129			
\$843-34		- Serial number 1 Model type 9 Manufactur		
	10. Information about the	ne check bits shal	ll not be visible.	
·.			7-2	
	ARRIS GROUP, I	NC., IPR2015-006	35, Ex. 1036, p. 37 (	of 214

11. Manufacturers codes and the security algorithm can be obtained by writing to the following address:

Radiocommunications Agency Mobile Technology Section 3 Room 514, Waterloo Bridge House Waterloo Road London SE1 8UA

or see the set

1.49.264.25

7-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 38 of 214

## 8. MAN-MACHINE INTERFACE

## 8.1 Confidence Indications

## 8.1.1 Introduction

The user is an important element in any communications network since his behaviour can contribute to the overall efficiency of network operation and the grade of service which can be offered to users. Experience with telecommunications systems has shown that the provision of appropriate indications to the user of any call or transaction using the network to which he is a party can help to regulate user behaviour in ways which benefit system efficiency. Indications of this type, often referred to as confidence indications, also benefit the user and should improve user satisfaction levels.

This section of the Specification seeks to promote an acceptable and appropriate regime of user confidence by ensuring that users will experience a similar set of confidence indications, especially the audible indications, no matter what manufacture of radio unit is used.

It is recognised that manufacturers of radio units will wish to exercise design independence in their products and, accordingly, the requirements of this section of the Specification have been kept reasonably flexible in that, though the cadences are defined for ease of recognition, the audible quality of the tones is not specified. Similarly the visual indications have not been specified and these may be, for example, steady or flashing illuminated legends, coloured lamps or legends displayed on alpha-numeric displays, thus giving a large freedom of choice. Manufacturers are recommended, in the case of equipment which is designed to be mounted in vehicles, to pay due regard to the requirements of the Highway Code and Road Safety considerations in general. In such equipment audible signals are often to be preferred to visual indications. Manufacturers should also bear in mind that, where visual indications are employed that may occur during speech calls, they should be easily visible to the user at all times during normal call operations.

The designer of each radio unit is free to design radio units to either accept or reject incoming signalling for a new call, while the mobile is waiting for its own call to be set up. However if the radio unit accepts signalling for the new incoming call, it shall suspend the confidence indications associated with its own call, replacing them with indications for the new call.

When the new call is complete the suspended confidence indications shall be resumed, providing that the call waiting timer for its own call has not expired.

# 8.1.2 Basic Requirements

ليرمز في هريغ بري لا

Each individual confidence indication is described in the following terms:

- Concerned Party (either CALLING or CALLED)
- Conditions to Initiate

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 39 of 214

1.5 

- Conditions to Cancel
- Provision Mandatory or a Standard Option

Figure 8.1 details each indication in summary form. A more detailed description of the indications is given in the following section.

Designers of radio units should note that the use of additional indications is not prohibited. • However any such additional indications shall be implemented so as to be consistent with those detailed in this section.

The indications are not necessary if the call requires no human involvement, for example automatic data messages or covert emergency calls.

Note also that an indication need not be repeated if further messages which initiate the indication are received for the transaction.

8.1.3 <u>Type of Confidence Indications</u>

Mobiles shall provide the following confidence indications:

- (a) Call Set Up in Progress (Calling Party)
- (b) Call Set Up in Progress (Called Party)
- (c) Number Unobtainable
- (d) Call Fail
- (e) Alert
- (f) GTC sent on a control channel
- (g) Transaction Confirmed
- (h) Call Clear Indication

Mobiles may provide the following indications, which are recommended:

- (i) No Service
- (j) Call Queued
- (k) Called Party Ringing
- (l) Manual Call Diversion

Mobiles may provide the following indications which are optional:

8-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 40 of 214

# (m) GTC sent on a traffic channel

The indications shall be unambiguous with the following permitted exceptions. Indications (a) and (b) may use the same form of indication. Indications (f) and (m) may use the same form of indication. Indications (d) and (h) may also use the same form of indication except in the case of a mobile equipped for Include Calls, where the Call Clear shall be distinct. Indication (f) may be a transitory form of indication (e).

Audible indications shall be of the form indicated in sections 8.1.3.1 to 8.1.3.11.

Cadence Timings shall be as defined with a tolerance of  $\pm 20\%$  with the tone ON to tone OFF ratio controlled to within  $\pm 20\%$ .

The two audible tones noted in each section shall be approximately:

"Hi" - maximum frequency 1000Hz "Lo" - minimum frequency 300Hz

The "Hi" frequency shall be twice that of the "Lo" frequency  $\pm 100$  Hz.

8.1.3.1. <u>No Service</u>

5393

Server and a server serve

The provision of any No Service indication is optional.

8.1.3.2 Call Set Up in Progress (CSUIP)

8.1.3.2.1 CSUIP (Calling)

The provision of a CSUIP (Calling) indication is mandatory. the indication tells the user that his call request is being processed.

CSUIP (Calling) shall commence when the calling user has completed entering the address and call details into the unit, or on the first transmission of the request message (RQS, RQE, RQT, RQQ (not STATUS = 0 or 31 when sent with IDENT1 = TSCI)).

CSUIP (Calling) is cancelled upon receipt of any of the following messages for the call:

- ACKI (QUAL = '0'), if the unit is equipped to
indicate Called Party Ringing.
- ACKX
- ACKV
- ACK (QUAL = $'0'$ )
- ACKB (QUAL = '0')
- ACKT (QUAL = $'0'$ )
- ACKQ, if the unit is equipped to indicate Call
Queued

- GTC

8-3

ACK (QUAL = '1') as a result of "User Call Set Up Abort" (sections 8.3.3.)
Expiry of the timeouts TC, TW, TJ or TI
AHYX

The audible "Lo Tone" indication shall be:

cadence 0.8s on 1.2s off.

The visual indication shall be cancelled as a result of "User Call Set Up Abort" (section 8.3.3.) if no call request message has yet been sent by the radio unit.

8.1.3.2.2 CSUIP (Called)

EE SE

Sec.

The provision of a CSUIP (Called) indication is mandatory.

The indication shall be audible.

The called unit shall indicate CSUIP (Called) to the user, when the user signals "Called Party Answer" (section 8.3.3.) to the unit following the receipt of AHY (CHECK='1') for an incoming call.

The indication shall be cancelled upon the receipt of any of the following messages:

- GTC

- AHYX for the call
- Expiry of TA
- ACK (QUAL = '1'), if attempting RQX for "Cancel Called Party Answer" (section 8.3.3.)
- ACK (QUAL = '0') for "on-hook" RQQ for "Cancel Called Party Answer" (section 8.3.3.)

The audible "Lo Tone" indication shall be:

cadence 0.8s on 1.2s off.

8.1.3.3. Call Queued

The provision of a Call Queued indication is optional.

A suitably equipped calling unit shall indicate Call Queued upon receipt of an ACKQ message as a result of a call request.

The indication shall be cancelled upon receipt of the following messages:

- ACKX - ACKV - ACK (QUAL = '0') - ACKB (QUAL = '0')

8-4

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 42 of 214

The unit shall also give an audible "Unavailable" indication:

- If, when the user initiates a call, the radio unit is not receiving on an acquired channel, and has remained without an acquired control channel for a preceding continuous time TC.

- If, when the user indicates a call, the radio unit is not receiving on an acquired control channel and the time since the unit last commenced the control channel acquisition procedures is less than TC, then the unit shall indicate "unavailable" if it has still not acquired a control channel at a time TC after it last commenced the control channel acquisition procedures.

- Expiry of the timeouts TA, TI, TJ or TW.

- Expiry of the timeout TC.

The audible tones shall be:

7,7 rdonii:

Sec. 1

System Busy : optional, if not implemented to be replaced by "Called Party Busy". Audible "Lo Tone"; cadence 0.4s on, 0.35s off, 0.225s on, 0.525s off, (ie 1.5 second cycle) - for 4 cycles. Unavailable:

Audible "Lo Tone"; cadence 1.5 sec duration.

Called Party Busy: Audible "Lo Tone"; cadence 0.375s on 0.375s off for 6 cycles.

The indications shall be truncated by operation of the user "Cancel Indication" (section 8.3.3).

8.1.3.6 Number Unobtainable (NU)

The provision of a NU indication is mandatory.

The calling unit shall signal NU to the user upon receipt of ACKX (QUAL = '0') in response to a call request.

The calling unit shall also signal NU to the user when it rejects a dialled number string which it does not recognise or cannot action.

The audible "Lo Tone" shall be shall be a continuous tone of 5 seconds duration unless truncated by operation of the user "Cancel Indication" (section 8.3.3).

8.1.3.7 Call Diversion

The provision of a call diversion indication is optional. Its purpose is to invite the calling user to initiate a new call to a different address. The form of the indication may include the

8-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 43 of 214

- ACKT (QUAL = '1')

- ACKI (QUAL = '0') if the unit is equipped to indicate "Called Party Ringing
- GTC
- Expiry of the timeouts TW, TJ or TI
- ACK (QUAL = '1') after attempts to cancel or abort call
- AHYX

The audible "Lo Tone" indication shall be:

cadence 0.8s on 1.2s off.

# 8.1.3.4. Called Party Ringing

The provision of a Called Party Ringing indication is optional.

A suitably equipped calling unit shall generate a Called Party ringing indication upon receipt of ACKI (QUAL = '0') from the network. The unit shall cancel this indication upon receipt of any of the following messages:

GTC
ACKV
AHYX for the call
Expiry of the timeouts TW or TI
ACK (QUAL = '1') as a result of "Call Set Up Abort" (section 8.3.3.)
ACKX
ACKT
ACKT (QUAL = '0')
ACKB (QUAL = '0')

The audible "Lo Tone" indication shall be:

cadence 0.4s on 0.2s off, 0.4s on 0.2s off, i.e. 3s cycle.

Where possible this tone shall represent a typical telephone "ring" tone.

8.1.3.5. <u>Call Fail</u>

The provision of a Call Fail indication is mandatory. It can be signalled to both the calling and the called party.

The audible indications are noted against each failure condition.

Message

Indication

ACKX (QUAL = '1') ACKV (QUAL = '0') ACKV (QUAL = '1') AHYX ACKT System Busy Unavailable Called Party Busy Unavailable Unavailable, if the unit is not equipped for call diversion.

8-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 44 of 214

new number which the calling users is invited to dial, or alternatively this number may be stored in the mobile without being displayed to the user.

The Call Diversion indication shall be initiated by the receipt of ACKT (QUAL = '0').

The audible tone indication shall be "Hi-Lo-Hi": cadence 0.1s on, 0.4s off, 0.1s on, 0.4s off, 0.1s on, 0.4s off.

8.1.3.8 Call Clear Indication

The provision of a call clear indication is mandatory. Call clear shall be indicated whenever the radio unit leaves a traffic channel, except when moving to a different traffic channel as directed by a GTC message received on a traffic channel, or when moving to a different channel after the radio unit has received a "Call End Request" (section 8.3.3).

Audible "Hi-Lo", cadence 0.3s of each tone.

8.1.3.9 <u>Alert</u>

100 SP4

State -

. . . . .

The provision of an Alert indication is mandatory.

The indication shall be audible.

Units shall indicate Alert indication if they receive AHY (POINT = '0', CHECK='1') with IDENT2=Ident (1 to 8100), INCI, IPFIXI, PSTNGI, or PABXI and if they respond by transmitting ACKI (QUAL = '0').

The Alert Indication shall be cancelled by user "Called Party Answer", (section 8.3.3) or by the expiry of the Called Party Alert State timeout TA, or by receipt of an appropriate AHYX message.

The audible "Hi Tone" shall be cadence:

0.4s on, 0.2s off, 0.4s on, 2s off, ie. 3s cycle repeating.

Where possible this tone shall represent a typical telephone "alert" tone.

8.1.3.10 Transaction Confirmed

The provision of a Transaction Confirmed indication is mandatory.

Transaction Confirmed is used primarily in non-speech calls:

RQC
RQT
RQQ (not STATUS=0 or 31 when sent with IDENT1 = TSCI)
Include

8-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 45 of 214

The radio unit shall initiate the Transaction Confirmed Indication if ACK (QUAL = '0') is received as a result of any of these request messages. The unit shall also initiate the Transaction Confirmed indication if ACKB (QUAL = '0') is received as a result of an RQS message.

The audible tone indication shall be "Lo-Hi": cadence 0.3s each tone.

8.1.3.11 GTC on Control Channel "Blip" Indication

The provision of a control channel GTC indication is mandatory.

The indication shall be at least audible.

Called radio units shall commence the GTC indication upon receipt of GTC. However if the radio unit has responded to the incoming AHY for that call with ACKI (QUAL = '0') (ie. AHY was CHECK = '1') then the radio unit may optionally omit the GTC indication upon receipt of the GTC.

Calling radio units shall commence the GTC indication upon receipt of GTC. However if the radio unit has previously received ACKI(QUAL ='0') for the call, then the calling radio may optionally omit the GTC indication upon receipt of the GTC.

The GTC indication shall be implemented as a transitory indication, and its duration shall in all circumstances be less than 500 ms.

The audible "Hi Tone" indication shall be 2 short "blips".

8.1.4 Note on use of Tone Sets

The radio unit may employ an optional tone set which differs from this specified tone set, if required for specific user needs. If such a tone set is implemented it shall be as an additional, alternative set to the one specified in this section, and its use shall be agreed by the network operator.

8-8

		n, eiketter serv			a 5 .	<b>.</b>					··· · ·	2 - 2 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3	- 
	F			1	· · · · · · · · · · · · · · · · · · ·	·		,		<del>,</del>	,	<b></b>	
No	INDICATION	CALL- ING	CALL- ED	TYPE	INITIATE	CANCEL	7		1		×	ACKX(QUAL=D) OR	CANCEL INDICATION
۱	ND SERVICE	1	1	0	LOSS OF CONTROL	ACQUIRE NEW CONTROL CH		UNOBTAINABLE	_			INVALID NURBER ENTRY	TIHEOUT)
						ACKX, ACKY ACK(QUAL=0) ACKB(QUAL=0) ACKT(QUAL=0)	8	CALL DIVERSION	1		O	ACKT(QUAL≠Ö)	INDICATION TIMEOUT
2	2 CSUIP (CALLING) / M TRANSMISSION	TERNINATOR OR	ACK(QUAL=0) ACK(QUAL=0) (1F CALLED PARTY 9 RINGING EQUIPPED ACKGEIF CALL	CALL CLEAR	1	,	м	CLEAR	INDICATION TIMEOUT				
						QUEUED EQUIPPED) GTC, ACK(QUAL=1) EXPIRY OF TC, TW, TJ, TI AHYX CALL SET UP ABORT (NO REQUEST) GTC, AHYX, ACK(QUAL=0) ACK(QUAL=1) EXPIRY OF TA	10	ALERT		,	н	ACKI (QUAL=0) IN RESPONSE TO AHY(CHECK=1)	AHYX, EXPIRY OF TA, CALLED PARTY ANSWER
												ACKI (QUAL=0) AFTER ROC ROT, OR ROQ	**************************************
3	CSUIP (CALLED)		1	н	CALLED PARTY ANSWER IF ANY VAS (CHECK=1)		11	TRANSAGTION CONFIRMED	1		M	(NOT O OR 31) OR INCLUGE REQUEST AND ACK B (QUAL=0)AFTER ROS	INDICATION TINEOUT
4	CALL QUEVED	1		Q	ACKQ	AS NO 2 LESS RECEIPT OF ACKO						GTC UNLESS RESPONSE TO ALERTING ANY	
5	CALLED PARTY RINGING	1		Ð	ACKI(QJAL=0)	GTC,ACKV, ACKX,ACKT(QUAL=D) ACKB(QUAL=D) AHXX EXPLRY OF TW OR TI ACK(QUAL=1)	12	5fE	1	1	я	ALEXING ANT WAS ACKI (QUAL=0) OR FOR CALLING UNIT UNLESS ACKI(QUAL=0) RECEIVED	INDICATION TIMEOUT
6	CALL FAIL	,	,	8	ACKX (QUAL=1) ACKV,AHYX ACXT(IF HO CALL DIVERSIONJEXPIRY	CANCEL INDICATION	13	GIC ON TRAFFIC CHANNEL	1	,	ä	otc	INDICATION FIREOUT
J	SOLE TOTA				OF TW, TJ, TI, TA CALL REQUEST IF NO CONTROL CHAN	(OR INDICATION TINEOUT)		H = MANDATORY O = OPTIONAL	Ī		ONFIDER CATION	ÍCE .	

Figure 8,1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 47 of 214

# 8.2 <u>Call Number Convention</u>

This section covers the provision of dialling facilities to users of radio units. It addresses both the entry and display of call number information. It assumes the provision of a 12 button numerical keypad, although radio units may employ other means of entering numerical information. Throughout this section the terms "mandatory" and "optional" have the following meanings:

- Mandatory Units which are equipped with a means of manually entering numbers for the purposes of calling other parties on the subscriber's network or other services shall implement the specified function.
- Optional Units which are to provide the facility described in the option shall at least implement the option in the manner described.

This convention applies to all radio units operating on Band III, sub-bands 1 and 2 commercial systems. Units which are not equipped with numerical keypads or displays but use functionally specific control buttons need not implement algorithms for the numbering scheme within the unit. However such units shall be identified by numbers which accord with the principles of the call number convention to enable calls to be directed to them from units which are fitted with dialling facilities.

Units which are equipped with a means of manually entering numbers for the purposes of calling parties on the subscriber's network or other services may, optionally and as an alternative, employ a numbering scheme which is not consistent with the requirements of this section provided that the alternative scheme shall only be enabled when selected by network personalisation. Failing such selection, the radio unit shall default to the use of the scheme described in this section, supporting at least the mandatory requirements.

The buttons on the keypad may be arranged in the standard C.C.I.T.T. recommended layout, thus:

1	2	3
4	5	6
7	8	9
*	0	*

Figure 8.2 Keyboard Layout

Any other keyboard layout may be used if found more convenient.

Units which do not employ all 10 numeric keys need not implement the call number convention in the manner described.

8-10

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 48 of 214

All dialled strings, as written in this section, are read from left to right and are dialled in the sequence in which they are read. Throughout this section all representations of dialled strings are underlined. Where MPT 1327 addresses are referred to they are expressed in the decimal form of PFIX/IDENT thus: 34/3456.

The primary use for the keypad is to enable the user to originate speech calls from the radio unit. Calls may be made to other units operating on the network, to extensions on user associated private automatic branch exchanges (PABX) and to subscribers on the public switched telephone network (PSTN). Other services may be added as required.

Codes which commence with an asterisk (\*) or a number sign (#) provide secondary uses for the keypad. Functions such as the modification of call requests to originate status messages, and the implementation of other MPT 1327 facilities (data, diversion, etc), are controlled in this manner.

Control of the radio unit's internal functions which affect MPT 1327 related activities also employ similar codes.

Any facility requested by means covered in this section which is not a mandatory requirement of Section 11 need not be incorporated into such a unit. For units which have a numerical keypad any facility which is incorporated into the unit shall be implemented in the manner described in this section. As an option it may be possible to bar access to any call type other than in-fleet calls.

Other uses of the keypad are not prohibited as long as no conflict occurs with the specified use. All dialled strings which the unit does not recognise shall result in the unit rejecting the dialled string and signalling the rejection with a number unobtainable indication (see section 8.1).

## 8.2.1 Network Numbering Structure

Each unit is allocated an individual network number (unique within the network to which the user subscribes) which bears a fixed relationship with an MPT 1327 address. The network number is in three parts: a number prefix, a fleet number and a unit number. Groups of units are allocated a network group number in a similar manner. A unit may be allocated to more than one group and thus may respond to more than one group number.

A 20 bit MPT 1327 address is divided into a prefix and an identity. The prefix is the first 7 bits of the 20 bit address. The identity is the remaining 13 bits. Fleet organisation shall ensure that most calls between users are made between those who share the same prefix. The identity range is divided into blocks which are allocated to fleets by the network operator. Each fleet user shall be allocated an adequate number of identities to allow for reasonable expansion requirements.

The objective of the scheme is to enable a short dialled string to be used for the more regularly used numbers instead of the long dialled string that the direct decimal representation of the binary prefix and identity would entail. Once this primary objective has been attained the secondary objective of permitting access to other speech and data services completes the

scheme. The scheme is expandable to allow the introduction of additional services in the future.

In-fleet individual calls are implemented by allocating a starting number (20 or 200 depending on fleet size) to the lowest identity which is allocated to the fleet. The lowest identity (base ID) used by the fleet for individual calls shall be retained within each radio unit as part of the unit's fleet personality. All units in the fleet are allocated numbers which increment in step with their allocated identities.

In-fleet group calls are similarly implemented by allocating a starting number (in this case 90 or 900 depending on fleet size) to the lowest fleet identity. The lowest identity (base ID) used by the fleet for group calls shall be retained within each radio unit as part of the unit's fleet personality.

In-fleet numbering schemes are thus related to allocated identities by means of an algorithm.

PABX calls also employ an offset to ensure that maximum use is made of the MPT 1327 addressing range of 0 to 8191 when sending extension numbers. As the lowest value of extension number in a four digit numbering scheme will not be less than 1000, all dialled strings are reduced in value by 1000 before transmission. This ensures that numbers up to 8999 may be transmitted in a single codeword call.

Calls require the use of the extended addressing facility when PABX extension numbers, or routing codes plus extension numbers, have five or more digits. If 7, 8 or 0 are used as leading digits within the PABX network then the same network dialling strings may be employed with the radio unit. If other leading digits are used within the PABX network then the most reasonable choice of leading digit from 7, 8 or 0 may be used for PABX access, with appropriate action being taken at the radio network/PABX interface point to ensure that the correct string is offered to the PABX to ensure proper call routing.

PSTN calls are made either by using abbreviated addressing with TSC translation or by entering the full national number. The leading zero of the called number is redundant and is not transmitted to the TSC. This allows all national numbers to be contained within one SAMIS.

8.2.2 Philosophy

8.2.2.1 Relationship with Address

The numerical digits which are dialled by the user are translated into an MPT 1327 specified address. This is transmitted in an address codeword with, if necessary, additional date codewords.

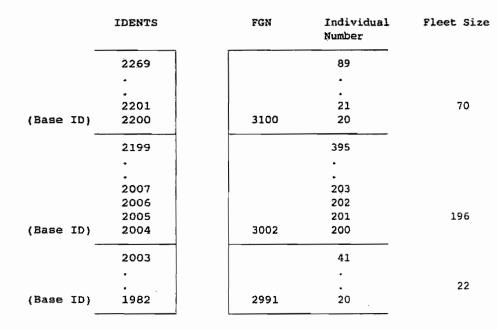
Use is made of MPT 1327 flags and addresses to send relevant signalling information betweenthacrdiacrainends are spart of the call set-up procedure. Dialled string length is an integral part of the scheme. The length of the dialled string and the leading digit indicate the service which the calling party requires and are both used to set the relevant flags and addresses in the address codeword.

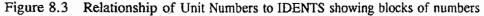
8-12

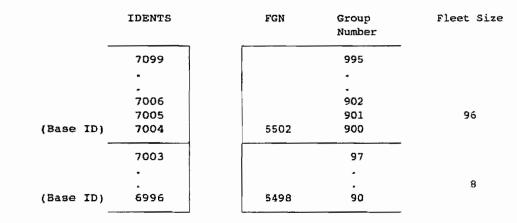
ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 50 of 214

# 8.2.2.2 Partitioning of Identities

The scheme partitions the identity range used by TSCs into separate blocks, each block being associated with a fleet of users. The numbering scheme for each fleet starts at the partition and uses an algorithm to generate the called identity from the dialled string and the fleet base ident. The fleet base ident has a fixed relationship with the Fleet Individual Number (FIN) or the Fleet Group Number (FGN) respectively. The unit shall have a record of the size of the allocated blocks to ensure that in-fleet calls are not accidentally made outside the block to a unit in some other fleet.

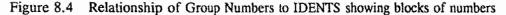






-Selected

્યુક્સ્ટ્રેસ્ટ્રિસ્ટ



8-13

# 8.2.2.3 <u>Method of Dialling</u>

Pre-origination dialling is used in the radio units. The user indicates completion of entries by the use of the # key. When it is necessary to modify the function of the call set-up, though not the destination, call modifiers may be dialled before the called party number. Modifiers are separated from the called number by use of the \* key. See section 8.2.8.

# 8.2.2.4 User Familiarity

<u>t</u>--

হৈ শৃহ To make the scheme familiar to the user, the numbering scheme attempts to follow normal UK telephony practice in the allocation of numbers. Thus:

- PSTN access commences with <u>0</u> and used dialled strings of 8 or more digits; the called subscriber's full national number is dialled,
- network operator services start with a leading 1 and are three digits in length,
- PABX operators may be obtained with a single 0
- Local PABX numbering schemes, four digits, with the leading digit in the range 1 to 8,
- PABX network numbering schemes, dialled strings to 5 to 7 digits, with leading digits 0, 7 and 8,
- PABX network numbering schemes, dialled strings of 8 and 9 digits, with leading digits 7 and 8.

To accommodate the above, dialled strings for calls to radio units have the following constraints:

- the number prefix leading digit is 2 or 3 (dialled strings of 9 and 10 digits),
- the fleet number leading digit is in the range 2 to 6 (dialled strings of 6 and 7 digits),
- the unit number leading digit is in the range 2 to 8 (dialled strings of 2 and 3 digits).

# 8.2.2.5 <u>Types of Access</u>

The numbering scheme accommodates at least:

- Members of the same fleet Members of other fleets
- Members of other fleets with a different prefix
- with the user's fleet

- PABX extensions associated

8-14

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 52 of 214

# - Access to nominated PSTN - Full access to PSTN subscribers

- Network operator services - Data services (to be defined)

8.2.2.6 Use of Display

If the radio unit is fitted with a display and numbers are to be shown then they must be shown in the form in which the user would dial the number when making a call.

# 8.2.2.6.1 Display of Called Party

Called party numbers shall be entered on the display as the user keys in the called number. If \* and # cannot be displayed, either an alternative non-numeric symbol may be displayed (eg -) or the display may be cleared by the input of the non-numeric character.

# 8.2.2.6.2 Display of Calling Party

Calling party numbers that can be displayed are those from units in the same fleet as the displaying unit and other fleets for which the unit retains appropriate data. Calls where the calling party number cannot be thus shown may have a suitable indicator to show the general type of call which has been received, eg external fleet (common or inter prefix), PABX, PSTN, etc.

# 8.2.2.6.3 Display of Diversion Number

Numbers that are displayed on receipt of ACKT (diversion numbers) (!!9.2.1.4!!) shall be decoded from the incoming IDENT1 using the methods in 8.2.2.6.2 above. When the ACKT has appended data codewords, the gateway address in the ACKT and the style of the codewords determine the action taken. (!!5.5.2.1!!) Calls where the diversion number can be displayed are those from units in the same fleet as the displaying unit and other fleets for which the unit retains a data base. PABX and PSTN numbers are displayed using the data in the appended data codewords.

PSTN numbers will be received without the leading '0' which shall be added and displayed by the unit. If the diversion address cannot be translated into a displayable number the unit may retain the address and show a general indication of the state of the call, or it may terminate the call action.

# 8.2.2.7 <u>Technician Access</u>

ويوغط إلهما المراجر

A facility may be provided to allow technicians to dial full radio unit addresses for test purposes by using the decimal representation of the MPT 1327 address (see 8.2.4.8). It is recommended that the facility is protected to avoid unauthorised access.

8-15

	8.2.3 <u>Terms</u>	, Relationships and Storage Requirements
	8.2.3.1 <u>Terms</u>	and Relationships
	Identity prefix (PFIX	C): Defined in MPT 1327 (!!?!!)
19999993	Base Ident (BI):	Any ident having an even value (lease significant bit = 0) between 0 and 8100 which is the lowest value in the block of identities assigned to the fleet of units. Range is 2 to 8100. 0 is DUMMY1 and cannot be used.
×	Individual Base Ident (IBI):	The Base Ident assigned to the block of identities used Ident for individual calls.
	Group Base Ident (G	BI): The Base Ident assigned to the block of identities used for group calls.
	Number Prefix (NP):	<u>NP</u> = PFIX + 200. Range is $200$ to $327$ .
•	Fleet Number (FN):	$\underline{FN} = BI/2 + 2000$ , where BI is the lowest ident in the block allocated to the fleet. Range is 2001 to 6050. The network operator may restrict the range for his own purposes.
	Fleet Individual Number ( <u>FIN</u> ):	An EN which identifies a block of Unit Numbers.
•	Unit Number ( <u>UN</u> ):	The Unit Number consists of either two or three digits depending on the fleet size and is unique to one unit in any single fleet.
	2 digit:	$\underline{UN} = Unit individual identity - IBI + 20$
		Numbering range: 20 to 89 (fleet size up to and including 70).
	3 digit:	$\underline{UN} = Unit individual identity - IBI + 200$
ŧ		Numbering range: $200$ to $899$ (fleet size up to and including 700).
	Fleet Group Number (FGN):	An FN which identifies a block of Group Numbers.
	Group Number ( <u>GN</u> )	: The Group Number consists of either two or three digits depending on the fleet size and is allocated to units which have common interests.
n a state and a	2 digit:	GN = Group identity - GBI + 90 Numbering range: <u>90</u> to <u>99</u>
•		8-16
	ARRIS GRO	UP, INC., IPR2015-00635, Ex. 1036, p. 54 of 214

 3 digit:
 GN = Group identity - GBI + 900

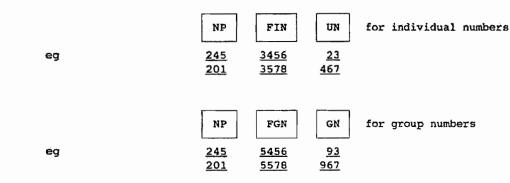
 Numbering range: 900 to 998 (999 reserved for emergency service).

 Block Size:
 The size of the block allocation to a fleet of users for each form of Base Ident used by the members of the fleet. The unit

shall store either block size or the maximum value of dialled number in some form to avoid placing calls outside the block.

N. 188 - 1

Numbers shall be written in the form:



Numbers are dialled commencing at the most significant block of numbers which differs from the unit's own number.

8.2.3.2 Storage Requirements

8.2.3.2.1 Mandatory Storage Requirements

In order to follow the procedures specified in this section, the radio unit shall provide the following storage requirements (in read-only memory) which are appropriate to the selected network if the unit is equipped with a means of accepting called party numbers from the user:

- i. The individual base identity for the fleet of which the unit is a member (own fleet).
- ii. The group base identity for the unit's own fleet.
- iii. A flag to indicate the choice of own fleet individual number string length, ie 2 or 3 digit strings.
- iv. A flag to indicate the choice of own fleet group number string length, ie 2 or 3 digit strings.
- v. The highest permitted own fleet individual identity or dialled number.
- vi. The highest permitted own fleet group identity or dialled number.

8-17

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 55 of 214

- R 33 ÷ 5
- vii. A flag to bar inter-fleet group calls.

## 8.2.3.2.2 Optional Storage Requirements in read-only memory

- i. Ten actual called party identities or numbers for translation. Each is associated with an entry of one of the single digit dialled strings.
- ii. A multiplicity of i) to vi) in 8.2.3.2.1 above per fleet, each stored against the two or three leading digits of the five digit calls to units in other fleets.
- iii. An address or number to complete call details for the \*0# string.
- iv. An address or number to complete call details for the \*Onn# string.
- v. An address or number to complete call details for the \*9# string.
- 8.2.4 Radio Unit and Line Unit Numbering
- 8.2.4.1 Single Digit Dialled Strings (Optional)

The translation between single digit dialled strings and corresponding identities is not specified. It is recommended that  $\underline{0}$  be used either for calls to a despatcher or to a PABX operator (see 8.2.5).

## 8.2.4.2 Two Digit Dialled Strings (Mandatory)

Two digit dialled strings in the range 20 to 89 inclusive shall be used to call units within the same fleet. The unit shall refer to its individual base ident (IBI) and shall generate the called party identity by the following algorithm from the dialled Unit Number (UN):

Called individual ident = IBI + UN - 20

Two digit dialled strings in the range  $\underline{90}$  to  $\underline{99}$  inclusive shall be used to call groups of units within the same fleet. The unit shall refer to its group base ident (GBI) and shall generate the called group identity by the following algorithm from the dialled Group Number (GN):

Called group ident = GBI + GN - 90

Two digit group numbers and three digit unit numbers may be used within the same fleet.

The unit shall verify that the dialled <u>UN</u> or <u>GN</u> is not greater than the highest value assigned during network personalisation.

Note: Numbers  $\underline{00}$  to  $\underline{02}$ ,  $\underline{07}$  to  $\underline{09}$  and  $\underline{10}$  to  $\underline{19}$  are not specified. For use of  $\underline{03}$  to  $\underline{06}$ , see section 8.2.5.

8-18

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 56 of 214

# 8.2.4.3 Three Digit Dialled Strings (Mandatory)

Three digit dialled strings in the range 200 to 899 inclusive shall be used to call units within the same fleet. The unit shall refer to its individual base ident (IBI) and shall generate the called party identity by the following algorithm from the dialled Unit Number (UN):

Called individual ident = IBI + UN - 200

Three digit dialled strings in the range 900 to 998 inclusive shall be used to call groups of units within the same fleet. The unit shall refer to its group base ident (GBI) and shall generate the called group identity by the following algorithm from the dialled Group Number (GN):

Called group ident = GBI + GN - 900

Three digit group numbers and two digit unit numbers may be used within the same fleet.

The unit shall verify that the dialled <u>UN</u> or <u>GN</u> is not greater than the highest value assigned during network personalisation.

Note: Numbers 000 to 099 are not specified. Certain numbers in the range 100 to 199 and also 999 are used for calls to assistance operators (optional - see section 8.2.7).

# 8.2.4.4 Four Digit Dialled Strings (Optional)

Four digit dialled strings in the range 1000 to 8999 are used for PABX access, see 8.2.5. Other four digit dialled strings are not specified.

# 8.2,4.5 Five Digit Dialled Strings. Leading Digit 2 or 9 (Optional)

Five digit dialled strings with leading 2 or 2 may be used to make inter-fleet calls to individual units or groups of units. If both types of call are to be made then the convention of using 2 for individual calls and 2 for group calls shall be adopted wherever possible. Dialled string manipulation depends upon the length of the called party unit number. The first part of the dialled string forms a "routing code" which identifies the fleet of which the called unit is a member. If the called unit number is 2 digits in length then the code is 3 digits in length. If the called unit number is 3 digits in length then the code is 2 digits in length. The unit shall contain a look-up table (translation field) in which the "routing code" is converted to the FN (or its equivalent address) which is appropriate to the called unit. The table shall also contain data on the partition point between the "routing code" and the unit number and whether the call is to a group address. This latter data shall be used before accepting a command to set RQS or RQE, FLAG1 = '1' in a group call.

. . Kê - 1

eg for a call to an individual unit (RC UN): 28 789#

28 is translated by the unit, for example, to fleet Base Address: 34/936

8-19

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 57 of 214

The prefix is used directly and the called IDENT, 1525, is calculated by using the algorithm:

$$ID = IBI - 200 + \underline{UN}$$

1525 = 936 - 200 + <u>789</u>

Either a common prefix call or an extended addressing call follows appropriately.

For a call to a group (RC GN): 984 98#

<u>984</u> is translated, for example, to Fleet Group Base Address: 34/5936

The prefix is used directly and the called IDENT, 5944, is calculated by using the algorithm:

ID = GBI - 90 + GN5944 = 5936 - 90 + 98

Either a common prefix call or an extended addressing call follows appropriately.

Note: Dialled strings of this length commencing with  $\underline{1}$  are not specified. Dialled strings commencing with digits  $\underline{3}$ ,  $\underline{4}$ ,  $\underline{5}$ ,  $\underline{6}$ ,  $\underline{7}$ ,  $\underline{8}$  and  $\underline{0}$  are used for PABX calls.

## 8.2.4.6 Six Digit Dialled Strings. Leading Digit 2 to 6 (Mandatory)

A six digit dialled string with a leading digit in the range 2 to 6 shall be used for inter-fleet calls where the call is between units having a common prefix. The first four digits are the called party fleet number (<u>FN</u>) from which the unit shall create a base identity.

BI = 2(FN - 2000)

Using the created base identity and the rest of the dialled string as a unit number (UN) the unit creates a common prefix call using the procedures specified in 8.2.4.2.

Called individual ident = BI + UN - 20

Group calls, which are identifiable by 2 in the fifth digit, are handled similarly.

Called group ident = BI + GN - 90

It shall be possible to suppress inter-fleet group calls by unit personalisation.

SERVER -

1.000

Note: Dialled strings of this length commencing with 0, 7 and 8 are used for PABX calls. Those commencing with 1 are not specified.

8-20

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 58 of 214

## 8.2.4.7 Seven Digit Dialled String, Leading Digit 2 to 6 (Mandatory)

A seven digit dialled string with a leading digit in the range  $\underline{2}$  to  $\underline{6}$  shall be used for interfleet calls where the call is between units having a common prefix. The first four digits are the called party fleet number (<u>FN</u>) from which the unit shall create a base identity.

BI = 2 (FN - 2000)

Using the created base identity and the rest of the dialled string as a unit number (UN) the unit creates a common prefix call using the procedures specified in 8.2.4.3.

Called individual ident = BI + UN - 200

Group calls, which are identifiable by 9 in the fifth digit, are handled similarly.

Called group ident = BI + GN - 900

It shall be possible to suppress inter-fleet group calls by unit personalisation.

Note: Dialled strings of this length commencing with 0, 7 and 8 are used for PABX calls. Those commencing with 1 are not specified.

8.2.4.8 Eight Digit Dialled Strings. Leading Digit 1 (Technician facility - Optional)

When the technician facility is enabled then 8 digit dialled strings with a leading 1, digits 2 to 4 in the range 000 to 127 and digits 5 to 8 in the range 0001 to 8100, shall set up a call to an address of which the last seven digits in the dialled string are the decimal representation.

The dialled string shall be of the form:

eg <u>1 PFIX IDENT</u> 1 012 0246

The unit shall translate the dialled decimal representations of the prefix and identity into their binary equivalents and use these to transmit a single address codeword or extended addressing call request, whichever is appropriate to the radio unit's own address.

Note that although addresses are written in the form 12/246, the leading zeros shall be dialled thus: <u>012</u> <u>0246</u>. Idents above 8100 shall be blocked to prevent calls to gateway addresses which may cause potential fault conditions.

e star i

Note: Dialled strings of this length commencing with  $\underline{0}$  are used for PSTN calls. Dialled strings with other leading digits are not specified.

8-21

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 59 of 214

## 8.2.4.9 Nine Digit Dialled Strings. Leading Digit 2 or 3 (Mandatory)

A nine digit dialled string with leading digits 2 or 3 shall be used for inter-fleet calls where the call is between units having different prefixes. the first three digits are the called party's Number Prefix (NP), from which the unit shall create a prefix (PFIX).

 $PFIX = \underline{NP} - 200$ 

The rest of the dialled string shall be manipulated as specified in 8.2.4.6. An extended addressing call shall be made to IPFIXI and the unit shall respond to an AHYC with a SAMIS containing the called party's address (!!9.2.2.1!!). The unit shall trap the Number Prefix if it corresponds to the unit's own Number Prefix and shall resort to a common prefix, single address codeword call.

Note: Dialled strings of this length commencing with Q are used for PSTN calls. Dialled strings with other leading digits are not specified.

# 8.2.4.10 Ten Digit Dialled Strings. Leading Digit 2 or 3 (Mandatory)

A ten digit dialled string with leading digits 2 or 3 shall be used for inter-fleet calls where the call is between units having different prefixes. The first three digits are the called party's Number Prefix (NP), from which the unit shall create a prefix (PFIX).

