I, Julia Fraser, am Head of Knowledge Management at the Office of Communications ("Ofcom"), an independent regulator for the communications sector in the United Kingdom located in London. I was previously responsible for maintaining publications at the Radiocommunications Agency starting in the Spring of 1990. The Radiocommunications Agency, a public agency of the United Kingdom, was formerly an Executive Agency of the Department of Trade and Industry and merged with several other regulatory bodies in the United Kingdom to form Ofcom in 2003.

I am the custodian for the attached document:

MPT 1327: A Signalling Standard for Trunked Private Land Mobile Radio Systems

I certify that the attached is a true and correct copy from the records of Ofcom and has been in Ofcom's possession (and previously that of the RA) since its original release in 1991.

N. Maraka

28 Aug 2015

Julia Fraser Head of Knowledge Management Office of Communications



MPT 1327

A Signalling Standard

for Trunked Private Land Mobile Radio Systems

January 1988

Revised and reprinted November 1991

-Part 1-

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 2 of 295

<u>MPT 1327</u>

JANUARY 1988

A SIGNALLING STANDARD FOR

TRUNKED PRIVATE LAND MOBILE RADIO SYSTEMS

(C) Crown Copyright 1988
 First published January 1988
 Reprinted and revised October 1990
 Reprinted and revised November 1991

۲

۲

0

•

9

Amendments issued since publication

| Amendment Number | Date of issue | Text affected |
|---------------------|---------------|--|
| 1 | October 1990 | Incorporated in the version reprinted in October 1990. Amended text was highlighted by a bar in the margin. |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 4 of 295

FOREWORD

This standard defines the rules for communication between radio units and trunking system controllers operating in trunked private land mobile radio systems.

Applications and test conditions for this standard, applicable to Band III, are contained in the following specifications prepared by the Department of Trade and Industry, Radiocommunications Agency.

| MPT 1343 | System interface specification for radio equipment to be used with commercial trunked networks operating in Band III, sub-bands 1 and 2. |
|----------|--|
| MPT 1347 | Radio interface specification for commercial trunked networks operating in Band III, sub-bands 1 and 2. |
| MPT 1352 | Test schedule for the approval of radio units to be used with |

commercial trunked networks operating in Band III, sub-bands 1 and 2.

Intellectual Property Rights

Firms intending to manufacture equipment which complies with the standard should be aware that certain features of the standard are subject to IPR claims.

All firms are therefore advised that they should make appropriate enquiries through their Patent Agents before proceeding.

| | | CONTENTS |
|--------|------------------|---|
| 1. | INTROD | UCTION |
| 2. | DEFINI | FIONS |
| 3. | SIGNAL | LING FORMATS |
| 4. | ADDRES | SING |
| 5. | CODEWO | RD STRUCTURES |
| 6. | CHANNE | L DISCIPLINE |
| 7. | RANDOM | ACCESS PROTOCOL |
| 8. | REGIST | RATION PROCEDURES |
| 9. | BASIC | CALL PROCEDURES |
| 10. | EMERGE | NCY CALL PROCEDURES |
| 11. | INCLUD | E CALL PROCEDURES |
| 12. | CALL D | IVERSION PROCEDURES |
| 13. | STATUS | MESSAGE PROCEDURES |
| 14. | SHORT | DATA MESSAGE PROCEDURES |
| 15. | DATA I | NTERROGATION PROCEDURES |
| 16. | Sectio e.g. S | n reserved for additional short data procedures AMs. |
| 17. | STANDA | RD DATA PROCEDURES |
| | | |
| APPEND | IX 1. | Suggested values for parameters. |
| APPEND | IX 2. | The error control properties of the codewords. |
| APPEND | IX 3. | An algorithm for determining the codeword completion sequence of a control channel system codeword. |
| APPEND | IX 4. | An algorithm for generating fields A and B of the MARK codeword. |
| APPEND | IX 5. | BCD coding, |
| APPEND | IX 6. | Reserved for Timing of responses for standard data at customised rate. |
| APPEND | IX 7. | Other ideas considered during the drafting of section (standard data). |
| | | |

data at a

section 17

INTRODUCTION

MPT1327 is a signalling standard for trunked private land mobile radio systems. It defines the protocol rules for communication between a trunking system controller (TSC) and users' radio units.

The standard can be used to implement a wide variety of systems, from small systems with only a few radio channels (even single-channel systems), through to large networks which may be formed by the interconnection of TSCs.

The protocol offers a broad range of user facilities and system options. However, it is not necessary to implement all of the facilities available; an appropriate subset of the protocol could be implemented, according to the user requirements. Also, there is scope for customisation for special requirements, and provision has been made for further standardised facilities to be added to the protocol in the future.

The standard defines only the over-air signalling and imposes only minimum constraints on system design. Additional specifications will be required for specific implementations, for example, to define:

- the facilities that must be implemented
- parameter values
- a channel plan
- for a network, criteria for when a radio unit should register.

Section 1.1 of this introduction describes the user facilities which are explicitly provided by the protocol. (It does not describe additional facilities which may be offered in a radio unit but which do not require any specific protocol.)

Section 1.2 describes some protocol features, indicating the options available to system designers.

Section 1.3 provides an introduction to the operation of the protocol.

Subsequent sections of this document contain the protocol definition. In most of these sections, the protocol rules for the TSC and for radio units are specified separately, but with cross-referencing where convenient.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 7 of 295

1.1 User Facilities

The facilities available to users are outlined below. For a full definition of the facilities, see the sections indicated.

1.1.1 Types of call

The standard protocol enables radio units to make the following types of call.

a. Speech call. (See section 9.)

Speech calls may be requested with normal or high priority. For group calls, the calling party may opt for a conversational mode, where all parties are able to speak, or for an announcement mode where only the caller may speak.

 b. Data call, for the transmission of non-prescribed signalling. (See section 9.)

Parameters are available to specify either normal or high priority and, for a group call, whether the called group members can reply. (Provision has been made for specifying a standard method of data communication in the future).

c. Emergency call. (See section 10.)

Parameters are available to specify either a speech or a data call and, for a group call, whether the called group members can reply. Also, a radio unit may request a special mode of emergency service previously arranged with the system; the TSC determines the required action by reference to the calling unit's address.

d. Include call. (See section 11.)

During a call, a unit may request that another party joins the call. This facility may be used to implement a Conference Call or Call Transfer.

e. Status message. (See section 13.)

Thirty-two different status messages may be conveyed between units. The meanings of two of these messages are prescribed as a "call-meback request" and "cancel previous call-me-back request". The remaining thirty messages have user-defined meanings. (Status messages can also be sent between radio units and the TSC.)

f. Short Data Message. (See section 14.)

Messages of up to 184 bits of free format data can be sent between units, or between units and the TSC.

Page 1-2

1.1.2 Making calls

A radio unit may request a call to any of the following called parties (except for status messages, which cannot be addressed to PABX or PSTN destinations or to groups):

- an individual radio unit or line-connected unit
- a group, or all units in the system
- a PABX number, up to nine digits
- a PSTN number, up to 31 digits.

In addition, status messages and short data messages may be sent to the TSC.

During call set-up, the TSC may pass a wide variety of information to the caller, to indicate the progress of the call. For example, it may indicate the reason for any delays in call set-up or the reason for a call failure.

A call request may be cancelled at any time.

1.1.3 Receiving calls

A radio unit may receive calls from a radio unit or line unit, or (except for status messages) from a PABX extension or the PSTN. In addition, status messages and short data messages may be received from the TSC. For a call from a radio unit, a line unit or the TSC, the calling address may be supplied to the called unit. For a call from a PABX extension or from the PSTN, the calling gateway is indicated as the source of the call but the caller's number is not conveyed to the called unit.

Incoming calls may be addressed to the unit individually or to a group to which it belongs. A radio unit may be a member of an arbitrary number of groups; its group addresses can be chosen independently of its individual address.

A radio unit may refuse to accept all incoming calls, for example by means of a "busy" or "out-of-vehicle" control, or incoming calls could be refused selectively, depending on the source of the call. If a user does not wish to proceed with an incoming call immediately, he can indicate that he will call back later.

Systems may be configured to alert a called individual and require him to indicate that he is ready, before a traffic channel is allocated for a call.

1.1.4 Diverting Calls

•

If a radio unit does not wish to receive calls, it may request that future calls addressed to it be redirected to a specified alternative destination. A radio unit may also request redirection on behalf of a third party, for example, for a unit which is not equipped for call diversion. A radio unit calling a diverted party will be informed of the alternative destination to try; it may then re-make the call automatically, or it may give the user the option of deciding whether to call the alternative destination. See section 12 for the full diversion facilities.

Page 1-3

1.2 System Features and Facilities

1.2.1 System dimensions

The numbering range of the protocol accommodates:

- 1,036,800 addresses per system
- 1024 channel numbers
- 32768 system identity codes.

1.2.2 System control

The protocol uses signalling at 1200 bit/s with Fast Frequency Shift Keying (FFSK) subcarrier modulation. It is designed for use by twofrequency half-duplex radio units and a duplex TSC.

The signalling for setting up calls is transmitted on a "control" channel. A TSC can be operated using either of two control channel strategies: dedicated or non-dedicated. A dedicated system has a control channel permanently available for signalling, whereas a non-dedicated system may assign the control channel for traffic (speech or data communication) if all the other channels are in use. The use of a dedicated control channel is appropriate for a TSC with many channels, whereas a non-dedicated control channel may be more appropriate for a TSC with only a few channels. The protocol allows the use of either strategy.

Broadcast messages are available to inform radio units of system information, such as the channels which the system may use for control signalling.

One of the problems of mobile radio signalling systems is the clashing of messages from different radio units transmitting at the same time. The problems of clashing are controlled by an access protocol which offers high efficiency, stability and flexibility. (See section 1.3.3 and section 7.)

Protection against interference is provided by labelling the signalling with a system identity code and, in some messages, the channel number. If heavy interference is encountered, control can be changed to a different channel.

To cope with system malfunction, a customised fall-back mode of operation may be defined by the system designer.

1.2.3 Call handling

The protocol is designed for use by systems which queue calls that cannot be set up immediately, for example, if no channel is currently available for traffic.

Before a traffic channel is assigned for a call to an individual radio unit, the TSC checks that the called unit is in radio contact, in order to avoid wasted channel assignments. It may also check that the radio unit's operator is ready for the call, to avoid a traffic channel being assigned to an unmanned unit. Call maintenance signalling is defined for prompt release of traffic channels at the end of a conversation, or in case communication is lost during a call. (See section 1.3.5 and section 9.)

As a precaution against fraudulent use of a system by an unauthorised radio unit, the TSC may at any time instruct a radio unit to transmit its unique serial number; comparison of the received serial number with the expected value will assist in the detection of fraudulent users. (See section 15.)

1.2.4 Multi-site systems

The standard leaves scope for various multi-site wide-area coverage techniques to be used, for example:

- synchronous/quasi-synchronous operation
- a separate control channel at each site
- a single control channel shared by time division.

The protocol includes a registration facility to assist the implementation of multi-site systems and networks of TSCs: a radio unit can inform the TSC of its location as it roams between sites or systems. (The system identity code distinguishes the signalling from different sites and systems). The standard defines signalling procedures for registration (section 8), but the criteria for registration will be system-dependent.

A TSC can broadcast information to assist radio units hunting for a control channel when they roam; for example, it can announce the channels which may be used for control by itself or by TSCs on adjacent sites.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 11 of 295

1.3 Guide to Some Key Protocol Aspects

This section provides an introduction to the operation of the protocol which, because of its scope and flexibility, is necessarily complex. The section outlines the control channel structure, the random access protocol and some message exchange procedures for call set-up.

This section is intended only as a guide: it should not be regarded as a protocol specification. Readers should refer to the main body of the standard for the complete and precise definition.)

٩

Ì

1.3.1 Control channel signalling structure

The signalling for setting up calls is transmitted on a "control" channel. Time on the control channel is divided into slots of duration 106.7 ms (128 bits), and one signalling message can be sent in each slot. The basic control channel signalling structure is illustrated in Figure 1-1.

Signalling on the forward channel (base station transmit frequency) is nominally continuous, with each slot comprising two 64-bit codewords, usually:

- A Control Channel System Codeword (CCSC).
 The CCSC identifies the system to radio units and provides synchronisation for the following "address" codeword.
- ii) An "address" codeword. Ar address codeword is the first codeword of any message of detined and between it to detinate.

Total in a sublimation of enclose cost cost dougles manimum local for a firmation of the sublimation of t

(1) Set of the event of the control of the TV has been been to the the set of the the control of the event of the control o

| 2 | | | |
|---|----------------------------------|---|--|
| | n territoria. La construcción | en de la construcción de la constru La construcción de la construcción d | |
| | a a star The g | e 19 januar – Alfred 19 januar – Alfred | |

and a state of the second s

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 12 of 295

1.3.2 Control channel signalling messages

The messages sent on a control channel may be classified as follows:

| Aloha messages | - | Sent by the TSC to invite and control random access. |
|-------------------------|---|--|
| Requests | - | Sent by radio units to request calls/transactions. |
| "Ahoy" messages | | Sent by the TSC to demand a response from an addressed radio unit. |
| Acknowledgements | - | Sent by the TSC and by radio units. |
| Go To Channel messages | ~ | Sent by the TSC to allocate traffic channels. |
| Single address messages | - | Currently sent only by radio units. |
| Short data messages | | Sent by the TSC and by radio units. |
| Miscellaneous messages | - | Sent by the TSC for system control. |

Some uses of these messages are illustrated in the following sections.