## PFIX = NP - 200

The rest of the dialled string shall be manipulated as specified in 8.2.4.7. An extended addressing call shall be made to IPFIXI and the unit shall respond to an AHYC with a SAMIS containing the called party's address (!!9.2.2.1!!). The unit shall trap the Number Prefix if it corresponds to the unit's own Number Prefix and shall resort to a common prefix, single address codeword call.

Note:

de de la

Dialled strings of this length commencing with Q are used for PSTN calls. Dialled strings with other leading digits are not specified.

#### 8.2.5 PABX Numbering (Optional)

MPT 1327 allows the radio unit to transmit numbers between 0 and 8191 with EXT =  $11^{\circ}$  in an RQS or RQE single address codeword call, in order to indicate that the called identity is an extension number of a PABX which is associated with the radio unit caller (15.5.3.1.11). FLAG1 and FLAG2 are used to select one from a maximum of four PABXs. The TSC forwards the dialled number to the selected PABX. Extended addressing procedures are required via the PABX1 gateway for calls to extensions on exchanges employing five or more digits, or to larger PABX networks.

Most PABXs use fixed length dialled strings whose leading digit is equal to or greater than 1. Thus the largest range of numbers which may be encountered and which can be accommodated in a single address codeword call is <u>1000</u> to <u>8999</u>. However this range may only be accommodated by interposing an off-set in the transmitted request from the calling

8-22

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 60 of 214

unit. This off-set is removed by the TSC. The off-set is 1000; thus for dialled extension numbers (EXTN) in the range 1000 to 8999 the unit sends 0 to 7999 in IDENTI.

$$IDENT1 = \underline{EXTN} - 1000$$

Calls to PABXs with dialled strings shorter than four digits shall have dummy digits inserted in the leading digit positions (to be described as a dialling code in the user's directory) to make up the length of the dialled string to four digits. Recommended values are 7, 77, etc. Different codes may be used to route calls to different branch exchanges by arrangement between the network operator and the PABX user. Shorter dialled strings on PABXs with mixed length numbering shall be dealt with in a similar manner.

If access is required to a small group of branch exchanges (a maximum of four), then FLAG1 and FLAG2 in the RQS or RQE address codeword shall be set to indicate to which exchange the required extension is connected. The flags are set in the radio unit by the use of a leading digit before the extension number, which thus requires the user to dial a five digit string.

Access to larger PABX networks uses extended addressing routines. These are set up by dialled string lengths of five to eight digits and with particular leading digits.

## 8.2.5.1 Single digit Dialled Strings

To call a PABX operator the unit may be set up to call identity 8000 with EXT = '1', FLAG = '0' and FLAG2 = '0' when  $\underline{0}$  is dialled.

## 8.2.5.2 <u>Two Digit Dialled Strings</u>

A two digit dialled string in the range 03 to 06 shall be used to select one of four different branch exchange operators. The radio unit shall be set up to call identity 8000 with EXT = '1', and FLAG1 and FLAG2 set according to the following table:

	FLAG1	FLAG2
<u>03</u>	<b>'</b> 0'	<b>'0'</b>
04	.0,	'1'
<u>Q5</u>	'1'	°0'
06	'1'	'1'

8.2.5.3 <u>Three Digit Dialled Strings</u>

Three digit dialled strings are not used for PABX calls.

## 8.2.5.4 Four Digit Dialled Strings

The radio unit shall accept any four digit dialled string as a call to a PABX and shall set the EXT flag to '1'. FLAG1 and FLAG2 shall be set to '0'. The dialled extension number (EXTN) shall be decremented by 1000 and the resultant shall be transmitted as the called

8-23

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 61 of 214

18 C 201

1.1.200

Ĵ,

identity (see 8.2.5).

ATT - 10 - 10

18:2

Sec. Sec.

#### IDENT = EXTN - 1000

## 8.2.5.5 Five Digit Dialled Strings, Leading Digit 3 to 6

Five digit dialled strings with a leading digit from 3 to 6 function similarly to four digit dialled strings, but the radio unit shall set FLAG1 and FLAG2 in RQS or RQE, or EXCHANGE in SAMIS, according to the following table:

Leading Digit	FLAG1 FLAG2 !!5.5.3.1.1!!		EXCHANGE (SAMIS) 115.6.1.2.2!1	
<u>3</u>	<b>'0'</b>	<b>'</b> 0'	,00,	
4	'0'	'1'	'01'	
<u>5</u>	'1'	<b>'0'</b>	'10'	
<u>6</u>	·1·	*1*	111	

Having used the leading digit in the five digit dialled string to set FLAG1 and FLAG2, the radio unit shall process the remaining four digits as described in 8.2.5.4.

# 8.2.5.6 Five to Seven Digit Dialled Strings. Leading Digits 0, 7 or 8

Dialled strings of five to seven digits with leading digits 0, 7 or 8 shall be used to send a call to the PABXI gateway. On receipt of a Mode 1 AHYC with IDENT1 = PABXI and DESC = '010', the radio unit shall respond with a SAMIS containing DESC = '010', and with the full dialled string occupying BCD blocks commencing with BCD1. Unused blocks shall be filled with NULL.

Note: Five digit dialled strings with leading 2 or 9 are used for inter-fleet calls and leading digit 1 is not specified.,

8.2.5.7 Eight or Nine Digit Dialled Strings. Leading Digits 7 or 8

Dialled strings of eight or nine digits with leading digits  $\frac{7}{2}$  or  $\frac{8}{2}$  shall cause the radio unit to send a call to the PABXI gateway. On receipt of a Mode 1 AHYC with IDENT1 = PABXI and DESC = '010', the radio unit shall respond with a SAMIS containing DESC = '010' - and with the full dialled string occupying BCD blocks commencing with BCD1. When the dialled string length is 8 digits the unused block shall be filled with NULL.

8.2.6 <u>PSTN Numbering (Optional)</u>

8.2.6.1 <u>PSTN Abbreviated Dialling</u>

Single address codeword calls and diversions may be made to 15 pre-arranged numbers by using the special idents PSTNSIj. The procedures are defined in section 8.2.8.1.

8-24

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 62 of 214

# 8.2.6.2 Calls to PSTN Subscribers

Dialled strings of eight or more digits with leading digit Q shall cause the radio unit to send an RQS or RQE call to the PSTNGI gateway with FLAG1 set to '0' to indicate a dialled string length of 10 or less digits, or set to '1' to indicate 11 to 32 digits. On receipt of a Mode 1 AHYC with IDENT1 = PSTNGI, DESC = '001' and SLOTS = '01' or '10' as appropriate, the radio unit shall respond with SAMIS, DESC = '001' with the full dialled string, less the leading Q, occupying BCD blocks commencing with BCD1. If SLOTS = '10' and the dialled string has a length of 11 to 21 digits inclusively then the accompanying SAMIS shall have L set to '01' and the radio unit shall append one data codeword which shall contain the digits that cannot be accomodated in the SAMIS. If SLOTS = '10' and the dialled string length is greater than 21 digits then the accompanying SAMIS shall have L set to '10' and the radio unit shall append two data codewords which shall contain the digits that cannot be contained in the SAMIS. Unused BCD groups shall be filled with NULL.

# 8.2.7 Network Operator Services (3 digit calls) (Optional)

Three digit dialled strings in the table below shall be used to call network services. The table lists dialling strings and the special identities into which they shall be translated by the unit.

Dialled	Special	Dialled	Special
String	Ident	String	Ident
<u>100</u>	8170	<u>161</u>	8176
111	8171	171	8177
<u>121</u>	8172	181	8178
<u>131</u>	8173	<u>191</u>	8179
<u>141</u>	8174	<u>999</u>	8180
<u>151</u>	8175		

Figure 8.5 Table of Special Idents for Operator Services

999# shall initiate an RQE call request message.

# 8.2.8 Call Modifiers and Radio Unit Control

Calls are modified from speech calls to other types of call, and the radio unit functions are controlled by, dialled strings commencing with \* or #. This section defines codes which directly affect MPT 1327 address codewords. Other functions which the unit is required to perform may use codes of a similar type but the unit manufacturer has choice of code and implementation.

Codes which modify a call precede the dialled number and are separated from the number by use of the \* key. Generally, codes which change a function between two states initiate the state by commencing the code with  $\underline{*}$ , and re-set the state by the same code commencing with  $\underline{#}$ .

#### 8-25

6889982

- 1

3

The # key is always used to signal the end of dialling activity, with the exception of when the unit is alerting for an incoming call.

- eg To make a priority call to a unit dial <u>\*8 \*234#</u>
  - To make a non-prescribed data call to an abbreviated PSTN destination dial <u>\*31</u> <u>\*\*12#</u>
  - To make a conference group call dial <u>\*1</u> \*923#

As well as the keypad functions, any of the function codes may also be assigned to special function keys which carry out the same action as a code but in a single keystroke.

8.2.8.1 Call Initiation

8.2.8.1.1 Abbreviated Dialling (Optional)

Codes for TSC translation of special idents shall be of the form:

\*\*nn#

<u>nn</u> shall be in the range  $\underline{1}$  to an upper limit specified by the network operator, but not greater than  $\underline{49}$ . <u>nn</u> shall be translated by the radio unit into a special ident by the following algorithm:

Ident = 8120 + nn

#### 8.2.8.1.2 Call ALLI: Code \*1987# (Optional)

The entry of any of the codes  $\underline{*1981\#}$  to  $\underline{*1987\#}$  may be used to cause the radio unit to send RQS, RQE or RQC (as specified below) with IDENT1 set to the special ident 8191 (the system-wide ident - refer to !!4!!). The type of request message to be sent and the values of certain fields within that message shall depend upon the value of the code entered, as specified below:

Code	Type of System-wide Call	<u>Request Message</u> Type	Specified Field Value
<u>*1981#</u>	Priority voice	RQS	DT='0' LEVEL='0'
*1982#	Emergency voice	RQE	DT = '0'
<u>*1893#</u>	Priority np data	RQS	DT='1' LEVEL='0'
<u>*1984#</u>	Emergency np data	RQE	DT='1'
<u>*1985#</u>	Short data	RQC	
*1987#	Standard voice	RQS	DT='0' LEVEL='1'

2123 (Š

3353

The above codes may not be used in conjunction with any additional digits (eg other call modifiers or destination digit strings).

8-26

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 64 of 214

# 8.2.8.2 Call Modifiers (Optional)

# 8.2.8.2.1 Despatcher and Status calls

Enter Despatcher Queue: Code \*0

意味ない

Sec. 804

The radio unit shall set up an RQQ (STATUS = 0) call to the dialled number. The radio unit may default to a pre-arranged number if a number is not dialled, ie the unit may set up an RQQ call to an address which is programmed within the unit if the # key is depressed immediately after the code (!!5.5.3.1.7!!).

Leave Despatcher Queue: Code #0

The radio unit shall set up an RQQ (STATUS = '31') call to the dialled number. The radio unit may default to the last despatcher queue which had been entered if no number is dialled (!!5.5.3.1.7!!).

Send Status: Code \*Onn

The radio unit shall set up an RQQ (STATUS = 'nn') call to the dialled number. The radio unit may default to a pre-arranged number if a number is not dialled, ie the unit may set up an RQQ call to an address which is programmed within the unit if the # key is depressed immediately after the code. <u>nn</u> is a single or two digit code without a leading zero and shall be in the range 1 to 30 (!!5.5.3.1.7!!).

# 8.2.8.2.2 Conference/Broadcast Group Facility

Units which receive group calls may allow the user to reply to the calling party (conference) or the unit may inhibit replies (broadcast) on receipt of a maintenance message on the traffic channel. The unit originating the call sends RQS (FLAG1 = '1') or RQE (FLAG1 = '1') to disable the called units' ability to reply. The calling unit shall only set FLAG1 to '1' if the called address is a group address (!!5.5.3.1.1!!).

Conference and broadcast calls may be specifically requested using the call modifiers described in 8.2.8.2.2.1 and 8.2.8.2.2.2. No default is prescribed for cases where neither call modifier is used; however it is recommended that the default is conference call.

8.2.8.2.2.1 Conference Call Code \*1

\*1 sets RQS or RQE, FLAG1 to '0'

8.2.8.2.2.2 Broadcast Call Code \*11

\*11 sets RQS or RQE, FLAG1 to '1'

8.2.8.2.3 Data Facilities

\*2 initiates a section 14 Control Channel Short Data Message. Paragraph 8.2.8.2.3.2 specifies

8-27

the use of the code. Codes of the format " $\underline{*2n}$ " where " $\underline{n}$ " is any length string commencing with 1 or 2 are reserved for future definition. Codes where the string commences with any digit from 3 to 9 or 0 are available for customisation.

<u>\*31</u> is specified in this section. Codes of the format "<u>\*3n</u>" where "<u>n</u>" is any length string commencing with <u>2</u> are reserved for future definition. Codes where the string commences  $\cdot$  with any digit from <u>3</u> to <u>9</u> or <u>0</u> are available for customisation.

8.2.8.2.3.1 Non-prescribed Data, Code \*31

an the second

<u>\*31</u> sets RQS (DT = '1') or RQE (D = '1') as appropriate (!!5.5.3.1.1!!). This code requests that a transparent end to end audio path be set up by the network. The user supplies the data communications equipment (DCE) to terminate the audio path. The radio unit's acoustic transducers are inhibited and the audio connection to the DCE is enabled on receipt of GTC. Call maintenance messages are inhibited unless required by the network operator.

8.2.8.2.3.2 Short Data on the Control Channel. Code \*2

<u>\*2</u> causes the radio unit to initiate an RQC message. The dialled format is  $\frac{*2*<data>*<called party number>#. The radio unit shall respond to the associated AHYC from the TSC with the data field of the dialled string in accordance with section 14. The STF field, and MESS field if applicable, may be set in any appropriate manner for the input data.$ 

\*2# shall cause the radio unit to send data from an external device to a pre-arranged address.

 $\pm 2 \pm 4$  shall cause the radio unit to send data from the keyboard to a pre-arranged address.

<u>\*2\*\* < called party number > #</u> shall cause the radio unit to send data from an external device to the called party number.</u>

8.2.8.2.3.2.1 Use of the data field

If the data field contains no input the radio unit shall substitute data from an external data input device. Any format prescribed in section 14 may be implemented and the GFI field shall be set appropriately. The external device may also originate short data messages without the need for the user to make an entry on the radio unit keyboard. The protocol between the radio unit and the external device is not defined.

8.2.8.2.3.2.2 Use of the number field

Any number defined in section 8.2.4 to 8.2.7 may be dialled.

8.2.8.2.4 Priority Call Code \*8

The radio unit shall set up an RQS (LEVEL = '0') call to the dialled number (!!5.5.3.1.5!!). The user may dial, and the unit shall accept, any further suitable modifier which sets other

8-28

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 66 of 214

## 8.2.8.3.1 Divert Own Calls: Code \*41n (!!12.2!!)

\*41 shall cause the radio unit to send RQT (DIV = '0', FLAG1 = '0', FLAG2 = '0') (unless FLAG1 is set to '1' by PSTN number string length) to the TSC (!!5.5.3.1.4!!). The RQT instructs the TSC to offer the number which has been dialled after the code to any caller who is attempting to make a call to the originating radio unit as an alternative destination for the call. The number to which calls are to be diverted, and which follows the code, shall be any number which the user is able to dial for a normal speech call (RQS). If no number is dialled after the code then the unit shall not transmit a diversion request.

> If n is not dialled, all call types are diverted. RQT, SD shall be set to '00'.

If n = 1, only speech calls are diverted. RQT, SD shall be set to '01'.

If n = 2, only data calls are diverted. RQT, SD shall be set to '10'

eg

33555

20 200

-85.-4

<u>\*41</u> <u>\*234#</u> diverts all types of call to unit 234 in the same fleet as the originator of the diversion.

\*411 \*3456# diverts speech calls to extension 3456 in the PABX which is associated with the diversion originator's fleet.

When unit numbers which would normally result in a single address codeword call are entered as a call diversion request, they shall be sent as IDENT1 in an RQT.

When unit numbers which would normally result in an extended addressing call are entered as a call diversion request, they shall be sent in an RQT with IPFIXI in IDENT1. The dialled number shall be sent in a SAMIS (DESC = '000') in PARAMETERS1 in response to an AHYC with the same address information as contained in the originating RQT.

When calls to PABX extension numbers which would normally result in a single address codeword call are entered as a call diversion request, they shall be sent as an RQT with PABXI in IDENT1 and the dialled number shall be sent in a SAMIS (DESC = '010', SP = '1'). EXCHANGE shall be set to '00' for four digit calls and shall be set as listed in the table in 8.2.5.5 for five digit calls The dialled number is manipulated by the algorithm used for creating IDENT1 in an RQS or RQE and is transmitted in Number. The SAMIS is sent in response to an AHYC with the same address information as contained in the originating RQT.

When called extension numbers which would normally result in an extended addressing call are entered as a call diversion request, they shall be sent as an RQT with PABXI in IDENT1 and the dialled number shall be sent in a SAMIS (DESC = '010', SP = '0'), with the BCD blocks filled in the same manner as for a normal call (8.2.5.7). The SAMIS is sent in response to an AHYC with the same address information as contained in the originating RTQ.

8-30

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 67 of 214

bits in the RQS address codeword. The further modifier shall be dialled with its leading  $\underline{*}$  character, eg  $\underline{*1}$ ,  $\underline{*11}$ ,  $\underline{*32}$ . The code shall also function with abbreviated dialling codes commencing with  $\underline{**}$ .

## 8.2.8.2.5 <u>Emergency Call Code \*9 (!!10.2!!</u>)

The radio unit shall set up an RQE call to the dialled number (!!5.5.3.1.5!!). The radio unit may default to a pre-arranged number if a number is not dialled, ie the unit may set up an RQE call to an address which is programmed within the unit if the # key is depressed immediately after the code. The user may dial, and the unit shall accept, any further modifier which sets other bits in the RQE address codeword. The further modifier shall be dialled with its leading <u>\*</u> character, eg <u>\*1</u>, <u>\*11</u>, <u>\*32</u>. The code shall also function with abbreviated dialling codes commencing with <u>\*\*</u>.

The special Emergency mode (FLAG2 = '1') (!!10.2!!) is entered by dialling an additional string after <u>\*9</u>. If the first digit in the additional string is in the range 1 to 4 then FLAG1 and D are set according to the following table:

Digit	FLAG1	D
1	'0'	<b>'0</b> '
<u>2</u>	<b>'</b> 0'	'1'
<u>3</u>	'1'	.0,
4	'1'	'1'

The remaining digits are the decimal representation of the 13 bit number which is sent in IDENT1. Leading zeros which result from the binary to decimal conversion are not dialled.

If the first and only digit in the additional string is 2 then the unit expects to receive an input from an external unit to set FLAG1, D and IDENT1.

eg

1

HE BROOM

337

<u>\*91#</u> sets all bits to '0' <u>\*923#</u> sets D to '1', FLAG1 to '0', and IDENT1 to '000000000011'

The TSC handles the received RQE by agreement with the user or service provider. No other call modifiers or called party number shall be accepted by the unit in conjunction with this facility.

999# shall set up an RQE call without the need to dial \*9.

## 8.2.8.3 Radio Unit or Network Control (Optional)

The radio unit may incorporate one or more routines to allow calls to be controlled fully and to modify unit action on receipt of incoming calls etc. MPT 1327 related functions include call diversion, rejection of incoming call interrogations etc.

8-29

When abbreviated calls to PSTN numbers which would normally result in a single address codeword call are entered as a call diversion request, they shall be sent as an RQT with the correct PSTNSIj in IDENT1.

When calls to PSTN numbers which would normally result in an extended addressing call are entered as a call diversion request, they shall be sent as an RQT with PSTNGI in IDENT1. If the dialled string (including the leading Q) is equal to or greater than 11 digits, FLAG1 = '1'. The dialled number shall be sent in a SAMIS (DESC = '001') with the BCD blocks filled in the same manner as for a normal call (See 8.2.6.2). The appended codeword shall also be sent when necessary. The SAMIS is sent in response to an AHYC with the same address information as contained in the originating RQT.

## 8.2.8.3.2 Cancel Own Diversion: Code #41n#

Upon being dialled the radio unit shall send RQT to the TSC to instruct it to cancel the divert state.

If n is not dialled, all call types shall cease to be diverted. RQT (SD = '00')

If n = 1, only speech calls shall cease to be diverted. RQT (SD = '01')

If n = 2, only data calls shall cease to be diverted. RQT (SD = '10')

Cancellation of divert condition causes the radio unit to transmit RQT (DIV = '1', FLAG1 = FLAG2 = '0') with IDENT1 = IDENT2 (the originating unit's own address).

8.2.8.3.3 Divert Third Party Calls: Code \*44n

 $\frac{*44n}{10}$  is followed by the number of the third party whose calls are to be diverted, and then by the diversion destination.

\*44 shall cause the radio unit to send RQT (DIV = '0', FLAG1 = '0', FLAG2 = '1') (unless FLAG1 is set to '1' by PSTN number string length) to the TSC. The RQT instructs the TSC to offer the call destination to any caller who is attempting to make a call to the third party number as an alternative destination for the call. The number to which calls are to be diverted shall be any number which the user is able to dial for a normal speech call (RQS). If less than two numbers are dialled after the code then the unit shall not transmit a call diversion request.

> If n is not dialled, all call types are diverted. RQT, SD shall be set to '00'

> If n = 1, only speech calls shall be diverted. ROT, SD shall be set to '01'

Service -

1528282855

If n = 2, only data calls shall be diverted. RQT, SD shall be set to '10'

<u>\*442</u> <u>\*234</u> <u>\*432</u> Data calls directed to unit 234 in the same fleet as the diversion originator shall be re-directed to unit 432 in the same fleet.

<u>\*441</u> <u>\*234</u> <u>\*3456</u> Speech calls to unit 234 in the same fleet as the diversion originator shall be re-directed to extension 3456 in the PABX which is associated with the diversion originator's fleet.

Methods of sending the diversion data are specified in 8.2.8.3.1. In addition, the blocked third party address is sent in response to an AHYC with IDENT1 = DIVERTI, PFIX/IDENT2 = requesting unit's address, DESC = '000', SLOTS = '01'. The order in which the two AHYCs are sent is not defined.

## 8.2.8.3.4 Cancel Third Party Diversions: Code #44n

This code is followed by the number of the third party whose calls are presently diverted.

The radio unit shall send RQT to the TSC to instruct it to cancel the divert state for the nominated third party. If a number is not dialled after the code then the unit shall not transmit a diversion cancellation request.

If n is not dialled, all call types cease to be diverted. RQT, SD shall be set to '00'

If n = 1, only speech calls cease to be diverted. RQT, SD shall be set to '01'

If n = 2, only data calls cease to be diverted. RQT, SD shall be set to '10'

eg <u>#441 \*234#</u> allows unit 234 in the same fleet as the call request originator to receive speech calls directed to the unit.

Cancellation of the divert condition causes the radio unit to transmit RQT (DIV = '1', FLAG1 = FLAG2 = '0') with IDENT1 = third party identity, or IPFIXI and IDENT2 = 'the requesting unit's own address. If IDENT1 = IPFIXI, the full address of the third party unit is transmitted in a SAMIS (DESC = '000') in PARAMETERS1, in response to an AHYC with IDENT1 = IPFIXI.

## 8.2.8.3.5 Cancel Incoming diversions: Code #45n#

This code causes the radio unit to send RQT to the TSC to instruct it to cancel any divert state which may be directing calls to the originating radio unit. Cancellation of incoming diversions causes the radio unit to transmit RQT (DIV = '1', FLAG1 = FLAG2 = '0') with IDENT1 = DIVERTI.

8-32

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 70 of 214

eg

If n is not dialled, all call types cease to be diverted. RQT, SD shall be set to '00'

If n = 1, only speech calls cease to be diverted. RQT, SD shall be set to '01'

If n = 2, only data calls cease to be diverted. RQT, SD shall be set to '10'

#### 8.2.8.3.6 <u>Oueue Incoming Calls: Code \*48#</u>

at est alle

Sec. ..

This code causes the radio unit to respond to AHY (D='0') messages with ACKB (QUAL = '0') (!!9.1.1.5!!) and place the call details in a call queue. Selection of this state shall cancel any previously entered "Don't Disturb" (8.2.8.3.8) in respect of AHY (D='0') message.

8.2.8.3.7 Cancel Queueing of Incoming Calls: Code #48#

This code causes the radio unit to cease queueing calls and resort to acknowledging all AHY (D='0') messages with any acknowledgement which is appropriate for the radio unit state (!!9.1.1.5!!).

### 8.2.8.3.8 Don't Disturb: Code \*49n#

This code causes the radio unit to acknowledge all appropriate AHY messages with ACKV (QUAL = '1'), thus blocking the call (!!9.1.1.5!!).

If n is not dialled, the unit shall respond ACKV (QUAL = '1') to all appropriate AHYs to the unit. D may be either '0' or '1'.

If n = 1, the unit shall respond ACKV (QUAL = '1') to appropriate AHYs to the unit when D is set to '0' (speech calls).

If n = 2, the unit shall respond ACKV (QUAL = '1') to appropriate AHYs to the unit when D is set to '1' (data calls).

8.2.8.3.9 Cancel Don't Disturb: Code #49n#

This code causes the radio unit to acknowledge all appropriate AHY messages with any acknowledgement which is appropriate for the radio unit state (!!9.1.1.5!!).

If n is not dialled, the unit shall cease to respond ACKV (QUAL = '1') to any appropriate AHYs to the unit. D may be either '0' or '1'.

If n = 1, the unit shall cease to respond ACKV (QUAL = '1') to appropriate AHYs to the unit when D is set to '0' (speech calls).

If n = 2, the unit shall cease to respond ACKV (QUAL = '1') to appropriate

AHYs to the unit when D is set to '1' (data calls).

# 8.2.8.4 Radio Unit Control (Mandatory)

The unit shall incorporate keyboard routines to allow the unit to abandon call attempts, clear calls and accept incoming calls (see section 8.3.3.).

8.2.8.4.1 <u>Call Set-Up Abandon:</u> <u>Call Complete</u>: Code <u>\*#</u>

Strings.

1,18

20.00

 $\underline{*#}$  may be dialled after digits and a terminator have been entered on the keyboard. If the radio unit has not transmitted a call request, it shall abandon the call and resume an idle state on the control channel.

If the radio unit has started to set up a call, it shall transmit a call cancel request (RQX) (!!9.1.1.8!!).

If  $\underline{*#}$  is dialled whilst the unit is on a traffic channel, the radio unit shall terminate the call by transmitting MAINT (OPER = '011') where appropriate to its call condition (!!9.2.3.5!!).

If  $\underline{*#}$  is dialled whilst the radio unit is indicating call failure or termination by a continuing confidence indication, then the radio unit shall cancel that indication. Notes:

1. <u>\*#</u> may also be used to abandon erroneous keyboard entries.

2. If <u>\*#</u> is dialled after an "off-hook" indication has been sent to the TSC to accept an incoming call, but before the radio unit receives GTC, the radio unit shall transmit an "on-hook" indication RQQ (STATUS = 31) to TSCI (!!5.5.3.1.7!!).

## 8.2.8.4.2 Accept Incoming Call: Code # (Alerting State only)

If # is dialled when the radio unit is alerting the user for an incoming call, the radio unit shall send RQQ (STATUS = 0) to TSCI. In the alerting state the # key is not used as a dialled string terminator. An incoming call shall cause abandonment of a dialling attempt which has not been terminated by the string terminator (!!5.5.3.1.7!!).

8.2.9	Sum	Notes)		
Single digi	it dialled Z	strings (Z).	Not defined. Proposed use: Associated PABX Operator	(Ident 8000)
	Z	1 - 9	Despatcher	(Ident looked up in unit)
Two digit	dialled st	rings (YZ)		
	Y Z	0 3 - 6	PABX operator in exchange grou PABX access number for exchan digit numbers below (Ident 8000 set appropriately)	nge as defined in five

8-34

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 72 of 214

1. A.				
202				
	37/7	20 00	¥ - 3*. † 4	
1	YZ	20 - 89	Individual number	
	YZ	90 - 99	Group number	
		5		
	_	ed strings (XYZ)		
	X	1	Network operator services	
	YZ	00		(Ident 8170)
1. MBC27353		11		(Ident 8171)
~		21		(Ident 8172)
		31		(Ident 8173)
		41		(Ident 8174)
		51		(Ident 8175)
		61		(Ident 8176)
·		71		(Ident 8177)
		81		(Ident 8178)
×		91		(Ident 8179)
ng sangar ng sa	XYZ	200 - 899	Individual calls in fleet	
· ·		999	Emergency Operator	(Ident 8180)
	X	9	Group call	
4	YZ	00 - 98	Group number	
	Four digit dialle	d strings (WXYZ)		
	WXYZ	1000 - 8999	Single addressword calls to sing	
			EXT = '1'. Flags 1 and 2 default	lt to '0'.
		d strings (VWXYZ		
and an and a second	V	2, 9	Large fleet short form access	
- 10 - see Mag	W	0 - 9	Routing code	
	XYZ	200 - 99	Individual or group number	
~	WX	00 - 99	Routing code	
. Armone	YZ	20 - 99	Individual or group number	
		<b>A</b> (		
approximate Construction	v	3 - 6	PABX access number for single ad	
, and the second s	111121000	1000 0000	sets FLAGs 1 and 2), $EXT = '1$	•
	WXYZ	1000 - 8999	PABX extension number	
		0 7 0		
-	v	0, 7 or 8	PABX network access number	
	117000		protocol)	(PABXI)
	WXYZ	NNNN	Exchange routing digits and exten	nsion number
-	Cise allocks allocate			
		strings (UVWXYZ		-
	UVWX		Fleet number	
•	YZ	20 - 99	Individual or group number	
	ŤŤ	0.70	DADV materials associate	(DA DVI)
Sec Contentingto an	U	0, 7 or 8	PABX network access number	(PABXI)
	VWXYZ	NNNNN	PABX routing digits and extension	JII Ilumber
	Sauca dista di-11	ad about a contractor		
		ed strings (TUVW)	,	,
	TUVW	2001 - 6050	Fleet number	
			0.25	
			8-35	

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 73 of 214

2

XYZ 200 - 998 T 0, 7 or 8 UVWXYZ NNNNN			(PABXI)			
Eight digit dia	lled strings (STUVW	XYZ)				
S TUV	5 1	Service technician's access only Transpose keyboard entry to binary and sen	d in PFIX			
WXY2	2 0001 - 8100	as part of inter-prefix call Transpose keyboard entry to binary and send in IDENT1 as part of inter-prefix call				
5	s 0	PSTN access number	(PSTNGI)			
TUVWXYZ		PSTN routing digits and subscriber number	(,			
S TUVŴXY2		PABX network access number PABX routing digits and extension number	(PABXI)			
Nine digit dial	led strings (RSTUV)	WXYZ)				
RST		Number prefix				
UVWXYZ	-	For details see six digit numbers, inter-fleet	numbers			
R STUVWXYZ	0 NNNNNNNN	PSTN access number PSTN routing digits and subscriber number	(PSTNGI)			
R STUVWXYZ	7 or 8 NNNNNNN	PABX network access number PABX routing digits and extension number	(PABXI)			
Ten digit diall	ed strings (PRSTUV	WXYZ)				
PRS		Number prefix				
TUVWXYZ		For details see seven digit numbers, fleet nu	imbers			
F RSTUVWXYZ		PSTN access number (PSTNGI) PSTN routing digits and subscriber number				
		(NPRSTUVWXYZ)				
N PRSTUVWXYZ		PSTN access number Routing digits and subscriber number				
8.2.10		,	-			
0.2.10	Summary of Control	Codes	-			
**nn Abbreviated dialling codes nn = 1 to 15. PSTN abbreviated numbers prescribed method nn = 16 to 49. Network operator specified abbreviated dialling						
*#	Call set-up abandoned, call complete					
*0	Despatcher queue					
*Onn Status 'nn' to despatcher						
		8-36				

23 5

\*\*\*\*

编辑的

Ministerio

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 74 of 214

	*1	Conference call				
ş	*11	Broadcast call				
	*1981#	Priority voice system-wide call				
	*1982#	Emergency voice system-wide call				
~	*1983#	Priority np data system-wide call				
	*1984#	Emergency np data system-wide call				
	*1985#	Short data system-wide call				
	*1987#	Standard voice system-wide call				
11. SH	*2	Short Data on the Control Channel.				
	*3	*31 is prescribed. other codes are either reserved for future definition or are available for customisation.				
	*31	Non-prescribed data call				
	If "n" is dialled in the following codes, the function is restricted to speech calls if $n = 1$ , or data calls if $n = 2$ .					
- manual and	*41n	Divert own calls, ie calls directed to originator of diversion				
Alexandra (de la como de la como	*44n	Divert third party calls, ie calls directed to a nominated party				
A Second Se	*48	Queue incoming calls (speech calls only)				
	*49n	Don't disturb				
	*8	Priority call				
-	*9	Emergency call, RQE				
-	*9xxx#	Special emergency facility by arrangement with network operator				
	#	End dialled string, accept call if radio unit is alerting				
90 j	#0	Leave despatcher queue				
	#41n	Cancel own diversions, ie allow calls to a unit for which previously a call diversion was in force				
	#44n	Cancel third party diversions, ie allow calls to a nominated third party for				
		8-37				

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 75 of 214

-----

which previously a call diversion was in force

- #45n Cancel incoming diversions
- #48 Cancel queueing of incoming calls (speech calls only)
- #49n Cancel Don't Disturb.

35-14953

8.2.11 Glossary of Terms Specified to Section 8.2

Base Indent: The lowest value of ident allocated to a "fleet" of units

Block: An allocation of contiguous idents to a user "fleet"

Dialled String: The numbers dialled by a caller on the keypad of his radio unit to call any other party. Pre-origination dialling is employed and the end of the dialled string is indicated by the user dialling #

Fleet: All or a sub-set of a user's vehicles which are equipped with radio units, and which require direct communication between users by means of two or three digit dialling

Fleet Number: The dialled digits which identify the identity "block" for the fleet containing the called unit (digits 4 to 7 of the "Network Number"). Directly related to the "Fleet Base Ident"

Fleet Individual A "Fleet Number" via which calls to individual units may be made Number:

Fleet Group A "Fleet Number" via which calls to groups of units may be made Number:

Group Base Ident: The lowest value of ident allocated to a "fleet" of units for group addresses

Group Ident: An ident used to call a group of users

Group Number: The last group of digits in the "Network Group Number" which identifies the group of unit within the "fleet". Related to the "Group - Ident" via the "Group Base Ident".

Individual Ident: An ident used to call an individual user

Network Number: The number by which a unit or group may be identified in a directory, all or part of which is dialled by a caller wishing to communicate with the identified party or parties. It consists of the "Number Prefix", the "Fleet Number" and the "Unit Number"

8-38

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 76 of 214

Network Individual The number which identifies an individual unit in a "fleet" Number:

Network Group The number which identifies a group of units in a "fleet" Number:

Number Prefix: The three leading digits of the "Network Number". Directly related to the prefix

Unit Number: The last group of digits in the "Network Individual Number" which identifies the unit within the "fleet". Related to the "Individual Ident" via the "Fleet Base Ident"

8.3 Ready for Communication Control

8.3.1 Readiness for Communication

Si Gepara

In the signalling standard MPT 1327 the terms "off-hook" and "on-hook" are used to designate the state of user readiness for communication. These terms have been used to reflect a parallel with the fixed telephone network where removal of the telephone handset from the switch cradle indicates a readiness for communication (off-hook). When the handset is resting in the switch cradle the user is assumed to be not ready for communication.

An indication of the state of readiness of a party to a call is necessary to enable the following functions, applicable to commercial networks in Band III:

(a) To enable the user to instruct the radio unit to attempt to transmit a call request for a called party address indicated by pre-origination dialling or other appropriate method (calling party off-hook).

(b) In systems which employ full off-air call set up, to enable the user to indicate to the radio unit readiness to accept an incoming call (called party off-hook). This function is not applicable to group calls.

(c) To enable the user to instruct the radio unit to attempt to cancel a previously made request during the call set up phase or to request clear-down of a call in progress (calling party on-hook).

(d) To enable the user to instruct the radio unit to attempt to cancel a called party off-hook instruction during the call set up phase or to request clear-down of a call in progress (called party on-hook).

(e) To enable the user to cancel any confidence indication generated by the radio unit for a failed call attempt (calling or called party on-hook).

For convenience these functions are regarded as being fulfilled by a single control that shall be able to be activated on all radio units, although it is permissible to use more than one control for these purposes. This control, or group of controls, is designated the "Ready for Communication Control" (RFCC).

The RFCC must be capable of providing a real indication of the state of user readiness to the radio unit in order to support these functions. Accordingly, deliberate arrangements which allow the RFCC to be set by the user in an off-hook mode, and which do not reflect the true state of user readiness, shall not be permitted.

#### 8.3.2 The Form of the Ready for Communications Control

It is not the intention of this specification to restrict unduly the scope for individual design of radio units. Consequently no requirements for the physical form that the RFCC shall take are prescribed. However, the following general comments are offered to assist the designer of radio units.

Failure to request traffic channel clear-down at the end of communication is a potentially serious cause of wasted air-time. RFCC devices which do not solely rely on user discipline in this matter are to be preferred to those, such as an ordinary switch-hook, which do.

RFCC devices which are designed to allow a means of acceptance of incoming full off-air call set up calls even when the user did not take the correct action to clear down the previous calls are to be preferred (eg, a unit left off-hook from a previous call shall be capable of signalling an on-hook to off-hook transition for a new incoming call).

A switch-hook type design is generally not applicable to hand portables and may not be the most suitable design in many other applications.

The design of the RFCC control shall take due account of the effects of normal operation upon road safety.

# 8.3.3 <u>Control Requirements</u>

The RFCC control, or group of controls, shall permit the user to signal the following to the radio unit, which shall then initiate the appropriate action prescribed elsewhere in the specification:

- an instruction for a call request to a called party address indicated by pre- origination dialling, or other appropriate method; "call request initiate",
- a called party answer instruction following receipt of AHY(CHECK='1'); "called party answer" (see MPT 1327 section 13.1.2.1),
- a call clear-down instruction for an individual call to which the user is a party; "call end request" (see MPT 1327 section 9.2.3.5),
- a calling party call cancellation instruction at any time prior to the receipt of a GTC message for the requested call; "call set-up abort" (see MPT 1327 section 9.2.1.7),

8-40

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 78 of 214

- Contraction of the contraction

1.688

Ła.

्रस्थः िर्दिः an instruction to a radio unit, which is indicating call failure or termination by a continuing confidence indication, to cancel that indication; "cancel indication" (see 8.1).

The RFCC controls, or group of controls, may in addition permit the user to signal the following to the radio unit:

an instruction to reject an incoming call; "incoming call reject" (see MPT 1327 section 13.1.2.1),

- an instruction to cancel a previously signalled called party off-hook state; "cancel called party answer" (see MPT 1327 section 13.1.2.6).



βŝζ.

198236

www.

4.13

-

## CONTROL CHANNEL ACQUISITION AND RETENTION

## 9.1 Introduction

9,

-1630

When not assigned to a traffic channel (including immediately after switch-on), the radio unit shall attempt to find a control channel appropriate to the selected network. This requirement, the discipline for radio units whilst on a control channel and the circumstances which result in a search for a new control channel are the subjects of !!6.2.1!! of MPT 1327. However the protocol standard therein designates areas where system-dependent requirements may be specified, in particular:

- the method by which the radio unit searches for an appropriate control channel,
- the criteria which a control channel must satisfy to be considered appropriate by the radio unit,
- additional rules, other than those specified in 116.2.1.211, for returning to the control channel acquisition procedures.