1.3.3 Random access protocol

1.3.3.1 Principle of operation

One of the problems of mobile radio signalling schemes is the clash of messages from different radio units transmitting at the same time. In this standard, the problems of clashing are controlled by a random access protocol which is based on slotted Aloha, with a superimposed framing structure. The access protocol can be used to minimise access delays, ensure stability and maintain peak throughput under heavy traffic loads.

The basic principle of the access protocol is described with reference to Figure 1-2, which illustrates signalling on a control channel. The TSC transmits a synchronisation message (indicated by ALH in Figure 1-2) to invite radio units to send random access messages. The ALH message contains a parameter (N) which indicates the number of following timeslots, constituting a frame, that are available for access. If a frame is already in progress when a user initiates a call, the radio unit may send its random access message in the next slot. Otherwise the unit waits for a frame to be started and then chooses a random slot from the frame for its message. A unit wishing to send a repeat transmission after an unsuccessful message (corrupted by fading or clashing) chooses again from a new frame.



Fig. 1-2 Two random access frames, each marked by an ALH message

Page 1-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 13 of 295

1.3.3.2 Features of the random access protocol

The main features of the access protocol are as follows:

- a) The TSC can monitor activity on the control channel and can optimise the system performance by varying the framelength to prevent excessive clashing and to minimise access delays. Figure 1-3 illustrates an example of random access control.
- b) The signalling overhead for random access control is kept small by allowing Acknowledgements and Go To Channel messages to contain the framelength parameter (N), so that frames can be marked without requiring an explicit Aloha message. For example, see Figure 1-3.
- c) During a frame, the TSC may transmit messages that demand a response from a specified radio unit. These outbound messages inhibit random access in the following slot, and so reserve the slot for the unit's reply.
- d) The TSC may reserve frames for:
 - specific types of call request, by means of specific Aloha messages (for instance, the Aloha message ALHE invites emergency calls only);
 - subsets of the radio unit population (subdivision by address).



The TSC detects the clashing of requests RQS1 and RQS2, and marks a longer frame (with message ALH(2)). The radio units repeat their requests and, in this example, choose different slots. Each request is acknowledged in the following slot.

ALH(0) does not mark a frame.

ACKQ(1) acknowledges a request and also marks a new frame.

In the absence of clashing, the framelength may be reduced.

Fig. 1-3 Example of random access control

Page 1-8

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 14 of 295

1.3.4 Addressing

A unit address is a 20-bit number comprising two fields: a 7-bit prefix and a 13-bit ident. (Normally, all members of a fleet will be allocated the same prefix.) The division into prefix and ident allows most messages to accommodate two addresses, the calling and called party, by including the prefix only once. For instance, call requests and Go To Channel messages contain two idents and only one prefix.

For a call to a unit with the same prefix, a request message contains all the information necessary to make the call. However, for a call to a unit with a different prefix, the call details cannot be accommodated in a single address codeword; this type of call requires the use of "extended addressing" procedures (as do some PAEX and most PSTN calls).

1.3.5 Examples of signalling sequences

The precise signalling required for a call depends on the type of call and on the design of the TSC; (the standard does not prescribe the TSC algorithms). This section contains some examples of message exchange sequences. Note that, although not shown in the examples, messages will be retransmitted in the case of corruption by propagation errors or collision.

Examples of message exchange sequences for call set-up are presented in sections 1.3.5.1 to 1.3.5.3. These examples show control channel signalling, for:

- call requests
- instruction to send extended address information
- checking availability of radio units
- traffic channel allocation.

Signalling is also sent on an allocated traffic channel, for call maintenance and call clear-down. For instance:

- a) To assist call maintenance, a radio unit sends a "Pressel Off" message at the end of each speech transmission. The system may also require the unit to start each speech transmission with a "Pressel On" message and to send call maintenance messages periodically within the transmission.
- b) The calling unit in a group call, or both units in an individual call, send "Disconnect" messages to indicate end-of-channel-use when the user goes on-hook or equivalent.
- c) The TSC sends CLEAR messages to clear down a call (after receiving a valid Disconnect message or if a time-out has expired).

However, the examples do not cover traffic channel signalling.

The final example (section 1.3.5.4) illustrates the transmission of a short data message. This type of transaction does not use a traffic channel: it requires control channel signalling only.

Page 1-9

1.3.5.1 Example: radio unit calls a group

Figure 1-4 illustrates a message sequence on a control channel to set. up a group call between radio units with the same prefix.

The sequence includes call request and channel allocation signalling. (For group calls, an availability check on the called units is not performed.) In this example, all traffic channels are in use when the call is requested and so the call is queued.



- 1. ALH : General Aloha invitation (one-slot frame).
- RQS : The calling radio unit transmits its request, complying with the random access protocol.
- ACKQ : The TSC acknowledges the RQS message, informing the calling unit that the call has been queued.
- 4. GTC : When a traffic channel is available, the TSC sends the Go To Channel command, addressed to the calling unit and called group; this message instructs the units to switch to the traffic channel for their conversation. In this example the GTC is repeated, for added reliability.

Fig. 1-4 Common-prefix group call

Alternative acknowledgements from the TSC are available if, for instance, the call request is invalid or the system is overloaded.

If a traffic channel is available when a group call is requested then the TSC may omit the ACKQ and send the GTC command immediately.

In this example the GTC message is repeated immediately. However, repeat messages may be delayed for other signalling.

Page 1-10

1.3.5.2 Example: radio unit calls a unit with the same prefix

Figure 1-5 illustrates a message sequence on a control channel to set up a call between two radio units with the same prefix. The sequence includes call request, availability check and channel allocation signalling.



1. ALH : General Aloha invitation (three-slot frame).

2. RQS : Random access call request.

3. AHY : Availability check message

- acknowledges the RQS message
- demands a response from the called radio unit (thereby checking whether the called unit is in radio contact)
- inhibits random access in the next slot.
- ACK : Acknowledgement from the called radio unit, sent in the reserved slot.
- GTC : Go To Channel message instructing both radio units to switch to the specified traffic channel for their call. In this example the GTC is repeated, for added reliability.

Fig. 1-5 Common-prefix individual call

In this example, the called unit is in radio contact and therefore responds to the AHY. If the called unit cannot be contacted, the TSC may indicate the failure to the calling unit by sending acknowledgement ACKV.

In both this and the following example, the TSC checks only that the called unit is in radio contact before allocating a traffic channel. The TSC may also check whether the called user is ready; if he is not, the unit responds with acknowledgement ACKI and takes action to alert him. Then, when the user is ready to receive the call, the unit may send a status message (RQQ) to inform the TSC.

The ALH(0) message in these examples is used as a "dummy" message, in slots carrying no signalling relevant to the example. In practice, these slots may be used for signalling for another call, or for broadcast messages (which contain information about system parameters).

Page 1-11

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 17 of 295

1.3.5.3 Example: radio unit calls a unit with a different prefix

Figure 1-6 illustrates a message sequence on a control channel to set up a call between two radio units with different prefixes.

The sequence includes call request, availability check and channel allocation signalling (as in the previous example). However, this sequence has an extra phase: after receiving the RQS message, the TSC sends AHYC to invite the calling unit to transmit the full called address. Also, separate GTC messages instruct the two units, because GTC contains only one prefix.



- 1. ALH : General Aloha invitation (four-slot frame).
- 2. RQS : Random access request for an interprefix call. (The request contains the calling unit's address (prefix/ident), but the called ident is set to a special "gateway" ident to indicate that extended addressing procedures are needed.)
- 3. AHYC : Short data invitation message
 - acknowledges the RQS message
 - instructs the calling unit to send the called address
 - inhibits random access in the next slot.
- 4. SAMIS : Single Address Message from the calling radio unit, containing the address (prefix/ident) of the called unit.
- 5. AHY : Availability check message demanding a response from the called radio unit. In this example, the availability check is a single-codeword message i.e. the address of the calling unit is not supplied.
- 6. ACK : Acknowledgement from the called radio unit.
- 7. GTC : Go To Channel message instructing the called radio unit to switch to the specified traffic channel for the call.
- GTC : Go To Channel message instructing the calling radio unit to switch to the specified channel for the call.

Fig. 1-6 Interprefix individual call

Page 1-12

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 18 of 295

1.3.5.4 Example: radio unit sends a short data message

Figure 1-7 illustrates a message sequence on a control channel for sending a short data message from one radio unit to another radio unit. In this example, the data message comprises an address codeword and two appended data codewords; (each of the data codewords contains 46 bits of free format data).

In the sequence, the radio unit sends its request; the TSC instructs the unit to send the data message, forwards the data message to the called unit and then indicates the success of the transaction to the calling unit.



5. HEAD + data : The TSC forwards the short data message to the called radio unit.

6. ACK : Acknowledgement from the called unit - message accepted.

7. ACK : Acknowledgement sent to the calling unit to indicate that the called unit has accepted the data message. In this example the TSC immediately repeats the ACK message, for added reliability.

Fig. 1-7 Short data message

Page 1-13

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 19 of 295

2. DEFINITIONS

Note - Words appearing within asterisks within these definitions are defined terms. (eg *defined term*)

Active on a Channel: A *radio unit* is *active on a channel* when, on that channel, it is enabled to respond to *messages* addressed to it, or is transmitting, or is in transition between these two states.

> <u>Note</u> - a *radio unit* becomes active on an assigned *traffic channel* as soon as it can receive on that channel, whereas, on a *control channel* it shall not become active until it has received a codeword containing an appropriate *system identity code*.

- Address: A 20-bit number by which a unit or group of units is known within a *system*. The *address* comprises two *fields*; a 7-bit *prefix* and a 13-bit *ident*.
- <u>Address Codeword</u>: A 64-bit codeword, conforming to the requirements of this standard, where the first bit is set to '1'. An *address codeword* is always the first codeword in any *message*, and defines the nature of the *message*.
- <u>Base Station</u>: The entirety of transmitters and receivers operated by a *trunking system controller* at any one site.
- <u>Call</u>: A complete information exchange between two or more *parties* which includes one or more *transactions* and may include direct user-to-user communication on a *traffic channel*.
- <u>Called Unit (or Group)</u>: The unit, or group of units, which a *calling unit* identifies as the desired recipient(s) of a *call*. The *called unit (or group)* retains this designation for the duration of a *call* and this convention is used in *messages* relating to that particular *call*, irrespective of the origin of such *messages*.
- <u>Calling Unit</u>: A *radio unit* or *line unit* which request a *call*. The *calling unit* retains this designation for the duration of a *call* and this convention is used in *messages* relating to that particular *call* irrespective of the origins of such *messages*.
- <u>Common Prefix Call</u>: A *call* where the values of the *prefixes* in the calling and called *addresses* are the same. *Common prefix . calls* use the *short addressing* procedures.
- <u>Control Channel</u>: A *forward channel* and *return channel* being used for the transmission of *messages* conforming to this standard with the primary purpose of enabling the *trunking system controller* to control radio units.
- Data Codeword: A 64-bit codeword, conforming to the requirements of this standard, where the first bit is set to '0'. *Data codewords* are concatenated to an *address codeword* and supplement the information in the *address* codeword*.

Page 2-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 20 of 295

Dataitem: The whole, or a part of, a *Tmessage*. A dataitem may not include more than 62 data codewords.

- <u>Decodeable</u>: A transmitted codeword shall be considered *decodeable* if, after receipt, and after any error correction (if used) has been applied, a valid codeword from the code defined in section 3.2.3 of this standard is formed.
- <u>Diversion</u>: A procedure whereby a *party* may request that future *calls* to a particular called address be redirected to an alternative destination.
- Extended Addressing: A method which allows called *party* details to be conveyed to the *trunking system controller* when the *call* details cannot be accommodated in a single *address codeword*. These called-party details may be an *address* or addressing information in a different form (eg PSTN dialling digits).
- <u>Field</u>: A number of contiguous bits in a codeword which is specified in terms of the position within the codeword and the number of bits.
- Forward Channel: A radio bearer where the direction of transmission is form the *base station* to *radio units*.
- Fragment: A message which is either the whole of a *dataitem* or those codewords of a *dataitem* for which repetition has been requested by the receiving station.
- Free Format Data: Data within a codeword which, in this standard, is constrained only by its position and length.
- <u>Gateway</u>: A *special ident* which is used to identify a *message* relating to a *call* or *transaction* to or from a communications service outside of the *system* (eg the PSTN). For the purposes of this standard the interprefix *ident*, IPFIXI, is also regarded as a *gateway*.
- <u>Group Address</u>: An *address* which is common to more than one unit and which, when nominated as the called *address*, signifies a *group call*. Units may be assigned any practicable number of *group addresses*.
- <u>Group Call</u>: A *call* in which a *group address* is specified as the called *party* and, accordingly, provides a means of communication between more than two units. The calling *party* in a *group call* may opt for a conversational mode, where all *parties* are able to speak, or for an announcement mode where only the caller may speak.
- Ident: A 13-bit number used for identification purposes. Values of *ident* between 1 and 8100 inclusive are assigned to individual units or groups, in which case they are associated with a *prefix* to form a 20-bit *address*. Values of *ident* above 8100 are designated *special idents* and these are not associated with any particular *prefix*, neither is the *ident* value 0 (DUMMMYI).