Accordingly, this section of the air-interface specification covers these system-dependent requirements.

The methods specified in this section recognise that designers of commercial trunked networks operating in Band III sub-bands 1 and 2 may choose from a variety of control channel strategies, including:

- dedicated control channels,
- dedicated control channels with load sharing,
- time-shared control channels,
  - non-dedicated control channels.

These methods may result in the radio unit encountering a variety of control channel situations, including:

- receiving a control channel which suffers short-term interruptions (time-shared control channels),
- suffering long-term interruptions to control channel reception during which no appropriate control channel can be received by the radio unit (non-dedicated control channels, or moving out of range of the network),
- being in a location where it is possible for more than one control channel to be received from the selected network, involving the unit in a choice,
  - being instructed to leave a control channel to enable that channel to be used as a traffic channel (non-dedicated control channels),

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 80 of 214

- being instructed to leave or being barred from access to, a control channel as a result of a network load sharing arrangement.

The procedures specified in this section have been devised as far as possible to cater for this range of situations, although the diversity of operational requirements represented by these situations necessitates some restriction on the tolerance to achieve efficient operation. In particular it should be noted that a non-dedicated control channel strategy may be inefficient in a multi-site situation where the radio unit is required to hunt through more than a small-number of channels, but that this technique may be appropriate for small networks using only a few channels.

The mandatory procedures have also been devised to bias radio unit to retain their current control channel for as long as possible, consistent with the requirement for a satisfactory quality of service for the user of the radio unit. In addition a radio unit searching for a new control channel is biased towards systems which will not result in a need to register. These two qualities of the procedures are designed to minimise control channel loading.

Notwithstanding this bias, optional procedures have been included which will allow a radio unit to leave the current control channel when an alternative, preferred control channel is available. These options are intended to improve spectral efficiency, increase the availability of radio units for a group call and give improved quality of service to the user.

Radio units may employ proprietary control channel hunting procedures which do not conform with the requirements of this section provided that:

- The proprietary scheme shall only be enabled when selected by radio unit personalisation. When the proprietary scheme is not enabled, the radio unit shall default to the use of the procedures in this section.
  - The documentation describing the algorithms and procedures used in the proprietary scheme have been provided by the radio unit Manufacturer to the Network Operator. The standard of the documentation shall be at least to the same depth as this section.
- The proprietary scheme has been authorised by the Network Operator.
- 9.2 Radio Unit Storage Requirements

÷.,

SEE

\* %ee

10.00

9.2.1 Mandatory Storage Requirements

In order to follow the procedures specified in this section the radio unit shall provide the following storage requirements appropriate to the selected network:

- (a) In read-only memory:
- i the control category of the radio unit for the selected network (see 9.5.2). Only one control category shall be stored per network;

9-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 81 of 214

- ii. the channel numbers (CHAN) applicable to a "normal hunt sequence" for the selected network (see 9.3.3.4). The radio unit shall have the capability to enable up to 32 values of channel number defining the scope of the "normal hunt sequence" to be stored, and shall provide for the scope of the "normal hunt sequence" to be set to any value from 1 to 32 channel numbers, depending on the number of channel numbers held in read-only memory (this may be achieved by storing, as a separate parameter, the number of channels in the "normal hunt sequence" or by using channel number zero as a null value in unused locations in the 32 value store). For each of the channel numbers stored the radio unit shall carry a record of whether or not it should expect to encounter a time-shared control channel on that channel number;
- iii. the lowest and highest channel number (CHAN) which may be used by the selected network;
- iv. acquisition authorisation data for the selected network (see 9.3.4.2.3);
- v. a flag which shall indicate whether the "comprehensive hunt sequence" shall be suppressed for the selected network (see 9.3.3.5).
- (b) In Type B memory (see section 6.2):

1-20 3 Same

 One value of the AREA sub-field of a received system identity code (or a NULL value) relating to the most recent registration attempt on the selected network in accordance with the registration procedures specified in section 10.

Note: It is permissible for data to be held in unprotected read/write memory whilst operational and transferred to protected memory on power down or equivalent.

The radio unit shall discard any data held in protected read/write memory, unless its validity is reasonably assured.

- (c) In read/ write memory:
- i. the channel number (CHAN) of the control channel on which the radio unit is currently confirmed or, if the radio unit is not currently confirmed on a control channel but has been confirmed on a control channel since switch on, the channel number of the control channel on which it was most recently confirmed;
- (NA-1) values of the AREA sub-field of received system identity codes (or NULL values) relating to registration attempts and a minimum of 8 records of denied registrations in accordance with the registration procedures specified in section 10.

# 9.2.2 Optional Storage Requirements

In addition the radio unit may provide the following storage requirements:

9-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 82 of 214

(a) In read/write memory:

SE STATE

Same -

- i. the channel number (CHAN), time-shared indicator (TSI) and system identity code (SYS) contained in any BCAST(SYSDEF = '00000') messages (announce control channel) received from the selected network (see 9.3.3.4);
- the channel number (CHAN) and system identity code (SYS) contained in any BCAST(SYSDEF = '00001') messages (withdraw control channel) received from the selected network (see 9.3.3.4);
- iii. the channel number (CHAN), time-shared indicator (TSI) and adjacent site serial number (ADJSITE), optionally also with system identity code (SYS), contained in any BCAST(SYSDEF = '00100') messages (broadcast adjacent site control channel number) and BCAST (SYSDEF = '00101') messages (vote now advice) received from the selected network. If the announced channels have been examined by the radio unit, the signal strength of the new channel may also be recorded (see 9.3.3.3 and 9.3.3.6);

Note: since data relevant to the selected network stored under (a)i to iii above may be varied by subsequent BCAST messages it is recommended that this data is discarded at sometime between the radio unit being switched off and being made ready for service after being switched on subsequently. For these purposes a user initiated change of selected network should be regarded as being equivalent to switching-off the radio unit.

- iv. the channel number (CHAN) of the control channel on which the radio unit was last confirmed for each registration area for which a successful registration is recorded in the radio unit's read/write memory. If the radio unit is currently confirmed on a control channel then it is that channel which is regarded as the one on which it was last confirmed;
- v. the channel number (CHAN), system identity code (SYS) and signal strength parameter of prospective control channels gleaned from the optional "background search sequence" (see 9.3.3.7).
- (b) In read-only memory:
- i. the channel number (CHAN) of an unspecified number of channels which are not used by the selected network and may therefore be omitted from the "comprehensive hunt sequence" (see 9.3.3.5);
- ii. NDD preference data for the selected network (see 9.3.4.2.3);
- a parameter TH to set the maximum time a radio unit, which implements the optional "background search sequence", is permitted to leave the currently confirmed control channel when sampling alternative control channels on which it may prefer to operate (see 9.3.3.7.3);

9-4

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 83 of 214

- iv. a parameter TL to set the minimum time interval between successive departures from the currently confirmed control channel by a radio unit, which implements the optional "background search sequence", when sampling alternative control channels on which it may prefer to operate (see 9.3.3.7.1);
- v. three parameters LM1, LM2 and LM3 to define the margin between the level of a sampled control channel, and the level of either the confirmed control channel or L.0., which must be exceeded before a radio unit, which implements the optional "background search sequence", may identify it as a prospective control channel (see 9.3.3.7.6);
- vi. a parameter NS to define the number of consecutive sampling activities, carried out by a radio unit which implements the "background search sequence", over which the criteria relating to signal strength measurement should be satisfied for a particular channel before that channel may be identified as a prospective control channel (see 9.3.3.7.6).
- 9.3 Control Channel Acquisition Procedures

1

State and

# 9.3.1 Entry into Control Channel Acquisition Procedures

The control channel acquisition procedures enable a radio unit which is not assigned to a traffic channel to attempt to select a control channel. Control channel acquisition is a procedure which consists of hunting for candidate control channels and attempting to confirm that any candidate channels are appropriate to acquire.

The radio unit shall enter into the control channel acquisition procedures specified in this section under the following circumstances:

- immediately after switch-on or a user-initiated change of selected network;
- when it has relinquished the current control channel under the mandatory procedures specified in section 9.4;
- when it has received a CLEAR message on a traffic channel (see !!9.2.3.8!!);
- when it has sent disconnect messages (MAINT (OPER = '011')) or timed-out on a traffic channel (see !!9.2.3.5!! and !!9.2.3.6!!);
- when it has received a call maintenance message MAINT (OPER = '110') on a traffic channel which requires it to vacate that channel (see 19.2.3.71).

Also a radio unit which implements the optional "background search sequence" shall enter into the control channel acquisition procedures described in this section:

when it has identified one or more prospective control channels during a "background search sequence" which meet the parameters LM1, LM2 and LM3 as applicable.

9-5

In addition the radio unit may enter the control channel acquisition procedures under the following circumstances:

- when the radio unit has timed-out on a random access attempt due to NR or NE being reached or TC being exceeded (see !!7.3.8!!);
- when the radio unit has received "system overload" (ACKX(QUAL='1')) as a result of sending a random access request message, except RQR;
  - at any time whilst the radio unit is in fall-back mode to enable the radio unit to search for an alternative control channel. The procedures to be adopted by a radio unit exercising this option, including the points of entry and exit from the hunting procedures, are not specified and are, accordingly, not included in the procedural descriptions of the hunting sequences in 9.3.3.

At all times during the control channel acquisition procedures the radio unit shall mute its received audio and refrain from transmission.

# 9.3.2 Receiver Sensitivity During Control Channel Acquisition

The radio unit shall not attempt to become active on any channel for which the received signal level is less than the specified acquisition threshold.

The acquisition threshold L.2. shall be set to a signal level within the range -88 dBm to -106 dBm at the input of the receiver. The level within this range shall be determined by the manufacturer and shall be set at the lowest value possible consistent with achievable manufacturing tolerances and stability of adjustment under service conditions. When the radio unit is set to an acquisition threshold of L.2., or above, it shall not confirm the channel until the threshold L.2. is exceeded continuously for a minimum of 100ms. The time period shall be concurrent with receiving a decodable control channel system codeword with the value of SYS field selected for verification (see 9.3.4.2.1).

L.O. shall be set at a level determined by the radio unit manufacturer which enables the hunt to be successfully completed as quickly as possible, for example by rejecting channels on which the received signal is inadequate for data to be detected.

Portable radio units may operate with a single acquisition threshold L.1. which shall be set to a signal level of -98 dBm  $\pm$  6 dBm at the input of the receiver. The radio unit shall not confirm the channel until the threshold L.1. is exceeded continuously for a minimum of 100 ms. The time period shall be concurrent with receiving a decodable control system codeword with the value of SYS field selected for verification (see 9.3.4.2.1).

#### 9.3.3 <u>Control Channel Hunting Procedures</u>

#### 9.3.3.1 Introduction

2823

. Nor

84225

In order to find and acquire a control channel emanated by the selected network it is necessary for the radio unit to hunt through candidate forward control channel frequencies

9-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 85 of 214

until an appropriate control channel is located and confirmed. This control channel hunting may involve a variety of hunting sequences depending on the circumstances of the hunt.

The Control Channel Hunting Procedure stages described are:

(a) "Resuming a Control Channel Sequence" or "Single Channel Hunt Sequence". These are both mandatory hunts limited to a single channel number.

The "resuming a control channel sequence" allows a radio unit, after a period of activity on a traffic channel, to resume the control channel on which it was last confirmed prior to the traffic channel activity.

The "single channel hunt sequence" is employed when a radio unit is directed by the TSC to a particular control channel (indicated by a CONT field value in a MOVE or CLEAR message) or seeks to regain a control channel after a period of inactivity on the selected network (due to being switched off or a user-initiated change of selected network when details of the last confirmed control channel number have been retained by the radio unit in accordance with 9.2.1).

b) "Preferential Hunt Sequence". A hunting sequence, with one mandatory stage, two optional stages and optional procedures, which cover all channel numbers likely to be employed as control channels by the selected network. This sequence only allows the radio unit to acquire a control channel for which a preference exists.

The mandatory stage serves to ensure that a radio unit will not acquire a control channel which will result in a need to register.

The optional stages, which have precedence over the mandatory stage, serve to ensure that the radio unit will, wherever possible acquire, either:

a control channel where the system identity code corresponds to one of a set of preferred NDD sub-sets held in its network personalisation;

or

1000

1

Second

a control channel which satisfies the signal strength parameters LM1, LM2 or LM3 as applicable.

The optional procedures are mainly designed to increase the efficiency of the hunting sequence by allowing the radio unit initially to sample channels on which it has recorded a successful and current registration, followed by control channels radiated by base station sites within the vicinity (as gleaned from broadcast messages received from the selected network). In addition, the optional procedures allow the scope of the hunting sequence to be increased or decreased on the basis of broadcast messages received from the selected network or as a result of a radio unit implementing a "background search sequence" (see 9.3.3.7).

(c) "Normal Hunt Sequence". A mandatory hunting sequence, with optional

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 86 of 214

procedures, which covers all channel numbers likely to be employed as control channels by the selected network and allows the radio unit to acquire a control channel, even if a need to register will result. The optional procedures allow the scope of the hunting sequence to be increased or decreased on the basis of broadcast messages received from the selected network.

(d) "Comprehensive Hunt Sequence". A mandatory hunting sequence, which may be suppressed for the selected network by radio unit personalisation. It covers all possible channel numbers in use by the network, including those normally only used for traffic channels. This hunting sequence provides a contingency to allow control channels to be acquired even when channel numbers not normally employed for this purpose are in use (in emergency reconfiguration situations, for example). An optional procedure allows the "comprehensive hunt sequence" to be temporarily suspended in favour of the "normal hunt sequence".

When "resuming a control channel" or carrying out a "single channel hunt sequence" the hunting sequence shall be considered complete when the radio unit has tuned directly to the radio channel and has carried out the appropriate confirmation procedures specified in 9.3.4.

-94105

6.886.4

20.8

Other hunting sequences involve hunting through channel numbers appropriate to the hunting sequence. Hunting is carried out in one or more stages. For each hunt stage the radio unit shall set its receiver acquisition threshold to a particular level and examine any signals received on the sampled channels which exceed that threshold. The radio unit shall then apply the control channel confirmation tests specified in 9.3.4 (the "control channel confirmation procedure"). The hunting sequence may be considered complete when either:

- a channel is found which satisfies the control channel confirmation tests specified in 9.3.4. The hunting sequence is successfully complete;
- all channel numbers within the scope of the hunting sequence have been tested, at all appropriate acquisition threshold levels, without a channel being found which satisfies the control channel confirmation tests specified in 9.3.4. The hunting sequence is unsuccessfully complete.

The radio unit shall carry out the hunting sequences in the order described in this section. If a hunting sequence is unsuccessfully complete, then the radio shall start the next hunting sequence. The final hunting sequence is the "comprehensive hunt sequence". This hunting sequence cannot be unsuccessfully completed. The radio shall stay in this hunting sequence until a control channel is confirmed. However, the foregoing provisions of this paragraph may be relaxed in the following circumstances:

- the "comprehensive hunt sequence" may be suppressed by radio unit personalisation for a network (see 9.3.3.5);
- a radio unit in a "comprehensive hunt sequence" may elect to perform complete hunting sequences of any other type, returning to the "comprehensive hunt sequence" in the event of failure to confirm an appropriate control channel (see 9.3.3.5);

9-8

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 87 of 214



**33868**85

24388339

a radio unit in the fall-back mode, searching for an alternative control channel immediately after receiving ALHF on its last confirmed control channel, and which fails to find and confirm a normal operation mode control channel whilst hunting (all prescribed hunt sequences shall be completed), shall return to its fall-back channel and attempt to confirm the fall-back channel;

a radio unit which is in the fall-back mode and is on a fall-back channel and elects to undertake control channel hunting may do so in a non-prescribed manner (see 13.5).

Where a hunting stage involves more than one channel the order in which channels are sampled is generally not specified. However, in order to guard against bias towards certain channels, radio units shall, in the absence of any requirements of this specification which prescribe otherwise, ensure a degree of randomness in the order in which channels are sampled by one of the following:

- hunting channel numbers sequentially (eg, from lowest to highest number) but beginning the hunting stage at a random position in the sequence of channel numbers;
- hunting channel numbers in a random fashion;
- any other suitable randoming method.

The mandatory procedures as defined in this specification are intended to provide a comprehensive range of methods which shall be used as a basis for the design of radio units.

The mandatory procedures specified are a minimum requirement for radio units. The use of additional procedures is not prohibited provided that they are compatible with the mandatory procedures. Note that, for example, a radio unit finding a channel which satisfies the control channel confirmation tests specified in 9.3.4 may continue the hunt in the hope that an alternative control channel may be found with a higher received signal level. Also, radio units need not limit the hunting procedures to the receiver sensitivity threshold levels specified and may conduce additional number of other levels.

Whilst employing the hunting procedures specified in this section, radio units are permitted to sample any received signal obtained by tuning to any frequency required by the application of the procedures. It is conceivable that this may involve sampling of private-user channels or channels in use by commercial networks in Band III other than the selected network. Accordingly, it an express condition of this permission to sample any channel that the radio unit shall not permit any intelligence received during hunting procedures to be made available to the user, nor shall it transmit at any time during hunting procedures.

9.3.3.2 Direction to a Control Channel

In certain circumstances the radio unit may receive direction from a TSC regarding the control channel number on which the radio unit should seek to confirm, or the direction may be implicit in the protocol. Two procedures are specified. The procedure which the radio

9\_9

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 88 of 214

unit shall employ is dependent upon the circumstances of the direction to a control channel number.

#### 9.3.3.2.1 Resuming a Control Channel Sequence

**MARKINA** 

50,59 S

When "resuming a control channel" the radio unit shall reture to the channel number of the control channel on which it was last confirmed, irrespective of registration area, as recorded in its read/write memory (see 9.2). The radio unit shall be capable of receiving on the -forward control channel which it is resuming within 34ms of the following instants:

- the end of any CLEAR message which, in accordance with the provisions of section 11.9.2.3.8, requires the radio unit to cease activity on the channel to which it is currently tuned, provided that the value of the CONT field in the CLEAR message is 0;
- the end of the last disconnect message (MAINT (OPER='011')) sent by the radio unit on a traffic channel, or the expiry of time-out TN;
- the end of the last pressel off message (MAINT (OPER='001')) sent by the radio unit on a traffic channel following the expiry of time out TT or the maximum call duration timer, where no disconnect messages (MAINT (OPER='011')) are to be sent by the radio unit (see !!9.2.3.5!!, !!9.2.3.6!! and 11.9.2.3.6);
- the end of any call maintenance message (MAINT (OPER='110')) received on a traffic channel which satisfies the criteria given in !!9.2.3.7!!;
- the operation of the RFCC control "call end request" by the user (8.3.3) during a group call when the radio unit is not the call originating unit (see !!9.2.3.5!!).

Before confirming the control channel the radio unit shall verify any system identity code received on the channel in accordance with the procedures of 9.3.4.2. In the event of the system identity code not meeting the verification procedures the hunting sequence shall be considered unsuccessfully completed. Upon unsuccessful completion of the "resuming a control channel sequence" the radio unit shall enter the "preferential hunt sequence".

## 9.3.3.2.2 Single Channel Hunt Sequence

A "single channel hunt" shall apply when the radio unit is directed by the TSC to a control channel other than the one on which it was last confirmed, irrespective of registration area, or when it is switched on whilst still retaining valid information from previous activity on the selected network or the user initiates a change of selected network and the radio unit still retains valid information of previous activity on the new selected network. The receiver shall be tuned to receive the nominated channel within 35ms of the following instants:

the end of any CLEAR message which, in accordance with the provisions of section 11.9.2.3.8 requires the radio unit to cease activity on the channel to

9-10

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 89 of 214

which it is currently tuned, provided that the value of the CONT field in the CLEAR message is not 0;

the end of any MOVE message that is applicable to the radio unit and in which the value of the CONT field is not 0 (see !!7.4.2!!).

The receiver shall tune immediately to the nominated channel after the following events, but need not be on channel within 35ms:

the radio unit being switched on, provided that the unit holds a valid record of the channel number on which the radio unit was most recently confirmed and the radio unit does not implement the optional "preferential NDD sub-set hunt stage";

a change of selected network being initiated by the user, provided that the radio unit holds a valid record of the channel number on which the radio unit was most recently confirmed on the new selected network and the radio unit does not implement the optional "preferential NDD sub-set hunt stage".

The nominated channel shall be:

- the channel number indicated in the CONT field of the CLEAR message, when CONT is not equal to 0 (see !!5.5.4.3!!);
- or

1.11

2.2

- the channel number indicated in the CONT field of the MOVE message, when CONT is not equal to 0 (see !!5.5.4.4!!);
- or
  - the channel number held in the radio unit's read/write memory as the control channel on which the unit was most recently confirmed on the selected network.

The radio unit shall not make any transmissions on a control channel until it has confirmed the channel in accordance with the procedure specified in 9.3.4 (including the error checking procedure specified in 9.3.4.4). In the event of a failure of the control channel to meet the channel confirmation criteria the hunting sequence shall be considered unsuccessfully completed. Upon unsuccessful completion of the "single channel hunt sequence" the radio unit shall enter the "preferential hunt sequence".

# 9.3.3.3 Preferential Hunt Sequence

The "preferential hunt sequence" encompasses three hunt stages which are intended to ensure that the radio unit acquires a control channel which is preferred against selected criteria. These are the "preferential area hunt stage", which is a mandatory stage, and two optional stages, the "preferential NDD sub-set hunt stage" and the "preferential sampled hunt stage".

9-11

The mandatory "preferential area hunt stage" is intended to ensure that a hunting radio unit acquires, wherever possible, a control channel bearing an AREA sub-field in the SYS field which relates to a currently valid successful registration record held by the radio unit. Accordingly, the "preferential area hunt stage" should assist in reducing the overall registration message load on the network.

The optional "preferential NDD sub-set hunt stage" is designed to bias the radio unit to a control channel where the relevant portion of the system identity code matches a value of the PREFERRED NDD sub-field held in the radio unit's personalisation data. This hunting stage, if employed, is designed to improve spectral efficiency and increase the availability of radio units for a group call.

The optional "preferential sampled hunt stage" enables radio units implementing the optional "background search sequence" to acquire a control channel on the basis of the PREFERRED NDD sub-field or, where this is not applicable, acquire a control channel on the basis of signal strength from a pre-sampled list of channels.

Either or both of the optional hunt stages may be implemented. In any one "preferential hunt sequence" only one of the optional hunt stages may be carried out (depending upon the reason for entry into the sequence) and shall be completed in advance of the "preferential area hunt stage".

Each hunting stage, except the "preferential sampled hunt stage", shall encompass the control channels held in the radio unit's read-only memory as being applicable to a "normal hunt sequence". The radio unit may modify the compass of the hunting sequence from information held in its read/write memory as follows:

- by adding to the compass of the hunting sequence channel numbers received in BCAST (SYSDEF = '00000') messages from the selected network,
- by removing from the compass of the hunting sequence channel numbers received in BCAST (SYSDEF = '00001') messages from the selected network,
- by adding to the compass of the hunting sequence channel numbers received in BCAST (SYSDEF = '00100') messages from the selected network,
- by adding to the compass of the hunting sequence channel numbers received in BCAST (SYSDEF = '00101') messages from the selected network,

by adding to the compass of the hunting sequence the channel numbers on which the radio unit was last confirmed on a control channel of the selected network for each registration area for which a successful registration is recorded in the radio unit's read/write memory (see 9.2).

Channel numbers which are covered by more than one of the above categories need only be added once to the compass of the hunting sequence.

9-12

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 91 of 214

In the case of the "preferential sampled hunt stage" the compass of the stage shall consist of all the prospective control channels identified during the "background search sequence" completed immediately prior to entering into the control channel hunting procedures (see 9.3.3.7).

The radio unit shall not make any transmissions on a control channel located during the "preferential hunt sequence" until it has confirmed the channel in accordance with the procedures specified in 9.3.4 (including the AREA sub-field check specified in 9.3.4.2.6 or the NDD sub-field check specified in 9.3.4.2.7, as appropriate).

A radio unit may implement the optional "background search sequence" (see 9.3.3.7) in which case it must also implement the "preferential sampled hunt stage" in the "preferential hunt sequence".

The radio unit may independently implement the optional "preferential NDD sub-set hunt stage" in the "preferential hunt sequence".

If the radio unit is carrying out the "background search sequence" and finds one or more prospective control channels it enters the "preferential hunt sequence" via the "preferential sampled hunt stage". If the radio unit enters the "preferential hunt sequence" for any other reason and implements the "preferential NDD sub-set hunt stage" it shall commence the "preferential hunt sequence" with the "preferential NDD sub-set hunt stage"

provided that at least one of the preferred NDD sub-sets held in its network personalisation data has a length greater than zero.

In all other cases the radio unit commences the "preferential hunt sequence" with the "preferential area hunt stage".

#### 9.3.3.3.1 Preferential NDD Sub-set Hunt Stage

**ign**aded;

Alexan .

The "preferential NDD sub-set hunt stage" is an optional hunting stage which allows a radio unit to acquire, wherever possible, a control channel bearing a sub-set of the NDD sub-field in the SYS field equal to the highest priority preferred sub-set held by the radio unit. The bit positions from the PREFERRED NDD sub-field which are utilized in each preferred NDD sub-set and the priority of that preferred NDD sub-set are determined by network personalisation (see 9.3.4.2).

Accordingly, the "preferential NDD sub-set hunt stage" should assist, for instance, in availability of a radio unit for group calls and optimisation of spectral efficiency.

A radio unit which implements the optional procedures described in this section shall enter the "preferential NDD sub-set hunt stage" when any of the conditions of entry listed below have been satisfied, providing that the length of at least one of the values of PREFERRED NDD sub-field held in its network personalisation data is non-zero (see 9.3.4.2).

A "resurning a control channel sequence" has been unsuccessfully completed.

A "single channel hunt sequence" has been unsuccessfully completed.

# ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 92 of 214

- The radio unit is switched on.
- The user initiates a change of selected network.
- The radio unit has left its current control channel due to failure to receive a valid system identity code (SYS) in accordance with the provisions of 9.4.1 b) and c).
- An Aloha, or, additionally in the case of radio units which are equipped to employ the MARK message, a MARK message is received in which CHAN4 does not match the least significant four bits of the channel number of the control channel on which the message was received and there is no match also in the next decodable Aloha or MARK message (see !!6.2.1.1!!).
- The radio unit has left its current control channel due to application of the codeword error criteria in 9.3.4.3 and 9.4.
- A MOVE message is received that is applicable to the radio unit and in which the value of the CONT field is 0 (see !!7.4.2!! and 11.5.5.4.4).
- A control channel system codeword is received on the current control channel in which the value of the LAB sub-field in the system identity code indicates that the control category of the radio unit for the selected network is not permitted access on that control channel and this condition is repeated in the next decodable control channel codeword.
- A GTC message with CHAN = current channel is received which is not applicable to the unit when the radio unit is not in the fallback mode.
- An ALHF message is received on the current control channel and the radio unit is not in the fall-back mode (see 13.3).
- An ACKX (QUAL = '0') message is received as a result of a registration attempt by the radio unit following a demand from the TSC for the radio unit to attempt registration (see !!8.3.2.2!!).
- The radio unit has timed-out after a random access registration (RQR) attempt due to NR being reached or TC being exceeded (see !!7.3.8!!) at any time other than prior to a successful registration being achieved on a newlyconfirmed control channel.
- The radio unit has timed-out due to TJ being exceeded whilst waiting for signalling relevant to the transmission of an RQR message at any time other than prior to a successful registration being achieved on a newly-confirmed control channel.

In addition, radio units may enter the "preferential hunt sequence" at the "preferential NDD sub-set hunt stage" when any of the following conditions of entry are satisfied provided that

9-14

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 93 of 214

200-38 86-0-88 the length of at least one of the values of PREFERRED NDD sub-field held in its network personalisation data is non-zero (see 9.3.4.2):

- At any time during the "comprehensive hunt sequence", at the radio unit's discretion.
- When the radio unit has timed out after a random access attempt, except RQR, due to NR or NE being reached or TC being exceeded (see !!7.3.8!!).
- When the radio unit has received ACKX (QUAL = '1') as a result of sending a random access message, except RQR.

One "preferential NDD sub-set hunt stage" shall be carried out, at least, with the receiver acquisition threshold set to a level of L.O. (L.1. for a single acquisition threshold portable).

Whilst engaged in a "preferential NDD sub-set hunt stage", a radio unit shall sample all channels within the compass of the hunt for the purposes of subsequent confirmation, and rank them in order of preferred NDD sub-set with the highest priority first before seeking to confirm any channel. The radio unit shall seek to confirm the control channel bearing the highest priority preferred NDD sub-set first. If it fails to confirm this channel it shall seek to confirm the channel bearing the next highest priority preferred NDD sub-set and so on in descending order of priority. Where channels bear preferred NDD sub-sets of equal priority then the radio unit may seek to confirm any of these channels before other channels of lower priority, provided that the above requirements are satisfied.

The radio unit shall not make any transmissions on a control channel located during the "preferential NDD sub-set hunt stage" until it has confirmed the channel in accordance with the procedures specified in 9.3.4 (including the NDD sub-field check specified in 9.3.4.2.7).

In the event that a "preferential NDD sub-set hunt stage" at level L.O. (L.1. for a single acquisition threshold portable) is completed without a channel being found which satisfies the control channel confirmation tests specified in 9.3.4 (including the NDD sub-field check specified in 9.3.4.2.7), or if no relevant NDD preference data exists (see 9.3.4.2.2), then the "preferential NDD sub-set hunt stage" shall be considered unsuccessfully completed and the radio unit shall enter the "preferential area hunt stage" (see 9.3.3.3.3).

#### 9.3.3.3.2 Preferential Sampled Hunt Stage

Series -

The "preferential sampled hunt stage" is an optional hunting stage which allows a radio unit, wherever possible, to acquire a pre-sampled control channel bearing a sub-set of the NDD sub-field in the SYS field equal to the highest priority preferred sub-set held by the radio unit. The bit positions from the PREFERRED NDD sub-field which are utilized in each preferred NDD sub-set and the priority of that preferred NDD sub-set are determined by network personalization (see 9.3.4.2). If the lengths of the values of PREFERRED NDD sub-field held in its network personalization data are zero or if no pre-sampled control channels bearing a preferred NDD sub-set are available the "preferential sampled hunt stage" allows a radio unit to acquire a pre-sampled control channel of increased signal strength. Accordingly, the "preferential sampled hunt stage" should assist, for instance, in the

optimisation of spectral efficiency or the increase of call quality.

A radio unit which implements the optional procedures described in this section shall enter the "preferential sampled hunt stage" when

- it has entered the "preferential hunt sequence" as a result of leaving its current control channel having identified one or more prospective control channels (see 9.4.1 (q)).

Whilst engaged in a "preferential sampled hunt stage", a radio unit shall sample all channels identified as prospective control channels during the preceding "background search sequence" (see 9.3.3.7.6). Additionally the radio unit shall obey the requirement to sample and seek to confirm any channel numbers recorded as a result of the "background search sequence" in the radio unit's read/write memory in the following specified order. Firstly, in order of priority, highest priority first, those control channels bearing a preferred NDD sub-set and secondly, in order of signal level, highest signal level first, those control channels not bearing a preferred NDD sub-set.

Prior to confirmation the radio unit shall check that the control channel bears the same system identity code which was recorded against the channel at identification during the "background search sequence" (see 9.3.3.7.6). The radio unit shall not seek to confirm any control channel where this check is not satisfied.

The radio unit shall not make any transmissions on a control channel located during the "preferential sampled hunt stage" until it has confirmed the channel in accordance with the procedure specified in 9.3.4. Note that in the case of a pre-sampled control channel which does not bear a preferred NDD sub-set, the check specified in 9.3.4.2.7 is not carried out.

In the event that a "preferential sampled hunt stage" at level L.O. (L.1. for a single acquisition threshold portable) is completed without a channel being found which satisfies the control channel confirmation tests specified in 9.3.4 then the "preferential sampled hunt stage" shall be considered unsuccessfully completed and the radio unit shall enter the "preferential area hunt stage" (see 9.3.3.3.3).

# 9.3.3.3.3 Preferential Area Hunt Stage

The "preferential area hunt stage" is a mandatory stage which is intended to ensure that a hunting radio unit acquires, wherever possible, a control channel bearing an AREA sub-field in the SYS field which relates to a currently valid successful registration record held by the radio unit. Accordingly, the "preferential area hunt stage" should assist in reducing the overall registration message load on networks.

A radio unit shall enter the "preferential area hunt stage" when

- a "preferential NDD sub-set hunt stage" has been unsuccessfully completed;
- a "preferential sampled hunt stage" has been unsuccessfully completed.

9-16

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 95 of 214

In addition a radio unit which does not implement the "preferential NDD sub-set hunt stage" or holds only zero-length values of PREFERRED NDD sub-field in its network personalisation data (see 9.3.4.2.2) shall enter the "preferential area hunt stage" when:

100000

- a "resuming a control channel sequence" has been unsuccessfully completed;
  - a "single channel hunt sequence" has been unsuccessfully completed;
- the radio unit is switched on and valid information of previous activity on the selected network is still retained in the radio unit's read/write memory, but the information does not include the channel number on which the radio unit was last confirmed on the selected network (see 9.2.1);
- the user initiates a change of selected network and valid information of previous activity on the selected network is still retained in the radio unit's read/write memory, but the information does not include the channel number on which the radio unit was last confirmed on the selected network (see 9.2.1);
- the radio unit has left its current control channel due to failure to receive a valid system identity code (SYS) in accordance with the provisions of 9.4.1.b) and c);
- an Aloha, or, additionally in the case of radio units which are equipped to employ the MARK message, a MARK message is received in which CHAN4 does not match the least significant four bits of the channel number of the control channel on which the message was received and there is not match also in the next decodable Aloha or MARK message (see !!6.2.1.1!!);
- the radio unit has left its current control channel due to application of the codeword error criteria in 9.3.4.3 and 9.4;
  - a MOVE message is received that is applicable to the radio unit and in which the value of the CONT field is 0 (see !!7.4.2!! and 11.5.5.4.4);
  - a control channel system codeword is received on the current control channel in which the value of the LAB sub-field in the system identity code indicates that the control category of the radio unit for the selected network is not permitted access on that control channel and this condition is repeated in the next decodable control channel codeword;
- a GTC message with CHAN = current channel is received which is not applicable to the unit when the radio unit is not in the fallback mode;
- an ALHF message is received on the current control channel and the radio unit is not in the fall-back mode (see 13.3);

an ACKX (QUAL = '0') message is received as a result of a registration

9-17

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 96 of 214

attempt by the radio unit following a demand from the TSC for the radio unit to attempt registration (see !!8.3.2.2!!);

- the radio unit has timed-out after a random access registration (RQR) attempt due to NR being reached or TC being exceeded (see !!7.3.8!!) at any time other than prior to a successful registration being achieved on a newlyconfirmed control channel;
- the radio unit has timed-out due to TJ being exceeded whilst waiting for signalling relevant to the transmission of an RQR message at any time other than prior to a successful registration being achieved on a newly-confirmed control channel.

In addition, the radio unit may enter the "preferential area hunt stage":

- at any time during the "comprehensive hunt sequence", at the radio unit's discretion;
- when the radio unit has timed out after a random access attempt, except RQR, due to NR or NE being reached or TC being exceeded (see 117.3.81!);
- when the radio unit has received ACKX (QUAL = '1') as a result of sending a random access message, except RQR.

One "preferential area hunt stage " shall be carried out, at least, with the receiver acquisition threshold set to a level of L.O. (L.1. for a single acquisition threshold portable).

Whilst engaged in a "preferential area hunt stage" a radio unit shall obey the requirement to sample channels in a random manner as specified in 9.3.3.1, save that it may implement either or both of the following optional procedures to allow selected channels to be sampled prior to a random sampling of the remainder:

- Sample before all other channels any channel numbers recorded in its read/write memory as a control channel of the selected network for registration areas for which successful registrations are recorded (see 9.2). The order in which these channels are sampled is not specified.
  - Sample before all other channels any channel numbers recorded in the radio unit's read/write memory which have been gleaned from BCAST (SYSDEF = '00100') or BCAST (SYSDEF = '00101') messages received from the selected network (see 9.2 and 9.3.3.6). The order in which these channels are sampled is not specified.

When both of these optional procedures are implemented the radio unit should sample all channels recorded in registration data before sampling any other channels gleaned from BCAST messages.

The radio unit shall not make any transmissions on a control channel located during the

9-18

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 97 of 214

**and the second second** 

2

5988

"preferential area hunt stage" until it has confirmed the channel in accordance with the procedures specified in 9.3.4 (including the AREA sub-field check specified in 9.3.4.2.6).

In the event that no valid AREA sub-field data relevant to the selected network is held in the radio unit's read/write memory or in the event of a "preferential area hunt stage" at level L.O. (L.1. for a single acquisition threshold portable) being completed without a channel being found which satisfies the control channel confirmation tests specified in 9.3.4 (including the AREA sub-field check specified in 9.3.4.2.6) then the "preferential hunt sequence" shall be considered unsuccessfully completed. Upon unsuccessful completion of the "preferential hunt sequence" the radio unit shall enter the "normal hunt sequence", unless the "preferential hunt sequence" was entered from the "comprehensive hunt sequence" in which case the radio unit shall return to the "comprehensive hunt sequence".

## 9.3.3.4 Normal Hunt Sequence

The initial stage of the "normal hunt sequence" shall be carried out with the receiver acquisition threshold set to a level of L.2 (L.1. for a single acquisition threshold portable), or above, and shall encompass the control channels held in the radio unit's read-only memory as being applicable to a "normal hunt sequence". The radio unit may modify the compass of the hunting sequence from information held in its read/write memory as follows:

- by adding to the compass of the hunting sequence channel numbers received in BCAST (SYSDEF = '00000') message from the selected network;
- by removing from the compass of the hunting sequence channel numbers received in BCAST (SYSDEF = '00001') messages from the selected network.

A radio unit shall enter the "normal hunt sequence":

1283.10

njátet el el

- when a "preferential hunt sequence" has been unsuccessfully completed;
- immediately after switch-on, provided that the radio unit holds no valid information of previous activity on the selected network in its memory and, in addition, that the memory contains no value of NDD preference data with a field length which is greater than zero;
  - when the user indicates a change of selected network, provided that the radio unit holds no valid information of previous activity on the selected network in its memory and, in addition, that the memory contains no value of NDD preference data with a field length which is greater than zero.

The radio unit may enter the "normal hunt sequence"

at any time during the "comprehensive hunt sequence", at the radio unit's discretion.

The radio unit shall not make any transmissions on a control channel located during the

9-19

"normal hunt sequence" until it has confirmed the channel in accordance with the procedures specified in 9.3.4.

In the event that a "normal hunt stage" at level L.2. or above is completed without a channel being found which satisfies the control channel confirmation tests specified in 9.3.4, then a "normal hunt stage" may be repeated at other levels. However, before the "normal hunt stage" shall have been completed at least twice; once with the receiver acquisition threshold set to L.2. and once . with the threshold set to L.O. (This does not apply for a single acquisition threshold portable).

Upon unsuccessful completion of the "normal hunt sequence" the radio unit shall enter the "comprehensive hunt sequence", except when the "comprehensive hunt sequence" has been suppressed by radio unit personalisation for a network (see 9.3.3.5).

#### 9.3.3.5 <u>Comprehensive Hunt Sequence</u>

The initial stage of the "comprehensive hunt sequence" shall be carried out with the receiver acquisition threshold set to a level of L.2. (L.1. for a single acquisition threshold portable) or above and shall normally encompass every channel within the range set by the lowest and highest channel numbers set by the network operator, held in the radio unit's read-only memory. However, it is permissible for radio units to be configured to omit channel numbers within the range of the "comprehensive hunt" by arrangement with the operator of the selected network and by network personalisation.