Page 2-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 21 of 295

- <u>Idle State</u>: A *radio* unit* is in the *idle state* on a *system* when it is *active on a control channel* belonging to that *system*, is not currently within a *message* exchange and has no current *message* transfer requirement.
- <u>Include</u>: A procedure whereby *parties* may be introduced into a *call* in progress at the request of an existing *party* to the *call*.
- <u>Individual Address</u>: An *address* by which a single unit is known within a *system*, allowing that unit to be uniquely addressed by that *system*. Units may be assigned any practicable number of *individual addresses* provides that at least one per *systems* is assigned to each unit.
- <u>Individual Call</u>: A *call* between a calling *party* and a single called *party*.
- <u>Interprefix Call</u>: A *call* where the values of the *prefixes* in the calling and called *addresses* are different. *Interprefix calls* require *extended addressing* procedures.
- <u>Invoking message</u>: A message from the TSC to a radio unit which requires or invites an immediate message from the radio unit according to the timing rules specified in section 6 if the transmission rate is 1200 bit/sec or the equivalent rules at any other transmission rate.
- Item: A complete user transmission on a *traffic channel* by one *party* within a *call* at the conclusion of which that *party* rests from transmission. It is possible for a *call* to contain only one *item*.
- Line Unit (LU): A user station which is allocated an *individual address*, and is directly connected to the *trunking system controller* via a medium other than the radio spectrum to which this standard applies.
- Link: Any transmission path in the communication chain between the end users in a Standard Data call, and particularly the radio connection between the TSC and its dependent radio unit in such a call.
- <u>Message</u>: A single contiguous data transmission which consists of a codeword synchronisation sequence, an *address codeword* and (optionally) one or more *data codewords* conforming to this standard.
- Non-prescribed data: Any data traffic which does not conform to the data protocols defined in this standard.
- <u>Party</u>: A source and/or recipient of information within a *call*. The term includes the totality of equipment at the user station and, where the context permits, the equipment user. A party may be an individual or a group.
- <u>Prefix</u>: The 7 most significant bits of an *address*. Normally units within a fleet will be allocated the same *prefix* since

Page 2-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 22 of 295

calls between units and groups with the same *prefix* can be made without the use of *extended addressing* procedures. A *prefix* is only relevant to *individual addresses* and *group addresses*.

Radio Unit (RU): A mobile or other user station contacting a *system*, by normal land mobile radio in accordance with this standard.

- Random Access Attempt: The method by which a *radio unit* transmits an unsolicited *message* to the *trunking system controller* on a *control channel*. The method requires that a *radio unit* repeats a random access *message* if a response *message* is not received within a designated waiting time. Further repeats are required, in the absence of an appropriate acknowledgement, until a designated number of repeats is reached. In this standard a *random access attempt* covers the period from initiation of the *transaction* to the receipt of an appropriate acknowledgement or the expiry of a timeout.
- <u>Ready-for-Communication Control</u> (RFCC): A device or system to inform a unit of the user's readiness to communicate, eq a switch-hook.
- <u>Registration</u>: A procedure which confirms that a *radio unit* is within a *session* on a *system*. The *registration* procedures may be initiated by a demand from the *trunking system controller*, or at the initiative of the *radio unit*, depending on the circumstances of the *registration*.
- Requested Unit (or Group): A unit, or group of units, which takes part in a *transaction* initiated by the *trunking system controllers* or another *party*.
- <u>Requesting Unit</u>: A *radio unit* or *line unit* which initiates a *transaction* with the *trunking system controller* or another *party*, via the *trunking system controllers*.
- <u>Reserved</u>: Codewords and *fields* which are designated as *reserved* in this standard are intended for future phases of standardisation and shall not be used in the interim for the conveyance of information. *Reserved fields* must be set to the default value specified in this standard.
- <u>Return Channel</u>: A radio bearer where the direction of transmission is from *radio Units* to the *base station*.
- Session: A *session* is a period of operation associated with one *system*. A *session* on a *system* starts when the *radio unit* becomes *active on a control channel* of that *system*, either after switch-on or after being *active on a control channel* of a different *system*. A *session* ends either when the *radio unit* is switched off or when it starts its next *session*.
- <u>Short Addressing</u>: The method used when the *parties* to a *call* can be completely specified by a single *prefix* and two *idents*. This form of addressing minimises the signalling required.

Page 2-4

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 23 of 295

- Short Data: A procedure which allows a data *message* to be exchanged between *parties*, or between *parties* and the *trunking system controller*. This procedure does not support *messages* which include more than four *data codewords*.
- <u>Short-Form PSTN Destination</u>: A called PSTN *party*, previously agreed between the system operator and the user of the *calling unit*, which can be specified by a *special ident*, rather than the full stream of dialling digits representing the directory number.
- Spare: Codewords and *fields* which are designated as *spare* are available for free use by *systems* (ie *system* customisation) provided that the conditions of this standard are not infringed. The use of spare codewords and *fields* may vary from *system* to *system*.
- Special Ident: An *ident* with a value greater than 8100. These *idents* are used for a variety of special purposes. Some of these are specified in this standard, others may be nominated by system operators. *Special idents* are not associated with a *prefix* to form an *address*.
- Standard Data: The procedure by which information exchange takes place using the data protocol defined in section 17 of this standard.
- System: The totality of equipment required to provide the communication facilities associated with a single *system identity code*. *Systems* may be combined to form larger communications facilities, but the delineation of *systems* and methods of combination are not within the scope of this standard.
- <u>System Identity Code</u>: A 15-bit number which contains a unique identification of a *system*. This code is radiated on each *forward control channel* within the *system* (in the SYS *field*).
- <u>Tmessage</u>: A quantity of *user data* which the correspondents by previous bilateral agreement have mutually agreed is useful to them as a distinct entity, and is marked as such by the originator for end-to-end transmission.
- <u>Traffic Channel</u>: A *forward channel* and *return channel* being used primarily for user communication.
- TRANS: A 10 bit transaction number allocated to a *link* during setup of a data call to replace the address and port of the radio unit. The validity of a TRANS ceases at the conclusion of the data call.
- <u>Transaction</u>: A complete information exchange consisting of one or more *messages* between a *party* and the *trunking system controller*, or another *party*, via the *trunking system controller*.

Page 2-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 24 of 295

- <u>Trunking System Controller (TSC)</u>: The central control intelligence necessary to enable the trunking system to function according to this standard. The *trunking system controller* may control one or more *basestations*.
- <u>User Data</u>: Data from or to the user which is either to or from his correspondent, or is concerned with call routing but is transmitted after a *TRANS* for the call has been allocated.
- <u>User Data Message</u>: A message headed by address codeword "SITH" and containing user data.

Page 2-6

3. SIGNALLING FORMATS

This section defines the basic signalling formats used by this standard. The detailed structure of the codewords is defined in section 5, and the timing constraints for the transmission of messages are defined in section 6.

The provisions of this section do not preclude the use of other, nonprescribed formats on a traffic channel.

3.1 Basic Format

Signalling transmissions shall employ Fast Frequency Shift Keying (FFSK) at a bit rate of 1200 bit/s. The basic components of the signalling formats are illustrated in Figure 3-1.

| LET | Preamble | Message | H |
|-----|----------|---------|---|
| | | | |

Fig. 3-1. Basic format

<u>3.1.1 LET</u>

Signalling transmissions shall be preceded by a Link Establishment Time (LET) within which a transmission of undefined modulation at not less than 90% of maximum power shall take place. The duration of the LET shall be as specified in section 3.3.3.1 and section 6.

3.1.2 Preamble

Signalling transmissions shall begin with a preamble of bit reversals 1010...10 so that the receiver data demodulator can acquire bit synchronisation. The preamble shall consist of a minimum of 16 bits and shall end with a binary zero.

3.1.3 Message

A message is a contiguous transmission consisting of a codeword synchronisation sequence, an address codeword and, where appropriate, one or more data codewords (see 3.2).

3.1.4 Hang-over Bit, H

Signalling transmissions shall be terminated by appending a "hangover" bit of either binary zero or binary one to the last transmitted message.

3.2 Message Format

A message consists of a codeword synchronisation sequence, an address codeword and, where appropriate, one or more data codewords, as shown in Figure 3-2. The address codeword defines the nature of the message, and data codewords supplement the information in the address codeword.

| | | | | _ |
|---------------|----------|--------|--------------|---|
| Codeword | Address | Data | Codewords | |
| Sync Sequence | Codeword | (where | appropriate) | |

Fig. 3-2. Message format

3.2.1 Codeword synchronisation sequence

The codeword synchronisation sequence shall be transmitted to enable decoders to establish codeword framing. It consists of 16 bits.

3.2.1.1 Control channel codeword synchronisation sequence

The codeword synchronisation sequence for messages transmitted on a control channel, SYNC, is shown in Figure 3-3. Bit number 1 shall be transmitted first.

bit no.

1

16

1 1 0 0 0 1 0 0 1 1 0 1 0 1 1 1

Fig. 3-3. Control channel codeword synchronisation sequence, SYNC

3.2.1.2 Traffic and Standard Data channel codeword synchronisation sequence

The codeword synchronisation sequence for messages transmitted on a traffic or standard data channel, SYNT, is shown in Figure 3-4. Bit number 1 shall be transmitted first. If a control channel is allocated for traffic, then SYNT shall be used for all messages transmitted while the channel is assigned for traffic.



Fig. 3-4. Traffic and Standard Data channel codeword synchronisation sequence, SYNT

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 27 of 295

3.2.2 Codewords

Messages shall be transmitted in 64-bit codewords. Each codeword shall contain 48 information bits followed by 16 check bits. There are two types of codeword, address and data codewords, which are distinguished by the first bit (A) within the codeword; see Figure 3-5. Bit number 1 shall be transmitted first.

| | bit no. | 1 | 2 | 1 | | 48 4 |) | 54 | |
|-----|---------|--------|----------|--------------------|--------------------|--------------|-------------------------|-----------------------|-----|
| | | A | int | ormation | field | | check bit: | 5 | |
| no. | of bits | 1 | | 47 | | | 16 | | |
| | | Bit 1 | (A) | - Binary Binary | one den zero de | otes note | an addre: s a data (| s codewo codeword, | rd. |
| | | Bits 2 | 2 to 48 | - Inform | nation fi | eld; | see sect | ion 5. | |
| | | Bits 4 | 49 to 64 | - Check | bits; se | e se | ction 3.2 | .3. | |

Fig. 3-5. Codeword structure

3.2.3 Encoding and error checking

The first 15 check bits are derived from a (53,48) cyclic code. For encoding, the codeword bits 1 to 48 represent the coefficients of a polynomial having terms from X^{62} down to X^{15} . This polynomial is divided modulo-2 by the generating polynomial:

 $X^{15} + X^{14} + X^{13} + X^{11} + X^{1} + X^{2} + 1$

The 15 check bits correspond to the coefficients of the terms from X^{14} to X^{0} in the remainder polynomial found at the completion of the division. The final check bit of the (63,48) cyclic code (codeword bit 63) is then inverted. Finally, one bit is appended to the 63-bit block (including the inverted bit number 63) to provide an even parity check of the whole 64-bit codeword.

Decoding algorithms are not prescribed in this standard; for the error control properties of the codeword, see Appendix 2.

3.3 Signalling Transmission Variants

3.3.1 Single message format

The format for signalling transmissions which contain a single message is shown in Figure 3-6.

| | LET | Preamble | Message | H |
|--|-----|----------|---------|---|
|--|-----|----------|---------|---|

Fig. 3-6. Single message format

3.3.2 Multiple message format on a traffic channel

The format for standardised signalling transmissions which contain more than one message is shown in Figure 3-7. This format shall be used only on traffic channels.

| 1 | LET | Preamble | Message | Bit | reversals | Message | | |
|---|-----|----------|---------|-----|-----------|---------|------|--|
| | | | | | | - | | |

Fig. 3-7. Multiple message format

For multiple messages transmitted by a radio unit, there shall be 16 bits of bit reversals between messages. For multiple messages transmitted by the TSC, bit reversals may be inserted between the messages as required. The final bit of any bit reversals (before the next message) shall be a binary zero.

Page 3-4

3.3.3. Forward control channel format

3.3.3.1 Basic control channel format

•

•

•

•

•

•

•

•

Ö

The start-up sequence for a base station commencing transmission on a control channel shall be as shown in Figure 3-8.

| LET Preamble SYNC ADD1 CCSC ADD2 CCSC ADD3 |
|--|
|--|

<- start-up sequence ->|<- 1 slot ->|<- 1 slot >|

LET - Link establishment time of at least 6 bit periods (5 ms).

- SYNC Control channel codeword synchronisation sequence; see 3.2.1.1.
- ADDn Address codeword (any appropriate message); see section 5.
- CCSC Control Channel System Codeword; see 5,1.

Fig. 3-8. Basic control channel format

Following the start-up sequence the TSC shall divide time into slots, each comprising two codewords. The first codeword of a slot shall be the Control Channel System Codeword (CCSC), unless displaced by a data codeword from a previous message. The second codeword of a slot shall be an address codeword, unless displaced by a data codeword (see 3.3.3.2).