A radio unit shall enter the "comprehensive hunt sequence" when

- a "normal hunt sequence" has been unsuccessfully completed.

In the event that a "comprehensive hunt stage" at level L.2, or above, is completed without a channel being found which satisfies the control channel confirmation tests specified in 9.3.4, then a "comprehensive hunt stage" may be repeated at other levels. In the event that no channels are found which satisfy the control channel confirmation tests during subsequent hunts and, providing that a comprehensive hunt stage has been completed with the receiver acquisition threshold set to a level L.2, then the radio unit shall revert to a "comprehensive hunt stage" with the receiver acquisition threshold set to a level L.O. and shall repeat the "comprehensive hunt stage" until such a time as a channel which satisfies the control channel confirmation tests specified in 9.3.4 is found, except when the radio unit entered the "comprehensive hunt sequence" via the "preferential hunt sequence" as a result of receiving ALHF, and the radio unit is in the fall-back mode, when the action taken in the "comprehensive hunt sequence" is prescribed in section 13.

For a single acquisition threshold portable completing a "comprehensive hunt stage" without a channel being found which satisfies the control channel confirmation tests specified in 9.3.4, then the portable radio unit shall repeat the "comprehensive hunt stage" until such a time as a channel which satisfies the control channel confirmation tests specified in 9.3.4 is found except when the radio unit entered the "comprehensive hunt sequence" via the "preferential hunt sequence" as a result of receiving ALHF, and the radio unit is in the fall-

9-20

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 99 of 214

14:202

2833

ξį,

back mode, when the action taken in the "comprehensive hunt sequence" is prescribed in section 13.

The radio unit shall not make any transmissions on a control channel located during the "comprehensive hunt sequence" until it has confirmed the channel in accordance with the procedures specified in 9.3.4.

At any time during the "comprehensive hunt sequence" a radio unit may undertake a "preferential hunt sequence" or "normal hunt sequence", returning to the "comprehensive hunt sequence" in the event that the "preferential hunt sequence" or "normal hunt sequence" is unsuccessfully completed. It shall be possible to suppress the "comprehensive hunt sequence" by radio unit personalisation for a network. In this case the radio unit shall remain in the "normal hunt sequence" with the acquisition threshold set to a level L.O. (L.1. for a single acquisition threshold portable) until such time as a channel which satisfies the control channel confirmation tests specified in 9.3.4 is found, unless the radio unit is in the fall-back mode, when the action taken in the "normal hunt sequence" is prescribed in section 13.

#### 9.3.3.6 The Use of Adjacent Site Data

Salashi .

0008000

When confirmed on a control channel a radio unit may make use of information gleaned from BCAST (SYSDEF = '00100') and BCAST (SYSDEF = '00101') messages. These messages may be transmitted by the selected network and contain information on the control channels in use by sites in the vicinity of the transmitting site (adjacent site data) to assist radio units to acquire an appropriate control channel after leaving the current control channel.

The broadcast message contains both the channel number and SYS code which is being transmitted by the announced site and may also have a local serial number allocated (ADJSITE) to specify site location irrespective of control channel number and SYS code. The way in which ADJSITE information may be used by the radio unit is not prescribed in this specification.

The action to be taken by the radio unit upon receipt of any BCAST (SYSDEF = '00101') message or any BCAST (SYSDEF = '00100') message is not prescribed by this specification but the following comments are offered for the benefit of designers implementing schemes which utilise these facilities.

In storing the data received in the broadcast messages the unit may pre-filter the data for suitability of use by examining the SYS code contained in the announcement. In the case of BCAST (SYSDEF='00101') the unit may use the next slot to examine the announced channel and make a record of the received signal strength without incurring the risk of losing relevant call data on the control channel on which it is confirmed.

The unit constructs a 15 position table which contains relevant data on each announced site. This data may include any signal strength information which might be gathered by examination of the announced channel. The data is used to modify each of the control channel searches in favour of channels which are more likely to provide a satisfactory service than other channels which the search parameters may require. As the ADJSITE field is

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 100 of 214

peculiar to the transmitting site any table using ADJSITE may only be refreshed with data received from the same site. A new table should be started when a new control channel is acquired. According to the unit design the old table may be stored, its data may be used by reference to the SYS code, or the table may be destroyed when the unit acquires a new control channel.

# 9.3.3.7 Background Search Sequence

States and the

100

5a 4

2

alder.

۰.

805553

The "background search sequence" is an optional hunting sequence which is intended to allow the radio unit, while confirmed on a control channel, to gain information about alternative control channels. Where the "background search sequence" indicates that one or more alternative control channels are available which may offer greater spectral efficiency (by virtue of bearing a preferred NDD sub-set) or may offer a stronger signal giving improved quality of service to the user, the radio unit leaves the current control channel and enters the control channel hunting procedures (providing that certain criteria are satisfied).

The "background search sequence", if implemented, shall be carried out as a background activity whilst the radio unit is confirmed on a control channel and is in the idle state. At all other times the "background search sequence" shall be suspended.

The sampling of control channels within the "background search sequence" takes place during discrete periods, each such period being referred to as either a "timed sampling activity" or an "elected sampling activity" (see 9.3.3.7.3).

The maximum duration of a "timed sampling activity" and the minimum time between successive timed sampling activities are set by network personalisation (see 9.3.3.7.1 and 9.3.3.7.3).

The signal strength criteria, by which alternative control channels are identified, must be satisfied for a number of consecutive timed sampling activities, set by network personalisation, immediately preceding confirmation.

The methods employed to sample channels during either sampling activity are not prescribed by this specification save that the purpose of sampling is to determine for each sampled channel whether it is a prospective control channel and to record, for all such prospective . control channels, the received signal level of the channel (see 9.3.3.7.6) and a value of system identity code received in a control channel system codeword.

These techniques are most appropriate for systems using continuous control channels because of difficulties in reliably determining the signal strength of time-shared control channels, although their use on such systems is not disallowed.

## 9.3.3.7.1 Performing a Sampling Activity

Sampling activities are carried out whilst confirmed on a control channel. The radio unit may leave the confirmed control channel to perform a sampling activity to search for alternative control channels subject to the following conditions.

9-22

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 101 of 214

For the "timed sampling activity":

- a) The radio unit shall have been confirmed on the channel for at least a period TL, where TL is network dependent;
- b) The radio unit shall not carry out a further sampling activity until timer TL has expired since the completion of any previous sampling activity.

For the "elected sampling activity":

shishig

c) The radio unit shall have decoded an address codeword from the forward control channel which is not addressed to it (ie PFIX/IDENT 1 does not match any of its designated addresses for the system and is not the system-wide, all-call ident ALLI) and which indicates that one or more following forward control channel slots will be occupied by the message of which the address codeword is the first codeword. If the radio unit exercises this option the maximum duration of the sampling activity shall not be TH (see 9.3.3.7.3) but shall be the number of slots following the address codeword which that codeword indicates will be occupied by the message.

For both sampling activities:

- d) The radio unit shall suspend all sampling activity whilst waiting for signalling or whilst tuned to a traffic channel and shall resume when it returns to the idle state;
- e) Whilst preparing to leave the control channel as a result of 9.4.1 (b), (c), (d), or (g) the radio unit shall suspend all sampling activity;
- f) Both of TL and TH (see 9.3.3.7.3) are non-zero. If either or both TL and TH are zero the radio unit shall not perform any sampling activities.

#### 9.3.3.7.2 Actions Prior to Performing a Sampling Activity

Prior to leaving the currently confirmed control channel for the purposes of performing a sampling activity the radio unit shall suspend any codeword error count in progress and retain any error counts to allow error

checking to resume when the sampling activity is completed (see 9.3.3.7.5).

9.3.3.7.3 Actions During a Sampling Activity

Whilst carrying out any sampling activities the radio unit shall continue to indicate service to the user where this option is implemented.

Provided that the conditions of 9.3.3.7.1 are met, the radio unit may commence a "timed sampling activity" at any time after a decodable address codeword has been received.

For the purposes of counting NS samples, where NS is network dependent (see 9.3.3.7.6), the radio unit shall not sample any channel more than once during a single "timed sampling activity".

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 102 of 214

The radio unit shall complete the "timed sampling activity" and return to receiving the confirmed forward control channel in sufficient time to be capable of decoding an address codeword in the next slot after TH continuous slots (where TH is network dependent) following the one in which the last address codeword was received prior to the "timed sampling activity" being commenced.

The number of channels sampled during each sampling activity will be dependent on the radio unit design.

# 9.3.3.7.4 Scope of the Background Search Sequence

Serve-

1951.01

The "background search sequence" shall encompass all control channels held in the radio unit's read-only memory as being applicable to a "normal hunt sequence". The radio unit may modify the compass of the "background search sequence" from information held in its read/write memory as follows:

- by adding to the compass of the search sequence channel numbers received in BCAST (SYSDEF = '00000') messages from the selected network;
- by removing from the compass of the search sequence channel numbers received in BCAST (SYSDEF = '00001') messages from the selected network;
- by adding to the compass of the search sequence channel numbers received in BCAST (SYSDEF = '00100') messages from the selected network;
- by adding to the compass of the search sequence channel numbers received in BCAST (SYSDEF = '00101') messages from the selected network;
  - by adding to the compass of the search sequence the channels numbers on which the radio unit was last confirmed on a control channel of the selected network for each registration area for which a successful registration is recorded in the radio unit's read/write memory (see 9.2).

#### 9.3.3.7.5 Actions Following a Sampling Activity

After completing a sampling activity, the radio unit shall resume any codeword error count in progress prior to the sampling activity at the values of the retained results, unless the requirements of 9.4.1(q) have been met. Note that a sampling activity shall only be considered complete when the radio unit has entered a "preferential sampled hunt stage" in accordance with the provisions of 9.4.1(q) or has returned to the confirmed control channel and is capable of decoding forward control channel address codewords.

## 9.3.3.7.6 <u>Criteria for Identification of Prospective Control</u> <u>Channels</u>

The radio unit shall not identify any channels as prospective control channels until it has sampled all the channels detailed in 9.3.3.7.4 at least once since the current control channel

9-24

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 103 of 214

was confirmed.

Note that during the last "timed sampling activity" immediately prior to identification of prospective control channels, the radio unit may sample more than one channel for subsequent identification, as shown below, providing the requirements of 9.3.3.7.3 are met.

Those which satisfy criteria (a) and one of (b), (c), (d) and (e) as shown below, shall be identified as prospective control channels.

- a) The sampled channel bears a system identity code for the selected network which the radio unit is authorised to acquire (see 9.3.4.2.4 and 9.3.4.2.5).
- b) The confirmed control channel bears a preferred NDD sub-set in the PREFERRED NDD sub-field of the system identity code, and the sampled channel bears a higher priority preferred NDD sub-set and exceeds L.0.
- c) The confirmed control channel bears a preferred NDD sub-set in the PREFERRED NDD sub-field and is less than or equal to L.2. and the sampled channel bears an equal priority preferred NDD sub-set and exceeds the confirmed control channel by a level margin LM2, where LM2 is network dependent.
- d) The confirmed control channel does not bear a preferred NDD sub-set in the PREFERRED NDD sub-field of the system identity code, but the sampled channel bears a preferred NDD sub-set and exceeds L.0. by a level margin LM3, where LM3 is network dependant.
- e) The confirmed control channel does not bear a preferred NDD sub-set in the PREFERRED NDD sub-field and is less than or equal to L.2., and the sampled channel does not bear a preferred NDD sub-set but exceeds the confirmed control channel by a level margin LM1, where LM1 is network dependent.

See table 9-1.

All criteria relating to signal strength, ie. level margin parameters LM1-LM3, shall be satisfied for NS consecutive timed sampling activities immediately prior to the relevant channel being identified as a prospective control channel. Note that any signal strength measurements carried out during an "elected sampling activity" shall not be treated as one of the NS samples.

The channel number, system identity code and signal strength (if appropriate) of each prospective control channel shall be stored once identified. Following any completion of the confirmation procedures in 9.3.4, the radio unit shall discard all information obtained from sampling activities.

and the state of the

SAMASSA

2239333

SHEER ST

CH	ANNELS				
Criteria for prospective control channel identification		CONFIRMED CONTROL CHANNEL			
		Has SYS field with preferred NDD sub-set	Has SYS field with non-preferred NDD sub-set		
SAMPLED		Sampled has lower priority Prospective control channel is not identified			
CONTROL CHANNEL	Has SYS field with preferred NDD sub-set	Sampled has equal priority (sampled signal strength - confirmed signal strength) > LM2 and confirmed signal strength $\leq$ L.2.	Sampled signal streng > (L.O. + LM3)		
		Sampled has higher priority Sampled signal strength $>$ L.O.			
	Has SYS field with non- preferred NDD sub-set	Prospective control channel is not identified	(Sampled signal strength - confirmed signal strength) > LM1 and confirmed signal strength $\leq$ L.2.		

# TABLE 9-1 CRITERIA FOR IDENTIFICATION OF PROSPECTIVE CONTROL CHANNELS

9-26

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 105 of 214

14666

5

ц. . ц.

ł

1

-2

# 9.3.4 <u>Control Channel Confirmation</u>

#### 9.3.4.1 Identifying a Candidate Control Channel

During any of the hunting procedures specified in section 9.3.3 the radio unit shall examine any signal detected for conformity with control channel structure. The radio unit shall accept as a candidate control channel any channel on which a control channel codeword synchronisation sequence is detected.

The method by which the radio unit identifies candidate control channels during hunting is not detailed in this specification. In particular no maximum time allowance for this procedure is specified, although attention is drawn to the necessity of completing tests as quickly as possible, notably on channels which can be easily rejected as control channel candidates (eg, no FFSK data is detected), since the overall speed of the hunt (and thus efficiency of service to the user) depends on the rapidity with which these tests can be carried out. However, if the channel number of the channels being sampled is identified as one on which a time-shared control channel may be expected in the store of channel numbers held in the radio unit's read-only memory as applicable to a "normal hunting sequence" (see 9.2.1) or in the store of data received from BCAST messages held in read/write memory (see 9.2.2) or in the TSI field of a received MOVE or CLEAR message, then the radio unit shall sample the channel for at least a period TS before rejecting the channel on the grounds of failure to detect a control channel codeword synchronisation sequence.

# 9.3.4.2 Checking the System Identity Code

#### 9.3.4.2.1 Requirement to Verify System Identity Code

When the radio unit has identified a candidate control channel in accordance with section 9.3.4.1, it shall examine the values of the system identity code fields (SYS) of the control channel system codewords received on the channel.

When NV control channel system codewords have been received consecutively with the same value of SYS field, the radio unit shall attempt to verify that value.

The radio unit shall hold two alternative values of NV as follows:

one value of NV shall be utilised when monitoring a channel for the purpose of selecting a value of SYS field for verification when the channel number is identified as one on which time-shared control channels may be expected in the store of channel numbers held on the radio unit's read-only memory as applicable to a "normal hunting sequence" (see section 9.2.1), or in the store of data received from BCAST messages held in read/write memory (see section 9.2.2), or in the TSI field of a received MOVE or CLEAR message;

the other value of NV shall be utilised when monitoring a channel for the purpose of selecting a value of SYS field for verification when the channel number is not identified in the data stores or fields specified above as one on which time-shared control channels may be expected.

9-27

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 106 of 214

 $\frac{1}{2}$ 

Sec. Sales

If the channel number is identified, as above, as one on which a time-shared control channel may be expected, and the radio unit fails to select a value of SYS field for verification before a period TS from the instant of first receiving the channel has expired, the radio unit shall reject the channel as a candidate control channel and resume the hunting sequence.

If the channel number is not identified, as above, as one on which a time-shared control channel may be expected, the time which the radio unit may continue to search for a value of SYS field for verification is not specified. However attention is drawn to the necessity to ensure that this period is as short as possible to be consistent with the requirement to minimise hunting time in 9.3.4.1, but that it is long enough to allow the possibility of receiving NV consecutive control channel system codewords.

When the radio unit has selected a value of SYS field for verification, it shall decide if it is authorised to acquire the control channel (see 9.3.4.2.3 to 9.3.4.2.6). If acquisition is permitted then the radio unit shall become active on the control channel. Additionally, if the hunting stage is being undertaken at an acquisition threshold level less than L2, the radio unit shall start the error checking procedure specified in 9.3.4.4 immediately after verification.

Note that in the case of a "resuming a control channel sequence", the error checking procedure specified in 9.3.4.4 is not carried out.

Whilst active on a control channel, after verification but prior to confirmation, the radio unit shall not transmit any random access messages, but it shall obey any applicable messages received, as required, provided that to do so does not involve transmitting on the control channel.

# 9.3.4.2.2 Structure of the System Identity Code

In order to assist radio units to check acquisition authorisation for system identity codes and to facilitate the geographical sub-division of subscription service offered by network operators, the use of the system identity field within commercial trunked networks operating in Band III sub-bands 1 and 2 shall be structured as follows:

#### With bit 1 of the SYS field set to '0':

September .

Ъ.

and the second

SYS bit no.		1	2-8	9-12	13-15		
		0	OPID	NDD	LAB		
OPID - Network operator identity To be allocated by the Radiocommunications Agency (RA)							
NDD -	Network dependent data (see below)						
LAB -		el for mu 9.5.3)	iltiple control	channels			

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 107 of 214

· *	With bit 1 of the SYS field set to '1':									
2 Mar 1997	SYS bit no.		1	2-3	4-12		13-15			
-			1	NET	NDD		LAB			
	NET -	Netw	ork oper	rator identi	ity					
•		'01' '10'								
• • •	NDD - Network dependent data (see below)									
	LAB -	B - Label for multiple control channels (see 9.5.3)								
	Format of the	netwo	ork depe	ndent data						
					he network depend cture and requirer		o-field in an	y way which		
	Bit no. 9					12 SYS bi	t no. 1 =	· '0'		
THE OF CONTRACTOR		NE	E							
		AREA		- FREE						
			SIL							
		]	PREFERR	ED NDD						
	bit no. 4					12 SYS bi	t no. 1 =	11		
	ZONE	ONE - A sub-field with length set by the network operator, starting at bit 9 (SYS bit no. $1 = '0'$ ) or bit 4 (SYS bit no. $1 = '1'$ ) which indicates the subscription zone to which the system identity code belongs.								
statement and	AREA	- A sub-field with length set by the network operator, starting at bit 9 (SYS bit no. $1 = '0'$ ) or bit 4 (SYS bit no. $1 = '1'$ ) which indicates the registration area to which the system identity code belongs.								
•	FREE - A sub-field with length set by the network operator ending at b the SYS field which may be used for any control channel identi purpose which the network operator specifies.									
					9-29					

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 108 of 214

SIL

A sub-field with length set by the network operator (minimum 3), starting at bit 9 (SYS bit no. 1 = '0') or bit 4 (SYS bit no. 1 = '1') which indicates the sub-field to be used when checking the source of MAINT or CLEAR messages (see 11.9.2.3.3, 11.9.2.3.7 and 11.9.2.3.8).

#### PREFERRED NDD

A sub-field with length set by the network operator starting at bit 9 (SYS bit no. 1 = '0') or bit 4 (SYS bit no. 1 = '1') which indicates the sub-field of the network dependent data which is to be preferred during hunting.

Zero bits is a valid length of any sub-field, in which case that sub-field has no relevance to acquisition authorisation or hunting procedures.

## 9.3,4.2.3 Acquisition Authorisation Data and NDD Preference Data

The radio unit shall provide facilities for acquisition authorisation data to be implanted during network personalisation to enable the radio unit to carry out the verification process specified in 9.3.4.2.1 for each possible selected network (see 9.2). This acquisition authorisation data shall consist of the following information for each possible selected network:

- the length of the ZONE sub-field (LZ),
- the length of the AREA sub-field (LA).

In addition, the acquisition authorisation storage shall provide for any combination of the following three classes of acquisition data for at least eight total entries:

- zone identity: a binary number of length equal to LZ, which authorises acquisition of control channels conveying system identity codes bearing that zone value;
- area identity: a binary number of length equal to LA, which authorises acquisition of control channels conveying system identity codes bearing that . area value;
- full identity: a binary number of length 4 bits (SYS bit no. 1 = '0') or 9 bits (SYS bit no. 1 = '1') which authorises acquisition of control channels conveying the single identity code in the network which bears that value in bits 9 to 12 (SYS bit no. 1 = '0') or bits 4 to 12 (SYS bit no. 1 = '1').

Each zone, area or full identity entry in memory shall include an identifier to distinguish the three classes of data (the form to be taken by this identifier is not specified).

The radio unit may provide facilities for NDD preference data to be implanted during network personalisation to enable the radio unit to carry out the verification process specified

9-30

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 109 of 214

\*\*\*\*\*

States and the second s

in 9.3.4.2.7 for each possible selected network (see 9.2). This NDD preference data shall consist of the following information for each possible selected network:

- At least four values of PREFERRED NDD sub-field, each value referred to as a preferred NDD sub-set, which identify preferred system identity codes as ones bearing these values in the PREFERRED NDD sub-field.
- For each preferred NDD sub-set a number from 0-9 indicating the length of the PREFERRED NDD sub-field in bits to which the value refers.

For each preferred NDD sub-set, a number from 1 to 10, inclusive, indicating the order of priority (1 is highest priority, 10 lowest) in a "preferential NDD sub-set hunt stage", "preferential sampled hunt stage" or "background search sequence". The same priority may apply to more than one preferred NDD sub-set.

# 9.3.4.2.4 Use of Acquisition Authorisation Data

The radio unit shall apply the following procedures when checking a system identity code selected for verification against its acquisition authorisation data for the selected network.

If bit 1 of the selected SYS is '1', the mobile shall check that the selected network is a national network and that a match exists between the NET field in the selected SYS and that authorised for the selected network.

If bit 1 of the received SYS is '0', the mobile shall check that the selected network is a regional network and that a match exists between the OPID field in the selected SYS and that authorised for the selected network.

If the radio unit holds no data for zone, area or full identity acquisition authorisation for the selected network, then it may acquire any control channel belonging to that network.

If the radio holds data for zone, area or full identity acquisition authorisation for the selected network, then the following precedence shall apply:

(a) The radio unit shall first check for a match between any zone identity acquisition authorisation data and the selected system identity code. If a match is found then acquisition is authorised.

(b) Failing acquisition authorisation at the zone identity level, the radio unit shall check for a match between any area identity acquisition authorisation data and the selected system identity code. If a match is found then acquisition is authorised.

(c) Failing acquisition authorisation at the zone or area identity level, the radio unit shall check for a match between any full identity acquisition authorisation data and the selected system identity code. If a match is found

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 110 of 214

in section

383. A 114

1.5

## then acquisition is authorised.

If acquisition authorisation testing fails at all three levels, then the radio unit shall assume that it is not authorised to acquire the control channel under test.

# 9.3.4.2.5 Checking the LAB Sub-field

States \*\*

If the radio unit has successfully verified the system identity code against its acquisition - authorisation data for the selected network it shall examine the LAB sub-field in the light of its control category held in read-only memory (see 9.2).

If the control category of the radio unit is not one of the categories permitted access by the LAB sub-field value (see 9.5.3), then the radio unit shall assume that it is not authorised to acquire the control channel under test.

## 9.3.4.2.6 Checking the AREA Sub-field

If the radio unit has successfully verified the system identity code against its acquisition authorisation data for the selected network and has verified that it is permitted to acquire by the value of the LAB field, then it shall examine the AREA sub-field in the light of currently-valid records of successful and denied registrations applicable to the selected network held in its read/write memory (see 9.2).

If the value of the AREA sub-field under examination is a value held in a currently-valid record of denied registrations applicable to the selected network, then a radio unit shall assume that it is not authorised to acquire the control channel under test.

In addition, if the control channel confirmation is being carried out during a "preferential area hunt stage" and if the value of the AREA sub-field under examination is not held in a currently-valid successful registration record for the selected network in the radio unit's read/write memory, then the radio unit shall assume that it is not authorised to acquire the control channel under test.

## 9.3. Checking 'the PREFERRED' NDD Sub-neig

If control channel confirmation is being carried out during a "preferential NDD sub-set hunt stage", or, where specified, during a "preferential sampled hunt stage" (see 9.3.3.3.2), the radio unit shall examine the PREFERRED NDD sub-field of the system identity code being verified against the NDD preference data for the selected network held in the radio units read-only memory. If no match can be found between any item of NDD preference data and the selected system identity code then the radio unit shall assume that it is not authorised to acquire the control channel under test on this basis,

9.3.4.3 Error Checking on a Control Channel

Whilst receiving a control channel a radio unit shall monitor the codeword error rate and count the codewords received with errors (after the application of any error corrections procedures which may be adopted) in successive samples of NCI or NC2 codewords (values

9-32

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 111 of 214

are network dependent). Samples of length NC1 codewords shall be taken when monitoring the channel for the purpose of final checking prior to confirmation (9.3.4.4) and of length NC2 after confirmation.

In this context a "codeword" shall be considered as the contents of the first or second half of a slot on the forward control channel (see !!3.3.3.1!!) irrespective of the contents of that slot. Any codeword which is not decodable shall be regarded as a codeword with errors.

The radio unit shall also count as codewords with errors all codewords received between the first control channel system codeword with a value of SYS field different to that selected for verification and the first control channel system codeword with the value of SYS field the same as that selected for verification, including the initial control channel system codeword with the different value of SYS field.

If, in any sample of NC1 codewords, the count of codewords received with errors exceeds NX1 (network dependent), or in any sample of NC2 codewords, the count of codewords received with errors exceeds NX2 (network dependent), then a codeword sample error event shall be recorded by the radio unit. The radio unit shall hold two alternative values of NC1/NX1 and NC2/NX2 as follows:

- one set of values of NC1 and NX1 shall be utilised when monitoring a channel for the purpose of final checking prior to confirmation when the channel number is identified as one on which time-shared control channels may be expected in the store of channel numbers held in the radio unit's read-only memory as applicable to a "normal hunting sequence" (see 9.2.1) or in the store of data received from BCAST messages held in read/write memory (see 9.2.2) or in the TSI field of a received MOVE or CLEAR message;
- the other set of values of NC1 and NX1 shall be utilised when monitoring a channel for the purpose of final checking prior to confirmation when the channel number is not identified in the data stores or fields specified above as one on which time-shared control channels may be expected;
- one set of values of NC2 and NX2 shall be utilised when monitoring a channel after confirmation when the channel number is identified as one on which time-shared control channels may be expected in the store of channel numbers held in the radio unit's read-only memory as applicable to a "normal hunting sequence" (see 9.2.1) or in the store of data received from BCAST messages held in read/write memory (see 9.2.2), or in the TSI field of a received MOVE or CLEAR message;
- the other set of values of NC2 and NX2 shall be utilised when monitoring a channel after confirmation when the channel number is not identified in the data stores or fields specified above as one on which time-shared control channels may be expected.

Whilst waiting for signalling on a control channel (eg, after transmitting a random access message) a radio unit shall suspend the count of codewords received with errors. Any count

Section 2

9-33

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 112 of 214

in progress at the time that a radio unit enters this waiting state shall be aborted and the result discarded.

When a radio unit leaves the control channel as a result of the circumstances specified in 9.4.1 parts (b), (c), (d), (f), (g), or (m), or (h) when due to a demanded registration, it shall resume error checking. When it acquires and verifies a new channel it shall confirm the channel (9.3.4.4) and shall then suspend error checking until it is no longer waiting for signalling.

## 9.3.4.4 Final Checking Prior to Access

If a control channel, which satisfies the procedures of 9.3.4.1 and 9.3.4.2, was sampled during a hunt at a level less than L.2., then it shall not be finally confirmed until the error checking procedure specified in 9.3.4.3 has produced NZ1 samples of NC1 codewords. Further if any of the NZ1 samples of NC1 codewords has produced a codeword sample error event then the radio unit shall reject the channel and resume hunting.

When a sampled control channel has passed all the appropriate tests in 9.3.4.1, 9.3.4.2 and 9.3.4.3 then the radio unit shall regard the current hunting sequence as complete and shall consider the control channel as confirmed.

## 9.4 Leaving a Control Channel

## 9.4.1 Reasons for Leaving a Control Channel Whilst Not Waiting for Signalling

Whilst active on a control channel, either prior to acquisition being confirmed or during activity subsequent to control channel confirmation, the radio unit shall monitor conditions on that channel and be prepared to leave the control channel and return to the control channel hunting procedures. This monitoring shall continue when the radio unit is waiting for signalling from the TSC, but the circumstances which result in the radio unit leaving the control channel are reduced and are prescribed in 9.4.2 below.

When not in the state of waiting for signalling from the TSC the radio unit shall leave the current control channel and enter the control channel hunting stage prescribed when:

(a) After confirmation, a codeword sample error event has been recorded in a sample of NC2 codewords (see 9.3.4.3) and codeword sample error events are recorded in each of NZ2 further successive samples of NC2 codewords. In this case the radio unit shall enter the "preferential hunt sequence".

(b) The value of bits 1 to 12 of the SYS recovered from decodable control channel system codewords received differs from the value of the bits verified during acquisition authorisation (see 9.3.4.2.1) for a continuous period TS and the next decodable control channel system codeword received after the expiry of TS also yields a value of SYS different to the verified value (see !!6.2.1.2!!). The radio unit shall remain active on the channel after the first mismatch but shall not transmit any random access message until a valid SYS value has been received, in which case it may resume normal operation (see !!6.2.1.2!!). After leaving a control channel in

9-34

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 113 of 214

1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 - 1997 -

9945-3 9**1**95-3

338 ....

12

these circumstances, the radio unit shall enter the "preferential hunt sequence", unless it is prior to confirmation when it shall resume hunting procedures.

(c) No decodable control channel system codewords are received over a continuous period in excess of TS (see !!6.2.1.2!!). In this case the radio unit shall enter the "preferential hunt sequence", unless it is prior to confirmation when it shall resume hunting procedures.

AN - 284

27 - 1 - - 2

Sec. Art is

(d) An Aloha or, in the case of radio units which are equipped to employ the MARK message, a MARK message is received in which the value of CHAN4 does not match the least significant four bits of the channel number of the control channel and there is also no match in the next decodable Aloha or MARK message. The radio unit shall remain active on the channel after the first mismatch but shall not transmit any random access message and shall leave the channel immediately after the second mismatch, unless a valid CHAN4 value has been received in the interim in which case it may resume normal operation (see 116.2.1.21!). After leaving a control channel in these circumstances the radio unit shall enter the "preferential hunt sequence", unless it is prior to confirmation when it shall resume hunting procedures.

(e) The user initiates a change of selected network. In this case the radio unit shall assume control channel acquisition procedures on the new selected network with the "single channel hunt sequence", the "preferential hunt sequence", or the "normal hunt sequence" depending on what valid information of previous activity on the new selected network is held in the radio unit's read/write memory.

(f) A MOVE message applicable to the radio unit is received (see !!7.4.2.!!). In this case the radio unit shall note the value of the TSI field and enter either the "single channel hunt sequence" or the "preferential hunt sequence" depending on the value of the CONT field in the MOVE message, unless it is prior to confirmation when it shall resume hunting procedures.

(g) A control channel system codeword is received in which the value of the LAB sub-field in the system identity code indicates that the control category of the radio unit for the selected network is not permitted access on the current control channel and the LAB value in the next decodable control channel system codeword also indicates that the radio units control category is not acceptable. The radio unit shall remain active on the channel after the first failure but shall not transmit any random access message and shall leave the channel immediately after the second failure, unless a value of LAB sub-field is received in the interim which does permit access by the unit, in which case it may resume normal operation (see 9.5.3). After leaving a control channel in these circumstances the radio unit shall resume hunting procedures.

(h) The radio unit receives ACKX(QUAL='0') as a result of sending a random access registration (RQR) message (see !!8.2.2.3!!), or as a response to an RQR sent in reply to a registration demand by the TSC (see !!8.3.2.2!!). In the case of a random access registration request (not permitted prior to confirmation) or a

registration demand received whilst the radio unit is seeking to make a random access registration request, the radio unit shall assume the hunt stage that it was last engaged in prior to the registration attempt. It may either resume the hunt stage at the channel number it would have sampled next or commence the hunt stage anew. In the case where a registration demand was received at any other time, the radio unit shall enter the "preferential hunt stage", unless it is prior to confirmation when it shall resume hunting procedures.

1999

뵹

2.020

(j) After confirmation, the radio unit receives ACKX(QUAL='1') as a result of sending a random access registration request (RQR) message (see !!8.2.2.3!!). In this case the radio unit shall assume the hunt stage that it was last engaged in prior to the registration attempt. It may either resume the hunt stage at the channel number it would have sampled next or commence the hunt stage anew.

(k) After confirmation, the radio unit has timed out after a random access registration (RQR) attempt due to NR being reached or TC being exceeded (see !!7.3.8!!). In this case if the registration attempt is being carried out prior to a successful re-registration being achieved on a newly-confirmed control channel the radio unit shall assume the hunt stage that it was last engaged in prior to the registration attempt. It may either resume the hunt stage at the channel number it would have sampled next or commence the hunt stage anew. If the registration attempt is being carried out at any other time the radio unit shall enter the "preferential hunt sequence".

(1) When the radio unit receives an ALHF message or equivalent (see 13.6) and the radio unit is not in the fall-back mode (see 13.3). In this case the radio unit shall enter the "preferential hunt sequence". If the radio unit is equipped with the fall-back option it shall obey the procedures of section 13.

(m) When the radio unit receives a GTC message which it has not obeyed in which the designated traffic channel is the control channel on which the message was received and the radio unit is not in the fallback mode (see !!9.2.2.5!!). In this case the radio unit shall enter the "preferential hunt sequence".

In addition to these mandatory conditions a radio unit may leave the current control channel and enter the control acquisition procedures when:

(n) After confirmation, the radio unit receives ACKX(QUAL='1') as a result of sending a random access request message, except RQR. If the radio unit leaves the control channel as a result of exercising this option it shall enter the "preferential hunt sequence".

(p) After confirmation, the radio unit has timed-out after a random access attempt, except RQR, due to NR or NE being reached or TC being exceeded (see !!7.3.8!!). If the radio unit leaves the control channel as a result of exercising this option it shall enter the "preferential hunt sequence".

(q) After confirmation, the radio unit has identified one or more prospective

9-36

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 115 of 214

control channels as a result of carrying out a "background search sequence" (see 9.3.3.7). If the radio leaves the control channel as a result of exercising this option it shall enter the "preferential hunt sequence".

In addition a radio unit may leave the current control channel, temporarily, when:

SPR013

orientes.

in term

(r) It has received a BCAST (SYSDEF = '00101') message. In this case the radio unit shall switch to the return channel indicated by the CHAN field in the message and shall return to the confirmed control channel in sufficient time to be able to decode any address codeword which may be transmitted in the forward direction in the second slot following the slot containing the BCAST (SYSDEF = '00101') message.

(s) In the course of implementing a "background search sequence" it initiates a sampling activity in accordance with the requirements of section 9.3.3.7.

# 9.4.2 Leaving a Control Channel Whilst Waiting for Signalling

A radio unit waiting for signalling shall leave the control channel on which it is currently active when any of the following events as listed in 9.4.1 above occur: (b), (c), (d), (f), (g), (h) due to a demanded registration, and (m). In such circumstances the radio unit shall retain its state of waiting for signalling during any hunting procedures and subsequent control channel confirmation tests. Any timers relevant to the waiting state shall be maintained.

In addition, a radio unit which times-out on the expiry of timer TJ whilst waiting for signalling relevant to the transmission of an RQR message shall:

i. If the registration attempt is being carried out prior to a successful reregistration being achieved on a newly-confirmed control channel, assume the hunt stage that it was last engaged in prior to the registration attempt. It may either resume the hunt stage at the channel number it would have sampled next or commence the hunt stage anew.

ii. If the registration attempt is being carried out at any other time, enter the "preferential hunt sequence".

A radio unit which enters the control channel acquisition procedures whilst in the waiting state shall obey the hunting procedures specified in 9.3.3 and the control channel confirmation procedures specified in 9.3.4. In addition, whilst in the waiting state, the radio unit shall only confirm a control channel in which the value of bits 1-12 of the system identity code (SYS) being examined under the procedure specified in 9.3.4.2 matches bits 1-12 of the system identity code which was examined to authorise acquisition of the control channel on which the radio unit was last confirmed. A radio unit which, having entered the control channel acquisition procedure whilst in the waiting state, times out on any of the timers TA, TC, TJ or TW as appropriate to its condition, shall continue to search for a control channel but shall resume the control channel acquisition procedures, but without the application of the additional SYS code check specified above.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 116 of 214

A radio unit waiting for signalling which leaves the control channel on which it is currently active due to events (e) or (l) listed in the foregoing 9.4.1 shall cancel its waiting for signalling state upon entering the control channel acquisition procedures.

It should be noted that events (a), (h) for a random access registration request, (j), (k), (n), (p), (q), (r) and (s) are not applicable to a radio unit in the state of waiting for signalling.

# 9.5 <u>Multiple Control Channels</u>

# 9.5.1 Introduction

ente Sectores

Commercial networks in Band III sub-bands 1 and 2 may operate with multiple control channels at a single site and may require the subdivision of the radio unit population to allow load sharing between control channels. This facility is provided by the LAB sub-field in the system identity code (see 9.5.3) and by control categorisation of radio units (see 9.5.2).

## 9.5.2 Control Categorisation of Radio Units

At the time of network personalisation the radio unit shall be allocated a control category (CCAT) and this category shall be stored in the radio unit's read-only memory. Four control categories are available, which are designated A, B, C and D for convenience.

The control category governs acquisition and retention of a control channel, since the LAB sub-field in the system identity code indicates which radio unit control categories are allowed to use a control channel (see 9.3.4.3 and 9.4).

## 9.5.3 The LAB Sub-field

The LAB sub-field occupies bits 13 to 15 of the system identity code (see 9.3.4.2.2). The meanings assigned to the eight possible values of LAB shall be:

,000,	Reserved (future definition in MPT 1343)
'001'	All categories permitted
'010'	Categories A and B only permitted
<b>'0</b> 11'	Categories C and D only permitted
'100'	Category A only permitted
'101'	Category B only permitted
'110'	Category C only permitted
'111'	Category D only permitted

9-38

## 10 REGISTRATION

## 10.1 General

Sec. 3

## 10.1.1 Introduction

Registration is a method of recording the area or group of areas where a radio unit is likely to be located within a network. This information avoids searching for radio units throughout the whole network, consequently reducing call set-up time and control channel loading.

A secondary feature is that it provides a means of restricting the service of individual radio units by allowing the network to deny registration requests.

The registration strategy enables networks to operate single or multiple registration. It is mandatory for radio units to support single registration, and multiple registration is a standard option. On networks which employ multiple registration, single registration radio units may make more registration requests than multiple registration radio units. Since the single registration radio unit is registered in only one area however, it is likely that fewer AHOY messages from the network would be required to locate it. Registration strategy is determined by the network and may be broadcast to radio units to allow them to take appropriate actions.

The registration strategy describes two types of registration. The first of these is explicit registration, where registration is achieved by means of an RQR message (either random access or demanded). The second is implicit registration, appropriate to multiple registration type radio units, where registration is achieved by means of messages exchanged during call set-up. Only messages that terminate control channel signalling are used for implicit registration.

It is possible that due to a failure the network may not be able to maintain registrations, but will want radio units to use the network as if they are registered. The network may broadcast a message to indicate that a temporary registration mode is in operation. Radio units which receive this message will recognise the temporary registration mode, and may be required to re- register on receipt of a further broadcast message indicating that the network is in a normal registration mode.