Every address codeword in a slot shall be preceded by a CCSC. The CCSC identifies the system to radio units and provides control channel slot synchronisation. It is a data codeword in which the final 32 bits form the preamble and codeword synchronisation sequence for the following address codeword (see 5.1).

The MARK address codeword (see 5.5.4.1) may be transmitted by the TSC on a newly designated control channel during the period allowed for radio units to locate and identify the control channel (see 6.1.1).

Page 3-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 30 of 295

3.3.3.2 Data codeword displacement

When data codewords are transmitted as part of a message, they displace CCSCs and address codewords, as illustrated in Figure 3-9. Radio units must be capable of satisfactory operation despite this displacement (see section 6). The TSC shall not displace more than two CCSCs in consecutive timeslots.

| CCSC | ADDn | DCW1 | DCW2 | DCW3 | DCW4 | ccsc | ADDn+1 | |
|------|------|------|------|------|------|------|--------|--|
|------|------|------|------|------|------|------|--------|--|

|<- 1 slot ->|<- 1 slot ->|<- 1 slot ->|<- 1 slot ->|

CCSC - Control Channel System Codeword. ADDn - Address codeword (any appropriate message). DCWm - Data codeword in message.

Fig. 3-9. Example of data codeword displacement

When a message includes an odd number of data codewords, a "filler" data codeword shall be appended to the message (to maintain the slot structure); the content of the filler data codeword is not prescribed in this standard. See also section 7.2.5.

Page 3-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 31 of 295

4. ADDRESSING

0

•

•

•

•

•

The unit address enables the TSC to recognise the source of messages and/or to direct messages to a particular unit or group of units. In addition, addresses may be used by the TSC to regulate access to the system.

This standard permits considerable flexibility in the way that unit addresses can be allocated, allowing each system full use of all available addresses. However system operators shall not allocate addresses in such a way that two units, using the same individual address, could be active on a system concurrently. Further, this standard does not support address reuse within interconnected systems.

The protocol allows over 32000 system identity codes and over one million addresses. A unit may be allocated different addresses for each system within which it is required to operate, or its addresses can be common to more than one system.

Unit addresses can be used for individual units or for groups of units. A group can be formed by allocating a common address to all members of the group. All units shall have at least one individual address.

Individual and group addresses consist of a 7-bit prefix and a 13bit ident. Normally units within a fleet will share a common prefix, since this allows the short addressing procedures to be used during call set-up. Idents allocated to units must be equal to the binary equivalent of decimal numbers in the range 1 to 8100, inclusive.

The ident value 0 shall not be allocated to any unit and is designated the "dummy" ident, DUMMYI; this ident may be used as a null value.

Values of ident above 8100 are designated special idents and are not available for allocation to units. Use of these special idents allows a number of additional procedures and facilities to be achieved within this protocol standard. Some special idents are designated as gateways. These are used for calls which involve connection to communication facilities external to the system.

The arrangement of idents is summarised in Table 4-1.

Ident number 0 and special idents do not have a prefix associated with them; the prefix is only relevant to individual unit and group addresses.

The system-wide all-call ident applicable to all units (irrespective of prefix) is denoted by ALLI. The individual ident of the Trunking System Controller (TSC) is denoted by TSCI; this ident is the same for all TSCs.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 32 of 295

Special idents are also employed within certain call procedures described in this standard. These include:

and

| SDMI: | which is used in the short data message procedures; | | |
|----------|---|--|--|
| DIVERTI: | which is used during call diversion procedures; | | |
| INCI: | which is used by the TSC when checking the | | |
| | availability of a unit requested to be included in | | |
| | a call; | | |
| REGI: | which is used by the TSC in the registration | | |
| | procedures. | | |

Two methods, both of which employ gateway idents, are provided for radio units requesting calls to the PSTN, namely:

"short-form" calls, to destinations previously nominated (eg the radio unit's head office).
"general" calls, to any PSTN destination.

Radio units requesting calls to prearranged PSTN or Data Network destinations use the short addressing procedures, with the called ident set to an appropriate "short-form" PSTN or Network ident. These short-form idents are denoted by PSTNSIj or NETSIj respectively, for j = 1 to 15. PSTNSIj is used for all appropriate call requests except RQD, and NETSIj is only used in RQD call requests. Each short-form ident allocated to a radio unit shall represent a complete destination previously agreed between the system operator and the radio user. A particular short-form ident may be reused for other radio units, each use having a distinct meaning. Thus, when a short-form call is requested, the TSC shall determine the meaning of the particular short-form ident by reference to the calling radio unit's address. The same principle can be applied to incoming calls.

Radio units requesting a "general" PSTN call use the gateway ident, PSTNGI. In this case, units are required to provide the full dialling information for the PSTN destination using the extended addressing procedures described in this standard.

Radio units requesting a "general" data network call use the gateway ident, DNI. In this case units will be allocated a data channel and TRANS. After this they supply the network addressing information on the data channel in a format appropriate to that network.

Radio units can request calls to PABX extensions using the short addressing procedures, provided that the extension number can be represented by 13 bits. A call may be to any one of four PABX exchanges, as previously agreed between the system operator and the radio use - the TSC shall determine the appropriate exchange by reference to the calling radio unit's address. Calls to PABX destinations that cannot be accommodated by the short addressing procedures use the PABX gateway ident, PABXI, and the extended addressing procedures.

Calls between units which do not share a common prefix also require use of the extended addressing procedures. For such calls the appropriate special ident is IPFIXI.



ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 33 of 295

| Meaning | Notation | Ident |
|--|--|-------------------------|
| System-wide ident | ALLI | 8191 |
| Ident of TSC | TSCI | 8190 |
| Interprefix ident | IPFIXI | 8189 |
| Short data message ident | SDMI | 8188 |
| Divert ident | DIVERTI | 8187 |
| Include ident | INCI | 8186 |
| Registration ident | REGI | 8185 |
| Reserved for future allocation | | 8181 - 8184 |
| Spare for customisation of systems | | 8136 - 8180 |
| Short-form PSTN idents | PSTNSIj | 8121 -8135} Note:Common |
| Short-form data Network idents (j = 1 15) | NETSIj | 8121 -8135} range. |
| Reserved for future allocation | | 8104 - 8120 |
| Data Network gateway ident | DNI | 8103 |
| PABX gateway ident | PABXI | 8102 |
| General PSTN gateway ident | PSTNGI | 8101 |
| User idents (individual and group idents) | | 1 - 8100 |
| Dummy ident | DIIMMYT | 0 |
| and he and the state of the sta | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ | ~ |

Table 4-1 - Ident Numbering Scheme

Page 4-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 34 of 295

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 35 of 295

5. CODEWORD STRUCTURES

This section lists the codewords used in the standardised messages and defines their structure. A brief indication of the usage of the messages is given, but readers should refer to the procedures sections for a full definition of usage. Readers may find it helpful to study the procedures sections together with this section rather than consecutively.

It is not a mandatory requirement on either a TSC or a radio unit to understand the meaning of all the standardised messages. The messages that must be used will depend on the facilities implemented in a TSC and a radio unit; the procedures sections define which messages are required.

Standardised fields

•

•

•

•

•

•

•

•

The codewords are shown broken down into their constituent fields, with a definition of the meaning of each field. The fields in the codewords shall be set to appropriate values. Machine transmission of fields is most significant bit first.

In this standard, the numerical value of a field is referred to either by the decimal equivalent of the bit sequence concerned, with leading zeros suppressed, or in binary. Binary values are shown enclosed in apostrophes, e.g. Type '11', except in the codeword diagrams in this section.

Most address codewords contain a prefix and either one or two idents. When the prefix is not required to complete an address (e.g. for special ident ALLI), it may be set to an arbitrary value and, on reception, its value shall be considered to have no significance.

Reserved fields

Some fields are designated as "reserved". In the future development of this standard, the whole or any part of a reserved field may be designated for a specific purpose. Any such designation will be made in a manner that does not cause any existing application of this standard to cease to comply with the standard or to suffer a reduction in its functionality. Neither the existing protocol procedures nor the already designated meanings of messages will be changed in order to bring a reserved field into service.

Therefore, equipments complying with this issue of the standard shall, on transmission, set reserved fields to the specified default value and, on reception, shall consider the value of reserved fields to have no significance. Equipments which understand the designation of any previously reserved field shall, on transmission, note that the recipient equipment may attribute no significance to that field or, on reception, shall be prepared to accept the default value of that field.

Page 5-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 36 of 295
Spare fields and codewords

There are "spare" fields and codewords available for customisation of services (see section 5.2). Spare fields and codewords will never be used within this standard, but may be designated for a specific purpose within any given application of this standard. In applications where spare fields or codewords are employed, rules shall be generated governing their use. Any designation of spare fields and codewords shall not modify the meaning of standardised fields and codewords.

Unless a radio unit knows the meaning of spare fields and codewords on the system it is currently using, it shall not transmit spare messages to the TSC, nor take any action on receiving spare messages from the TSC, nor use the spare fields in standardised messages received from the TSC.

Page 5-2

5.1 System Codewords

•

•

•

no.

5.1.1 Control Channel System Codeword (CCSC)

The Control Channel System Codeword is transmitted on a control channel by a TSC in order to identify the system to radio units and to provide control channel slot synchronisation (see section 3.3.3). It is a data codeword, structured as shown below.

| | 0 | SYS | ccs | PREAMBLE 1010101010101010 | P 1100010011010111 |
|---------|---|-----|-----|------------------------------|-----------------------|
| of bits | 1 | 15 | 16 | 16 | 16 |

- SYS System identity code of the transmitting system. Values of SYS which result in production of the control channel codeword synchronisation sequence, SYNC, in any part of the 48 information bits of the CCSC are not permitted.
- CCS Codeword Completion Sequence, chosen so that the parity check bits P always form the control channel codeword synchronisation sequence. The bit values of the CCS will depend on the system identity code; an algorithm for generating the CCS is given in Appendix 3.

PREAMBLE - Preamble bit reversals, ending with a '0'.

P -

Parity check bits. These complete the codeword and also form the control channel codeword synchronisation sequence, SYNC (section 3.2.1.1).

Page 5-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 38 of 295

5.1.2 Data Channel System Codeword (DCSC)

no.

The DCSC is transmitted on a data channel by the TSC in order to identify the system to radio unit's and to provide data channel slot synchronisation. It is a data codeword as shown below :

| | 0 | SYS | ccs | PREAMBLE 1010101010101010 | P 0011101100101000 |
|---------|---|-----|-----|------------------------------|-----------------------|
| of bits | 1 | 15 | 16 | 16 | 16 |

- SYS System identity code of the transmitting system. Values of SYS which result in production of the data channel codeword synchronisation sequence, SYNT, in any part of the 48 information bits of the DCSC are not permitted.
- DCS Data-codeword Completion Sequence, chosen such that the parity check bits form the data channel sychronisation sequence (SYNT). An algorithm for determining the codeword completion sequence (DCS) of a data channel system codeword (DCSC) is given in appendix 3.
- PREAMBLE Preamble bit reversals, ending with a '0'.
 - P Parity check bits. These complete the codeword and also form the control channel codeword synchronisation sequence, SYNT (section 3.2.1.2).

Page 5-4

5.2 General Address Codeword Structure

9

•

•

There is a general address codeword structure which is divided into 8 categories, and one special structure for a "Go To Channel" message (see 5.4). The general and GTC structures are distinguished by Bit 22 of the codeword; Bit 22 of the general structure is always 'l' whereas Bit 22 of the GTC codeword is set to '0'.

The most usual general structure is shown below.



Categories zero and one (CAT = '000' and '001') contain standardised codewords. The "reserved" codewords are intended for future expansion of the standard message set, whereas the "spare" codewords may be used for customisation of services.