## 10.2 Single Registration

#### 10.2.1 Procedure

The single registration procedure specified in this section enables a network to record the likely area in which a radio unit is located.

## 10.2.1.1 <u>The Principle</u>

The principle of registration requires that the radio unit shall only retain a valid registration record where it has received confirmation that it is the same record as that currently held within the network. If there is any doubt that the record is correct, then a NULL indicator

must be written against the record. If a radio unit fails to receive a response to a registration request, this could be due to:

- the request not being received by the network, in which case the network will regard the previous successful registration by the unit as the currently-valid registration record;
- the request being accepted by the network but the acknowledgement not being received by the radio unit, in which case the network will regard the unsuccessful registration by the unit as the currently-valid registration record;
- the request being denied or failed by the network but the acknowledgement not being received by the radio unit, in which case the network may either regard the previous successful registration by the unit as the currently-valid registration record or record a NULL registration for the calling unit.

Accordingly, in such cases the radio unit is not able to confirm whether the network holds a valid record for the unit and if it does, whether it is the previous registration or the present registration. It shall therefore, when making a registration attempt, write the registration record into its memory at the time of making the registration attempt but, at the same time, write a NULL indicator against the record. When the successful registration is confirmed by a suitable acknowledgement from the system the radio unit shall then cancel the NULL indicator.

# 10.2.2 Storage and Timing requirements

## 10.2.2.1 <u>Requirements</u>

In order to follow the procedures specified in this section the radio unit shall provide the following storage requirements appropriate to the selected network:

## a) In Type 'B' read/write memory (see section 6.2):

The registration record applicable to the selected network. The registration record shall include the value of the verified AREA code and may include the channel number of the control channel on which that explicit or implicit registration attempt was carried out. The registration record shall also include a flag to indicate whether the registration record is normal or temporary. Until the radio unit is switched off, or equivalent, the flag shall also be capable of indicating an undefined state prior to being set.

The radio unit shall discard any data held in protected read/write memory unless its validity is reasonably assured. Also it should be noted that the values described in 10.2.2.1(a) may be held in unprotected RAM while operational and transferred to protected RAM on switch off or equivalent.

The registration records used by a single registration radio unit are indicated in Table 6.1 as "Prime registration" records.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 119 of 214

## In read/write memory:

b)

i. At least 8 different values of AREA sub-field of the received system identity code verified when acquiring the control channel on which a registration attempt by the radio unit has been denied. These shall be managed as a FIFO list: when the radio unit has a full list of entries, any further addition to the list shall displace the earliest entry.

ii. The latest value of the REG parameter received on the control channel to indicate whether the control channel is in the normal or temporary registration mode (an undefined state shall be indicated prior to receipt of REG within a session).

## c) In read-only memory, which shall be set by network personalisation:

A value of the ZONE field which shall be designated as the 'home' ZONE of the radio unit.

Note: A single registration type radio unit shall ignore the NA field advised by any BCAST (SYSDEF='00011') messages successfully decoded during activity on the selected network.

# 10.2.2.2 Action on Switch-off or Switch-on or equivalent

Data held under 10.2.2.1 b) shall be discarded at some time between the radio unit being switched off and being made ready for service after being subsequently switched on. For these purposes a user-initiated change of selected network shall be regarded as being equivalent to switching off the radio unit.

If, at switch-off (or equivalent), the registration record is currently labelled as undefined (see sections 10.2.4.1.1, 10.2.4.2.1, 10.2.5.1.1 and 10.2.5.2.1), then at some time between the radio unit being switched off and being made ready for service after being subsequently switched on, the registration record shall be labelled as normal.

# 10.2.3 Action on confirmation of a control channel

A radio unit shall not make any attempt at random access until control channel confirmation has been achieved, see 9.3.4.4.

When a radio unit confirms a control channel it shall then:

i. If the verified AREA code is zero, or the radio unit is personalised with a zero length AREA field, or the radio unit is in fall-back mode, the radio unit shall not seek to register by random access nor shall it create or alter any registration record. The radio unit shall note that registration is not required and that it is free to initiate calls.

#### Otherwise:

સુક્રેસ્ટર્ઝન

ii. If the verified area code is in the list of denied registrations, the radio unit shall resume hunting (see section 9).

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 120 of 214

#### Otherwise:

iii. If the radio unit does not hold a successful registration record for the verified AREA code, the radio unit shall attempt to register by random access (see section 10.2.4) according to normal rules (see !!7.3!!).

## Otherwise:

iv. If the radio unit holds a successful registration record for the verified AREA code it shall not attempt to register. If the radio unit is of a type which stores the relevant channel number in the registration record and, if the stored channel number is different from the current number, then the radio unit shall replace the stored channel number in the record by the current channel number without otherwise affecting the registration record.

Once confirmed on a control channel, the radio unit shall not transmit any message other than RQR, or an acknowledgement in response to an Ahoy with IDENT1 = REGI (!!8.2.2.4!!), unless it holds a successful registration record relating to the verified AREA code (unless the verified AREA code is zero, or the radio unit is personalised with a zero length AREA field, or the radio unit is in the fall-back mode). If at any time whilst active on a control channel the radio unit ceases to hold a successful registration record relating to the verified AREA code, it shall refrain from transmitting any message other than RQR, or an acknowledgement in response to an Ahoy with IDENT1 = REGI (!!8.2.2.4!!), until a successful registration record relating to the verified AREA code is zero, or the radio unit is personalised with a zero length AREA code is zero, or the radio unit is personalised with a zero length AREA code is zero, or the radio unit is personalised with a zero length AREA field, or the radio unit is personalised with a zero length AREA field, or the radio unit is personalised with a zero length AREA field, or the radio unit is personalised with a zero length AREA field, or the radio unit is personalised with a zero length AREA field, or the radio unit is in the fall-back mode). Whilst restricted in its transmissions due to not holding an appropriate registration record the radio unit shall obey any applicable messages received, as required, provided that to do so does not involve transmitting on the control channel (other than RQR or an ACK to an AHY with IDENT1 = REGI).

At any time that the radio unit holds a successful registration record relating to the verified AREA code, it is free to transmit any message conforming to the requirements of this specification.

10.2.4 Registration Procedures

### 10.2.4.1 Registration by Random Access

When a radio unit determines that it is required to register, it shall attempt to do so by random access using the procedures defined in MPT 1327 section 8.2.2. Note that if the registration is occasioned by the receipt of BCAST (SYSDEF = '00011'), then the actions prescribed in section 10.2.7 c) or d) shall be performed prior to those defined below.

If the random access timeout TC expires and the radio unit has not sent a registration request (!!8.2.2.2!!), the radio unit shall enter the control channel acquisition procedures (section 9).

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 121 of 214

Immediately upon sending the registration request by random access, the radio unit shall write into memory the AREA code for the system to which it is making the request together with a NULL indicator and delete the AREA code retained from its previous registration.

The action after transmitting a random access registration request shall be as specified in sections 10.2.4.1.1 to 10.2.4.1.5.

## 10.2.4.1.1 Registration accepted

The registration attempt shall be considered successful on receipt of ACK(QUAL = '0'). The radio unit shall:

- a) convert the NULL record to a successful registration record by removing the NULL indicator and
- b) if the radio unit has received a REG parameter (see 10.2.7) since commencing the session, it shall label the registration record as either normal or temporary, corresponding to the latest received value of REG. If the radio unit has not received a REG parameter (see 10.2.7) since commencing the session, it shall label the registration record as undefined (see also 10.2.2.2 and 10.2.7).

## 10.2.4.1.2 Registration Denied

The registration attempt shall be considered denied on receipt of ACKX(QUAL = '0'). The radio unit shall:

a) write the AREA code in the list of denied registration records (see section 10.2.2).

and

b) enter the control channel acquisition procedures (see section 9).

# 10.2.4.1.3 Registration Failed

The registration attempt shall be considered to have been unsuccessful upon receipt of ACKX(QUAL='1').

The radio unit shall resume hunting, see 9.4.1(j), and after confirming a control channel and receiving a suitable Aloha message, shall re-commence a random access registration attempt in accordance with section 8.2.2 of MPT1327.

Note that, until a successful registration is achieved, the radio unit shall not attempt to transmit other than RQR messages, or an acknowledgement in response to an Ahoy with IDENT1 = REGI (!!8.2.2.4!!), but shall continue to obey any received messages, provided that to do so does not involve transmitting on the control channel (other than RQR, or an ACK to an Ahoy with IDENT1 = REGI).

o service

ور به المانون التياقي

# 10.2.4.1.4 Registration Attempt Times Out

If the radio unit times out from waiting for further signalling for the registration (!!8.2.2.4!!), or cancels its wait state as defined in section 9.4.2, it shall enter the control channel acquisition procedures (section 9).

## 10.2.4.1.5 <u>Registration Demand Received During a Random Access Registration</u> <u>Transaction</u>

If, while waiting for a response to a random access registration request message, the radio unit receives an ALHR message individually addressed to it, the radio unit shall send a registration request RQR in accordance with MPT 1327 section 8.3.2.1 a2 (the radio unit shall not send an emergency request RQE).

The action shall then be as defined in sections 10.2.4.1.1 to 10.2.4.1.4, and in sections 7.3 and 8.2.2.2 of MPT 1327.

# 10.2.4.2 Registration on Demand

If, whilst confirmed on the control channel and not attempting to register by random access, a radio unit receives an applicable individually addressed ALHR, it shall write a NULL record against the existing registration record for the currently verified AREA code and shall attempt to register, complying with the procedures defined in MPT 1327 section 8.3.2.

The radio unit action after transmitting RQR upon demand, whilst not attempting to register by random access, shall be as defined in sections 10.2.4.2.1 to 10.2.4.2.3.

## 10.2.4.2.1 Registration Accepted

On receipt of ACK(QUAL='0') the registration shall be considered accepted and the radio unit shall:

- a) convert the NULL record to a successful registration record by removing the NULL indicator, and
- b) if the radio unit has received a REG parameter (see 10.2.7) since commencing the session, it shall label the registration record as either normal or temporary, corresponding to the latest received value of REG. If the radio unit has not received a REG parameter (see 10.2.7) since commencing the session, it shall label the registration record as undefined (see also 10.2.2.2 and 10.2.7).

## 10.2.4.2.2 Registration Denied

Registration is denied if ACKX(QUAL='0') is received. On receipt of this message the radio unit shall:

a) write the AREA code in the list of denied registration records, and

10-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 123 of 214

Ale.

and the second

b) enter the control channel acquisition procedures (section 9).

# 10.2.4.2.3 No Acknowledgement Received

If no response is received within WAIT+1 slots, the radio unit shall make no consequential changes to its registration record. If, as a result of the action prescribed in 10.2.4.2, the radio unit has no registration record for the verified AREA code, then it shall attempt to register by random access.

10.2.5

This paragraph is not used.

10.2.6

This paragraph is not used.

## 10.2.7 Action on receiving broadcast registration parameters

- a) The radio unit shall not make use of the NA field.
- b) If the radio unit holds an undefined registration record for the verified AREA code, the record shall be labelled as either normal or temporary corresponding to the value of REG received.
- c) If the radio unit receives REG ='0' while active on a control channel, it shall record that the channel is in the normal registration mode.

If the radio unit holds a temporary registration record for the verified AREA code, it shall delete that record and attempt to register by random access.

d) If the radio unit receives REG ='1' while active on a control channel, it shall record that the channel is in the temporary registration mode.

If the radio unit holds a normal registration record (not temporary) for the control channel, and the ZONE value of the verified system identity code differs from the 'home' ZONE of the radio unit, the radio unit shall label the registration record as temporary.

Note that b), c) and d) shall apply to all registration records held by the radio unit, not only the prime records.

# 10.2.8 Fall-back Mode

્ર કો ન્ ન્ ન્ **સ્**ત્ર ન

Any radio unit (whether or not it implements the fall-back mode option) which receives an ALHF message on a control channel for which the verified AREA code corresponds to a registration record, but for which the verified ZONE code does not match its home zone, shall label the registration record as temporary.

10-7

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 124 of 214

## 10.2.8.1 Entering Fall-back Mode

Upon entering fall-back mode (see 13.3) a radio unit shall continue to maintain registration records. Whilst in the fall-back mode and confirmed on the fall-back channel, the radio unit shall not attempt to register by random access or make use of control channel messages to implicity register; the radio unit is free to initiate and receive calls even if the unit does not have a registration record for the verified AREA code.

## 10.2.8.2 Leaving Fall back Mode

Upon leaving the fall-back mode the radio unit shall attempt to register if required to by sections 10.2.3 or 10.2.7.

## 10.3 <u>Multiple Registration</u>

10.3.1 Introduction

As a radio unit travels within range of more than one registration area, it may be advisable to guard against frequent re-registration, otherwise excessive registration request signalling may be generated. The multiple registration procedure specified in this section aims to minimise this problem. It provides a mechanism whereby the radio unit may be registered in more than one area simultaneously and so can move freely between the areas for which it has valid registrations without re-registering

To minimise the number of radio units simultaneously registered in more than one area (thereby minimising the amount of Ahoy signalling), there is a time-out mechanism whereby 'old' registrations expire if the radio unit does not make any calls in the area for a specified time. The specification defines the time-out in the radio unit; the network may operate a corresponding time-out.

Although old registrations in the radio unit expire after a time-out, the most recent, or prime. registration does not expire, in order to avoid periodic re-registration.

It is important that the registration records stored in the radio unit and in the network correspond. If a radio unit believes it is registered in a particular area, but the network does not, then the radio unit will not receive any calls while in that area. Much of the specification serves to maintain this correspondence as closely as possible, despite corruption of signalling. Any discrepancy must be 'fail-safe', ie the network may hold a record of registration that the radio unit does not hold, but the radio unit must not believe that it is registered in an area that the network has not recorded.

#### 10.3.1.1 The Principle

The multiple registration procedure is described with reference to the examples below which show, for triple registration, what happens as a radio unit travels between different registration areas.

10-8

Sec. 44 and

2002

in the second

Figure 10.3 illustrates the basic operation. Initially the radio unit is registered in area A and is in the normal registration mode. When it travels to area B it must register before accessing the network. Registration information from area A is retained both in the radio unit and the network for a time determined by the network operator. The radio unit now returns to area A and does not need to re-register. However, since B was the most recent (prime) registration area, the radio unit registration for A will time-out. In such an event the radio unit is immediately aware that it is no longer registered in the area corresponding to the control channel currently received and so attempts to register. As a result, B becomes a timed registration and will eventually time-out in the radio unit and network.

199

3 - Second

Figure 10.3 shows what happens if there is no response to a registration attempt as the radio unit travels. It will be seen that the radio unit record and the network record no longer correspond, but by inserting a null record at the radio unit no ambiguity arises. It also shows how the registration records are updated by implicit registration when the radio unit makes a call in an area for which a registration record already exists.

	Location of radio unit	A	В	A	A	A
	Radio unit action	Registers on A	Registers on B	re-	Times-out A and registers	of Times out of B
radio unit	Prime reg r	ecord A	В	B	A	Ä
records	Timed reg records	NULL NULL	A NULL	A NULL	B NULL	NULL NULL
	Prime reg records	A	B	В	A	A
Network records	Timed reg records	NULL NULL	ANULL	A NULL	B NULL	NULL
	Location of radio unit	<u>FIGUR</u> A	<u>e 10.3 – exam</u> B	P <u>LE 1</u> C	A	A
	Radio unit action	Registers A	Attempts to register on B - response not received	Register: C		Makes a cal - implicit registratio on A
Radio unit	Prime reg recored	A	NULL	С	с	A
records	Timed reg records	NULL	A NULL	NULL A	NULL	C NULL
	Prime reg records	A	B	с	с	A
Network						

10-10

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 127 of 214

Series 1

E.S.

### 10.3.2 Storage and Timing Requirements

## 10.3.2.1 Requirements

553222

ter and the second

1000

In order to follow the procedures specified in this section the radio unit shall provide the following storage requirements appropriate to the selected network:

a) In Type 'B' read/write memory (see section 6.2):

i. The latest value of NA (the maximum number of registration records which the radio unit shall hold concurrently) appropriate to the selected network as advised by any BCAST (SYSDEF='00011') messages successfully decoded during activity on the selected network. In the event that no valid data of the appropriate value of NA is held then the radio unit shall assume a default value of NA = 1 until such time as a BCAST (SYSDEF ='00011') message is successfully decoded from the selected network.

ii. The prime registration record applicable to the selected network. The registration record shall include the value of the verified AREA code (together with a NULL indicator if applicable) and may include the channel number of the control channel on which that explicit or implicit registration attempt was carried out. The registration record shall also include a flag to indicate whether the prime registration record is normal or temporary. Until the radio unit is switched off, or equivalent, the flag shall also be capable of indicating an undefined state prior to being set.

Note: The radio unit shall discard any data held in protected read/write memory unless its validity is reasonably assured. Also it should be noted that the values described in a)i, and a)ii may be held in Type 'A' memory while operational and transferred to Type 'B' memory on switch off or equivalent.

## b) In read/write memory:

i. (NA-1) timed registration records which, together with the prime registration record specified in a)ii above, form the NA registration records applicable to the selected network. Each record shall include the verified AREA code (together with a NULL indicator if applicable) and a flag to indicate whether the registration record is normal or temporary (the flag shall also be capable of indicating an undefined state, prior to being set). Each record may include the channel number of the control channel on which the explicit or implicit registration attempts were carried out. The radio unit shall discard any timed registration record was written to read/write memory.

ii. At least 8 different values of AREA sub-field of the received system identity code verified when acquiring the control channel on which a registration attempt by the radio unit has been denied. These shall be managed as a FIFO list: when the radio unit has a full list of entries, any further addition to the list shall displace the earliest entry.

#### 10-11

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 128 of 214

iii. The latest value of the REG parameter received on the control channel to indicate whether the control channel is in the normal or temporary registration mode (an undefined state shall be indicated prior to receipt of REG within a session).

c) In read-only memory, which shall be set by network personalisation:

i. A value of the ZONE field which shall be designated as the 'home' ZONE of the radio unit.

# 10.3.2.2 Action on Switch off or Switch on or equivalent

Data held under 10.3.2.1 b) shall be discarded at some time between the radio unit being switched off and being made ready for service after being subsequently switched on. For these purposes a user-initiated change of selected network shall be regarded as being equivalent to switching off the radio unit.

If, at switch-off (or equivalent), the prime registration record is currently labelled as undefined (see sections 10.3.4.1.1, 10.3.4.2.1, 10.3.5.1.1 and 10.3.5.2.1), then at some time between the radio unit being switched off and being made ready for service after being subsequently switched on, the prime registration record shall be labelled as normal.

10.3.2.3 Value of a NULL record

A NULL record contains the AREA code and a NULL indicator.

# 10.3.3 · Action on confirmation of a control channel

A radio unit shall not make any attempt at random access until control channel confirmation has been achieved, see 9.3.4.4.

When a radio unit confirms a control channel it shall then:

i. If the verified AREA code is zero, or the radio unit is personalised with a zero length AREA field, or the radio unit is in fall-back mode, the radio unit shall not seek to register by random access nor shall it create or alter any registration record. The radio unit shall note that registration is not required and is free to initiate calls.

Otherwise:

An eres

ii. If the verified area code is in the list of denied registrations, the radio unit shall resume hunting (see section 9).

## Otherwise:

iii. If the radio unit does not hold a successful registration record for the verified AREA code, the radio unit shall attempt to register by random access (see section 10.3.4) according to normal rules (see !!7.3!!).

10-12

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 129 of 214

## Otherwise:

.:

o. Sector iv. If the radio unit holds a successful registration record for the verified AREA code it shall not attempt to register. If the radio unit is of a type which stores the relevant channel number in each registration record and, if the stored channel number is different from the current number, then the radio unit shall replace the stored channel number in the record by the current channel number without otherwise affecting the registration record or associated timer.

Once confirmed on a control channel, the radio unit shall not transmit any message other than RQR, or an acknowledgement in response to an Ahoy with IDENT1 = REGI (!!8.2.2.4!!), until it holds a successful registration record relating to the verified AREA code (unless the verified AREA code is zero, or the radio unit is personalised with a zero length AREA field, or the radio unit is in the fall-back mode). If at any time whilst active on a control channel the radio unit ceases to hold a successful registration record relating to the verified AREA code, it shall refrain from transmitting any message other than RQR, or an acknowledgement in response to an Ahoy with IDENT1 = REGI (!!8.2.2.4!!), until a successful registration record relating to the verified AREA code is held (unless the verified AREA code is zero, or the radio unit is personalised with a zero length AREA field, or the radio unit is in the fall-back mode). Whilst restricted in its transmissions due to not holding an appropriate registration record the radio unit shall obey any applicable messages received, as required, provided that to do so does not involve transmitting on the control channel (other than RQR or and ACK to an AHY with IDENT1 = REGI).

At any time that the radio unit holds a successful registration record relating to the verified AREA code, it is free to transmit any message conforming to the requirements of this specification.

## 10.3.4 <u>Registration Procedures</u>

## 10.3.4.1 Registration by Random Access

When a radio unit determines that it is required to register, it shall attempt to do so by random access using the procedures defined in MPT 1327 section 8.2.2. Note that if the registration is occasioned by the receipt of BCAST (SYSDEF = '00011'), then the actions prescribed in section 10.3.7 c) or d) shall be performed prior to those defined below.

If the random access timeout TC expires and the radio unit has not sent a registration request (!!8.2.2.2!!), the radio unit shall enter the control channel acquisition procedures (section 9).

Provided that the prime registration record is not already a NULL containing the same AREA code as the currently verified AREA code, then immediately the radio unit transmits its first registration request message by random access it shall:

 a) change the prime registration record, whether or not it has a NULL indicator appended to it, into a timed registration record with a newly started timer, deleting, if necessary, the timed registration record closest to expiry (see section 10.3.6), and then,

10-13

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 130 of 214

b) write into its prime registration record the AREA code for the system to which it is making the request together with a NULL indicator.

Note that the requirements of this paragraph shall not apply to repeat transmissions of the request message within the same registration attempt.

The action after transmitting a random access registration request shall be as specified in sections 10.3.4.1.1 to 10.3.4.1.5.

#### 10.3.4.1.1 Registration Accepted

The registration attempt shall be considered successful on receipt of ACK(QUAL = '0'). The radio unit shall:

- a) convert the NULL prime record to a successful prime registration record by removing the NULL indicator, and
- b) if the radio unit has received a REG parameter (see 10.3.7) since commencing the session, it shall label the registration record as either normal or temporary, corresponding to the latest received value of REG. If the radio unit has not received a REG parameter (see 10.3.7) since commencing the session, it shall label the registration record as undefined (see also 10.3.2.2 and 10.3.7).

## 10.3.4.1.2 Registration Denied

The registration attempt shall be considered denied on receipt of ACKX(QUAL = '0'). The radio unit shall:

a) write the AREA code in the list of denied registration records (see section 10.3.2),

and

2033

1993

Sec. Same

b) enter the control channel acquisition procedures (see section 9).

#### 10.3.4.1.3 Registration Failed

The registration attempt shall be considered to have been unsuccessful upon receipt of ACKX(QUAL='1').

The radio unit shall resume hunting, see 9.4.1(j), and after confirming a control channel and receiving a suitable Aloha message, shall re-commence a random access registration attempt in accordance with section 8.2.2 of MPT1327.

Note that, until a successful registration is achieved, the radio unit shall not attempt to transmit other than RQR messages, or an acknowledgement in response to an Ahoy with IDENT1 = REGI (!!8.2.2.4!!), but shall continue to obey any received messages, provided that to do so does not involve transmitting on the control channel (other than RQR, or an ACK to an Ahoy with IDENT1 = REGI).

10-14

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 131 of 214

# 10.3.4.1.4 Registration Attempt Times Out

If the radio unit times out from waiting for further signalling for the registration (!!8.2.2.4!!), or cancels its wait state as defined in section 9.4.2, it shall enter the control channel acquisition procedures (section 9).

# 10.3.4.1.5 <u>Registration Demand Received During a Random Access Registration</u> Transaction

If, while waiting for a response to a random access registration request message, the radio unit receives an ALHR message individually addressed to it, the radio unit shall send a registration request RQR in accordance with MPT 1327 section 8.3.2.1 a2 (the radio unit shall not send an emergency request RQE).

The action shall then be as defined in sections 10.3.4.1.1 to 10.3.4.1.4, and in sections 7.3 and 8.2.2.2 of MPT 1327.

# 10.3.4.2 Registration on Demand

If, while confirmed on a control channel and not attempting to register by random access, a radio unit receives an applicable individually addressed ALHR, it shall write a NULL record against the existing registration record for the currently verified AREA code and shall attempt to register, complying with the procedures defined in MPT 1327 section 8.3.2.

Provided that the prime registration record is not now a NULL containing the same AREA code as the currently verified AREA code, then upon making a registration attempt (as a result of a demand) the radio unit shall:

- a) change the prime registration record, whether or not it has a NULL indicator appended to it, into a timed registration record with a newly-started timer, deleting, if necessary, the timed registration record closest to expiry (see section 10.3.6), and then,
- b) write into its prime registration record the AREA code for the system to which it is making the request together with a NULL indicator.

The radio unit action after transmitting RQR upon demand, whilst not attempting to register by random access, shall be as defined in sections 10.3.4.2.1 to 10.3.4.2.3.

## 10.3.4.2.1 Registration Accepted

On receipt of ACK(QUAL='0') the registration shall be considered accepted and the radio unit shall:

a) convert the NULL prime record to a successful registration record (by removing the NULL indicator), and

10-15

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 132 of 214

#### 10.3.4.2.2 **Registration Denied**

Registration is denied if ACKX(QUAL='0') is received. On receipt of this message the radio unit shall:

- write the AREA code in the list of denied registration records, and a)
- b) enter the control channel acquisition procedures (section 9).

#### 10.3.4.2.3 No Acknowledgement Received

If no response is received within WAIT+1 slots, the radio unit shall make no consequential changes to its registration record. If, as a result of the action prescribed in 10.3.4.2, the radio unit has no registration record for the verified AREA code, then it shall attempt to register by random access.

#### 10.3.5 **Implicit Registration**

When a radio unit participates in a signalling transaction on a control channel for which it holds a timed registration record then, in the circumstances defined in this section, the radio unit is implicitly re-registered, and a prime registration record is created for the verified AREA code. Note that the requirements of this section apply only when the radio unit is tuned to a control channel for which it holds a timed successful registration record and only when the radio unit is in the normal operation mode (not in the fall-back mode).

#### 10.3.5.1 Implicit Registration of Calling Radio Unit

Transmission of a random access message, other than RQR, RQX, or RQQ hook signalling, may result in implicit registration, as defined in sections 10.3.5.1.1 to 10.3.5.1.4.

#### 10.3.5.1.1 Implicit Registration is Successful

Implicit registration shall be considered successful if any of the following messages applicable to the call are received:

- ACK(QUAL='0')- ACK(QUAL='1') if cancellation of the call is requested
  - ACKV - ACKE(QUAL='0')
  - ACKT(QUAL='0') - ACKB(QUAL='0')
  - GTC

#### 10-16

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 133 of 214

بغوي والم

i ayaya

44.28 M

On receipt of any of these messages (unless the prime registration record now corresponds to the verified AREA code) the radio unit shall:

- a) Delete the timed registration record corresponding to the verified AREA code (if the record still exists), and
- b) Convert the prime registration record to a timed registration record with a newly started timer, and
- c) Create a prime registration record for the verified AREA code, and
- d) If the radio unit has received a REG parameter (see 10.3.7) since commencing the session, it shall label the new prime registration record as either normal or temporary, corresponding to the latest received value of REG. If the radio unit has not received a REG parameter (see 10.3.7) since commencing the session, it shall label the registration record as undefined (see also 10.3.2.2 and 10.3.7).

If the prime registration record is a NULL for the verified AREA code, then on receipt of these messages the radio unit shall convert the prime record to a successful registration record (by removing the NULL indicator).

## 10.3.5.1.2 Implicit Registration Failed

Sand: No

A A SACE AND

- 24-

Implicit registration shall be considered failed if ACKX applicable to the call is received. On receipt of ACKX the radio unit shall make no consequential changes to the registration records and shall return to the idle state.

## 10.3.5.1.3 Implicit Registration Times Out

If the radio unit times out from waiting for further signalling for a call (timeouts TA, TJ or TW), or cancels its wait state due to entering the control channel acquisition procedures (see 9.4.2), then (unless the prime registration record now corresponds to the verified AREA code, including if NULL) the radio unit shall:

- Convert the existing prime registration record to a timed registration record with a newly-started timer, deleting, if necessary, the timed registration record closest to expiry, and
- b) Create a NULL record as the prime registration record.

If the radio unit timed out from waiting (ie did not cancel its wait state), it shall then either return to the idle state or enter the control channel acquisition procedures (section 9).

## 10.3.5.1.4 Action at Switch-off or Equivalent

If, while the radio unit is waiting for further signalling for its call, the radio unit is switched off (or equivalent), or the user selects a different network, the radio unit shall (unless the prime registration record now corresponds to the verified AREA code, including if NULL)

10-17

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 134 of 214

create a NULL record as the prime registration record (note that the radio unit may be designed so that no processing is required after switch-off has been initiated).

## 10.3.5.2 Implicit Registration of Called Radio Unit

Transmission by the radio unit of ACK(QUAL = '0') in response to an AHY message for an incoming traffic channel call (!!9.2.2.2A!!) may result in implicit registration as defined in sections 10.3.5.2.1 to 10.3.5.2.4.

## 10.3.5.2.1 Implicit Registration is Successful

1000

1.1.1

Implicit registration shall be considered successful if a GTC or AHYX message applicable to the incoming call is received.

On receipt of either of these messages (unless the prime registration record now corresponds to the verified AREA code) the radio unit shall:

- a) Delete the timed registration record corresponding to the verified AREA code (if the record still exists and irrespective of whether the record is normal or temporary), and
- b) Convert the prime registration record to a timed registration record with a newly-started timer, and
- c) Create a prime registration record for the verified AREA code, and
- d) If the radio unit has received a REG parameter (see 10.3.7) since commencing the session, it shall label the new prime registration record as either normal or temporary, corresponding to the latest received value of REG. If the radio unit has not received a REG parameter (see 10.7) since commencing the session, it shall label the registration record as undefined (see also 10.3.2.2 and 10.3.7).

#### 10.3.5.2.2 Implicit Registration Failed

If, while waiting for further signalling for an incoming traffic channel call, the radio unit receives an AHY message for a different incoming traffic channel call and sends ACK(QUAL = '0') or ACKI(QUAL = '0'), the radio unit shall make no consequential . changes to the registration records and shall apply the procedures of 10.3.5.2 to the new AHY.

## 10.3.5.2.3 Implicit Registration Times Out

If the radio unit times out from waiting for further signalling for an incoming traffic channel call (timeout TA) or cancels its wait state due to entering the control channel acquisition procedures (see 9.4.2), then (unless the prime registration record now corresponds to the verified AREA code, including if NULL) the radio unit shall:

a) change the prime registration record, whether or not it has a NULL indicator appended to it, into a timed registration record with a newly started timer, deleting,

10-18

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 135 of 214

if necessary, the timed registration record closest to expiry, and then,

b) write into its prime registration record the AREA code for the system on which it is verified together with a NULL indicator.

If the radio unit timed out from waiting (ie did not cancel its wait state), it shall then return to the idle state.

## 10.3.5.2.4 Action on Switch-off or Equivalent

3. 160 C

34

191020

ana a National

0.000

-3

If, while the radio unit is waiting for further signalling for an incoming traffic channel call, the radio unit is switched off (or equivalent), or the user selects a different network, the radio unit shall (unless the prime registration record now corresponds to the verified AREA code, including if NULL) create a NULL record as the prime registration record (note that the radio unit may be designed so that no processing is required after switch-off has been initiated).

## 10.3.6 Registration Record Timeout

The timer for a registration record (timeout value TD) shall be started when the record is displaced from being prime registration record.

The radio unit shall delete any registration record (even a NULL record) displaced from being the prime registration record for which the time period TD has expired.

If the deletion on timeout occurs while the radio unit is active on a control channel, and results in the radio unit having no successful registration record corresponding to the received confirmation AREA code, the unit shall attempt to explicitly register by random access, see section 10.3.4.1.

## 10.3.7 Action on receiving broadcast registration parameters

- a) If the received value of NA is smaller than the number of registration records currently stored, the radio unit shall delete the excess number of records, retaining only the prime registration record and (NA-1) most recently created timed registration records.
- b) If the radio unit holds an undefined registration record for the verified AREA code, the record shall be labelled as either normal or temporary corresponding to the value of REG received.
- c) If the radio unit receives REG = '0' while active on a control channel, it shall record that the channel is in the normal registration mode.

If the radio unit holds a temporary registration record for the verified AREA code, it shall delete that record and attempt to register by random access.

10-19

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 136 of 214

d) If the radio unit receives REG = '1' while active on a control channel, it shall record that the channel is in the temporary registration mode.

If the radio unit holds a normal registration record (not temporary) for the control channel, and the ZONE value of the verified system identity code differs from the 'home' ZONE of the radio unit, the radio unit shall label the registration record as temporary.

Note that b), c) and d) shall apply to all registration records held by the radio unit, not only the prime records.

# 10.3.8 Fall-back Mode

Same in

198 (AN 1894)

Any radio unit (whether or not it implements the fall-back mode option) which receives an ALHF message on a control channel for which the verified AREA code corresponds to a registration record, but for which the verified ZONE code does not match its home zone, shall label the registration record as temporary.

## 10.3.8.1 Entering Fall-back Mode

Upon entering fall-back mode (see 13.3) a radio unit shall continue to maintain registration records. Whilst in the fall-back mode and confirmed on the fall-back channel, the radio unit shall not attempt to register by random access or make use of control channel messages to implicity register; the radio unit is free to initiate and receive calls even if the unit does not have a registration record for the verified AREA code.

### 10.3.8.2 Leaving Fall-back Mode

Upon leaving the fall-back mode the radio unit shall attempt to register if required to by sections 10.3.3 or 10.3.7.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 137 of 214

### 11. CALL PROCESSING

In this section, the section numbers have been formed by prefixing the section numbers of MPT 1327 by "11.". Thus sub-sections here refer directly to, and should be read in parallel with, sections of MPT 1327. The requirements of MPT 1327 are also mandatory requirements of this specification. Each sub-section below defines the requirements for the facilities and functions relevant to the radio unit for call processing. These requirements are categorised as follows:

Mandatory The radio unit shall implement the function or facility.

Standard Option If the radio unit implements the function, then it shall be implemented at least in the specified manner.

Available for Customisation If the TSC implements such a customised function, then if the radio unit implements the function it shall operate in the manner specified by the network operator of that TSC. Such functions will not modify existing standardised functions. If the radio unit does not understand the customised function in the context of system it is currently using, then it shall ignore that function. The radio unit shall not infringe any of the requirements of section 5 of MPT 1327.

Optional The radio unit may use the information or implement the facility at the discretion of the manufacturer.

Informative The corresponding section within MPT 1327 is primarily informative or related only to TSC specification, with no requirements on the radio unit arising directly from the contents. Related requirements may be included in other sections, however.

The radio unit shall ignore those fields of received messages which it does not understand.

11.1 Introduction

Informative.

11.1.1 User Facilities

Informative.

Informative.

11.1.1.1 Types of Call

1. station - 1

Store and

11.1.1.2 Making Calls

It shall be mandatory for the radio unit to be able to make calls to individual radio units and

11-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 138 of 214

line connected units. The ability to make calls to the other destinations listed is a standard option.

It shall be mandatory for the radio unit to be able to make interprefix speech calls, although this does not necessarily require prefix number entry by the user (see section 8.2).

The requirements on the radio unit for the use of call progress information sent by the TSC for confidence indication is defined in section 8.1.

# 11.1.1.3 Receiving Calls

The requirement to respond to correctly addressed incoming calls that are received is mandatory. This requirement includes interprefix calls. The response may, however, be a rejection if, for example, a radio unit does not have a particular non-mandatory facility, or if the user has indicated that certain calls are to be rejected.

The implementation of a busy control is a standard option.

The implementation of a call back control is a standard option.

The implementation of a "ready for communication control" (RFCC) is mandatory.

Confidence indication requirements are specified in section 8.1.

# 11.1.1.4 Diverting Calls

Diversion of calls is a standard option. Automatic re-dial to the diversion IDENT is optional.

#### 11.1.2 System Features and Facilities

#### 11.1.2.1 System Dimensions

It shall be mandatory for the radio unit to be able to store in its personality any one of the addresses in the full addressing range as its individual address. In addition it shall be mandatory for the radio unit to be able to store in its personality up to 4 of the addresses in the full addressing range as its group addresses (see section 6).

11.1.2.2 System Control

Informative. See section 13 of this specification for details of fall-back operation, which is a standard option.

# 11.1.2.3 Call Handling

Informative. The requirements for security are defined in section 11.15.

# 11-2

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 139 of 214

(Artherit

anter march

100 m

4.

1

# 11.1.2.4 Multi-site Systems

Informative. The registration procedures are defined in Section 10 of this specification.

11.1.3 Guide to Some Key Protocol Aspects

Informative.

స్మాక్షర్టా

1.1912-1413

11.1.3.1 Control Channel Signalling Structure

Informative.

11.1.3.2 Control Channel Signalling Messages

Informative.

11.1.3.3 Random Access Protocol

11.1.3.3.1 Principle of Operation

Informative.

11.1.3.3.2 Features of the Random Access Protocol

Informative.

11.1.3.4 Addressing

Informative. The use of extended addressing is mandatory for a radio unit making a simple call to a radio unit or line unit with a different prefix.

## 11.1.3.5 Examples of Signalling Sequences

Informative.

11.1.3.5.1 Example: Radio Unit Calls a Group

The ability to make group calls is a standard option.

11.1.3.5.2 Example: Radio Unit Calls a Unit with the Same Prefix

The ability for the radio unit to make such calls is mandatory.

11.1.3.5.3 Example: Radio Unit Calls a Unit with a Different Prefix

The ability for the radio unit to make such calls is mandatory.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 140 of 214

# 11.1.3.5.4 Example: Radio Unit Sends a Short Data Message

The ability for the radio unit to make such calls is a standard option.

11.2 Definitions

The definitions listed in section 2 of MPT 1327 are consistent with those listed in section 3 of this specification.

11.3 Signalling Formats

Informative. The requirements listed in this section apply only to prescribed signalling. Non-prescribed signalling is not covered by this specification.

11.3.1 Basic Format

Mandatory as specified.

11.3.1.1 LET

Mandatory as specified.

11.3.1.2 <u>Preamble</u>

Mandatory as specified.

11.3.1.3 <u>Message</u>

Mandatory as specified.

11.3.1.4 Hang-over Bit, H

Mandatory as specified.

11.3.2 Message Format

Mandatory as specified.

11.3.2.1 Codeword Synchronisation Sequence

Mandatory as specified.

11.3.2.1.1 <u>Control Channel Codeword Synchronisation Sequence</u> Mandatory as specified.

11.3.2.1.2 Traffic Channel Codeword Synchronisation Sequence

11-4

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 141 of 214

ges es l

1944:393

Mandatory as specified.

11.3.2.2 Codewords

Mandatory as specified.

Sector Sec.

زيرون

11.3.2.3 Encoding and Error Checking

The encoding is mandatory as specified.

The radio unit shall not accept any codeword from which the derived syndrome and parity bit indicate that 3 or more hard errors have occurred in an error burst of length 6 bits or greater.

The radio unit shall reject any codeword if it does not correct potential bit errors indicated by the coset leader for the syndrome.

The radio unit need not perform error correction, although error correction may simplify receiver and modem design requirements in meeting the error performance requirements of the receiver specified in Appendix A.

11.3.3 Signalling Transmission Variants

The radio unit shall be designed to man ope with me variants specifieu.

11.3.3.1 Single Message Format

Mandatory as specified.

11.3.3.2 Multiple Message Format on the Traffic Channel

Mandatory as specified.

11.3.3.3 Forward Control Channel Format

11.3.3.3.1 Basic Control Channel Format

Mandatory as specified.

11.3.3.3.2 Data Codeword Displacement

Mandatory as specified.

#### 11.4 <u>Addressing</u>

The radio unit shall understand those special IDENTS and DUMMYI that are required by the mandatory call procedures, and also those required by any standard options that are implemented.

11-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 142 of 214

# 11.5 Codeword Structures

The standardised messages which the radio unit shall understand are defined in the following sections.

Standardised Fields

are still and

.

Mandatory as specified.

Reserved Fields

Mandatory as specified.

#### Spare Fields and Codewords

Available for Customisation in the manner specified.

11.5.1 Control Channel System Codeword (CCSC)

Mandatory to make use of the CCSC for slot synchronisation.

The use of the DCSU is a standard option.

## 11.5.2 General Address Codeword Structures

Informative.

11.5.3 List of Address Codewords

Messages received by the radio unit which it shall understand and take any mandatory action required:

GTC ALH, ALHS, ALHX, ALHR, ALHF ACK, ACKI, ACKQ, ACKX, ACKV, ACKT, ACKB ACKE (if configured to send RQE messages) AHY, AHYX, AHYQ, AHYC MAINT, MOVE, CLEAR, BCAST

Messages received by the radio unit which it shall understand and take any mandatory action required if the unit is individually addressed, and for which it is a standard option for the radio unit to use if not individually addressed:

## ALHD, ALHE

Messages received by the radio unit which it is a standard option for the radio unit to understand:

11-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 143 of 214

AHYD DACK +DAL, DACK +DALG, DACK +DALN, DACK +GO, DACKD, DACKZ, DAHY, DAHYX, DAHYZ GTT, MARK, HEAD RLA, SACK, SITH

Messages for which the functions are not yet defined (informative):

SAMO

e state of

30232

2000

Messages which the radio unit shall be required to send by the protocol (mandatory):

ACKI (QUAL = '0') ACK, ACKX RQS, RQX, RQR, RQQ (indicating hook status) MAINT (see section 11.5.5.4.2 for applicable messages) SAMIS

Messages which the radio unit may send in the standardised format if permitted by the protocol (standard option):

ACKV, ACKB RQT, RQE, RQC, RQQ (other than for hook status) DACK+GO, DACKD, DACKZ DRQG, DRQX, DRQZ, DRUGI RLA, RQD, SACK, SITH HEAD

Messages for which the functions are not yet defined (informative):

SAMIU

Reserved and spare message fields shall be as specified.

11.5.4 Go To Traffic Channel Message, GTC

Mandatory as specified.

11.5.5 <u>Category '000' Messages</u>

11.5.5.1 Aloha Messages (Type '00')

It is mandatory that the radio unit shall use the following received messages in making random access attempts:

ALH, ALHS, ALHX, ALHR

11-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 144 of 214

It is a standard option that the radio unit shall use the following received messages in random access attempts:

ALHD, ALHE

57000

200

It is mandatory for the radio unit to understand the ALHF message; it is a standard option for the radio unit to implement fall-back mode (see section 13).

Use of the WT, M, CHAN4 and N fields shall be mandatory when making use of any Aloha message. The CHAN4 bits correspond to the 4 least significant bits of the 10 bit channel number CHAN (see section 5).

It is mandatory that the radio unit shall respond to all individually addressed Aloha messages (ie those addressed to that specific unit, see !!7.4.1!!).

11.5.5.2 Acknowledgement Messages (Type '01')

Messages received by the radio unit which it shall understand and take any mandatory action required:

ACK, ACKI, ACKQ, ACKX, ACKV, ACKT, ACKB

Messages received by the radio unit which it shall understand and take any mandatory action required if the radio unit is configured to send RQE messages:

ACKE

Messages which the radio unit shall send where required by the protocol (mandatory):

ACK, ACKX, ACKI (QUAL = '0')

Messages which the radio unit may send in the standardised format if permitted by the protocol (standard option):

ACKV, ACKB

11.5.5.2.1 Acknowledgement Messages Sent by the TSC

Messages received by the radio unit which it shall understand and take any mandatory action required:

ACK, ACKI, ACKQ, ACKX, ACKV, ACKT, ACKB ACKE (if configured to send RQE messages)

Use of the QUAL field of the received message is a standard option except where:

i. mandatory confidence indications are required in section 8.1;

11-8

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 145 of 214

the protocol of MPT 1327 requires a different mandatory action based on the QUAL field.

Use of the diversion address or number of data codewords appended to ACKT (QUAL = '0') is optional. If IDENT1=PSTNGI in ACKT (QUAL='0') then the BCD digits in the appended data codeword (s) shall contain the full dialled string, less the leading 0.

ACKT (QUAL = '1') is reserved.

#### 11.5.5.2.2 Acknowledgements Sent by Radio Units

Messages which the radio unit shall send where required by the protocol (mandatory):

ACK (QUAL = '0' and QUAL = '1'), ACKX (QUAL = '0'), ACKI (QUAL = '0')

Messages which the radio unit may send in the standardised format if permitted by the protocol (standard option):

ACKV (QUAL = '1'), ACKX (QUAL = '1'), ACKB (QUAL = '0' and QUAL = '1')

11.5.5.3 Type '10' Messages (Requests and Ahoys)

Informative,

States we

.

nin ina Addi k

11.5.5.3.1 Request Messages (Type '10')

Messages which the radio unit shall send where required by the protocol when making calls (mandatory):

RQS, RQX, RQR RQQ (for hook status)

Messages which the radio unit may send in the standardised format if permitted by the protocol (standard option):

RQT, RQE, RQC RQQ (other than hook status)

11.5.5.3.1.1 Request "Simple" Call Message, ROS

Mandatory for RQS containing:

(IDENT1 = Ident or IPFIXI) and DT = '0' and LEVEL = '1' and EXT = '0' and

11-9

-

Sec. 1

FLAG1 = '0' and FLAG2 = '0'

Standard option for other combinations.

11.5.5.3.1.2 Request Codeword Free for Customisation

Section deleted in MPT 1327.

11.5.5.3.1.3 Call Cancel/Abort Transaction Request Message, RQX

Mandatory as specified.

11.5.5.3.1.4 Request Call Diversion Message, ROT

Standard option.

11.5.5.3.1.5 Request Emergency Call Message, ROE

Standard option.

11.5.5.3.1.6 Request to Register Message, ROR

Mandatory as specified.

The "Info" field shall be set to all zeros, unless permitted otherwise by the network.

11.5.5.3.1.7 Request Status Transaction, ROO

Mandatory for indication of hook status (see MPT 1327 section 13). Standard option otherwise.

11.5.5.3.1.8 Request to Transmit Short Data Message, ROC

Standard option.

11.5.5.3.2 Ahoy Messages (Type '10')

Messages received by the radio unit which it shall understand and take any mandatory action required:

AHY, AHYX, AHYQ, AHYC

11.5.5.3.2.1 General Availability Check Message, AHY

It is mandatory that the radio unit responds to an individually addressed AHY message. Use of the address in any data codeword appended to the AHY is a standard option.

11-10

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 147 of 214

88856 .....

4

and the second s

# 11.5.5.3.2.2 Reserved Section

Informative.

11.5.5.3.2.3 Cancel Alert State Message, AHYX

Mandatory as specified.

11.5.5.3.2.4 Reserved Section

Informative.

11.5.5.3.2.5 Reserved Section

Informative.

11.5.5.3.2.6 Reserved Section

Informative.

11.5.5.3.2.7 Status Ahoy Message, AHYQ

Mandatory as specified.

11.5.5.3.2.8 Short Data Invitation Message, AHYC

Mandatory as specified.

11.5.5.4 Miscellaneous Control Messages (Type '11')

Messages received by the radio unit which it shall understand and take any mandatory action required:

MAINT, MOVE, CLEAR, BCAST

Messages received by the radio unit which it is a standard option for the mobile to understand:

MARK

Messages which the radio unit shall send where required by the protocol (mandatory):

MAINT (see section 11.5.5.4.2 for applicable messages)

11.5.5.4.1 Control Channel Marker, MARK

It is a standard option whether the radio unit makes use of the MARK message.

11-11

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 148 of 214

The CHAN4 bits correspond to the 4 least significant bits of the 10 bit channel number CHAN (see section 5).

11.5.5.4.2 Call Maintenance Message, MAINT

Messages received by the radio unit which it shall understand and take any mandatory action required:

MAINT (OPER = '110', '111')

in the second second

i arssin

inge e

Messages which the radio unit shall send where required by the protocol (mandatory):

MAINT (OPER = '000', '001', '010', '011')

For OPER = '110' or OPER = '111' the message format is as follows:

1	PFIX	IDENT1	1	CAT 000	TYPE 11	FUNC 001	CHAN	OPER	RSVD	ST I	SIL3	P
1	7	13	1	3	2	3	10	3	1	1	3	16

Field definitions are as for MPT 1327 with the addition of:

STI - Site Indicator Flag. If non-zero then SIL3 holds the three least significant bits of the SIL sub-field of the system identity code (SYS) currently being propagated by the system originating the message.

SIL3 - The three least significant bits of the SIL sub-field of the system identity code (SYS) currently being propagated by the system originating the message.

For STI = '0' then SIL3 = RSVD (RSVD = '000')

It is mandatory for the radio unit to understand and act on the messages:

MAINT (OPER = '110') (See also 11.9.2.3.7)

MAINT (OPER = '111') (See also 11.9.2.3.3)

It is mandatory for the radio unit to send these messages where required by the protocol:

MAINT (OPER = '000', '001', '010', '011')

For OPER = '110' or OPER = '111', the STI and SIL3 fields are reserved and shall be set to zero for MAINT messages transmitted by radio units.

11.5.5.4.3 Clear-Down Message, CLEAR

Mandatory as specified.

#### 11-12

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 149 of 214

If the radio unit receives a CLEAR message with the CONT field set to '0000000000' then the radio unit shall either return to the last active control channel, or remain on the nominated fall-back channel if in fall-back mode (see Sections 9 and 13).

The message format is as follows:

1	CHAN	CONT	1	CAT 000	TYPE 11	FUNC 010	STI	S1L3	TSI	SPARE	REVS 101010101010	P
1	10	10	1	3	2	3	1	3	1	1	12	16

Field definitions are as for MPT 1327 with the addition of:

STI - Site Indication Flag. If non-zero then SIL3 holds the three least significant bits of the SIL sub-field of the system identity code (SYS) currently being propagated by the system originating the message.

SIL3 - The three least significant bits of the SIL sub-field of the system identity code appropriate to the system originating the message.

For STI = '0' then SIL3 = RSVD (RSVD = '000')

TSI - Time-shared control channel indicator. See section 9.

'0' - Time-shared control channels are not expected on channel number CONT.
 '1' - Time-shared control channels may be encountered on channel number CONT.

11.5.5.4.4 Move to Control Channel, MOVE

Mandatory as specified.

The radio unit shall enter a "preferential hunt" if it receives a MOVE message with the CONT field set to '0000000000' (see section 9).

The message format is as follows:

1	PFIX	IDENT1	1	CAT 00D	ТҮРЕ 11	FUNC 011	CONT	(M)	RSVD	TSI	P
1	7	13	1	3	2	3	10	5	2	1	16

Field definitions are as for MPT 1327 with the addition of:

ŝ

1000

يېږ . در ۲

TSI - Time-shared control channel indicator. See section 9.

י0י	-	Time-shared control channels are not expected on channel number CONT.
<b>'</b> 1'	-	Time-shared control channels may be encountered on channel number CONT.

õ, i

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 150 of 214

# 11.5.5.4.5 Broadcast Message, BCAST

Mandatory for the following SYSDEF value:

'00010'	Specify call maintenance parameters
'00011'	Specify registration parameters

Standard option for the following SYSDEF values:

,00000,	Announce control channel
'00001'	Withdraw control channel
'00100'	Broadcast adjacent site control channel number
'00101'	Vote now advice

11.5.5.4.5(a) Announce Control Channel (SYSDEF = '00000')

Standard option.

1

**SB**SSEA

68.682.8

28 C . S

The message format is as follows:

	SYSDEF 00000	\$YS	1	CAT 000	TYPE 11	FUNC 100	CHAN	TSI	SPARE	RSVD	Ρ
1	5	15	1	3	2	3	10	1	1	6	16

Field definitions are as for MPT 1327 with the addition of:

TSI - Time-shared control channel indicator. See section 9.

'0' - Time-shared control channels are not expected on channel number CHAN.

'1' - Time-shared control channels may be encountered on channel number CHAN.

11.5.5.4.5(b) Withdraw Control Channel (SYSDEF = '00001')

Standard option.

11.5.5.4.5(c) Specify Call Maintenance Parameters (SYSDEF = '00010')

The message format is as follows:

1	SYSDEF 00010	SYS	1	CAT 000	TYPE 11	FUNC 100	PER	IVAL	PON	10	RSVD	TSCLIM	Ρ
1	5	15	1	3	s	3	1	5	1	1	2	8	16

All facilities listed in MPT1327 are mandatory. Field definitions are as for MPT1327 with the addition of:

TSCLIM - Specifies the maximum traffic channel call duration for non-emergency calls.

11-14

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 151 of 214

The binary values used are as follows:

'00000000': Duration to be CLIM

'00000001 to '00001001': Duration to be the decimal equivalent of the binary field value in minutes plus 4 minutes.

'00001010' to '11111110': Duration to be the decimal equivalent of the binary field value in seconds.

'11111111': Call timer infinite.

11.5.5.4.5(d) Specify Registration Parameters (SYSDEF = '00011')

It is mandatory for the radio unit to understand and act on this message.

The message format is as follows:

Sec. is

1	SYSDEF DOO11	SYS	1	CAT 000	TYPE 11	FUNC 100	RSVD	NA	REG	SPARE	RFFD	р	
1	5	15	1	3	2	3	4	2	1	5	6	16	-
Field	definit	ions a	ire	as for	MPT	1327	with	ihe a	dditic	n of:			
NA - Specifies the maximum number of registration records which a radio unit sh store (see section 10):										radio unit sh			
								tion	in M	PT 134	3		
					gistrati gistrati								
					egistra								
REG	-	'0'	nor	es re mal pora	gistrat ry	ion me	xde (s	æ se	ction	10):			
RFFI	D -	Res	serv	ed fo	r futu	re defi	nition	in N	(PT )	343.			
Defa	ult valu	e = '	000	, <b>000</b>									
11.5.	5.4.5(e	e) <u>Bro</u>	bado	ast A	djace	nt Site	Contr	<u>ol C</u>	hanne	<u>l Num</u>	ber (S	YSDEF	= '00100')
Stand	lard op	tion.											
The 1	message	e forn	nat	is as	follow	s:							
													,
							11	1-15					

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 152 of 214

1	SYSDEF	SYS	1	CAT 000	TYPE 11	FUNC 100	CHAN	TSI	SPARE	RSVD	ADJSITE	₽
1	5	15	1	3	2	3	10	1	1	2	4	16

Field definitions are as for MPT 1327 with the addition of:

TSI - Time-shared control channel indicator. See section 9.

'0' - Time-shared control channels are not expected on channel number CHAN.

'1' - Time-shared control channels may be encountered on channel number CHAN.

# 11.5.5.4.5(f) Vote Now Advice (SYSDEF = '00101')

Standard option.

油的路路

2000

ŝ.

1	SYSDEF 00101	SYS	Î	CAT 000	TYPE 11	FUNC 100	CHAN	TSI	SPARE	RSVD	ADJSITE	р
1	5	15	1	3	2	3	10	1	1	2	4	16

Field definitions are as for MPT 1327 with the addition of:

TSI - Time-shared control channel indicator. See section 9.

'0' - Time-shared control channels are not expected on channel number CHAN.

'1' - Time-shared control channels may be encountered on channel number CHAN.

11.5.6 <u>Category '001' Messages</u>

11.5.6.1 Single Address Messages (Type '0')

11.5.6.1.1 Outbound Single Address Messages, SAMO

The basic word format is informative.

11.5.6.1.2 Inbound Single Address Messages

11.5.6.1.2.1 Inbound Unsolicited Single Address Message, SAMIU

The basic word format is informative.

11.5.6.1.2.2 Inbound Solicited Single Address Message, SAMIS

Mandatory for Mode 1, DESC = '000' (Interprefix calls). Mandatory for Mode 2, DESC = '000' (Serial number transfer).

Standard option otherwise.

## 11-16

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 153 of 214

11.5.6.2	Short Data Message Header, HEAD (Type '1')
Standard opti	ion.
11.5.7	Codewords applicable to Standard Data
11.5.7.1	Request Standard Data Communication RQD
Standard opti	ion.
11.5.7.2	Availability Check for Standard Data AHYD
Standard opti	ion.
11.5.7.3	Go to Transaction GTT
Standard opti	ion.
11.5.7.4	Standard Data Random Access Radio Unit General Information DRUGI
Standard opti	on.
11.5.8	Codewords applicable to Standard Data Transactions
11.5.8.1	Standard Data General Purpose Acknowledgement DACKD
Standard opti	on.
11.5.8.2	Standard Data Codeword DACK containing Submessages DAL, DALG, DALN or GO
Standard opti	on.
11.5.8.3	Standard Data Acknowledgement for Expedited Data DACKZ
Standard opti	on.
11.5.8.4	Standard data General Ahoy DAHY
Standard opti	on.
11.5.8.5	Standard Data Ahoy containing expedited Data DAHYZ
Standard opti	on.
11.5.8.6	Standard Data Ahoy for closing a Trans DAHYX
Standard opti-	on.
	11-17

÷

×2.2000.000

sign a

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 154 of 214

	11.5.8.7	Repeat last ACK - RLA	
	Standard opt	ion.	
	11.5.8.8	Repeat Group Message DROZ	
	Standard opt	ion.	
	11.5.8.9	Request containing expedited Data DRQZ	
vera i se	Standard opt	ion.	
ł	11.5.8.10	Request to close a Transaction DROX	
	Standard option.		
	11.5.8.11	Standard Data Selective Acknowledgement Header SACK	
	Standard opt	ion.	
•	11.5.8.12	Standard Data Address codeword for a Dataitem SITH	
	Standard option.		
	11.6	Channel Discipline	
-	Informative.		
	11.6.1	Channel Discipline for TSC	
	11.6.1.1	Control Channel Discipline for TSC	
	Informative.		
W1000- 0000-0	11.6.1.2	Traffic Channel Discipline for TSC	
- Andrew State (State State	11.6.1.2.1	Monitoring	
	Informative.		
	11.6.1.2.2	Signal Timing	
a san an a	Informative.		
	11.6.2	Channel Discipline for Radio Units	
	11.6.2.1	Control Channel Discipline for Radio Units	
		11-18	
	ARF	RIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 155 of 214	

## 11.6.2.1.1 Control Channel Acquisition

Mandatory where specified. The radio unit shall also meet the requirements of section 9 of this specification.

11.6.2.1.2 Retaining a Control Channel

Mandatory as specified, where:

- an applicable system identity code is any system identity code where bits 1-12 differ from the verified value or where bits 13-15 (LAB) are a value which does not permit access to the control category assigned to the radio unit;
- appropriate codewords are CCSC's and, if the radio unit is equipped to receive them, MARK messages;
  - a correct value of the system identity code is one in which bits 1-12 of the SYS field from a received appropriate codeword match bits 1-12 of the verified value value and bits 13-15 (LAB) are a value which permits access to the control category assigned to the radio unit.

The radio unit shall also meet the requirements of section 9 of this specification.

11.6.2.1.3 Signal Timing

Mandatory as specified.

The reception-to-reception retuning time limits stipulated in MPT 1327, [6.2.1.3], [6.2.2.1], [7.4.2], [9.2.2.5], [9.2.3.4] and [9.2.3.8] all refer to the time between the end of the relevant invoking codeword and the start of the last 16 bits of the preamble to the standard data message on the new channel. The only requirement is to be able to decode such a message.

Time limits for satisfactory reception of speech or non-standard data are not specified.

11.6.2.2 Traffic Channel Discipline for Radio Units

11.6.2.2.1 Monitoring

Mandatory as specified.

For definition of the re-tuning time limits, see 11.6.2.1.3.

# 11.6.2.2.2 Signal Timing

Mandatory as specified.

sides d

11-19

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 156 of 214

	11.6.2.2.2.1 Radio Unit Response
Υ.	Mandatory as specified.
	11.6.2.2.2.2 Unsolicited Transmission that Requires a Response
1999 (1999-19 1999 - 1999-19	Standard option.
	11.7 Random Access Protocol
	Informative.
	11.7.1 <u>The Principle</u>
	Informative.
	11.7.2 TSC Random Access Facilities
	11.7.2.1 Marking Random Access Frames
	Informative.
	11.7.2.2 Subdividing the Radio Unit Population
	Informative.
	11.7.2.3 Inviting Specific Types of Random Access Frame
27 - 74 - <b>14</b> 00000 - 111 - 1	Informative.
No. A M	11.7.2.4 <u>TSC Responses</u>
	Informative.
	11.7.2.5 Withdrawing Slots from Frames
	Informative.
	11.7.3 Radio Unit Random Access Protocol
	Mandatory as specified.
and the second s	11.7.3.1 Checking Subsets of the Radio Unit Population
	Mandatory as specified.
	11.7.3.2 Checking the Aloha Function
	11-20
	ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 157 of 214

Mandatory as specified. The recognition of ALHF is mandatory; the implementation of the fall back mode is a standard option (see section 13).

#### 11.7.3.3 Frames Defined by Aloha Numbers

Mandatory as specified.

8

- موقعة مريس

11.7.3.4 First Try Option

Standard Option as stated.

#### 11.7.3.5 Choosing a Slot from a New Frame

Mandatory as specified.

### 11.7.3.6 Check for Withdrawn Slot

Mandatory as specified. It is mandatory for the radio unit not to make a random access when a codeword is not decodable (or no signal is received) (item d. of MPT 1327 section 7.3.6).

#### 11.7.3.7 Noting the Response Delay

Mandatory as specified. The required value of parameter NW is defined in Appendix B of this specification.

## 11.7.3.8 Retry Decision and Time-outs

Mandatory as specified. Requirements for confidence indications are given in section 8.1. The required values of timeout and default parameters listed are defined in section 6 and Appendix B of this specification.

## 11.7.4 Related Procedures for all Radio Units on a Control Channel

# 11.7.4.1 Individually Addressed Aloha Message

Mandatory as specified.

## 11.7.4.2 MOVE Message

Mandatory as specified (see also section 11.5.5.4.4). For definition of the retuning time limits, see 11.6.2.1.3.

#### 11.8 Registration Procedures

Informative. Mandatory requirements for registration are specified in section 10 of this specification.

11-21

#### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 158 of 214

VI INA

1

# 11.8.1 <u>Registration Facilities</u>

Informative. The function of ALHF is specified in sections 9 and 13.

\$ 3

11.8.2 Procedures for Registration by Random Access

11.8.2.1 TSC Procedures

Informative.

S. Lings Hand

and the second

11.8.2.1.1 Responses to a Random Access RQR Message

Informative.

11.8.2.1.2 Acknowledgements Sent to Indicate Progress of Registration

Informative.

11.8.2.1.3 <u>TSC Time-out</u>

Informative.

11,8.2.2 Radio Unit Procedures for Registration by Random Request

11.8.2.2.1 Criteria for Registration

Mandatory where specified. The requirements placed on the radio unit as regards registration are given in section 10. The radio unit shall not attempt random access to a system unless that system is identified in its personality (see section 6). Where a system requires a radio unit to register, the radio unit shall be required to register successfully before attempting to make any calls.

#### 11.8.2.2.2 Registration Request and Valid Responses

Mandatory where specified. The required values of timeout and default parameters listed are defined in section 6 and Appendix B of this specification. The radio unit shall set the INFO . field to all zeros, unless permitted otherwise by the network.

## 11.8.2.2.3 Acknowledgement Received

Mandatory where specified. The action that the radio unit shall take when registration is denied is defined in section 10 of this specification.

## 11.8.2.2.4 <u>Time-out after Waiting</u>

Mandatory where specified. The action that the radio unit shall take when registration is denied is defined in section 10 of this specification.

11-22

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 159 of 214

# 11.8.3 Procedures for Registration on Demand

## 11.8.3.1 TSC Procedures for Demanding Registration

Informative.

222222

an seite Stadies

## 11.8.3.2 Radio Unit Procedures for Registration on Demand

11.8.3.2.1 Individually Addressed ALHR Message

Items a1, a2: mandatory as specified. Item b: all radio units shall have the capability to register, and hence the radio unit shall respond with RQR in this case. Item c. is not applicable.

#### 11.8.3.2.2 Response to ROR sent on Demand

Mandatory as specified. Additional mandatory requirements are placed on the radio unit in section 10 of this specification.

11.9 Basic Call Procedures

Informative.

It shall be mandatory for the radio unit to be able to make "simple" calls to the following destinations:

Radio units and line units with the same prefix. Radio units and line units with a different prefix.

It is a standard option for the radio unit to make "simple" calls to destinations other than those listed above.

It is a standard option for a radio unit to make the following calls:

Calls to a group. Calls to all units on a system.

11.9.1 Basic Call Procedures for TSC

Informative.

11.9.1.1 Basic TSC Procedures for Setting Up Calls

11.9.1.1.1 Responses to a Short Addressing ROS Message

Informative.

11-23

11.9.1.1.2	Responses to an Extended Addressing ROS Message
Informative.	
11.9.1.1.3	Instructions to Send Extended Address Information
Informative.	
11.9.1.1.4	Acknowledgements Sent to a Calling Unit to Indicate Progress of a Simple Call
Informative.	
11.9.1.1.5	Availability Check on Called Radio Unit
Informative.	
11.9.1.1.6	Availability Check for Calls to PABX Extensions and PSTN Destinations
Informative.	
11.9.1.1.7	Availability Check on Requesting Radio Unit
Informative.	
11.9.1.1.8	Call Cancellation
Informative.	
11.9,1,1.9	Call Amalgamation
Informative.	
11.9.1.1.10	Queue Management and Queue Time-out
Informative.	
11.9.1.1.11	Resolving Call Conflicts
Informative.	
11.9.1.1.12	Traffic Channel Allocation
Informative.	
11.9.1.2	Basic TSC Procedures for Maintenance and Clear-Down of Calls
Informative.	
	11-24

<sub>чн.</sub> 9

1000000355

nines |

1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 161 of 214

# 11.9.1.2.1 **Call Maintenance Options** Informative. 11.9.1.2.2 Availability Check on Traffic Channel Informative. 11.9.1.2.3 **Disabling User Transmission** Informative. 11.9.1.2.4 Allocating Replacement Traffic Channel Informative. 11.9.1.2.5 Clearing Down Unwanted Radio Units During a Call Informative. 11.9.1.2.6 Call Cleardown Informative. 11.9.2 Basic Call Procedures for Radio Units Mandatory where specified. It is a mandatory for the radio unit to be fitted with a "ready for communication control" (RFCC). 11.9.2.1 Procedures for Radio Units Making Simple Calls Mandatory where specified. It is a standard option for a radio unit to make calls to a PABX or PSTN destination. 11.9.2.1.1 Request for a Simple Call Mandatory as specified.

It shall be mandatory for the radio unit to be able to make simple calls to common prefix and interprefix destinations.

Section (

SREW (

It is a standard option for a radio unit to make data calls.

11.9.2.1.2 Valid Responses to Short Addressing ROS

11-25

## ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 162 of 214

教会なら

÷.

Mandatory as specified.

11.9.2.1.3 Valid Responses to Extended Addressing ROS

Mandatory as specified.

11.9.2.1.4 Acknowledgement Received

Mandatory as specified.

Sec. Sec.

44

Requirements for confidence indications are given in section 8.1. The radio unit shall make an indication to the user following receipt of ACKB (QUAL='0').

The facility to cancel a call accepted for call back by use of an RQQ message (STATUS = '11111') is a standard option.

Diversion requests (RQT) and use of diversion information are a standard option. Automatic re-dial to the diversion IDENT is optional.

11.9.2.1.5 Availability Check and Channel Allocation for Own Call

Mandatory as specified.

11.9.2.1.6 <u>Time-out after Waiting</u>

Mandatory as specified. The requirements for confidence indications are given in section 8.1.

11.9.2.1.7 Call Cancellation

Mandatory. It shall be possible for the user to cancel the call. The requirements for confidence indications are given in section 8.1.

11.9.2.2 Basic Procedures for All Radio Units on a Control Channel

Informative.

11.9.2.2.1 Instruction to Send Address Information or Data Message

Mandatory for the following function:

Interprefix calls.

Standard option for the other transaction types listed.

11.9.2.2.2 Availability Check on Called Radio Unit

Mandatory where specified. It is optional whether the radio unit indicates the IDENT of the

11-26

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 163 of 214

caller to the user. It is optional whether the radio unit makes a distinct indication for an emergency call.

If, while waiting for an incoming traffic channel call, a radio unit receives a repeat AHY, and the user has already activated the RFCC, the unit shall not re-alert the user.

In the event of an incoming traffic channel call (IDENT2 = Ident(1-8100), INCI, IPFIXI, PSTNGI or PABXI) the unit shall respond with one of ACK(QUAL='0'), ACKI(QUAL='0'), ACKB(QUAL='0'), ACKB(QUAL='1'), ACKV(QUAL='1') or ACKX(QUAL='0') in accordance with MPT 1327. In addition, the radio unit shall only respond with ACKX(QUAL='0') if one of the following conditions is met:

i. Bit D of the received AHY message is '0' and the unit is not accepting speech calls.

ii. Bit D of the received AHY message is '1' and the unit is not accepting or not ready for data calls (see section 12).

The radio unit shall not accept a call for call back (using ACKB(QUAL='0')) unless it has facilities for making the return call.

It is mandatory for the radio unit to send RQQ off-hook/on-hook signalling.

As a standard option, the radio unit may respond to AHY, bit CHECK='1', with ACK (QUAL-'0'). This option shall only be "enabled" by network personalisation and the default state shall be "disabled".

11.9.2.2.3 Availability Check on Requesting Radio Unit

Mandatory as specified.

1998

 11.9.2.2.4 Cancelling Alert State of Called Unit

Mandatory where specified.

The requirements for confidence indications are given in section 8.1.

11.9.2.2.5 Traffic Channel Allocation

Mandatory as specified. User indication of calling IDENT is optional. For definition of the retuning time limits, see 11.6.2.1.3.

11.9.2.2.6 Storing Call Maintenance Parameters

Mandatory as specified.

It is an option for radio units to store the value of TSCLIM from the last decodable BCAST, SYSDEF='00010' message received in read/write memory (see 11.5.5.4.5 c).

11-27

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 164 of 214

4 2 2 2 2	11.9.2.3 Procedures for all Radio Units on an Allocated Traffic Channel
	Mandatory as specified.
	11.9.2.3.1 Call Maintenance Messages
新 <u>的</u> 的"	For values of NPON=1 and NPOFF=1 : mandatory as specified.
	For values of NPON>1 or NPOFF>1 : standard option.
:	11.9.2.3.2 Availability Check on Traffic Channel
ek,	Mandatory as specified.
	11.9.2.3.3 Disabling User Transmission
-	See also 11.5.5.4.2.
	If the radio unit on a traffic channel receives a MAINT (OPER = '111') message with the STI flag equal to zero then:
anda anda ya ma	i. the SIL3 field = RSVD and has no meaning;
A Statement of the second	ii. the radio unit shall understand and take any mandatory action required.
	If the radio unit on a traffic channel receives a MAINT (OPER $=$ '111') message with the STI flag NOT equal to zero, then:
	i. the user transmission shall only be inhibited if the SIL3 field matches the three least significant bits of the verified SIL code.
	11.9.2.3.4 Replacement of Traffic Channel
	Mandatory where specified.
-	For definition of the retuning time limits, see 11.6.2.1.3.
	The radio unit shall meet the requirements of any prearrangement made as regards the sending of periodic messages during data calls (refer to section 6).
	11.9.2.3.5 Going "on-hook" on Traffic Channel
9. - 1824 -	Mandatory as specified.
	11.9.2.3.6 <u>Time-outs on Traffic Channel</u>
	For values of NPON=1 and NPOFF=1: mandatory where specified.
	11-28
	ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 165 of 214

.....

For values of NPON>1 or NPOFF>1: standard option.

The requirements for confidence indications are given in section 8.1.

The definition of inactivity that shall be used in this section of MPT 1327 is that a radio unit is considered inactive on a traffic channel when it is not transmitting and the received audio is muted because the receiver quieting is insufficient.

It is an option for radio units to incorporate a maximum call duration timer. This timer shall be initialised immediately that the radio unit has tuned to a designated forward traffic channel following receipt of a GTC message in accordance with !!9.2.2.5!! (unless inhibited by the latest received value of TSCLIM, see below). The timer shall remain in operation for as long as the radio unit remains tuned to either the forward or return channel of the designated traffic channel or any other traffic channel to which the radio unit may be directed by subsequent GTC messages in accordance with !!9.2.3.4!! but shall be cancelled when the radio unit tunes to a control channel in accordance with !!9.2.3.5!!, !!9.2.3.6!!, !!9.2.3.7!! or !!9.2.3.8!!.

Upon expiry of the maximum call duration timer the radio unit shall:

i) Mute the audio.

166 Barry

in the

18 C 1

<u>...</u>

- ii) If the radio unit is transmitting it shall send one or more Pressel Off messages to indicate the end of the item in accordance with !!9.2.3.1!!.
- Send ND1 or ND2 Disconnect messages if its individual address is PFIX/IDENT1 or PFIX/IDENT2 from the GTC (as in section !!9.2.3.5!!).
- iv) Cease transmission on the traffic channel, indicate the end of the call to the user in accordance with 8.1.3.8 and enter the control channel acquisition procedures (see section 9).

The radio unit may also use the call duration timer to indicate to the user that the above action is imminent, at some time prior to the action being carried out.

The call duration timer shall expire after a period as determined below:

- Upon acquiring a new control channel and until a BCAST (SYSDEF='00010') message has been received, the period shall be CLIM.
- If at least one decodable BCAST (SYSDEF='00010') message has been received by the radio unit since the start of the session or since acquiring a new control channel, the period shall be as indicated by the value of TSCLIM received in the last decodable BCAST (SYSDEF='00010') message (see 11.5.5.4.5c) unless that value of TSCLIM is '00000000' when the period shall be CLIM or is '11111111' when the maximum call duration timer shall be inhibited.

11-29

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 166 of 214

If the radio unit has tuned to the traffic channel as a result of receiving a GTC message whilst waiting for signalling for an emergency call following the receipt of an AHY message with bit E set to '1' or following the transmission of RQE, the period shall be CLIME.

## 11.9.2.3.7 "Selective" Clear-Down Message: MAINT with OPER='110'

See also 11.5.5.4.2.

S.S. BURG

\*

c nard

The requirements for confidence indications are given in section 8.1.

If a radio unit on a traffic channel receives a MAINT (OPER ='110') with the STI flag equal to zero then:

- i. the SIL3 field = RSVD and has no meaning;
- ii. the radio unit shall understand and take any mandatory action required.

If the radio unit on a traffic channel receives a MAINT (OPER ='111') message with the STI flag NOT equal to zero, then:

i. the radio unit shall clear down only if the SIL3 field matches the three least significant bits of the verified SIL code.

11.9.2.3.8 CLEAR Message

If a radio unit on a traffic channel receives a clear-down message CLEAR with the STI flag equal to zero

and

i. channel number (CHAN) equal to the number of the traffic channel

and

ii. field REVS equal to '101010101010',

then it shall immediately mute the audio and move to the forward control channel indicated by the field CONT in the CLEAR message (to be capable of receiving within 35 ms after the end of the CLEAR address codeword) and may indicate to the user that the call has ended.

If a radio unit on a traffic channel receives a clear-down message CLEAR with the STI flag NOT equal to zero

and

11-30

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 167 of 214

i. channel number (CHAN) equal to the number of the traffic channel

and

ii. field REVS equal to '101010101010'

and

201209/1

Beer and

iii. the SIL3 field matches the three least significant bits of the verified SIL sub-field,

then it shall immediately mute the audio and move to the forward control channel indicated by the field CONT in the CLEAR message (to be capable of receiving within 35 ms after the end of the CLEAR address codeword) and may indicate to the user that the call has ended.

If the field CONT is set to '000000000' then the radio unit shall either return to the last active control channel, or remain on the nominated fall-back channel if in fall-back mode.

The requirements for confidence indications are given in section 8.1.

11.10 Emergency Call Procedures

Standard option.

Other modes of customised emergency service are not precluded.

11.10.1 Standard Emergency Call Procedures for TSC

Entire subsection: informative.

11.10.2 Standard Emergency Call Procedures for Radio Units

Entire subsection: standard option.

Standard emergency call procedures on a traffic channel are defined in MPT 1327 section 9.2.3.

11.11 Include Call Procedures

Standard option.

11.11.1 TSC Procedures for Include Calls

Entire subsection: informative.

## 11.11.2 Procedures for Radio Units Requesting Include

Entire subsection: standard option.

11-31

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 168 of 214

1 Alexandre State			
<b>*</b>	11.11.3	Procedures for All Radio Units on an Allocated Traffic Channel	
	11.11.3.1	Instruction to Send Extended Address Information	
	Standard opti	ion.	
after and the second	11.12	Call Diversion Procedures	
	Standard opti	ion.	
	11.12.1	TSC Procedures for Call Diversion Requests	
	Entire subsec	tion: informative.	
	11,12.2	Procedures for Radio Units Requesting Call Diversion	
	Entire subsection: standard option.		
4	11.13	Status Message Procedures	
	It is mandato (refer to MP	the procedures involving RFCC signalling are mandatory. ry that the radio unit is able to recognise and respond to the AHYQ message $\Gamma$ 1327 section 13.2.3). andard option.	
	11.14	Short Data Message Procedures	
** • ****	Entire section: standard option.		
a - a safa parana ang	11.15	Data Interrogation Procedures	
	Informative.		
	11.15.1	Data Interrogation Procedures for TSC	
3	11.15.1.1	DataInterrogation on a Control Channel	
	Informative.		
	11.15.1.2	Data Interrogation on a Traffic Channel	
:	Informative.		
2000 - Lag	11.15.2	Procedures for All Radio Units	
	It is a mandatory requirement that radio units shall recognise Mode 2 AHYC messages and respond with the serial number transmission as specified below.		
		11-32	
	ARR	IS GROUP, INC., IPR2015-00635, Ex. 1036, p. 169 of 214	

# 11.15.2.1 Data Interrogation Message (AHYC, Mode 2) on a Control Channel

The radio unit shall be equipped to transmit its serial number on interrogation using the SAMIS message. The form of the serial number transmitted is defined in section 7.

11.15.2.2 Data Interrogation Message (AHYC, Mode 2) on an Allocated Traffic Channel

The radio unit shall be equipped to transmit its serial number on interrogation using the SAMIS message. The form of the serial number transmitted is defined in section 7.

11.16

<u>.</u>

33.

5

•

×

1

≥\_>>: ∳

SERVICE STREET

This paragraph is not used.

#### 11.17 Standard Data Procedures

Entire section: standard option.

11-33

Sec. Sec.

# 12. NON STANDARD DATA INTERFACE PROVISION

The provision of a non standard data facility on a radio unit is a standard option.

Within the procedures RQS and RQE with DT=1, provision may be made for connection of external data equipment to radio units for transmission over transparent signalling paths. The quality of the paths will be determined by individual networks.