It is anticipated that reserved categories could be used for the definition of polling and data communication protocols etc. in a future phase of standardisation.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 41 of 295

5.3 List of Address Codewords

| | | | Mnemonic | Meaning | Section |
|-------|--------|------------------------|---|--|---|
| GTC H | lessa | je: | GTC | Go to channel command | 5.4 |
| CAT ' | °000 ' | Messages: TYPE '00' | ALH ALHS ALHD ALHE ALHR ALHR ALHY reserved | Aloha invitations: general standard data excluded "Simple" calls excluded emergency only registration or emergency registration excluded fall-back mode | 5.5 5.5.1 |
| | | TYPE 'O1' | ACK ACKI ACKQ ACKX ACKV ACKE ACKT ACKB | Acknowledgements: general intermediate call queued message rejected called unit unavailable emergency try on given address call-back / negative ack | 5.5.2 |
| | | TYPE '10' | RQS RQD RQX RQT RQE RQR RQQ RQC | Requests (sent by RUs): "Simple" standard data cancel/abort - divert emergency registration status short data | 5.5.3.1 5.5.3.1.1 5.5.3.1.2 5.5.3.1.3 5.5.3.1.4 5.5.3.1.5 5.5.3.1.6 5.5.3.1.7 5.5.3.1.8 |
| | | | AHY reserved AHYX reserved reserved AHYQ AHYC | Ahoys (sent by TSC): general availability check cancel alert/waiting state status message short data invitation | 5.5.3.2 5.5.3.2.1 5.5.3.2.2 5.5.3.2.3 5.5.3.2.4 5.5.3.2.5 5.5.3.2.6 5.5.3.2.7 5.5.3.2.8 |
| | | TYPE '11' | MARK MAINT CLEAR MOVE BCAST reserved reserved reserved | Miscellaneous: control channel marker call maintenance call clear-down move control channel broadcast | 5.5.4 5.5.4.1 5.5.4.2 5.5.4.3 5.5.4.4 5.5.4.5 |

Page 5-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 42 of 295

List of Address Codewords, contd.

| | | | Mnemonic | | Meaning | i | Section |
|-----|-------|-----------------------|---------------|------------------------------------|---|-------|---|
| CAT | '001' | Messages: TYPE 'Q' | Samo Samiu | Single Outbou Inbour inbo | e address messages: und nd: ound unsolicited | | 5.6 5.6.1 5.6.1.1 5.6.1.2 5.6.1.2.1 |
| | | | SAMIS | inbo | ound solicited | | 5.6.1.2.2 |
| | | TYPE '1' | HEAD | Short | data message | | 5.6.2 |
| CAT | '010' | Messages: | Codewo | rdë app | plicable to Standard | Data | 5.7 |
| | | Kind 'l' | RQD AHYD | Reques Avails | st for Standard Data ability check | | 5.7.1 5.7.2 |
| | | Kind '0' | GTT DRUGI | Go To Radio | Transaction Unit General Inform | ation | 5.7.3 5.7.4 |
| CAT | '011' | Messages: | spare | | • | | |
| CAT | '100' | Messages: | spare | | | | |
| CAT | 101' | Messages: | Stan | dard Da | ata | | 5.8 |
| | 1 | KIND 'O' | | | | | |
| | | JOB | FROM T | SC | FROM radio unit | | |
| | | 100001 | DACK+D | AL | | | 5.8.2 |
| | | .0001. | DACK+D | ALG | | | 5.8.2 |
| | | '0010' | DACK+D | ALN | | | 5.8.2 |
| | | '0011' | DACK+G | 2 | DACK+GO | | 5.8.2 |
| | | '0100' | DACKZ | | DACKZ | | 5.8.3 |
| | | '0101' | DACKD | | DACKD | | 5.8.1 |
| | | 1000 | DAHY | | RSVD | | 5.8.4 |
| | | '1001' | RSVD | | RSVD | | |
| | | 1010' | RSVD | | DRQG | | 5.8.8 |
| | | '1011' | RSVD | | RSVD | | |
| | | .1100. | DAHYZ | | DRQZ | | 5.8,9 |
| | | ,1101, | RSVD | | RSVD | | 10 0 10 |
| | | ,1110, | DAHIX | | DRQX | 5.8.0 | /5.8.10 |
| | | 1111 | КЦА | | RLA | | 5.8./ |
| | | KIND '1' | | | | | |
| | | TASK | | | | | |
| | | ·0· | SACK | | SACK | | 5.8.11 |
| | | '1' | SITH | | SITH | | 5.8,12 |
| CAT | '110' | Messages: | reserved | | | | |
| САТ | •111• | Messages: | reserved | | | | |

•

• • • • •

•

•

•

۲

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 43 of 295

5.4 Go To Traffic Channel Message, GTC

•

•

0

۲

0

•

This message is transmitted on a control channel from a TSC to radio units. It directs the addressed radio units to switch to a designated channel and proceed with communication.

This message may also be transmitted on a traffic channel to move radio units already in communication to a replacement traffic channel. When the units have retuned to the replacement channel, communication may continue.

| | | | 1 | PFIX | IDENT1 | 0 | D | CHAN | IDENT2 | (N) | P |
|-----|----|------|---|------|--------|---|---|------|--------|-----|----|
| no. | of | bits | 1 | 7 | 13 | 1 | 1 | 10 | 13 | 2 | 16 |

PFIX - Unit or group prefix.

| TDENTI | | called party or gateway: |
|--------|---|--|
| | | Ident - for a common-prefix call, a call from a PABX extension or from the PSTN, or an Include call |
| | | Ident - for an interprefix call when the message is sent |
| | | to the called party. |
| | | TPFIXT - for an interpretix call when the message is cent |
| | | to the calling party |
| | | ATTI - for a system-wide dall |
| | | $\frac{1}{101} = 101 \text{ a system-wide call,}$ |
| | | PSTNSTi = for a call to a prearranged DSTN destination |
| | | PSTNGT = for a call to a general PSTN destination. |
| | | DHMMYT - for an intersite call where the calling narty is |
| | | active on the same control channel. |
| D | - | 'O' if the addressed radio units shall unmute the audio |
| | | for speech communication. |
| | | '1' if the addressed radio units shall mute the audio |
| | | (for data communication), and need not send maintenance |
| | | messages within items unless required by the system by |
| | | prearrangement. |
| | | From a angementer |
| CHAN | _ | Designates the allocated channel number. |
| | | • |
| IDENT2 | | Calling party or gateway: |
| | | Ident - for a common-prefix call to a unit or group of |
| | | units, a system-wide call, or a call to a PABX |
| | | extension or to the PSTN. |
| | | Ident - for an interprefix call when the message is sent |
| | | to the calling party. |
| | | IPFIXI - for an interprefix call when the message is sent |
| | | to the called party. |
| | | PABXI - for a call from a PABX extension. |
| | | PSTNGI - for a call from the PSTN. |
| | | INCI - for an Include call. |
| | | DUMMYI - for an intersite call where the called party is |
| | | active on the same control channel. |
| (N) | - | Aloha number. |
| | | See random access protocol (section 7). |
| _ | | |
| Р | - | Parity check bits. |
| | | |
| | | Page 5-9 |
| | | |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 44 of 295

5.5 Category '000' Messages

5.5.1 Aloha Messages (Type '00')

These messages are transmitted on a control channel by a TSC - see section 7. They invite radio units to transmit single codeword random access messages in the designated frame of timeslots. An Alöha message may also be used to demand a response from an individually addressed radio unit.

| 1 | PFIX | | IDENT1 | 1 | CAT 000 | TYPE 00 | FUNC | CHAN4 | WT | RSVD | (M) | (N) | P |
|-----|-------|---|---|---------------------|--|---|---|--|--|--|--|---|--|
| 1 | 7 | _ | 13 | 1 | 3 | 2 | 3 | 4 | 3 | 2 | 5 | 4 | 16 |
| P | FIX | - | Prefix | : (s | ee al: | so IDE | NT1). | | | | | | |
| IDE | NTI | - | PFIX/I transm addres arbitr | DEN it. s ari | Tl spo Only ire uso .ly. | ecifie y the ed; th | s the (M) le e rema | radio u ast sig ining a | nits nifi ddre | that a cant b ss bits | are in its of s may | nvite f the be s | d to 20-bit et |
| | CAT | - | '000'. | | | | | | | | | | |
| T | YPE · | | '00'. | | | | | | | | | | |
| F | UNC · | - | Specif '000 '001 '010 '100 '100 '100 '110 '11 | les | ALH ALHS ALHD ALHE ALHR ALHR ALHR Reserved defined | function Any s. Messad Emerge Regis invite Messad Fall-) radio used J The fr operation wed for hing the dom acc | on of ingle ges in ges in ency r tratio ed. ges in back m units by thi all-ba tion u nction r futu. he Alo cess m | the Alc codewor vited, vited, equests n (RQR) vited, ode; me which s syste ck mode sed onl re use. ha funce | ha i d me exce exce a (RQ exce essag know m. e is y in tion | envitations essage in ept RQD ept RQS (2E) only emergen ept RQR (2E) only emergen ept RQR (2E) only emergen entropy the fat a custo in the cat es appro- | ion: invite y invite hcy re lited of all-ba omised ase of opriat | ed. ited. eques ack m i mode f equ ce to ender | ts (RQE from ethod e of ipment t. |
| СН | AN4 - | - | Least of the (to pr | sig cc ote | nifica ontrol oct aga | ant for channe ainst) | ur bit el on breakt | s of th which t hrough) | he ch he m | annel r Nessage | is se | r ent | |

contd.

Page 5-10

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 45 of 295

| WT | - | Delay parameter for repeat transmissions. See random access protocol (section 7). |
|------|---|--|
| RSVD | | Reserved for future definition. Default value = '00'. |
| (M) | - | Address qualifier. See random access protocol (section 7). |
| (N) | - | Aloha number. See random access protocol (section 7). |

P - Parity check bits.

5.5.2 Acknowledgement Messages (Type '01')

These messages may be sent by the TSC at various stages of call setup, and by a radio unit in response to a TSC message that demands a reply. The meanings of these messages vary both according to the function of the messages they acknowledge, and according to the source.

The basic structure of the acknowledgements is illustrated below but, for clarity, it is shown separately for TSC source and radio unit source in subsections 5.5.2.1 and 5.5.2.2 respectively.

| 1 | PFIX | IDENTI | 1 | CAT 000 | TYPE 01 | FUNC | IDENT2 | QUAL | (N) | P. |
|-------|------|--|--|---|---|--|---|---|--------------------------------------|-------------------|
| 1 | 7 | 13 | 1 | з | 2 | 3 | 13 | 1 | 4 | 16 |
| | | | | | | | | | | |
| PFI | x - | Prefix. | | | | | | | | |
| DENT | 1 - | Ident of (This is the ackr | t ti s ti now] | ne cal ne cal ledgem | led par led par ent is | rty or rty or being | gateway gateway sent.) | y. y in th | e cal: | l for wh |
| CA | т – | 1000'. | | | | | | | | |
| TYP | E | ·01'. | | | | | | | | |
| FUN | c – | Specific '000' '001' '010' '100' '101' '110' | es t AC AC AC AC AC AC | the function CKI In CKQ A CKQ A CKX A CKV A CKV A CKE A CKE A | nction eneral nterme ore sid cknowl cknowl cknowl cknowl | of the acknow diate a gnallin edge, o edge, o edge en edge, d | e acknow wledgeme acknowle ng to fo call que message called u mergency try on g | vledgem ent edgemen ollow eued rejectu nit un rejectu given a | ent: t, ed avail: ddres: | able s |
| | | 111, | AC | CKB A | cknowl egativ | edge, d e ackno | call-bac owledgen | ck, or ment. | | |
| DENT: | 2 - | Ident of (This is the call | th th fo | ne call ne ide pr whi | ling pant of t ch the | arty o the par acknow | r gatewa cty or g vledgeme | ay. gateway ent is) | that being | origina sent.) |
| QUA | L - | Qualifie | es t | he fu | nction | (FUNC |) of the | acknow | wledge | ement. |
| (N |) - | Aloha nu Reserved default | umbe l ir vaj | er in 1 1 mess Lue = | messag ages t: '0000' | es tran ransmit • | nsmitted tted by | i by a ' radio i | TSC. units | Ŧ |
| | | | | | | | | | | |

P - Parity check bits.

Page 5-12

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 47 of 295

5.5.2.1 Acknowledgement messages sent by the TSC

The acknowledgement messages may be sent by the TSC at various stages of call set-up (or during transactions), to indicate the progress of the call. Data codeword(s) may be appended to an ACKT address codeword to convey additional information, depending on the value of IDENT1.

Acknowledgement address codeword:

۲

۲

•

•

۲

| 1 | PFIX | IDENTI | 1 | CAT 000 | TYPE 01 | FUNC | IDENT2 | QUAL | (N) | P |
|---|------|--------|---|------------|------------|------|--------|------|-----|----|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 1 | 4 | 16 |

PFIX - Prefix of the calling radio unit.

| IDENT1 | - | Called party or gateway: |
|--------|---|---|
| | | Ident – for a common-prefix call. |
| | | IPFIXI - for an interprefix call. |
| | | ALLI - for a system-wide call. |
| | | PABXI - for a call to a PABX extension. |
| | | PSTNSIj - for a call to a prearranged PSTN destination. |
| | | PSTNGI - for a call to a general PSTN destination. |
| | | TSCI - for an RQQ or RQC transaction with the TSC. |
| | | DIVERTI - for general cancellation by a recipient of |
| | | diversions. |

In ACKT(QUAL=0), IDENT1 is the diversion ident or gateway; if IDENT1 = IPFIXI, PABXI or PSTNGI, the diversion address is given in appended data codeword(s) - see below. If a call to an individual address has been diverted to a group address, or vice versa, IDENT1 in ACKT is set to IPFIXI and the diversion address is given in an appended data codeword (with bit GF set appropriately).

In acknowledgements to RQR, IDENT1 = REGI.

- CAT '000'. TYPE - '01',
- FUNC ACK, ACKI, ACKQ, ACKX, ACKV, ACKE, ACKT or ACKB.
- IDENT2 Ident of the calling radio unit.
 - QUAL Qualifies the function (FUNC) of the acknowledgement. See below.
 - (N) Aloha number. See random access protocol (section 7).
 - P Parity check bits.

contd.

Page 5-13

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 48 of 295

Data codewords following ACKT(QUAL=0) address codeword:

When ACKT(QUAL=0) is transmitted by the TSC, up to three data codewords may be appended to convey the diversion address or dialling information. The form of these data codewords depends on the value of IDENT1.

a. If IDENT1 = PSTNGI then up to three data codewords with the following structure may be appended to ACKT(QUAL=0):

| 0 | RSA | FCW | eleven BCD digits | Р |
|---|-----|-----|-------------------|----|
| 1 | 1 | 2 | 11x4 | 16 |

RSA

- Return Slot Access Flag.
 When transmitted by the TSC on a control channel in the second half of a slot preceding an access slot:
 '0' radio units are not permitted random access in the following slot on the return control channel.
 - '1' radio units are permitted random access in the following slot on the return control channel.