# 12.1 <u>Muting</u>

The equipment shall be constructed so that the data path shall never be disturbed by the squelch for speech reception. Receiver audio shall be muted during data reception.

#### 12.2 <u>Maladjustment</u>

Those controls which if maladjusted might increase the interference potential of the transceiver shall not be easily accessible to the user.

### 12.3 Standard Signalling

Whilst in a data call and receiving signals from the TSC the Radio unit shall monitor the channel continuously for messages from the TSC and shall take appropriate action.

The radio unit shall not send call maintenance messages except disconnect messages.

## 12.4 Data Call Handling

The radio unit shall incorporate a Data Call Duration Timer TU, and an associated suppression flag as part of its network personalisation.

#### 12.4.1 <u>Call Establishment</u>

Calls are established by the unit receiving a GTC (D=1). Timer TU shall be started upon first tuning to the traffic channel.

## 12.4.2 <u>Call Clearance</u>

The radio unit shall send disconnect messages as specified in MPT 1327 section 9.2.3.5,

either:

or:

on expiry of the Data Call Duration Timer,

· 1977 (1997)

•

(Kantakho

at end of the data transaction when detected by the radio unit (whereupon TU is deactivated), whichever is earlier.

12-1

### ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 171 of 214

## 12.5 Facilities

Equipment that does not integrate the keyboard and display or other means of data entry or retrieval into the transceiver shall provide a suitable interface covering at least:

RX Audio - shall not be affected by the radio unit volume control setting

- TX Audio at levels that shall not affect the overall deviation requirements.
- Keyline form unspecified; the keyline shall be inhibited until at least TR has elapsed from the receipt of GTC (D=1) or on completion of a standard data transaction on the traffic channel which starts within TR and over-runs TR.

In addition the following facilities may be made available:

Data Channel Ready

Contemplate .

This signal is generated by the RU shall become active after a period of at least TR has elapsed from the receipt of GTC (D=1) or on completion of a standard data transaction on the traffic channel which starts within TR and over-runs TR. This signal does not guarantee that an end to end communication path has been established.

Data Equipment Available

This signal is generated by the external data equipment and shall only be active when the data equipment is ready to receive or transmit data. This signal enables the RU to provide the appropriate control channel signalling for call set-up and rejection for RQS (DT=1), data calls. AHY (D=1) or GTC (D=1). If during a data call the Data Equipment Available signal is de-activated, this shall initiate a call clear down.

#### <u>NOTE</u>

It is recommended that manufacturers of external data equipment should list those Radio Units for which the equipment combination complies with MPT 1323.

# 13. FALL-BACK MODE

# 13.1 Introduction

- -

÷

The fall-back mode enables a reduced service to be offered to radio units when there has been a partial equipment failure in the network, for example if the network loses the ability to trunk channels. Implementation of the fall-back mode is a standard option for radio units, and also for systems.

The general method of fall-back operation is as follows. Each radio unit will relapse to a pre-programmed channel (all members of a fleet would be programmed with the same channel number). The network may operate each of these channels independently, as a set of single channel systems; each channel will alternate between being a control channel (using the Aloha protocol to control random access) and a traffic channel.

This section defines the additional requirements for radio units which implement the fall-back option. Radio units which implement the fall-back option shall also conform to the requirements of all other sections of this specification, except where stated otherwise in this section. The requirements for radio units which do not implement the fall-back option are covered in sections 9 and 11 of this specification.

## 13.2 Storage Requirements

The radio unit shall be programmable with the following parameters appropriate to the selected network. The parameters shall be stored in read-only memory.

a) The number of the channel on which the radio unit will receive the fall-back service.

If programmed with channel number zero, the radio unit shall be inhibited from operating the fall-back mode. In this case the radio unit shall conform to the requirements for a radio unit which is not equipped for fall-back operation.

b) The system identity code conveyed on the channel on which the radio unit will receive the fall-back service. Only the NDD field (section 9.3.4.2.2) needs to be programmable explicitly; the other fields in the fall-back system identity code may be assumed to match the system identity code personalisation data for the normal operation mode.

The specification is written for a radio unit which is equipped to operate on only one fallback channel. Operation on more than one fall-back channel, for example different channels in separate parts of a network, is not prohibited, but is not explicitly supported by this specification.

## 13.3 Entering Fall-Back Mode

The radio unit shall enter the fall-back mode if, while active on a control channel and in the normal operation mode, it receives an applicable ALHF message (see section 7.3.1 of

13-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 173 of 214

MPT 1327) containing a correct CHAN4. The radio unit shall abandon any call set-up or transaction in progress. The radio unit shall then attempt to find and confirm an alternative control channel which is in the normal operation mode, commencing with the preferential hunt sequence. An additional requirement for confirming that a control channel is in the normal operation mode a normal operation mode Aloha message (i.e. ALH, ALHS, ALHD, ALHE, ALHR or ALHX).

If the radio unit fails to find and confirm a normal operation mode control channel (all prescribed hunt stages shall be completed), it shall tune to its pre-programmed fall-back channel, and attempt to confirm the fall-back channel. The condition for becoming active and confirming the fall-back channel is the receipt of a CCSC containing the radio unit's pre-programmed fall-back system identity code (the confirmation conditions specified in section 9.3.4 are not applicable).

Until the radio unit has confirmed the fall-back channel, it shall not transmit on that channel or obey any messages received. After the radio unit has confirmed the fall-back channel it shall conform to the fall-back procedures defined in section 13.4.

Upon entering the fall-back mode the radio unit shall maintain existing registration records and continue to operate the registration timers.

## 13.4 Procedures in Fall-Back Mode

The requirements in this section augment, and in some cases modify, the requirements of other sections of this specification which apply to normal operation mode.

## 13.4.1 <u>Call Procedures</u>

a) ALHF invites the following types of call request: RQS, RQX, RQT, RQE, RQQ and RQC.

b) The radio unit shall not attempt to register by random access, and shall not make use of control channel messages to implicitly register; the radio unit is free to initiate and receive calls even if the unit does not hold a registration record for the verified AREA code of the fall-back system identity code.

c) The radio unit shall not initiate calls to a PABX or PSTN.

d) The timeout TC shall have a value TX (see, for example, TC in section 8.1.3.5, and in !!7.3.8!!).

## 13.4.2 Channel Discipline

1.000

a) The radio unit shall not apply the error checking criterion specified in section 9.4.1 item a) for leaving the fall-back control channel.

b) When the radio unit hunts according to the criteria specified in sections 9.4.1 and 9.4.2, if it fails to find and confirm a normal operation mode control channel (all

13-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 174 of 214

prescribed hunt stages shall be completed), it shall re-tune to its fall-back channel and attempt to confirm the fall-back channel.

c) The unit shall suspend activity if a system identity code different from its fallback system identity code is received on its fall-back channel, as specified in section 6.2.1.2 of MPT 1327. See also section 9.4.1 item b).

d) The time out TS shall have a value TF while the radio unit is operating on the fall-back channel during the fall-back mode.

e) As normal, the radio unit shall mute the received audio while not assigned for traffic.

f) If a GTC message which allocates the fall-back channel for traffic is received on the fall-back channel, then if the radio unit is not required to obey the GTC message it shall remain tuned to the fall-back channel.

g) The condition for becoming active and confirming the fall-back channel is the receipt of a CCSC containing the radio unit's pre-programmed fall-back system identify code (the confirmation conditions specified in section 9.3.4 are not applicable).

# 13.5 Leaving Fall-Back Mode

FRAMA

220.020

Under any of the following conditions the radio unit shall exit from fall-back mode, abandon any call set-up or transaction in progress, and enter the control channel acquisition procedures:

(a) An applicable MOVE message is received (the radio unit shall ignore any MOVE message that is not applicable to it).

(b) A CLEAR message (with correct CHAN field) is received in which CONT is not set to zero or to the radio unit's fall-back channel. The radio unit shall perform a single channel hunt (if CONT=0, or is set to the radio unit's fall-back channel, the radio unit shall remain in the fall-back mode on the fall-back channel).

(c) A normal operation mode Aloha message (ie ALH, ALHS, ALHD, ALHE, ALHR or ALHX) is received while active on any channel. The radio unit shall perform the final checks according to the requirements of section 9.3.4.4 before leaving the fall-back mode, ie normal operation of the channel shall be confirmed before leaving the fall-back mode.

(d) A user-initiated change of selected network. See also section 13.6.

When the network terminates the fall-back service, the radio unit may receive on the fallback channel either a MOVE command (if another channel becomes the normal operation mode control channel), or a CLEAR message (as specified above), or a normal operation mode Aloha message.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 175 of 214

However, in case the radio unit does not receive the signalling which terminates the fall-back mode on the fall-back channel, and to provide an opportunity to exit from the fall-back mode if the fall-back channel quality degrades, the hunting requirement specified in section 9.4.1 c) provides an alternative route for returning to normal operation mode.

While in the fall-back mode on the fall-back channel, the radio unit may come within range of a normal operation mode control channel on which it could obtain a better service. Therefore, it is recommended that, when not in traffic or waiting for signalling, the radio unit hunts occasionally for a normal operation mode control channel, regardless of the quality of the channel and whether or not the radio unit is active.

While in the fall-back mode and examining channels other than the fall-back channel, the radio unit shall operate the normal rules for control channel acquisition (section 9), but receipt of a normal operation mode Aloha message is an additional requirement for confirming the control channel. As defined above, receipt of a normal operation mode Aloha message shall terminate the fall-back mode, whereas the radio unit shall resume hunting if it receives ALHF (note that the radio unit shall not dwell indefinitely on a control channel while active and waiting for an Aloha message to confirm the channel). Upon leaving the fall-back mode the radio unit shall attempt to register if required to by sections 10.2.3 and 10.2.7 (or 10.3.3 and 10.3.7 if the radio unit supports multiple registration).

#### 13.6 User Initiated Change of Network

Start spice

Same

If the user initiates a change of network while the radio unit is in the fall-back mode, then if the network which was operating the fall-back service is re-selected (when fall-back service may or may not have terminated in the network) the radio unit shall re-enter the network in the fall-back mode as if it had received an applicable ALHF message, as defined in section 13.5. If the radio unit fails to find and confirm a normal operation mode control channel (all prescribed hunt stages shall be completed), it shall tune to its pre-programmed fall-back channel, and attempt to confirm the fall-back channel.

## 14. SHORT DATA ON THE CONTROL CHANNEL USING RQC

## 14.1 Introduction

લેકકાંશકાર્ય

This section describes the air interface requirements necessary to support signalling between radio units and TSCs during the transfer of short data messages on the control channel. The implementation of the procedures defined in this section is a standard option.

The transfer of short data messages conforms with the basic procedure defined in MPT1327 section 14. This allows transmission of HEAD messages containing free format data on the control channel. Implementation of the short data standard option defined in this document requires some of these bits to carry control information. The protocol allows the use of only MPT1327 procedures, or the procedures as described in this section.

A calling radio unit requests to transmit a short data message by sending an RQC random access request message addressed to the called unit or service. For extended addressing PSTN and PABX calls (and optionally for interprefix calls), the TSC will solicit the full called party address information using the MPT1327 extended addressing procedures at an appropriate point in call set-up. The TSC may check the availability of a called radio unit using the General Availability Check Message AHY, before requesting the caller to send a HEAD message by means of the Short Data Invitation Message AHYC (refer to sections 115.5.3.2.111 and 115.5.3.2.811). The calling party sends a Short Data Message Header HEAD and up to four appended data codewords to the TSC. The TSC then forwards the data by re-transmitting the HEAD message to the called party which is required to respond with an acknowledgement in accordance with the procedures outlined in section 14 of MPT1327. The TSC sends an acknowledgement to the calling party to advise the receipt of the HEAD message (or otherwise) by the called party. Where the called party is a group and not an individual address, no acknowledgement by radio units in that group to a HEAD message is permitted (see section !!14,3.1.2!!) and, in this case, the TSC sends an acknowledgement to the calling party to advise whether the HEAD message has been received by the TSC and transmitted to the group.

Note: The term "HEAD message", where used in this section, shall be taken to mean "HEAD address codeword and appended data codewords" collectively.

The procedures defined in !!14!! support the transmission of a single segment of free-format data. (A "segment" is that amount of free-format data which can be accommodated in a single HEAD message; see section 3.1). This specification extends the scope of the above referenced procedures to allow up to four segments to be associated with a single request (RQC). For convenience a short data transaction for which the T-message (see section 3.1) is confined to a single segment is referred to as a Single Segment Transaction (SST) in this specification. A short data transaction for which the T-message comprises more than one segment is referred to as a Multiple Segment Transaction (MST).

## 14.1.1 General Description

A radio unit requests to transmit short data HEAD messages in accordance with the procedures of !!14!! by sending an RQC message on a control channel. The TSC then

solicits the transmission of a HEAD message using the address codeword AHYC. In the case of a Multiple Segment Transaction, each HEAD message of the transaction is individually solicited by the TSC using an AHYC message. The TSC need not support Multiple Segment Transactions, in which case this will be indicated in the AHYC message. In these circumstances a radio unit wishing to send a T-message comprising more than one segment is required instead to generate an RQC for each segment treating each as an SST.

In the case of an MST, the TSC is responsible for requesting each segment from the radio unit and forwarding it to the called party. The TSC either will assemble the complete T-message before forwarding it or will forward each segment by means of a HEAD message as soon as it has been received correctly.

SSTs and MSTs may be addressed to individual units, to groups or to a TSC gateway.

A simple message repeat error correction protocol is incorporated into this specification. If an error is detected by the TSC in a return channel data codeword (calling radio unit to TSC) a repeat may be demanded until a satisfactory error-free segment can be assembled. If an error is detected by an individually called radio unit in a forward channel data codeword (TSC to called party), repeat transmissions may be requested. The TSC may make repeat transmissions, subject to timing rules and network limits, when no acknowledgement of receipt is obtained from the called party.

## 14.1.2 Facilities

T-messages may be sent in one of the 8 formats listed below. Changing between these formats within a transaction is not permitted.

- binary
- BCD (in accordance with MPT1327, Appendix 5)
- CCITT Alphabet No 2 (Telex), Recommendation S1
- CCITT Alphabet No 5 (ASCII), Recommendations V3 and V4
- two formats which are reserved for future definition
- two formats which are spare for customisation

A short data HEAD address codeword and appended data codewords may occupy up to three control channel timeslots. The data formats specified in this section allow an SST to convey . 44 BCD characters, 35 CCITT Alphabet No 2 (Telex) characters or 25 CCITT Alphabet No 5 (ASCII) characters. An MST is capable of carrying 176 BCD characters, 140 CCITT Alphabet No 2 (Telex) characters or 100 CCITT Alphabet No 5 (ASCII) characters.

## 14.2 Message Formats

The procedures for short data message transmission described in this section utilise MPT1327 address codewords and data codewords. The formats of the address codewords are as prescribed in MPT1327 with, in the case of the AHYC codeword, some additional meanings ascribed to the values of one field within the codeword. The format of data codewords is not specified in MPT1327, but a data codeword structure is specified for the procedures in this section to allow control parameters to be incorporated and defined data character formats

14-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 178 of 214

to be utilised.

These particular applications of address and data codewords are described below.

14.2.1 Short Data Invitation Message, AHYC

Section 200

The format of this message is as specified in 115.5.3.2.811 with the following addition (note that IDENT1 shall be set to SDMI for inviting short data HEAD messages):

- DESC: '000' TSC supports SSTs only. Instruction to calling party to send a HEAD message.
  - '1xx' TSC supports MSTs. Instruction to calling party to send a HEAD message containing the appropriate segment of the MST -

xx = value assigned to the short data segment.

xx = '00'	-	First segment of MST or only segment of SST.
xx = '01'	-	Second segment.
xx = '10'	-	Third segment.
xx = '11'	-	Fourth segment.

14.2.2 Data Codewords

14.2.2.1 General Structure

Up to four data codewords may be appended to a HEAD address codeword. Each data codeword shall conform to one of the following two general structures, depending on its position relative to the HEAD codeword:

i) First and third data codewords following the HEAD codeword:

MPT1327 Short Data Message Format (STF = '0')