In all other cases of transmission, the meaning of the RSA flag is reserved, default='0'. See also 7.2.5.

- FCW Number of data codewords appended to this data codeword
 (in the same message):
 - '00' no data codewords follow
 - '01' one data codeword follows
 - '10' two data codewords follow
 - 'll' reserved.
- BCD Eleven BCD groups representing the dialled digits of the diversion PSTN destination, coded in accordance with the table in Appendix 5. The BCD digits are transmitted in the dialled order (i.e. the leftmost digit in the above diagram is the earliest in the dialling order; digits in any following codeword are later in the dialling order).

P - Parity check bits.

contd,

Page 5-14

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 49 of 295

b. If IDENT1 = PABXI

•

then a single data codeword with the following structure is appended to ACKT(QUAL=0):

| 0 | RSVD | SP | Parameters | ·P |
|---|------|----|------------|----|
| 1 | 10 | 1 | 36 | 16 |

RSVD - Reserved for future definition. Default value = all '0's.

SP - '0' for a "long" PAEX extension number. '1' for an extension number that can be represented by 13 bits.

PARAMETERS - See parameter formats below.

P - Parity check bits.

Parameter formats

| If | SP='0' | BCD1 | BCD2 | BCD3 | BCD4 | BCD5 | BCD6 | BCD7 | BCD8 | BCD9 |
|----|--------|------|------|------|------|------|------|------|------|------|
| | | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 |

BCDn - BCD groups representing the dialled digits of the diversion PABX destination, coded in accordance with the table in Appendix 5. The BCD digits are transmitted in the dialled order.

| If SP='1' | RSVD 21 | EXCHANGE 2 | Number 13 |
|------------|-------------------------------|-----------------------------------|--------------|
| RSVD - | Reserved for Default value | future definition. = all '0's. | |
| EXCHANGE - | Indicates the | appropriate PABX e | exchange. |

Number - PABX extension number.

contd.

Page 5-15

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 50 of 295

<u>c. If IDENT1 = IPFIXI</u> then a single data codeword with the following structure is appended to ACKT(QUAL=0):

| 0 | RSVD | GF | PFIXT | IDENTT | Р |
|---|------|----|-------|--------|----|
| 1 | 26 | 1 | 7 | 13 | 16 |

| RSVD | - | Reserved for future definition. Default value = all '0's. |
|-------|---|--|
| GF | - | '0' if the diversion address is an individual address. '1' if the diversion address is a group address. |
| PFIXT | - | Prefix of the diversion address. |

IDENTT - Ident of the diversion address.

P - Parity check bits.

contd.

Page 5-16

•

•

•

•

•

•

۲

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 51 of 295

or emergency call (as requested by an ROS or ROE message): ACKI (QUAL=0) - Called unit alerting but user/ data equipment not ready. ACKI (QUAL=1) - Intermediate acknowledgement; more signalling to

Acknowledgements sent to calling radio unit to indicate progress of Simple

follow. ACKQ (QUAL=0) -All traffic channels in use. TSC has queued the call. ACKQ (QUAL=1) - Conflicting call in progress (e.g. called unit engaged), or higher in queue. TSC has queued the call. ACKX (QUAL=0) -Invalid call; request rejected. ACKX (QUAL=1) - System overload; request rejected. Called unit not in radio contact or call set-up ACKV (QUAL=0) abandoned. ACKV (QUAL=1) - Conflicting call in progress or higher in queue (and call has not been queued), or called user does not wish to receive this call. ACKB (QUAL=0) - Called unit has accepted the call for call-back. ACKT (QUAL=0) - Called party's calls have been diverted.

Acknowledgements sent to radio unit to indicate progress of Include call (as requested by an RQS on a traffic channel):

| ACK | (QUAL=0) | - | Include request accepted; called party will be directed |
|------|----------|---|--|
| | | | to the traffic channel. |
| ACKI | (QUAL=0) | | Called party alerting but not yet ready. |
| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
| ACKQ | (QUAL=0) | - | All traffic channels in use on called site; more signalling to follow. |
| ACKX | (QUAL=0) | - | Invalid call; request rejected. |
| ACKX | (QUAL=1) | - | System overload; request rejected. |
| ACKV | (QUAL=0) | - | Called unit not in radio contact or Include call abandoned. |
| ACKV | (QUAL=1) | - | Conflicting call in progress (e.g. called party engaged), or called user does not wish to receive this call. |
| ACKT | (QUAL=0) | - | Called party's calls have been diverted. |

Acknowledgements sent during set-up of standard data communication (as requested by an ROD message):

To be defined.

Acknowledgements to cancel/abort message ROX:

ACK (QUAL=1) - Acknowledgement of RQX.

contd.

Page 5-17

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 52 of 295

Acknowledgements to call diversion request ROT:

| ACK | (QUAL=0) | _ | Call diversion or cancellation has been accepted. |
|---------------|-------------------|--------------|---|
| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to |
| ACKX | (QUAL=0) | - | Invalid call; request rejected. |
| ACKX | (OUAL=1) | - | System overload; request rejected. |
| ACKV | (DUAL=0) | _ | Transaction abandoned. |
| | SECTO OF | | |
| Acknow | <u>ledgements</u> | <u>se</u> i | nt as response to emergency call request RQE: |
| ACKE | (QUAL=0) | - | Acknowledgement, wait for further signalling. |
| Acknow | ledgements | to | registration request ROR: |
| ACK | (QUAL=0) | - | Registration accepted. |
| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to |
| | , | | follow. |
| ACKX | (QUAL=0) | - | Invalid request; registration denied. |
| ACKX | (QUAL=1) | - | System overload; registration failed. |
| | | | |
| | | | |
| Acknow | Ledgements | sei | nt to radio unit to indicate progress of status |
| transac | ction (as | requ | uested by an RQQ message): |
| 207 | (0113 T - 0) | | The second |
| ACK | (QOAT=0) | - | Transaction has been successfully completed, i.e. the |
| <u>እ</u> ለሦ ተ | (OUTTOT - 1) | | Called destination has accepted the status information, |
| ACKT | (QOMD=1) | - | follow |
| DOVO. | 101171-01 | | Lollow. Evetem is hugy . Whit for Evether simulian |
| ACKQ | (QUAL-0) | _ | Called unit engreed Wait for further signalling. |
| ACKY | (QUAL-1) | _ | Carrea unit engaged. Wait for further signatting. |
| ACKA | (QUAL=0) | _ | Engline call, message rejected. |
| ACKA | (QUAL=1) | _ | System of carred unit overload; message rejected. |
| ACAV | (QUAL=0) | _ | abandoned. |
| ACKV | (QUAL=1) | - | Called unit engaged (and TSC will not hold the |
| | | | request), or called unit does not wish to accept the |
| | | | information. |
| ACKT | (QUAL=0) | | Called unit's calls have been diverted. |
| 7 -1 | | _ | the madie which he indicate an encourse of should dote |
| tranca | tion (ac. | <u> 9</u> ei | to radio unit to indicate progress of short data |
| LEANSAC | LION LAS | equ | tested by all Not message): |
| ACK | (QUAL=0) | _ | Transaction has been successfully completed. |
| ACKI | (OUAL=1) | - | Intermediate acknowledgement: more signalling to |
| | | | follow. |
| ACKQ | (QUAL=0) | _ | System is busy. Wait for further signalling. |
| ACKO | (QUAL=1) | - | Called party engaged. Wait for further signalling. |
| ACKX | (QUAL=0) | - | Invalid call; message rejected. |
| ACKX | (QUAL=1) | _ | System or called unit overload; message rejected. |
| ACKV | (QUAL=0) | - | Called unit not in radio contact or transaction |
| | | | abandoned. |
| ACKV | (QUAL=1) | - | Called party engaged (and TSC will not hold the |
| | | | request) or called unit does not wish to accept the |
| | | | message. |
| ACKT | (QUAL=0) | - | Called party's data calls have been diverted. |
| | | | |

Page 5-18

•

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 53 of 295

5.5.2.2 Acknowledgement messages sent by radio units

An acknowledgement may be sent by a radio unit in response to a TSC Ahoy or HEAD message that demands a reply. An acknowledgement is also sent if a radio unit receives an individually addressed Aloha message and has no suitable random access message to send (see 7.4.1 and 8.3.2.1).

| 1 | PFIX | IDENTI | 1 | CAT 000 | TYPE 01 | FUNC | IDENT2 | QUAL | (N) | P |
|---|------|--------|---|------------|------------|------|--------|------|-----|----|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 1 | 4 | 16 |

PFIX - Prefix of the transmitting unit.

- IDENT1 IDENT1 from the TSC's message, unless the acknowledgement is sent in response to an individually addressed Aloha message, in which case IDENT1 is set to TSCI.
 - CAT '000'.
 - TYPE '01'.

•

۲

•

•

•

•

•

0

- FUNC ACK, ACKI, ACKX, ACKV or ACKB.
- IDENT2 IDENT2 from the TSC's message, unless the acknowledgement is sent in response to an individually addressed Aloha message, in which case IDENT2 is the transmitting unit's ident.
 - QUAL Qualifies the function (FUNC) of the acknowledgement.
 - (N) Reserved for future definition. Default value = '0000'.
 - P Parity check bits.

Acknowledgements to AHY (i.e. general availability check):

| ì) | From ca | alled unit | (P1 | FIX/IDENT1 from AHY): |
|-----|---------|------------|-----|---|
| | ACK | (QUAL=0) | - | General acknowledgement; unit/ user/ data equipment ready for call. |
| | ACKI | (QUAL=0) | - | Unit alerting but user/ data equipment not ready. |
| | ACKX | (QUAL=0) | - | Call cannot be accepted |
| | | | | (e.g. no data equipment, for a data call). |
| | ACKV | (QUAL=1) | | User does not wish to receive this call |
| | | | | (e.g. his "Busy control" is in the busy state). |
| | ACKB | (QUAL=0) | - | Call accepted for call-back. |
| | ACKB | (QUAL=1) | - | Data codeword appended to AHY was not decodeable |
| | | | | and unit requires the message to be retransmitted. |
| | | | | · · · · · |
| ii) | From re | equesting | uni | t (PFIX/IDENT2 from AHY): |

| | | | • | , | | | , | | | | | |
|------|----------|---|------|----|----------|------|------|---------|-----|------|-----|-------|
| ACK | (QUAL=0) | | Unit | is | waiting | for | sign | nalling | for | a ca | a11 | • |
| ACKX | (QUAL=0) | - | Unit | ìв | not wait | ting | for | signall | ing | for | á | call. |

contd.

Page 5-19

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 54 of 295

Acknowledgement from called unit to AHYX (cancel alert/waiting state):

ACK (QUAL=1) - Acknowledgement.

Acknowledgements from called unit to AHYO (status message):

| ACK | (QUAL=0) | - | Unit has accepted the information in the AHYQ message. |
|------|----------|---|--|
| ACKX | (QUAL=0) | - | Unit is not equipped to accept the information. |
| ACKX | (QUAL=1) | - | Unit cannot accept the information at this time |
| | | | (e.g. its queue is full). |
| ACKV | (QUAL=1) | - | Unit does not wish to accept status information |
| | | | from this calling party. |
| ACKB | (QUAL=1) | - | Data codeword appended to AHYQ was not decodeable and |
| | | | the unit requires the message to be retransmitted. |

Acknowledgements to AHYC (instruction to send short data):

- i) From called unit (PFIX/IDENT1 from AHYC): ACKX (QUAL=0) - Unit is not equipped to send the required data.

Acknowledgements from called unit to HEAD message (short data):

| ACK | (QUAL=0) | - | Unit has accepted the information in the HEAD message. |
|------|----------|---|---|
| ACKX | (QUAL=0) | - | Unit is not equipped to accept the data message. |
| АСКХ | (QUAL=1) | - | Unit cannot accept the message at this time |
| | | | (e.g. its data store is full). |
| ACKV | (QUAL=1) | - | Unit does not wish to accept a data message from this |
| | | | calling party. |
| ACKB | (QUAL=1) | - | Not all the appended data codewords were decodeable and |
| | | | the unit requires the message to be retransmitted. |

Acknowledgement to an individually addressed Aloha message (if addressed radio unit has no random access message to send):

ACKX (QUAL=0) - Acknowledgement, nothing to send.

Acknowledgement to an individually addressed AHYD message

| 1 | PFIX | IDENTL | 1 | CAT 010 | TYPE 01 | FUNC | IDENT2 | QUAL | RSVD | MODEM | P | | | | |
|--|---|--|---|------------|------------|---------|--------|------|------|-------|----|--|--|--|--|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 1 | 3 | 1 | 16 | | | | |
| | RSVD | D - Reserved. Default value = all '0's | | | | | | | | | | | | | |
| м | ADDEM - as a response to AHYD, availability of modem for Standard Data any other response, reserved for future definition. | | | | | | | | | | | | | | |
| | | | | Defa | ault val | lue 'O' | | | | | | | | | |
| '0' - 1200 bits/sec FFSK only available '1' - Both customised rate and 1200 bits/sec available | | | | | | | | | | | | | | | |

Page 5-20

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 55 of 295

5.5.3 Type '10' Messages (Requests and Ahoys)

The Request messages sent by radio units and the Ahoy messages sent by the TSC have the same Category and Type. For clarity, they are shown separately:

- Section 5.5.3.1 defines the Request codeword structures.
- Section 5.5.3.2 defines the Aboy codeword structures.