0	STF	DATA	P
1	1	46	16

~~~. (

STF

Segment Transaction Flag. · '0' - MPT1327 short data message format (46 bits of free format data in each of up to 4 data codewords).

DATA - Free format binary digits.

P - Parity check bits.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 179 of 214

|                 | MPT1343 Short Data Message Format (STF = '1')                                                                                                                                           |  |
|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|                 | 0 STF MESS DATA P                                                                                                                                                                       |  |
|                 | 1 1 4 42 16                                                                                                                                                                             |  |
|                 | STF - Segment Transaction Flag. '1'- MPT1343 short data message format as defined in this specification).                                                                               |  |
|                 | MESS - Message Control Field (refer to 14.2.2.2).                                                                                                                                       |  |
|                 | DATA - Free format binary digits or binary-encoded free format text (refer to 14.2.2.3).                                                                                                |  |
|                 | P - Parity check bits.                                                                                                                                                                  |  |
|                 | ii) Second and fourth data codewords following the HEAD codeword:                                                                                                                       |  |
|                 | O RSA DATA P                                                                                                                                                                            |  |
|                 | 1 1 46 16                                                                                                                                                                               |  |
|                 | RSA - Return Slot Access Flag. When transmitted by the TSC on a control channel:                                                                                                        |  |
| 1999-1999<br>19 | '0' - Radio units shall not attempt random access in the following slot on the return control channel.                                                                                  |  |
|                 | 'l' - Radio units may attempt random access in the following slot on the return control channel.                                                                                        |  |
| ~               | In all other cases of transmission, the meaning of the RSA flag is reserved, default = '0'.                                                                                             |  |
|                 | DATA - Free format binary digits or binary-encoded free format text (refer to 14.2.2.3).                                                                                                |  |
|                 | P - Parity check bits.                                                                                                                                                                  |  |
| 'n              | 14.2.2.2 Structure of MESS Field                                                                                                                                                        |  |
| uppapanané      | The format of the MESS field shall conform to one of the following two structur<br>determined by the position of the data codeword containing the MESS field relative<br>HEAD codeword: |  |
|                 | 14-4                                                                                                                                                                                    |  |
|                 | ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 180 of 214                                                                                                                               |  |

|                   | i)   | First data codeword following the HEAD codeword:                                                                                                                                                                                                                                                              |  |  |  |
|-------------------|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
|                   |      | MESS                                                                                                                                                                                                                                                                                                          |  |  |  |
| -                 |      | GFI I                                                                                                                                                                                                                                                                                                         |  |  |  |
| <i>ૡૡૻૡૼૡૡ૽ૡૡ</i> |      | 3 1                                                                                                                                                                                                                                                                                                           |  |  |  |
| -                 | GFI  | - General Format Information, states the format in which the T-message is presented in the DATA fields of this and subsequent data codewords (see also 14.2.2.3).                                                                                                                                             |  |  |  |
| an the second of  |      | - '000' Binary.<br>- '001' BCD (Appendix 5, MPT1327).                                                                                                                                                                                                                                                         |  |  |  |
| 1                 |      | <ul> <li>- '010' CCITT Alphabet No 2 (Telex).</li> <li>- '011' CCITT Alphabet No 5(ASCII).</li> </ul>                                                                                                                                                                                                         |  |  |  |
| 17.5              |      | - '100', '101' Reserved.                                                                                                                                                                                                                                                                                      |  |  |  |
| ~ }               |      | - '110', '111' Spare.                                                                                                                                                                                                                                                                                         |  |  |  |
| ì                 | Ι    | - Initial Segment flag.                                                                                                                                                                                                                                                                                       |  |  |  |
|                   |      | <ul> <li>'1' First segment. (For an SST, I shall always be set to '1').</li> <li>'0' Subsequent segment.</li> </ul>                                                                                                                                                                                           |  |  |  |
|                   | ii)  | Third data codeword following the HEAD codeword:                                                                                                                                                                                                                                                              |  |  |  |
|                   |      | MESS                                                                                                                                                                                                                                                                                                          |  |  |  |
|                   |      | NSEG CSEG RSVD                                                                                                                                                                                                                                                                                                |  |  |  |
|                   |      | 2 1 1                                                                                                                                                                                                                                                                                                         |  |  |  |
|                   | NSEG | <ul> <li>Indicates the number of segments to follow in an MST.</li> <li>'00' Last segment.</li> <li>'01' One segment to follow.</li> <li>'10' Two segments to follow.</li> <li>'11' Three segments to follow.</li> <li>(For an SST, NSEG shall always be set to '00' where this codeword is used.)</li> </ul> |  |  |  |
|                   | CSEG | - Indicates whether the message containing the next segment of an MST requires 2 or 3 control channel timeslots.                                                                                                                                                                                              |  |  |  |
| Apatos - aj       |      | <ul> <li>'0' Two slots required.</li> <li>'1' Three slots required.</li> <li>(Where NSEG = '00', CSEG shall be set to '0'. Where NSEG = '10' or '11', CSEG shall be set to '1'.)</li> </ul>                                                                                                                   |  |  |  |
|                   | RSVD | - Reserved for future definition.                                                                                                                                                                                                                                                                             |  |  |  |
| 14-5              |      |                                                                                                                                                                                                                                                                                                               |  |  |  |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 181 of 214

٠

### 14.2.2.3 Structure of DATA Field

The format of the DATA field shall be determined by the value of the GFI field and shall be as specified below.

Note: In the formats given below, "n\*" represents the most significant bits of an encoded character whose remaining bits form the start of the DATA field of the next codeword. "\*m" represents the m least significant bits of an encoded character whose preceding bits - concluded the DATA field of the previous codeword.

i) Binary

3

35.88

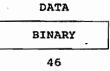
20.56

(GFI = '000')

First and third codeword:



Second and fourth codeword:



BINARY

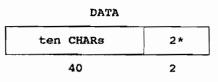
ii)

BCD (as in Appendix 5 of MPT1327)

Free format binary data.

(GFI = '001')

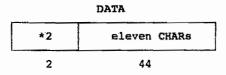
First codeword:



14-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 182 of 214

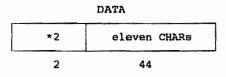
Second codeword:



Third codeword:

| DATA      |    |
|-----------|----|
| ten CHARs | 2* |
| 40        | 2  |

Fourth codeword:



CHAR - Binary value, as prescribed in MPT1327 Appendix 5, of unspecified BCD character.

Note: The maximum number of BCD characters which can be included in a segment is 44.

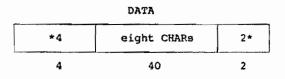
iii) CCITT Alphabet No 2 (Telex Type Characters)

(GFI = '010')

First codeword:

| DATA  |             |    |  |  |  |
|-------|-------------|----|--|--|--|
| SPARE | eight CHARs | 1* |  |  |  |
| 1     | 40          | 1  |  |  |  |

Second codeword:



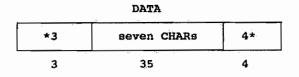
-----

32333860

14-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 183 of 214

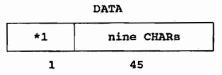
Third codeword:



Fourth codeword:

Stand Barriel

A Constant



SPARE - Available for customisation.

CHAR - Binary value, as prescribed in CCITT Recommendation S1 (Alphabet No 2), of an unspecified character.

Note: The maximum number of CCITT Alphabet No 2 characters which can be included in a segment is 35.

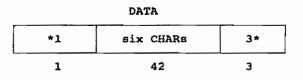
iv) CCITT Alphabet No 5 (7 bit ASCII)

(GFI = '011')

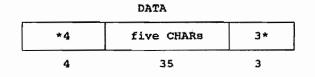
First codeword:

DATA SPARE five CHARS 6\* 1 35 6

Second codeword:



Third codeword:



14-8

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 184 of 214

#### Fourth codeword:

| DATA |           |  |  |  |  |
|------|-----------|--|--|--|--|
| *4   | Six CHARS |  |  |  |  |
| 4    | 42        |  |  |  |  |

e seland

S. 1

Series of

SPARE - Available for customisation.

CHAR - Binary value, as prescribed in CCITT Recommendation s V3, V4 (Alphabet No 5), of an unspecified character.

Note: The maximum number of CCITT Alphabet No 5 (7 bit ASCII) characters which can be included in a segment is 25.

v) Other formats

Formats for GFI values of '100' and '101' are reserved for future definition. Formats for GFI values of '110' and '111' are spare for customisation.

#### 14.3 The Use of Control Fields for STF = '1'

A calling radio unit divides its T-message into a maximum of four segments, where all segments except the last utilise exactly four data codewords. The last segment may utilise up to four data codewords as required to accommodate the T-message. The segments of a Multiple Segment Transaction are logically linked by the 'NSEG', 'CSEG' and 'I' fields.

A HEAD message containing the first segment shall have the I bit set to '1', and those containing subsequent segments shall have I set to '0'.

The NSEG field represents a decrementing counter which shall indicate the number of segments to follow to complete the transaction such that the value of NSEG is '00' for the last segment of an MST. If the last segment uses less than three data codewords, then the NSEG field will not be transmitted and the recipient shall behave as though its value had been '00'.

The CSEG field informs the TSC of the number of slots required for data codewords containing the next segment. The value shall always be '1' when transmitted with leading segments (NSEG = '10' or '11') and may be either '1' or '0' when transmitted with the penultimate segment (NSEG = '01'). With the final segment CSEG defaults to '0' and the TSC will ignore the value.

HEAD messages transmitted by the TSC to a called radio unit or group shall contain the same values of I, NSEG and CSEG as those of messages containing the corresponding segments received by the TSC from a calling radio unit. The called radio unit may perform a check to ensure that the values of these fields are logically consistent.

14-9

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 185 of 214

An example, illustrating the use of the Control fields, is given in 14.5.

### 14.4 Procedures for Radio Units

States and

difficury

Radio units which implement the option to transmit and receive short data messages (either SSTs or MSTs) shall comply with the requirements of !!14!!. In addition radio units shall • meet the requirements of this specification which are given below.

#### 14.4.1 Radio Unit Actions when Sending Short Data Messages

### 14.4.1.1 Composition of HEAD Messages

A radio unit complying with the procedures of this section shall set STF = '1' in all appropriate data codewords transmitted.

For an SST, the calling radio unit shall transmit its T-message by sending one segment. For an MST, all segments except possibly the last shall utilise exactly four data codewords.

A HEAD message containing a segment shall only be transmitted following an invitation from the TSC. For every message transmitted to, or received from, the TSC the radio unit shall operate the appropriate timer (see !!14.2.6!!). After sending the last segment the radio unit shall wait for the appropriate acknowledgement (see !!14.2.4!!).

The control information for the first segment (of an SST or MST) shall be composed as follows:

- The GFI bits in the MESS field in the data codeword directly following the HEAD address codeword shall be set as appropriate to indicate the format of the T-message.
- ii) The I bit of the MESS field in the DATA codeword directly following the HEAD address codeword shall be set to '1'.

In the case of a HEAD address codeword with three or more appended data codewords, the control fields in the third data codeword shall be set as follows:

- NSEG shall be set to indicate the number of segments to follow (NSEG shall be set \* to '00' for an SST).
- ii) CSEG shall be set to indicate the number of timeslots required for the next MST segment (CSEG shall be set to '0' when NSEG has the value '00').

The control information for subsequent segments of an MST shall be composed as prescribed above except that the I bit of the MESS field shall be set to '0'.

### 14.4.1.2 Message Transmission Procedures

When a radio unit is ready to send a short data message it shall send RQC (with the value

14-10

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 186 of 214

of SLOTS set either to '10' for an SST requiring a HEAD message with one or two data codewords, or to '11' for either an SST requiring a HEAD message with more than two data codewords or an MST). It shall then await responses as specified in !!14.2.1!! to !!14.2.3!!.

Upon receipt of a Mode 1 AHYC (DESC = '000'), with IDENT1 set to SDMI and PFIX/IDENT2 matching its individual address, a radio unit shall send a HEAD message with NSEG = '00', CSEG = '0' and I = '1' as a response. The same HEAD message shall be retransmitted by the radio unit if a further identical AHYC is received. Note that a radio unit wishing to transmit a T-message with more than one segment which receives AHYC (DESC = '000') shall respond with a HEAD message containing the first segment. After the completion of this transaction, it may then attempt to initiate transmission of the remainder of the T-message by sending one or more subsequent RQC random access request messages.

Upon receipt of a Mode 1 AHYC (DESC = '100'), with IDENT1 set to SDMI and PFIX/IDENT2 matching its individual address, a radio unit shall send a HEAD message containing either the complete T-message of an SST, or the first segment of the T-message of an MST, as a response. The same HEAD message shall be retransmitted by the radio unit if a further identical AHYC is received.

Upon receipt of a Mode 1 AHYC, with IDENT1 set to SDMI and PFIX/IDENT2 matching its individual address, and where DESC has incremented by one binary count from that in the previous AHYC message addressed to it, a radio unit shall send a HEAD message containing the next segment of the MST (note: DESC values of '101', '110' and '111' correspond to 2nd, 3rd and 4th MST segments respectively). The same HEAD message shall be retransmitted by the radio unit if a further identical AHYC is received. If a Mode 1 AHYC message is received whose value of DESC is not consistent with the correct segment order then the radio unit shall transmit ACKX (QUAL = '0').

14.4.2 Procedures for All Radio Units on a Control Channel

The procedures in this section shall be obeyed by all radio units that are equipped to accept short data messages (either SSTs or MSTs). The ability to accept short data messages is a standard option.

If flag STF in a received HEAD message is set to '0' (see 14.2.2) then the radio unit behaviour shall be in accordance with !!14.3!! but any additional procedures shall be system dependent.

If flag STF in a received HEAD message is set to '1' then the procedures below shall apply.

14.4.2.1 Receiving Individually Addressed Short Data

14.4.2.1.1 Called Unit Response to AHY Message

If a radio unit on a control channel receives an AHY message with:

- POINT set to '0',

- dates webs

÷.,

ويتواري ويروحه

1002-000

are to a special

- PFIX/IDENT1 as its individual address, and

14-11

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 187 of 214

- IDENT2 set to SDMI,

then it shall respond by sending a suitable acknowledgement; see !!9.2.2.2B!!.

If the radio unit's response is ACK (QUAL = 0) then the unit shall set at value TA and start, its individual incoming short data timer and shall wait for further signalling; see also sections 14.4.2.1.2 to 14.4.2.1.5.

14.4.2.1.2 Receiving Individually Addressed HEAD Message

If a radio unit on a control channel receives a HEAD message with PFIX/IDENT1 in the HEAD address codeword matching its individual address then it shall behave as described below.

- a. The radio unit shall accept, reject or solicit a repeat of that message by responding with a suitable acknowledgement (respectively ACK (QUAL = 0), ACKX or ACKV (QUAL = 1), or ACKB (QUAL = 1)). See !!14.3.1.1!! and also points b. to f. below.
- b. If the radio unit is in a state of waiting for further HEAD messages (see section 14.4.2.1.3) then it shall reject the received segment by responding with ACKX (QUAL = 1) if PFIX2/IDENT2 in the HEAD address codeword does not match PFIX2/IDENT from previously received HEAD messages for that transaction to which it responded with ACK (QUAL = 0) or ACKB (QUAL = 1).
- c. The radio unit shall note the value of the I flag in the MESS field of the first data codeword.

If the radio unit:

d.

- is not in a state of waiting for further HEAD messages (see section 14.4.2.1.3), or
- is awaiting retransmission of a HEAD message with I set to '1' which it solicited using ACKB (QUAL = 1), or
- is in a state of waiting for further HEAD messages, having received a HEAD message with I set to '1' and NSEG set to '00', then it shall reject the received HEAD message if the I flag is set to '0' by responding ACKX (QUAL = 1).

If the radio unit has sent ACK (QUAL = 0) or ACKB (QUAL = 1) in response to a previously received HEAD message for that transaction containing I set to '0', then it shall reject the received HEAD message if the I flag is set to '1' by responding ACKX (QUAL = 1).

The radio unit shall note the value of LEN in the HEAD address codeword. For LEN = '00' or '01' the radio unit shall not expect to receive NSEG in the appended data codewords but shall behave as though its value had been '00' (see !!15.6.2!!).

14-12

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 188 of 214

The radio unit shall record the value of the NSEG control field in the third data codeword if the HEAD message contains three or four data codewords.

e.

f.

g.

and service

1. N. 10.

•7

If the radio unit is in a state of waiting for further HEAD messages (see section 14.4.2.1.3) then:

the HEAD message shall be deemed to be a repeat transmission of the last received HEAD message if both have identical values of NSEG;

the segment contained in the HEAD message shall be deemed to be the first transmission thereof to be received by the radio unit if the value of NSEG has been decremented by one binary count from that of the last received HEAD message.

The radio unit shall reject any received HEAD message by sending ACKX (QUAL = 1) where the value of NSEG:

- has been incremented since the last received HEAD message, or
- has been decremented by more than one binary count since the last received HEAD message, or
- has been decremented and the previous segment has not been decoded successfully.

If the radio unit is not in a state of waiting for further HEAD messages then any value of NSEG is valid.

If the HEAD message contains one or more corrupted data codewords, the radio unit may extract uncorrupted data codewords from this and subsequent retransmissions thereof, until it is able to assemble a complete and uncorrupted segment. If a retransmission of the HEAD message is required, then the radio unit shall respond with ACKB (QUAL = 1).

When the segment has been assembled, the radio unit shall respond with either ACK (QUAL = 0) or ACKX (QUAL = 1) as appropriate.

If the radio unit responds to the HEAD message with ACK (QUAL = 0) or ACKB (QUAL = 1), it shall set at value TGI and start its individual incoming short data timer and shall wait for further signalling; see also section 14.4.2.1.3.

For other responses, the radio unit shall leave its individual incoming short data timer in its existing state (either running, or not set, as appropriate).

The radio unit shall offer the complete T-message to the user when a HEAD message wit NSEG = '00' has first been successfully decoded (or its associated segment has been assembled as in point f. above). The T-message shall be formatted in accordance with the GFI bits in the MESS field. The radio unit shall then continue to wait as defined in section 14.4.2.1.3.

14-13

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 189 of 214

### 14.4.2.1.3 Individual Time-out TGI/TA

Radio units shall operate an individual incoming short data timer which shall be set to either of two different values as described below.

The timer shall be set to a value TA and started or restarted when the radio unit responds<sup>\*</sup> with ACK (QUAL = 0) to an AHY message with IDENT2=SDMI. The timer shall be set to a value TGI and started or restarted when the radio unit responds with ACK (QUAL = 0) or ACKB (QUAL = 1) to a HEAD message.

A radio unit is in a state of waiting for further signalling for an incoming individually addressed short data transaction if its individual incoming short data timer is running. A radio unit is in a state of waiting for further HEAD messages if this timer is running and the radio unit has received and responded to an earlier HEAD message with ACK (QUAL = 0) or ACKB (QUAL = 1) during that transaction.

A radio unit waiting for further signalling for an incoming individually addressed short data transaction (SST or MST) shall assume that no further signalling will be received for that transaction if its individual incoming short data timer expires.

Thereupon, if the T-message has not been fully decoded, the short data transaction shall be deemed to have failed and the radio unit may generate an appropriate indication to the user. The radio unit may offer an incomplete T-message to the user only if a suitable warning of incompleteness is included with the data presented to the user.

Note: if the T-message has been fully decoded, then it already should have been offered to the user at that time (see 14.4.2.1.2).

After aprify of the individual icon migos short data timer the readio unit shall discard the recorded values of the control fields and shall assume that future HEAD and AHY (IDENT2 = SDMI) messages are for another transaction.

The value of TGI is network dependent and shall be programmable as part of a radio unit's personality with a range of 1 to 15 seconds in 1 second steps. The recommended value for TGI is 5 seconds. For the permissible value for TA, refer to section 6.

14.4.2.1.4 Receiving AHYX Message

If, whilst waiting for further signalling for an incoming individually addressed short data - transaction, a radio unit receives an AHYX message with:

- PFIX/IDENT1 as its individual address, and

- IDENT2 set to SDMI

CAN BRAN

цŝ

S. 14

then it shall respond with ACK (QUAL = 1) as defined in !!9.2.2.4!! and shall cease to wait for further signalling. It shall terminate the individual incoming short data timer (TGI or TA), discard any segments decoded in connection with that transaction, discard the recorded values of the control fields and assume that future HEAD and AHY (IDENT2 = SDMI)

14-14

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 190 of 214

messages are for another transaction.

This use of AHYX is in addition to the MPT1327 prescribed functions.

### 14.4.2.1.5 Ignoring Group Call GTC Messages

A radio unit waiting for further signalling for an incoming individually addressed short data transaction shall ignore any received GTC message unless the unit is individually addressed by that GTC message (either by PFIX/IDENT1 or by PFIX/IDENT2) or IDENT1 is set to ALLI.

This requirement is in addition to the procedure in !!9.2.2.5!!.

### 14 4.2 1.6 Marinaning Tinters.

A radio unit waring for hitther signating for an incoming short data transaction shall maintain any felevaritation erspineliding incontrol dual incoming short data timer, as presented in sections 9.4.2, where leaving the control chamel on which this currently detice.

4.4.7.2 Receiving Short-Data Addressed in a Group

14.4.2.2.1 Receiving HEAD Message Addressed to a Group-

If a radio unit on accontrol channel receives a HEAD message with PFIX20DENT2 not may longers individual address and

 PEIN TOTAN US must hing since of its group addresses (to shar system) or EIDEN11 set to the system wide all-call ident ALEL

then it may follow the procedures outlined in this section but shall transmit no response. For the purposes of this section, the term "group-addressed HEAD message" shall mean any HEAD message shaddressed.

If a radio unit receives a group-addressed Hi AD message containing the Hag set to T1 and NSEQ is set to 100 for not included, then this message constitutes an SST. If a radio unit receives a group addressed HEAD message containing NSEG set to a value other than '00' a radio or 1 is set to 30' then this message constitutes part of an MST.

If a radio unif receives a group-addressed HEAD message whilst in the state of waying for further signalling for a short data transaction addressed to that group, it shall ignore that message if the calling address PFIX2 (DENT2 does not match PFIX2/IDENT2 from any previously accepted HEAD message to that transaction

If a received group-addressed HEAD message contains one or more corrupted data codewords? the radio unit may extract uncorrupted data codewords? the radio unit may extract uncorrupted data codewords from inis and subsequent retransmissions, thereof, until it is able to assemble a complete and uncorrupted segment. The radio unit shall use the fields PETX1/IDENT1\_PETX2/IDENT2\_LEN\_L and NSEG to identify repeat transmissions and to determine whether all segments of a T-message have

14.15

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 191 of 214

#### been decoded as follows:

St. Sugar

States in the second

- a. If a received HEAD message contains the I flag set to '0' and the radio unit is not in the state of waiting for further signalling for a short data transaction addressed to this group, then the unit shall deem that insufficient segments will be received for, that transaction to assemble a complete T-message.
- b. The radio unit shall note the value of LEN in the HEAD address codeword of a received group-addressed HEAD message. For LEN = '00' or '01' the radio unit shall not expect to receive NSEG in the appended data codewords but shall behave as though its value had been '00' (see !!5.6.2!!).

c. The radio unit shall record the value of the NSEG control field in the third data codeword of a received group-addressed HEAD message if it contains three or four data codewords.

If the radio unit is in a state of waiting for further signalling for a short data transaction for that group (see section 14.4.2.2.2) then:

- the HEAD message shall be deemed to be a repeat transmission of the last received HEAD message for that group if both have identical values of NSEG.
- the segment contained in the HEAD message shall be deemed to be the first transmission thereof to be received by the radio unit if the value of NSEG has been decremented from that of the last received HEAD message for that group.

The radio unit shall deem that insufficient segments will be received to assemble a complete T-message where the value of NSEG:

- has been decremented by more than one binary count since the last received HEAD message, or
- has been decremented and the previous segment has not been decoded successfully.

After accepting a group-addressed HEAD message the radio unit shall then wait for further - HEAD messages for that transaction (see 14.4.2.2.2).

When a HEAD message with NSEG set to '00' has first been successfully decoded (or its associated segment has been assembled) then, if the T-message is complete, the unit shall offer the T-message to the user. Otherwise, if the T-message is incomplete, the radio unit may offer the received data to the user only if a suitable warning of incompleteness is included with the data presented to the user. The T-message or segments thereof shall be formatted in accordance with the GFI bits in the MESS field. The radio unit shall then continue to wait, as defined in section 14.4.2.2.2.



ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 192 of 214

### 14.4.2.2.2 Group Time-out TGG

A called radio unit waiting for further signalling for a short data transaction addressed to a group shall assume that no further signalling will be received for that transaction (SST or MST) if a time TGG has elapsed since the last HEAD message it received for that transaction. The radio unit shall discard the recorded values of the control fields and shall assume that any future HEAD messages are for another transaction.

Thereupon, if the T-message has not been fully decoded, the short data transaction shall be deemed to have failed. The radio unit may offer an incomplete T-message to the user only if a suitable warning of incompleteness is included with the data presented to the user.

The value of TGG is network dependent and shall be programmable as part of a radio unit's personality with a range of 1 to 30 seconds in 1 second steps. The recommended value for TGG is 10 seconds.

### 14.4.2.2.3 Maintaining Timers

A radio unit waiting for further signalling for an incoming short data transaction shall maintain any relevant timers, including its group incoming short data timer, as prescribed in section 9.4.2, when leaving the control channel on which it is currently active.

### 14.5 An Example of The Procedure for Extended Data Messages

In order to illustrate the use of the procedure in section 14, a typical message interchange is shown in Figure 14.1. The example shows a successful Multiple Segment Transaction linking three segments. An SST would be similar to the transmission of the first segment of the MST, but with the appropriate field values changed in the HEAD message. This example is illustrative only and does not form part of the specification.

In the example, an availability check is carried out on the called radio unit and some segment repeats are shown.

14-17



| 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 - 100 | 1  >               |        | 2  ><br><  3                |                  |
|-----------------------------------------------------------------------------------------------------------------|--------------------|--------|-----------------------------|------------------|
| REQ                                                                                                             | < 4  >             |        |                             | C<br>A           |
| U<br>E<br>S<br>T                                                                                                | <  6  <br>  7  >   |        |                             | L<br>L<br>E<br>D |
| I<br>N<br>G                                                                                                     | <  8  >            |        |                             | P<br>A           |
| P<br>A                                                                                                          | <  10  <br>  11  > | T<br>S |                             | R<br>T<br>Y      |
| R<br>T<br>Y                                                                                                     |                    | С      | 12  ><br><  13              |                  |
|                                                                                                                 |                    |        | 14  ><br><  15  <br>  16  > |                  |
|                                                                                                                 |                    |        | 17  ><br><  18              |                  |
|                                                                                                                 | <  21              |        | 19  ><br><  20              |                  |
|                                                                                                                 |                    |        | 1                           |                  |

### Descriptions of message interchanges

-- 32-

ijan Selation

1.00

39996

- 11: An RQC message requests a short data transaction (Assuming extended addressing is not required). The value of SLOTS in the RQC is '11', indicating that three slots are required for the HEAD message containing the first segment of the MST.
- |2|: An AHY (POINT=0) message checks the availability of the called radio unit (optional).
- 3: An ACK (QUAL=0) message indicates general acknowledgement of the AHY.

[4]: Any AHYC message is sent to the requesting radio unit with DESC set to '100'. The first bit of DESC indicates that MSTs are supported. The second and third bits indicate that the first segment is required. SLOTS is set to '11' to indicate that three slots have been reserved for the HEAD message containing the first segment of the T-message.

14-18

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 194 of 214

- [5]: A HEAD message containing the first segment of the MST is sent. The GFI field indicates the format of the T-message data. NSEG = '10' indicates that two more segments are yet to be sent. CSEG = '1'indicates that three slots are required for the HEAD message containing the next segment.
- [6]: An AHYC message with DESC '101' solicits a HEAD message containing the second segment of the MST. SLOTS is set to '11' to indicate that three slots have been reserved for the HEAD message.

Second St.

- [7]: A HEAD message containing the second segment of the ST is sent. NSEG = '01' indicates that one more data segment is to be sent. CSEG = '0' indicates that two slots are required for the HEAD message containing the next segment.
- [8]: An AHYC message with DESC = '101' solicits a repeat of the second segment of the MST. SLOTS is set as in [6].
- [9]: A HEAD message containing the second segment is retransmitted as in [7].
- [10]: An AHYC message with DESC = '110' solicits a HEAD message containing the third segment of the MST. SLOTS is set to '10' to indicate that two slots have been reserved for the HEAD message.
- 1111: A HEAD message containing the third segment of the MST is sent. Since the message only contains two data codewords, NSEG (which is transmitted in the third codeword when present) is not sent. The TSC behaves as though its value had been '00'.
- 12: The TSC sends a HEAD message containing the first segment of the MST to called party. LEN is set to '11' to indicate that four data codewords are appended to the HEAD codeword and NSEG in the third appended data codeword is set to '10' to indicate that two segments of the MST are to follow.
- [13]: An ACKB (QUAL=1) message indicates that the called party required the first segment to be transmitted.
- [14]: The TSC sends a HEAD message containing a repeat of the first segment as in [12].
- 15: An ACK (QUAL=0) message indicates successful receipt of the HEAD message by the called radio unit.
- 16: The TSC sends a HEAD message containing the second segment of the MST. LEN is set to '11' to indicate that four data codewords are appended to the HEAD codeword and NSEG in the third appended data codeword is set to '01' to indicate that one segment of the MST is to follow.
- 17: No acknowledgement is received by the TSC in the subsequent slot so a HEAD message containing a repeat of the second segment is transmitted as in 16.

14-19

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 195 of 214

- 18: An ACK (QUAL=0) message indicates successful receipt of the HEAD message by the called radio unit.
- 19: The TSC sends a HEAD message containing the final segment of the MST. LEN is set to '01' to indicate that two data codewords are appended to the HEAD codeword. Since the message only contains two data codewords NSEG (which is transmitted in the third codeword when present) is not sent. The radio unit behaves as though its value had been '00'.
- |20|: An ACK (QUAL=0) message indicates successful receipt of the HEAD message by the called radio unit.
- [21]: An ACK (QUAL=0) message is sent by the TSC to the calling party to indicate that the transaction has been successfully completed. This acknowledgement may be repeated for reliability.

-

-

14-20

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 196 of 214

### **APPENDIX A: ERROR RATE PERFORMANCE**

#### A.1 <u>Definition</u>

Same

The receiver FSK demodulator error rate performance is measured in terms of the success rate of Ahoy codewords (see MPT 1327 section 5.5.3.2 for the definition of Ahoy codewords).

### A.2 <u>Method of Measurement</u>

The unit under test shall be programmed to respond to the PFIX/IDENT given in Figure A-2. It shall also be programmed to scan a channel which satisfies the value of CHAN4 specified in Figure A-2 (i.e. the least significant 4 bits of the CHAN field shall match CHAN4).

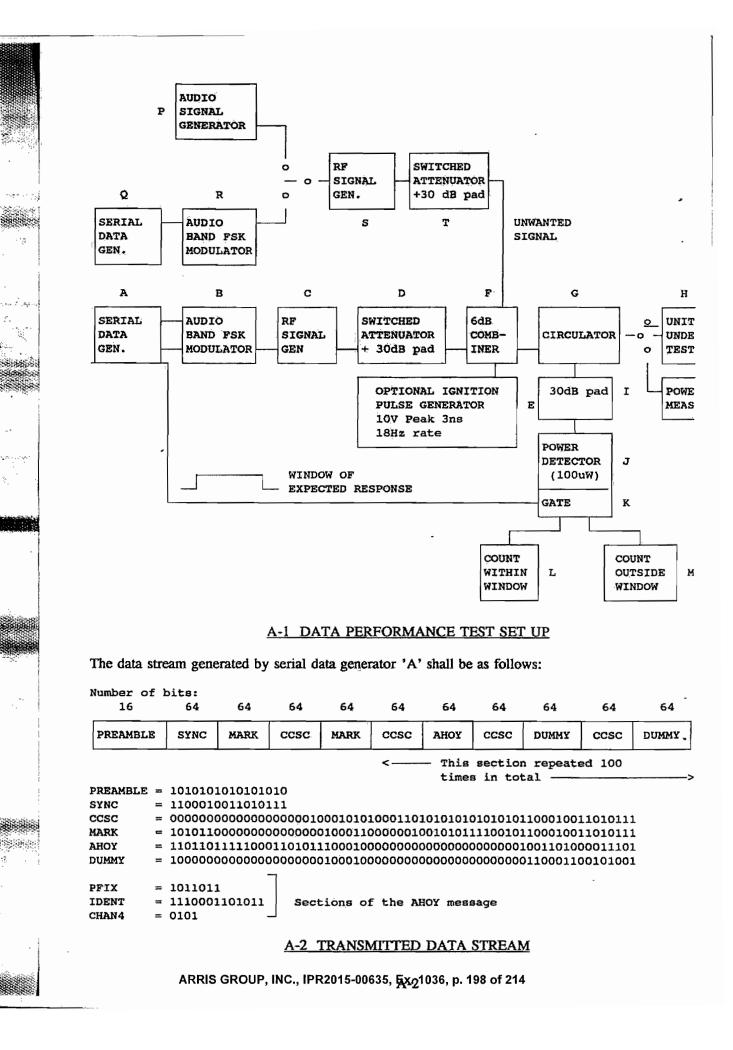
A block diagram of the test set up is shown in Figure A-1. Serial data generator 'A' produces a test data stream of the form shown in Figure A-2. This data stream is fed to audio band FFSK modulator 'B', which modulates the data stream according to MPT 1323 section 6.1.1. The modulated audio signal is fed to RF signal generator 'C'. RF signal generator 'C' is set up to produce a signal of carrier frequency equal to the nominal frequency of the receiver, and modulated to a peak frequency deviation of  $\pm 1.5$ kHz. The resulting RF signal is fed through attenuator 'D' to resistive combiner 'F' where it is combined with simulated ignition pulses from generator 'E'. Ignition pulse simulator 'E' produces a stream of pulses of 10 volt peak and duration <3ns at a 18Hz rate. The combined signal is fed through circulator 'G' to the input terminals of the unit under test 'H'. The transmissions from unit under test 'H' pass through the circulator 'G' to RF load 'I' which feeds power detector 'J'. Power detector 'J' is designed to ignore the pulses from ignition simulator 'E'. If power above the threshold is detected by 'J', either counter 'L' or counter 'M' is incremented, depending upon whether serial data generator 'A' indicates that a transmission is expected. Power measurement device 'N' is used to calibrate the power level received by unit under test 'H' by switching out attenuator 'D'. For co-channel interference tests, the unwanted audio signal is generated either in audio signal generator 'P' or by serial data generator 'Q' and audio band FSK modulator 'R' for the case of interfering data. The interfering audio is modulated by RF signal generator 'S' and attenuated to the required level of interference switched attenuator 'T'. The resulting unwanted RF signal is then fed to resistive combiner 'F' where it is combined with the wanted signal.

Circulator 'G' shall have a continuous power handling capability of 100 Watts, and shall have a 1dB bandwidth of at least 40MHz centred on 200MHz, i.e. it shall have less than 1dB amplitude response variation over the frequency range 180MHz to 220MHz.

Test power levels at the input terminals of unit under test 'N': Level A: +2dB relative to 1uV pd (+8dB relative to 1uV emf or -105dBm) Level B: -5dB relative to 1uV pd (+1dB relative to 1uV emf or -112dBm) Level C: +8dB relative to 1uV pd (114dB relative to 1uV emf or -99dBm)

A-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 197 of 214





`~S\$85

۹

ž

્ નચારાયત્વર કું

The window of expected response is defined in MPT 1327, section 6 (pages 6-7). The boundaries of the window are 20 bits and 14 bits after the start of CCSC as shown below:

| АНОУ | ccsc        |          | DUMMY        | C   | CSC    | DUMMY |
|------|-------------|----------|--------------|-----|--------|-------|
|      | <-> 20 bits |          |              | <-> | 14 bit | ts    |
|      |             | EXPECTED | TRANSMISSION | 1   |        |       |

Following the transmission of the data stream of Figure A-2, count 'L' and count 'M' shall be recorded. Between each test listed below in A.3, the counters are reset.

A.3 Limits

A.3.1 <u>Test 1</u>

Test conditions:

i. Transmit power level A.

ii. Ignition simulator switched OFF.

iii. Co-channel interference switched OFF.

Count 'L' shall be not less than 99. Count 'M' shall be zero.

A.3.2 <u>Test 2</u>

Test 2 is optional.

Test conditions:

i. Transmit power level A.

ii. Ignition simulator switched ON.

iii. Co-channel interference switched OFF.

Count 'M' shall be zero. Count 'L' shall be not less than 89.

A.3.3 <u>Test 3</u>

Test conditions:

i. Transmit power level B.

ii. Ignition simulator switched OFF.

iii. Co-channel interference switched OFF.

Count 'L' shall be not less than 89. Count 'M' shall be zero.

A-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 199 of 214

A.3.4 Test 4 Test conditions: ì. Wanted signal transmit power level C. ii. Ignition simulator switched OFF. iii. Co-channel interference switched ON. Audio signal generator 'P' shall be used to inject a frequency of 400Hz. RF signal generator 'S' shall modulate the signal to 60% of the maximum peak frequency deviation designated in section 5.3.2 of MPT 1323. The level of unwanted signal, as set by attenuator 'T', shall be 10dB below the level of the wanted signal supplied through attenuator 'D'. Count 'L' shall not be less than 89. Count 'M' shall be zero. The test shall be repeated with the carrier generated by RF signal generator 'S' offset by  $\pm 1200$  Hz from the nominal frequency. Count 'L' shall not be less than 89. Count 'M' shall be zero. A.3.5 Test 5 Test conditions: î. Wanted signal transmit power level C. Ignition simulator OFF. ii. iii. Co-channel interference switched ON. Serial data generator 'Q' shall be used to generate a 511 bit test pattern, in accordance with CCITT recommendation V52, at a rate of 1200 bits/second. This pattern is then fed to audio band FSK modulator 'R' to provide an FFSK signal in compliance with section 6.1 of MPT 1323. RF signal generator 'S' shall modulate the signal to 60% of the maximum peak frequency deviation designated in section 5.3.2 of MPT 1323. The level of the unwanted signal, as set by attenuator 'T', shall be 10dB below the level of the wanted signal supplied through attenuator 'D'. Count 'L' shall not be less than 89. Count 'M' shall be zero. The test shall be repeated with the carrier generated by RF signal generator 'S' offset by  $\pm 1200$  Hz from the nominal frequency. Count 'L' shall not be less than 89. Count 'M' shall be zero. A-4

Const ....

et ....

48888 ·····

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 200 of 214

### A.3.6 <u>Test 6</u>

Test conditions:

- i. Transmit power Level A.
- ii. Ignition simulator OFF
- iii. Co-channel interference switched OFF.
- iv. The prefix/ident section of the AHBOY codewords is selected to differ by 1 bit from the prefix/ident of the unit. The parity is then set to give a valid codeword. The location of the bit difference is changed for successive AHOY codewords so that each of the 20 bit differs are sent 5 times in the stream of 100 AHOY messages.

The list of modified AHOY codewords is as follows:

Count 'L' shall be zero. Count 'M' shall be zero.

- X 3 8 2 1

A-5

### APPENDIX B: TIMING AND DEFAULT PARAMETERS

### B.1 Default Parameters

| Timing<br>Parameter | MPT1327<br>default | MPT1343<br>Value | Function                                                                             |
|---------------------|--------------------|------------------|--------------------------------------------------------------------------------------|
| ND1                 | 2                  | 3                | Number of disconnect messages sent<br>by individually addressed radio<br>unit        |
| ND2                 | 4                  | 5                | Number of disconnect messages sent<br>by calling radio unit                          |
| NE                  | 16                 | 16               | Maximum number of random access<br>transmissions of RQE                              |
| NI                  | 4                  | 4                | Maximum number of include request access attempts                                    |
| NR                  | 8                  | 8                | Maximum numberof random access<br>transmissions of RQS, RQD, RQX,<br>RQT, RQR or RQQ |
| NW                  | 4                  | 5                | Response delay (in slots)                                                            |

The requirement for the storage of parameters that may vary from network to network such as LA, LZ, NC1, NC2, NT, NV1, NV2, NX1, NX2, NZ1 and NZ2 are specified in section 6.

# 

er sed

ų

\*\*\*\*\*\*\*

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 202 of 214

### B.2 <u>Timing Parameters</u>

10 1-10 (BBB)

| Timing<br>Parameter | MPT 1327<br>Default | MPT 1343<br>Value | FUNCTION                                                                                                        |
|---------------------|---------------------|-------------------|-----------------------------------------------------------------------------------------------------------------|
| тв                  | 2 в.                | 2 s               | Time barred from calling same ident •<br>after ACK/ACKX/ACKV or any ident after<br>ACKT/ACKB                    |
| TF                  | -                   | 180 s             | Value of TS in fall-back mode                                                                                   |
| TI                  | 2 в                 | 2 5               | Include timer                                                                                                   |
| TP                  | 5 8                 | 5 s               | Maximum interval between periodic<br>messages (within speech items) to be<br>assumed at switch-on or equivalent |
| тх                  | -                   | 180 s             | Value of TC in fall-back mode                                                                                   |
| TR                  | -                   | 500 ms            | Call set-up Data Keyline delay                                                                                  |

The requirements for the storage of timing parameters which may vary from network to networl such as TA, TC, TD, TJ, TN, TS, TT and TW are specified in section 6.

B-2

### ANNEX AN1 CLARIFICATION OF RADIO UNIT OPERATION ON TIME SHARED CONTROL CHANNELS

In this specification the term "time-shared control channel" refers to a control channel where multiple base station transmitters (whether co-sited or multi-sited) share one radio frequency for control purposes by dividing the use of the frequency in time. Each period of transmission from a base station transmitter is referred to as a burst.

For clarity, multi-site time-shared control channels are assumed in this Annex.

### AN1.1 Purpose of ANNEX

The purpose of this annex is to clarify and explain the activity of radio units when operating on time-shared control channels whilst complying with section 9 of this specification. It does not, in itself, constitute a part of the requirements of this specification and does not change the requirements of this specification in any way.

The matters discussed as relevant are:

- the general principles of the detection of synchronisation loss and subsequent resynchronisation,
- error checking on a control channel,
- control channel acquisition

and

عرودي ويوني ويدورها

: 54

the use of SYS codes on time-shared control channels.

AN1.2 Identification of, and operational changes on, time-shared control channels

This specification recognises that particular problems apply in making valid error measurements on time-shared control channels. These problems occur during sampling prior to confirmation and during continuous monitoring after confirmation. They are associated with discontinuous reception of the forward control channel which the radio unit is likely to encounter.

One mechanism which can be employed by network operators to compensate for these problems is to select the values of NV, NC, NX and NZ accordingly. Thus a network operator employing time-shared control channels may specify quite different values of these parameters from one employing continuous control channels, and the allowable ranges of these parameters have been set accordingly. The use of this mechanism would however be problematic for a network operator employing a mix of continuous and time-shared control channels, since he may wish to specify different parameter values for each type of channel. This problem is foreseen by this specification, and two sets of the parameter values (one for time-shared control channels and one for continuous control channels) may be set in the radio

AN1-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 204 of 214

unit. The radio unit uses the values appropriate to a continuous control channel unless it has reason to believe that the control channel being monitored is time-shared.

To facilitate this, each channel in the normal hunt list is marked by a time-shared flag (named TSI) which also appears in MOVE messages, CLEAR messages and any BCAST message which may identify a control channel for possible later use by the radio unit. BCAST (SYSDEF = '00000'), BCAST (SYSDEF = '00100') and BSCAST (SYSDEF = '00101'). The flag causes the radio unit to change the followingoperating parameters when set to the "time-shared" state:

- NV Number of consecutive received CCSCs to select a value of SYS for verification,
- NC1 size of error check sample prior to confirmation,
- NX1 error codeword limit prior to confirmation,
- NC2 size of error check sample after confirmation,
- NX2 error codeword limit after confirmation.

NZ1, NZ2, NC1, NX1, NC2 and NX2 are employed in the error analysis procedures used for control channel acquisition and control channel quality monitoring purposes. Their use is discussed in detail in section AN1.4.

NV is used in control channel acquisition and is further discussed in section AN1.5.

Another operational difference is that a time period TS is mandated for use when searching for a valid SYS code during control channel identification on time-shared control channels (see 9.3.4.1). No specific time value is mandated for continuous control channels. This operational difference is detailed in section AN1.5.

### AN1.3 Synchronisation loss and subsequent re-synchronisation

Each reference to a control channel in this section (AN1.3) of this Annex applies to one which has already been verified and references to codewords apply to codewords after any - error correction has been performed. The rules defined in 9.3 and 9.4 override any of the descriptions in this section ie if a criterion for rejecting or relinquishing the channel is met any attempt at re-synchronisation is abandoned.

#### AN1,3.1 Discontinuous control channels

!!6.2.1.2!! states that a radio unit shall be capable of satisfactory operation on a control channel which has interruptions of duration less than TS seconds (where slot timing might not be maintained across interruptions) and where CCSCs are displaced by data codewords in up to two consecutive time slots. Section 11.6.2.1.2 states that !!6.2.1.2!! is mandatory as specified. The title of this section in MPT 1327 is "Retaining a control channel" and

AN1-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 205 of 214

therefore an MPT 1343 radio unit shall not relinquish such a channel unless the criteria specified in 9.4 are met.

Some clarification is required here to indicate how a radio unit can cope with such interruptions in a control channel and continue with the error checking defined in 9.3.4.3. This explanation is more general and covers interruptions in reception of control channel signalling across which synchronisation is not guaranteed. It is pertinent to both continuous and discontinuous control channels and therefore also to time-shared control channels.

In order to cope with the above situation a radio unit must have some means of determining that synchronisation has been lost and some means of re-synchronising on that channel.

It is considered that the guidelines for achieving synchronisation in 9.3.4 are adequate. The procedures for synchronisation are employed when identifying a candidate control channel whilst hunting. The procedures for re-synchronising are employed whenever synchronisation has been lost on a control channel.

### AN1.3.2 Criteria for determining synchronisation loss and subsequent resynchronisation

A radio unit may lose bit synchronisation, codeword synchronisation or slot synchronisation. There is no concept of frame synchronisation: a radio unit considers itself either to be in a frame or not to be in a frame. It is important to note that, when performing a random access attempt, a radio unit must maintain information regarding its slot position within a frame. This information must be maintained even if the radio unit transmits data (see !!7.3.5!! para. 2) or loses synchronisation (no allowances are made for loss of synchronisation in the random access rules).

والأفري بالجزيز المحالي

A radio unit operating to MPT 1327 must have the capability of determining that synchronisation with the received control channel data has been lost or is no longer guaranteed and must also have the capability of re-synchronising without losing the slot numbering information of the currently monitored frame, if any.

If slot synchronisation is monitored by the radio unit and some mechanism detects loss of slot synchronisation then a bit or codeword re-synchronisation procedure may commence. This process would also cope with loss of bit and loss of codeword synchronisation as either of these would cause loss of slot synchronisation and would be detected and corrected in the same way. If bit synchronisation cannot be guaranteed the re-synchronisation procedure should commence at the bit synchronisation stage otherwise the re-synchronisation procedure can commence at the codeword synchronisation stage. In each of these cases slot resynchronisation would be achieved provided that control channel data is receivable. It should be noted that detection of synchronisation loss and subsequent re-synchronisation should be optimised when on time-shared control channels as a radio unit should detect the end of a control channel data burst as soon as possible. Such a mechanism may cause resynchronisation to take place frequently and an alternative mechanism may be employed on non time-shared control channels to reduce this effect.

#### ANI-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 206 of 214

The criteria for determining that synchronisation has been lost are not specified in MPT 1327 or section 9. One such mechanism for determining loss of slot synchronisation could be as follows.

Check the first codeword in the slot, if the codeword is not decodable and the CRC does not contain the SYNC pattern then assume that synchronisation has been lost but continue to attempt to decode codewords on the basis of the last SYNC received until a new SYNC is received; the exception to this is where the radio unit, from interpretation of messages on the forward control channel (eg the receipt of a HEAD codeword), expects the codeword not to be a CCSC as the result of displacement of the CCSC by a data codeword and thus does not regard failure of the CRC to match the SYNC pattern as a loss of synchronisation. (It should be noted that this strategy carries the risk that, if the radio unit is unable to predict the displacement of a CCSC by a data codeword and that codeword is received corrupted, it may seek to re-synchronise unnecessarily and could find SYNC mimicked within a subsequent codeword. However such false synchronisation should be rare and should soon be detected and rectified by the radio unit). Also, in addition to the above criteria, the radio unit may assume loss of synchronisation if the first codeword in the slot is decodable and the most significant bit is '1'.

Even when a radio unit assumes that synchronisation has been lost it shall continue to consider each consecutive group of 64 bit positions to be a codeword. It shall continue to perform any error analysis which may be in progress whilst at the same time scan for synchronisation. Achieving re-synchronisation does not reset any error count in progress. Any incompletely received codeword at the time when re-synchronisation is achieved may be, for the purposes of any error analysis, either considered as an errored codeword or ignored.

- AN1.4 Error checking on a control channel
- AN1.4.1 Error checking philosophy

Burne and

This specification employs the measurement of codeword error rates as the means of assessing the received control channel quality. This is convenient since the radio unit is required by the protocol to attempt to decode every received codeword when it is tuned to the forward control channel and the first step in this process is to validate the error checking sequence in bits 49 to 64. Thus the radio unit is equipped for codeword error checking by. virtue of meeting the basic requirements of MPT 1327. The codeword error rate is determined by successive counts of blocks of codewords; the number of codewords which fail the validation of the error checking sequence in any block being the measure of the codeword error rate.

Since the error checking sequence employed by MPT 1327 provides some capability for error correction, MPT 1343 allows the radio unit to count any corrected codeword as unerrored.

An essential feature of the error monitoring procedures specified by this specification is that monitoring, once started, is a continuous process until stopped or suspended for some other reason (eg the radio unit leaves the control channel). Thus even when the radio unit is unable to detect recognisable signals on the received control channel (eg it fails to receive

AN1-4

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 207 of 214



C. S. Gam

the codeword synchronisation sequence) it is required to continue the assessment until such time as the radio unit determines that it should relinquish the channel, according to the rules of this specification. It does this by retaining the bit, codeword and slot timing which it last received from the forward control channel prior to loss of signal. On the basis of this retained timing every first and second half of each slot is examined for a codeword. Failure to decode a codeword, for any reason, is recorded as a codeword error.

### AN1.4.2 Summary of error checking procedures on a control channel

The radio unit employs three parameters to control the manner in which error monitoring is carried out. These are NC, NX and NZ:

NC is the number of contiguous positions in which codewords are expected (ie the first and second halves of slots) which shall be monitored in each codeword sample.

NX is the number of errored codewords which must be exceeded in the count of NC codewords before the sample of NC codewords is considered as yielding a codeword sample error event.

The combination of NC and NX, accordingly, set the error threshold at which the control channel performance is considered inadequate. In order to allow different error criteria to be applied to the assessment of a control channel for sampling during hunting and to the continuous monitoring after confirmation to determine when the radio unit should relinquish the channel, two values of NC and NX are specified. Parameters NC1 and NX1 are employed for sampling during hunting and NC2 and NX2 are employed for the continuous monitoring after confirmation. The values of these would normally be selected by the network operator to provide a more stringent error performance requirement for sampling during hunting than for the continuous monitoring after confirmation.

A further parameter NZ is specified to allow further samples to be taken to improve the averaging of the error sample. As with NC and NX to values are specified, NZ1 and NZ2, but as well as having possible different values, NZ1 and NZ2 are employed differently in the error monitoring process:

NZ1 is employed by the radio unit for error checking when sampling during hunting. It is the number of contiguous samples of NC1 codewords without a codeword sample error event which must be recorded before the control channel being sampled may be confirmed.

NZ2 is employed by the radio unit for error checking when sampling during continuous monitoring of the control channel after confirmation. Following the first sample error event it is the number of further contiguous samples of NC2 codewords each with a codeword sample error event, which must be recorded before the radio unit may relinquish the control channel on the grounds of unacceptable codeword error rate.

#### AN1.4.3 Examples of error checking on time-shared control channels

This section considers the possible application of the error monitoring procedures provided by this specification. Figure AN1.1 illustrates a simple time-shared control channel provided

AN1-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 208 of 214

1996 - 19**1**8

by three sites with an equal duration burst from each site (equivalent to twenty codewords). There is a blank period between any two bursts of duration equivalent to five codewords to accommodate tolerances and equipment switching delays.

Four samples of the forward control channel, as received by the same radio unit at different instances of time, are shown in the figure and are labelled Case I, Case II, Case III and Case IV. Each of these samples starts at the instant immediately before the next transmission. The sample duration is therefore 75 codewords (20 + 5 + 20 + 5 + 20 + 5).

In the figure, codewords received by the radio unit unerrored are indicated by white and those received errored are indicated by black. Since the blanks between transmissions will be interpreted by the radio unit as errored codewords these blanks are coloured black in the figure.

In Case I the radio unit is receiving a good signal (one errored codeword) from site B but no signal at all from sites A and C.

In Case II the radio unit is receiving a good signal from site B (one errored codeword) and inadequate signals from A and C (eight errored codewords each).

In Case III the radio unit is receiving an approximately equal quality of signal from all three sites (A has four errored codewords, B seven and C six). It is assumed for this example that this level of errored codewords is too high for reliable communication with any site.

It should be noted that, whilst II and III represent totally different situations, the total number of errored codewords received in the sample taken by the radio unit is equal (31 in each case).

In Case IV the radio unit is receiving a good signal from two of the sites (B and C) and an inadequate signal from site A.

The case of a radio unit error monitoring after confirmation shall be considered, with NC2 set to 75 to correspond to the sample length. The network operator is required to select an appropriate value of NX2. In calculating this value it seems appropriate to consider Case I, since this is likely to be a common occurrence. If it is assumed that three errored codewordsin any burst from a single site represents the maximum tolerable error level, then in order to detect this level in the burst from site B the value of NX2 should be set to 58 (ie assuming all errored codewords outside the burst from site B plus three within the burst = 55 + 3).

If it is assumed that sites A, B and C all radiate the same value of SYS code then a radio unit set with NC2 = 75 and NX2 = 58 will not register a codeword error event in Case I. It will also not register such an event in Case II, since the number of errored codewords will be 31. The same will be true of Case III. This is clearly an undesirable result since the radio unit should register a codeword error event in Case III because reliable communication is not likely. It is clear that whatever the chosen value for NX2, the radio unit will not be able to differentiate between cases II and III.

### AN1-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 209 of 214

This problem may be solved if the three sites radiate different values of SYS code. Assuming that the radio unit holds the value radiated by site B as the valid value of SYS, then it will count as errors all codewords received from sites A and C, irrespective of the actual received condition. In Case III this will result in a total error count of 62 codewords resulting in a codeword error event. In Case II the error count will be 56 which will not result in a codeword error event. Thus the required differentiation between cases II and III will be achieved.

However, this solution does have a disadvantage which is illustrated by Case IV. Here the radio unit has an equal choice of two sites (B and C). If all sites radiate the same value of SYS code then the radio unit may access either site thus doubling the total time available to it for system access. If, however, the three sites radiate different SYS codes the radio unit will not be able to access both sites B and C (since the value of SYS received from only one of those sites will be the one used in verification - refer to 9.4.1(b)) and will thus not be able to take advantage of the increased access time offered.

### AN1.5 Control channel acquisition

The operational differences between a radio unit acquiring a time-shared control channel (ie one which the radio unit expects to be time-shared) and a radio unit acquiring a continuous control channel are as follows:

the use of NV;

the time period mandated in searching for a valid SYS code prior to verification;

error analysis.

10,000

NS- 1

The third issue was explained in section AN1.4 and the earlier two are explained here (refer to section 9.3.4.2.1).

When a radio unit is searching for a valid control channel codeword synchronisation sequence on a time-shared control channel prior to verification it should search for a period long enough to allow the radio unit to receive at least one complete burst from each site irrespective of the point at which synchronisation is originally obtained. The value TS is used by the radio unit to measure this time period and it therefore must be selected by the network operator to enable this to happen. No such time period is mandated for operation on a continuous control channel prior to verification.

A mechanism is mandated which enables the radio unit to select a good site on which to attempt verification. For a time-shared control channel which employs differing SYS codes this mechanism involves the radio unit receiving a predetermined number of identical SYS codes derived from consecutive (but not necessarily from contiguous slots) unerrored CCSCs prior to verification.

Using the examples in Figure AN1.1 where each site radiates a different SYS code and using an example value of NV (time-shared value) of 9 the following behaviour can be observed.

ANI-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 210 of 214

In Case I the radio unit will not begin verification until 9 CCSCs containing the same SYS code have been received. The radio unit may have to receive more than one burst from site B to receive 9 CCSCs; this depends upon when the radio unit acquires synchronisation on the channel.

In Case II the radio unit will again select the system on site B for verification as it will not receive 9 consecutive CCSCs containing the same SYS code from either site A or site C.

In Case III the radio unit will not receive 9 consecutive CCSCs containing the same SYS code and will reject the channel after TS seconds.

In Case IV the radio unit will select the system on either site B or site C for verification depending upon when it acquires synchronisation.

It can therefore be seen that on such a system, a value of NV should be selected by the network operator which is low enough to allow channel acquisition and is high enough to make the radio unit select a good site.

On channels where only one SYS code is used it is expected that the selected value of NV will be low to allow faster channel acquisition.

AN1.6 Use of SYS codes on time-shared control channels

Note that not all situations are considered here.

AN1.6.1 Comparison of the received and verified SYS codes

The value of SYS code used for each site operating the time-shared control channel is network dependent. The radio unit shall decode the received SYS code in accordance with Section 9.3.4 and shall compare the received SYS code with that verified during control channel acquisition authorisation in accordance with section 9.4.1(b). If bits 1-12 of the SYS code recovered from decodable control channel system codewords received differs from the value of the bits verified during acquisition authorisation the radio unit shall continue error checking and suspend any random access attempts in accordance with section 9.3.4.3 and 9.4.1(b) respectively. Irrespective of bits 1-12, if there is any discrepancy in the LAB field (bits 13-15) the radio unit should act in accordance with 9.4.1(g). It is expected that network operators will use the same value of the LAB field on all sites on a time-shared control channel.

One effect on the radio unit of different sites using different SYS codes should be noted: it will only act upon signalling from one of the sites on an acquired control channel. This is because when a radio unit acquires such a channel it commences or continues a session on only one of the systems (ie one of the sites) and it will not therefore act upon any of the codewords from the other sites (see 11.6.2.1.2) except that it will treat them as errored codewords during error analysis (see section 9.3.4.3).

NR6638863383

AN1-8

ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 211 of 214

### AN1.6.2 Requirements to register

e star

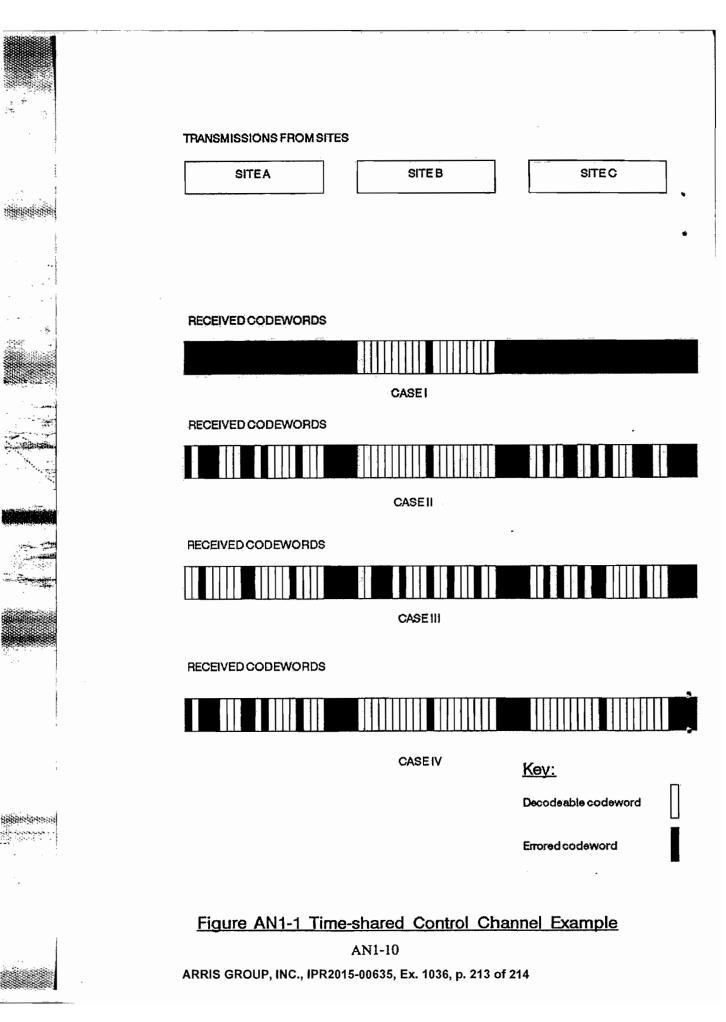
 $\mathcal{A}(\mathbf{k})$ 

Use of a different SYS code at sites on the time-shared control channel may result in the radio unit registering as it roams (as the unit relinquishes and acquires the control channel from the different sites). The radio unit shall attempt to register on the confirmed channel (by random access) when it does not hold a successful registration record for the AREA field within the verified SYS code (section 9.3.4.2.2), provided that this AREA field is not already entered in the unit's list of denied registration records (section 10.3).

The radio unit shall not attempt to register at sites radiating a zero value AREA field (section 10.2.3i. and 10.3.3i.) or when the radio unit is personalised with a zero length AREA field (section 10.3.(i)). If the sites sharing the time-shared control channel are radiating SYS codes differing only in the value of the FREE bits (see section 9.3.4.2.2) the radio unit shall not be required to register as it roams from site to site.



ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 212 of 214



## ANNEX AN2

visite .

### RECOMMENDATION FOR RADIO UNIT BEHAVIOUR ONCE THE COMPREHENSIVE HUNT SEQUENCE HAS BEEN ENTERED

Section 9.3.3.5 of this Specification allows a radio unit in the process of undertaking a "comprehensive hunt sequence" to carry out a "preferential hunt sequence" or "normal hunt sequence" as its own option, returning to the "comprehensive hunt sequence" if the optional sequence is unsuccessfully completed. Experience with operational systems has led to the following recommendation regarding the exercise of this option.

"The exercise of the option to undertake other hunting sequences whilst in the 'comprehensive hunt sequence' is recommended since it can be expected that the time taken to acquire a control channel during a 'comprehensive hunt sequence' may be much longer than in other hunting sequences. Failure to exercise this option may result in excessive delays in acquiring one of the control channels applicable to the 'normal hunt sequence' (ie a 'normal' control channel for the selected network) following the temporary loss of the current control channel. It should be noted, however, that the exercise of the option will have the effect of further extending the time taken to complete a 'comprehensive hunt sequence' and that the intervals at which a normal or preferential hunt sequence is performed should be chosen with care."



ARRIS GROUP, INC., IPR2015-00635, Ex. 1036, p. 214 of 214