5.5.3.1 Request Messages (Type '10')

۲

•

Ö

D

•

These messages are transmitted to the TSC from a radio unit requesting a function. Request messages on a control channel are sent using the random access protocol (see 7.3).

The most usual basic structure is illustrated below but, for clarity of definition, the message for each function is detailed separately in sections 5.5.3.1.1 to 5.5.3.1.8.

| | 1 | PFIX | IDENT1 | 1 | CAT 000 | TYPE 10 | FUNC | PARAMETERS | P |
|--------|------|-------------|---|--|--|---|---|--|---------------------------|
| | 1 | 7 | 13 | 1 | 3 | 2 | 3 | 18 | 16 |
| | PFI | (x - | Prefix c | of t | he re | questi | ng rad: | io unit. | |
| II | DENI | - 1 | Ident of | th | e cal | led par | rty or | gateway. | |
| | CA | Ат — | 10001. | | | | | | |
| | TYI | РЕ — | 10'. | | | | | | |
| | FUI | NC – | Specifie '000' '010' '010' '100' '101' '110' '111' | RQ RQ RQ RQ RQ RQ RQ RQ | the fun S Red Spi X Red T Red T Red R Red R Red Q Red Q Red | nction quest { are. Av quest { quest { quest { quest { quest { quest { quest { | of the Simple vailab call ca call di emergen to regi status to send | e request: call le for customisa ancel / abort tr tversion hcy call lster transaction d short data mes | tion ansactio sage. |
| PARAME | TEF | ≀s − | See foll | .owi | ng pag | ges. | | | |

P - Parity check bits.

Page 5-21

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 56 of 295

5.5.3.1.1 Request "Simple" Call Message, ROS

This message is transmitted to the TSC on a control channel by a radio unit requesting a non-emergency conversation or a channel over which any appropriate audio signalling, even a non-standard modulation or format, can be sent. See section 9 for the call procedures.

The RQS codeword contains all the information necessary to request a call to a unit or group of units with the same prefix, to all units in the system; to a prearranged PSTN destination or to a PABX extension that can be accommodated in the range 0-8191. In addition, RQS may be used to request entry into the extended addressing mode for an interprefix call, a general call to the PSTN or a call to a PABX extension with a "long" number; in this case, after receiving the RQS message, the TSC demands the full called party information using the AHYC message (see 5.5.3.2.8).

The RQS message may also be sent to the TSC by a radio unit on its allocated traffic channel, to ask for another party to join the call. See section 11 for the Include call procedures.

| 1 | PFIX | ID | ENTI | 1 | CAT 000 | TYPE 10 | FUNC 000 | IDENT2 | ÐT | LEVEL | EXT | FLAG1 | FLAG2 | P |
|-----|------|----|--|---------------------------------------|---|--|---|--|--|---|--------------------------------|------------------------------|-------------------------------|---------------------------|
| 1 | 7 | | 13 | 1 | 3 | 2 | 3 | 13 | 1 | 1 | 1 | 1 | 1 | 16 |
| TDE | PFIX | - | Pref Call Id If Al nu Pf | fix lec ler F) LL] umb | (of i pan it XI c per XI ISIj | the r - for - for - for - for (E) - for - for | reques a co a n s a s a s (T='1' a ca c a ca | eway: pommon-pr interpre ystem-wi hort add ') all to a all to a | adio refi ide ires a "l | ix call call call ssing c Long" H | l call PABX nged | to a l extens PSTN c | PABX ey sion nu lestina | atensio umber ation |
| | | | PS | STP | GI | - foi | a ca | all to a | a ge | eneral | PST | 1 dest | ination | 1, |
| | CAT | - | 1000 | · · | | | | | | | | | | |
| 1 | IPE | - | 1000 | • | | | | | | | | | | |
| r | DINC | - | -000 | | | | | | | | | | | |
| IDE | NT2 | - | Ider | nt | of t | he re | equest | ing rad | lio | unit. | | | | |
| | DT | - | RQS RQS | me 'O me Ti ti | essag)' if l' if essag lis f nat a | the the the of the of on oit shalloca | a con calle calle a tra nall f nted t | ntrol ch er is re er wishe affic ch be set e the trai | nanı egu es t nanı egu ffic | nel; esting to send nel: al to h c chang | spee d nor oit 1 nel. | ech cor n-preso) from | munica cribed the G1 | data. C mess |

contd.

Page 5-22

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 57 of 295

| LEVEL | - | <pre>RQS message on a control channel: This bit specifies 2 levels of priority (both non-emergency). High priority is '0', non-priority call is '1'. RQS message on a traffic channel: This bit shall be set to '1'.</pre> |
|-------|---|---|
| EXT | - | 'O' if IDENT1 is not a short addressing PABX extension number. '1' if IDENT1 is a short addressing PABX extension number. |
| FLAG1 | - | <pre>For a group call (common-prefix or interprefix): '0' - enable called users to reply '1' - disable called users from replying. For a short addressing call to a PABX extension, FLAG1/FLAG2 indicates the appropriate PABX exchange. For a general call to the PSTN: '0' - number has up to 9 dialling digits '1' - number has 10 to 31 dialling digits. For all other types of call, FLAG1 is reserved for future definition. Default value='0'.</pre> |
| FLAG2 | - | For a short addressing call to a PABX extension, FLAG1/FLAG2 indicates the appropriate PABX exchange. For all other types of call, FLAG2 is reserved for future definition. Default value='0'. |
| Р | - | Parity check bits. |

•

)

•

Ô

2

Page 5-23

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 58 of 295

5.5.3.1.2 Request Codeword Free for Customisation, Not defined

This message may be transmitted to the TSC on a control channel by a radio unit which is requesting a customised service.

Page 5-24

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 59 of 295

5.5.3.1.3 Call Cancel / Abort Transaction Request Message, ROX

This message is transmitted to the TSC on a control channel by a radio unit in order to:

- a. cancel a previous call request, while waiting for its requested call to be set up, or
- b. abort a transaction e.g. a status transaction.

۲

۲

۲

•

It may also be transmitted to the TSC on a traffic channel by a radio unit, in order to cancel an Include call request, while waiting for signalling for its Include call.

| l | PFIX | İDENTI | 1 | CAT 000 | TYPE 10 | FUNC 010 | IDENT2 | RSVD | ₽ |
|---|------|--------|---|------------|------------|-------------|--------|------|----|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 5 | 16 |

PFIX - Prefix of the requesting radio unit.

| IDENTI | - | Called party or gateway: Ident - for a common-prefix call IPFIXI - for an interprefix call ALLI - for a system-wide call PABXI - for a call to a PABX extension PSTNSIJ - for a call to a prearranged PSTN destination PSTNGI - for a call to a general PSTN destination TSCI - for an RQQ or RQC transaction with the TSC DIVERTI - for aborting a general cancellation by a recipient of diversions. Note: IDENT1 is the same as IDENT1 from the request being |
|--------|--------------|--|
| | | cancelled/aborted, except for a short addressing PABX call. |
| CAT | - | '000'. |
| TYPE | - | '10'. |
| FUNC | - | '010'. |
| IDENT2 | • | Ident of the requesting radio unit. |
| RSVD | - | Reserved for future definition. Default value = '00000'. |
| P | b aaa | Parity check bits. |

Page 5-25

5.5.3.1.4 Request Call Diversion Message, ROT

This message is transmitted to the TSC on a control channel by a radio unit to request either that future calls addressed:

- to itself, or
- to another subscriber unit or group

be redirected to a specified alternative destination; the destination may be a radio or line unit, a group, a PABX extension or a PSTN number.

This message is also used by a radio unit to request:

- cancellation of the diversion of its calls, or
- cancellation of the diversion of another party's calls, or
- cancellation of any existing diversions to it.

See section 12 for the diversion procedures.

| 1 | PFIX | ID | ENT1 | 1 | CAT 000 | TYPE 10 | FUNC 011 | IDENT2 | SD. | DIV | FLAG1 | FLAG2 | Ŕ | | |
|----|---------------|----|---|---|---|---|---|--|---|---|---|---|--------------------------|--|--|
| 1 | 7 | | 13 | l | ŝ | 2 | 3 | 13 | 2 | 1 | 1 | 1 | 16 | | |
| II | PFIX DENT1 | | <pre>Prefix of the requesting radio unit. For diversion requests: party or gateway to which calls are to be redirected: ident, IPFIXI, PSTNSIj, PSTNGI or PABXI (for any PABX extension). For "self" or "third-party" cancellation: ident of the unit or group whose calls should be returned</pre> | | | | | | | | | | | | |
| | | | <pre>(or IPFIXI for an interprefix address). For general cancellation by a recipient of diversions: set to DIVERTI.</pre> | | | | | | | | | | | | |
| | CAT | - | ,000 |)'. | | | | | | | | | | | |
| | TYPE | - | 10 | · • | | | | | | | | | | | |
| | FUNC | - | .011 | L'. | | | | | | | | | | | |
| II | DENT2 | - | Ider | it of | f the | reque | sting : | radio, un: | it. | | | | | | |
| | SD | - | Spec or o For requ RQQ requ RQC | cific cance 00' 10' 11' dive state state or 1 | es the el div if bo if on resen ersion ed us TUS='1 ed us RQD. | e type: vert ap oth spe hly dat rved fo ing RQS 11111; ing RQS | s of ca oplies eech an eech ca ta call or futu oses, S(DT=0). "Da S(DT=1) | alls to a and data a alls are ls are to are use. "speech"), RQE(D: ata" cal), RQE(D: | whic call: to be cal =0), ls a =1), | h the s are be redi: ls are RQQ(re de RQQ(| reques to be directed. rected. e defin STATUS= fined a '00001' | t to div redirect d. ed as ca '00000') s calls -'llll0' | ert ed. alls or | | |
| | | | | | | | | | | | | | | | |

contd.

Page 5-26

•

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 61 of 295

| DIV | - | '0' for a request for call diversion. '1' for a request for cancellation of call diversion. |
|-------|------|---|
| FLAG1 | **** | For IDENT1 = PSTNGI, '0' - number has up to 9 dialling digits '1' - number has 10 to 31 dialling digits. |
| | | For IDENII / Forner, FLAGI IS reserved; derault value= 0. |
| FLAG2 | - | Specifies whether or not three addresses must be supplied: For DIV='0', '0' for self-initiated diversion '1' for third-party diversion. |
| | | For $DIV='1'$, FLAG2 shall be set to '0'. |
| P | - | Parity check bits. |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 62 of 295

5.5.3.1.5 Request Emergency Call Message, ROE

This message is transmitted to the TSC by a radio unit requesting an emergency call. The RQE codeword contains all the information necessary to request a call to a unit or group of units with the same prefix, to all units in the system, to a prearranged PSTN destination or to a PABX extension that can be accommodated in the range 0-8191. In addition, RQE may be used to request entry into the extended addressing mode for an interprefix call, a general call to the PSTN or a call to a PABX extension with a "long" number. See section 10 for the emergency call procedures.

RQE may also be used to request a special mode of service previously arranged with the system.

Usually emergency calls will take precedence over all other calls. Emergency calls may be pre-emptive, that is, another call may be terminated prematurely to free a channel for an emergency call.

| <pre>1 7 13 1 3 2 3 13 1 1 1 1 1 1 1 PFIX - Prefix of the requesting radio unit. IDENT1 - Called party or gateway:</pre> | 1 | PFIX | ID | ENTI | 1 | САТ 000 | TYPE 10 | FUNC 100 | IDENT2 | D | RSVD | EXT | FLAG1 | FLAG2 | P |
|---|--|------|----|------------|--------------|------------------|----------------|--------------------|----------|-------------|-------------------|----------------------------|------------------|-------|----|
| <pre>PFIX - Prefix of the requesting radio unit. IDENT1 - Called party or gateway: Ident - for a common-prefix call IPFIXI - for an interprefix call ALLI - for a system-wide call number - for a short addressing call to a PABX extension (EXT='1') PABXI - for a call to a "long" PABX extension number PSTNSIj - for a call to a prearranged PSTN destination PSTNGI - for a call to a general PSTN destination. Note: If EXT='0'/FLAG2='1', the meaning of IDENT1 may be redefined. CAT - '000'. TYPE - '10'. FUNC - '100'. IDENT2 - Ident of the requesting radio unit. D - '0' if the caller is requesting speech communication. '1' if the caller is requesting data communication. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined.</pre> | 1 | 7 | 1. | 3 ; | 1 | 3 | 2 | 3 | 13 | 1 | 1 | 1 | ı | 1 | 16 |
| <pre>IDENT1 - Called party or gateway: Ident - for a common-prefix call IPFIXI - for an interprefix call ALLI - for a system-wide call number - for a short addressing call to a PABX extension (EXT='1') PABXI - for a call to a "long" PABX extension number PSTNSIj - for a call to a prearranged PSTN destination PSTNGI - for a call to a general PSTN destination. Note: If EXT='0'/FLAG2='1', the meaning of IDENT1 may be redefined. CAT - '000'. TYPE - '10'. FUNC - '100'. IDENT2 - Ident of the requesting radio unit. D - '0' if the caller is requesting speech communication. '1' if the caller is requesting data communication. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined.</pre> | | PFIX | - | Pref | ix (| of the | s requ | esting | radio u | nit | • | | | | |
| <pre>CAT - '000'. TYPE - '10'. FUNC - '100'. IDENT2 - Ident of the requesting radio unit. D - '0' if the caller is requesting speech communication. '1' if the caller is requesting data communication. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined.</pre> | <pre>IDENT1 - Called party or gateway: Ident - for a common-prefix call IPFIXI - for an interprefix call ALLI - for a system-wide call number - for a short addressing call to a PABX extension (EXT='1') PABXI - for a call to a "long" PABX extension number PSTNSIj - for a call to a prearranged PSTN destination PSTNGI - for a call to a general PSTN destination. Note: If EXT='0'/FLAG2='1', the meaning of IDENT1 may be redefined.</pre> | | | | | | | | | | | ension ber ion be | | | |
| <pre>TYPE - '10'. FUNC - '100'. IDENT2 - Ident of the requesting radio unit. D - '0' if the caller is requesting speech communication. '1' if the caller is requesting data communication. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined.</pre> | | CAT | - | *000 | ۰, | | | | | | | | | | |
| <pre>FUNC - '100'. IDENT2 - Ident of the requesting radio unit. D - '0' if the caller is requesting speech communication. '1' if the caller is requesting data communication. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined.</pre> | | TYPE | - | '10' | - | | | | | | | | | | |
| <pre>IDENT2 - Ident of the requesting radio unit. D - '0' if the caller is requesting speech communication. '1' if the caller is requesting data communication. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined.</pre> | | FUNC | - | '100 | • - | | | | | | | | | | |
| D - '0' if the caller is requesting speech communication. '1' if the caller is requesting data communication. Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined. | ID | ENT2 | - | Ident | | f the | reque | esting : | radio un | it. | | | | | |
| Note: If EXT='0'/FLAG2='1', the meaning of bit D may be redefined. | | D | - | '0' '1' | if t if t | the ca the ca | aller aller | is requ is requ | uesting | spe dat: | ech com a comm | mmunio unica | cation. tion. | | |
| | | | | Note | : I: re | f EXT: edefin | ='0'/H hed. | LAG2=' | l', the | mea | ning o | f bit | D may | be | |

contd.

Page 5-28

| RSVD | - Reserved for future definition. Default value='0'. |
|-----------|--|
| EXT | 'O' if IDENT1 is not a short addressing PABX extension number. '1' if IDENT1 is a short addressing PABX extension number. |
| FLAG1 | For a group call (common-prefix or interprefix): '0' - enable called users to reply '1' - disable called users from replying. For a short addressing call to a PABX extension, FLAG1/FLAG2 indicates the appropriate PABX exchange For a general call to the PSTN: '0' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite '1' - number has up % 0 dicitize dicite |
| | Notes in Exlect Filler of conserver frequences. Construct |
| ··· · · · | (a) When the example Backward and general other of the Backward end of the second statement of the |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

:

•

5.5.3.1.6 Request to Register Message, ROR

This message is transmitted to the TSC on a control channel by a radio unit requesting to register. See section 8 for the registration procedures.

Registration may be required for the tracking of roamers, for widearea systems with multiple control channels and for polling systems.

| 1 | PFI | X IDENT1 | 1 | CAT 000 | TYPE 10 | FUNC 101 | INFO | rsvd | P | | | |
|-------|-----|---|-------|------------|------------|-------------|---------|------------|--------|--|--|--|
| 1 | 7 | 13 | 1 | З | 2 | ġ | 15 | З | 16 | | | |
| PFIX | _ | Prefix of | th | e req | uestin | g radio | o unit. | | | | | |
| DENT1 | - | Ident of | the | e requ | esting | radio | unit. | | | | | |
| CAT | | '000'. | | | | | | | | | | |
| TYPE | - | 10'. | '10'. | | | | | | | | | |
| FUNC | | .101. | | | | | | | | | | |
| INFO | - | Available for customisation by systems, to convey additional information to the TSC. Null value = all '0's. | | | | | | | | | | |
| RSVD | - | Reserved | for | futu | re def | inition | . Defau | lt value = | ·000'. | | | |
| P | _ | Parity ch | eck | bits | • | | | | | | | |

•
•
•
•
•
•
•

Page 5-30

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 65 of 295

5.5.3.1.7 Request Status Transaction, ROO

This message is transmitted to the TSC on a control channel by a radio unit:

- to request that status information be relayed to the addressed line unit or radio unit, or
- to send status information to the TSC.

The status field in an RQQ message consists of 5 bits, allowing 32 different status values. Two of these values have been predefined (see below).

For a common-prefix status message, the RQQ message contains all the information necessary for the transaction. For an interprefix status message, the RQQ message is used to request entry into the extended addressing mode. See section 13 for the status procedures.

| ļ | | | 1 | r | <u> </u> | | Г | | | | 1 | | | |
|----|-------|------|--|-----------|---------------------------------|------------------------------------|---|--|--|-------------------------------|-------------------|--|--|--|
| | 1 | PFIX | IDENT1 | 1 | CAT 000 | TYPE 10 | FUNC 110 | IDENT2 | STATUS | P | | | | |
| | 1 | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 5 | 16 | | | | |
| | PFIX | < - | Prefix o | E t | he re | questi | ng rad | io unit. | | | | | | |
| II |)ENT] | L — | Called pa Ident IPFIXI TSCI | art; | y or for a for a for a | gatewa commo n inte trans | y: n-pref rprefi action | ix trans x transa with th | action ction e TSC. | | | | | |
| | CAT | r - | ·000·. | | | | - | | | | | | | |
| | TYPI | s – | '10'. | | | | | | | | | | | |
| | FUNC | - 3 | ·110·. | | | | | | | | | | | |
| 11 | DENT: | 2 - | Ident of | th | e req | uestin | g radi | o unit. | | | | | | |
| s' | FATUS | 5 - | For a tr '0000 | ans O' | actio | n with | a lin reques call b (no ot indica | e unit o ts that ack with her stat ted). | r radio un the addres a speech us informa | nit: ssed call ation | unit | | | |
| | | | 0000 1111 | 1' 1' | to '1 | 1110, | are us cancel (no ot indíca | er-defin s a prev her stat ted). | ed status ious speed us informa | valu ch ca ation | es. 11 request | | | |
| | | | For a transaction with the TSC: '00000' indicates "off-hook" or equivalent. '00001' to '11110' are system-defined status values. '11111' indicates "on-hook" or equivalent. | | | | | | | | | | | |
| | 1 | ₽ | Parity c | hec | k bit | з. | | | | | | | | |

Page 5-31

5.5.3.1.8 Request to Transmit Short Data Message, ROC

This message is sent by a radio unit to request permission to transmit a short data message (comprising the HEAD address codeword and up to four data codewords). After receiving the request, the TSC uses the AHYC message to instruct the requesting unit to transmit the data message on the control channel. See section 14 for the short data message procedures.

| 1 | PFIX | IDENT1 | 1 | CAT 000 | TYPE 10 | FUNC 111 | IDENT2 | SLOTS | EXT | FLAG1 | FLAG2 | , P |
|----------|----------------|--|--|--|---|--|--|--|---------------------------------------|--|---------------------------|------|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 2 | 1 | 1 | 1 | 16 |
| P | FIX - | Prefix | of | the a | reques | ting r | adio unii | t. | | | | |
| IDE | NT1 - | Called Ident IPFI ALLI numbe PABX PSTNS PSTNS TSCI | pan KI ∍r I SIj JI | cty of - fo - fo - fo (1 - fo - fo - fo - fo | r gates or a co or a si or a si | way: pinterpinterpinystem-v hort additionall to all to ransact | prefix ca refix cal wide call idressing a "long" a prear a genera tion with | all 1 g call f ranged 1 al PSTN n the T | to a l extens PSTN dest: SC. | PABX es sion nu destina ination | xtensio umber ation | on |
| 1 | CAT - | ·000·. | | | | | | | | | | |
| T) Fl | VPE - UNC - | ·10·. | | | | | | | | | | |
| DE | NT2 - | Ident o | of t | he re | equest | ing rad | lio unit. | | | | | |
| SLO | ots - | The nur '00' '01' '10' '11' | nbei re re tw | of t eserve eserve vo slo iree s | timeslo ed ed ots slots | ots red (addres (addres | quired fo ss codewo ss codewo | or the o ord + 1 ord + 3 | data n or 2 or 4 | nessage data d data d | e: codewo: | rds) |
| 1 | EXT - | '0' if '1' if | IDE IDE | ENTI S | is not is a sl | a sho nort ac | rt addres ddressing | ssing PA g PABX (| ABX e: exten: | xtensio sion nu | on num) umber. | ber. |
| FL | AG1 - | For a s FL For a c '0' '1' For all def | <pre>For a short addressing call to a PABX extension, FLAG1/FLAG2 indicates the appropriate PABX exchange. For a general call to the PSTN: '0' - number has up to 9 dialling digits '1' - number has 10 to 31 dialling digits. For all other types of call, FLAG1 is reserved for future definition. Default value='0'</pre> | | | | | | | | | |
| FL | AG2 - | For a s FLF For all def | shor AG1/ L ot | ft add /FLAG2 ther t | iressin 2 india types o . Defa | ng call cates f of call ault va | l to a Ph the appro l, FLAG2 alue='0'. | ABX exte opriate is rese | ension PABX erved | n, exchar for fu | nge. uture | |
| | Р — | Parity | che | eck bi | its, | | | | | | | |

Page 5-32

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 67 of 295

5.5.3.2 Aboy Messages (Type '10')

•

•

These messages are transmitted by a TSC; they demand a response from an addressed radio unit.

The basic structure is illustrated below but, for clarity of definition, the message for each function is detailed separately in sections 5.5.3.2.1 to 5.5.3.2.8.

(Note that the request messages sent by radio units have the same Category and Type as the Aboy messages sent by the TSC.)

| 1 PFIX | IDENT1 | 1 | CAT 000 | TYPE 10 | FUNC | PARAMETERS | P |
|--------|--------|---|------------|------------|------|------------|----|
| 1 7 | 13 | 1 | 3 | 2 | 3 | 18 | 16 |

PFIX - Prefix.

IDENT1 - Ident of the called party or gateway.

CAT - '000'.

TYPE - '10'.

| FUNC | ~ | Specifies | s the function of the Ahoy: |
|------|---|-----------|---------------------------------|
| | | '000' | AHY General availability check |
| | | '001' | Free for customisation |
| | | , 010 i | AHYX Cancel alert/waiting state |
| | | '011 ' | Reserved for future use |
| | | '100' | Reserved for future use |
| | | '101' | Reserved for future use |
| | | ·110· | AHYQ Status message |
| | | '111' | AHYC Short data invitation. |

PARAMETERS - See following pages.

P - Parity check bits.

Page 5-33

5.5.3.2.1 General Availability Check Message, AHY

This message is transmitted on a control channel by the TSC as follows.

- a. It may be transmitted to a called radio unit to establish the availability of the called unit/user prior to allocating a traffic channel for a call (see 9.1.1.5), or prior to including a unit in an existing call (see 11.1.5).
- b. It may be sent to check the availability of a called radio unit before the TSC transmits a short data message (HEAD); see 14.1.6.
- c. It may be sent to a requesting radio unit to check that it is still in radio contact and to restart its waiting timer (see, for example, sections 8.2.1.3, 9.1.1.7 and 9.1.1.10).

AHY may also be sent by the TSC to a radio unit on a traffic channel, for example to check that the unit has reached, or is still on, the channel (see 6.1.2.1 and 9.1.2.2), or to restart the waiting timer of a radio unit which has requested an Include call (see 11.1.7).

One data codeword may be appended to the AHY address codeword, to convey additional information, depending on the value of bit AD. (In this issue of the standard, this facility is used only when the AHY is sent on a control channel to a called radio unit).

AHY demands an acknowledgement from the addressed unit:

| i) | For $AD = '0'$ | On a control channel, the addressed unit responds in the slot following the AHY. On a traffic channel, the unit times its response | |
|-----|----------------|---|--|
| | | from the end of the AHY address codeword. | |
| ii) | For $AD = '1'$ | - On a control channel, the addressed unit responds in | |

the slot following the data codeword (i.e. in the slot following the slot that contains the data codeword). On a traffic channel, the unit times its response from the end of the data codeword.

contd.

Page 5-34

AHY address codeword:

•

•

Ģ

9

9

•

9

•

۲

0

0

| 1 | PFIX | IDE | INT1 | 1 | CAT 000 | TYPE 10 | FUNC 000 | IDENT2 | D | POINT | CHECK | E | AD | P |
|-----|---------------------|----------------------|---|--|---|---|--|---|---|---|---|-------------------------------|--|----------------------|
| | 7 | 1 | .3 | 1 | 3 | 2 | .3 | 13 | 1 | 1 | 1 | 1 | 1 | 16 |
| נם: | PFIX ENT1 | - p - C a b | Prefi Calle () F 1) F 1 | ix o: ed pa for 1 IDEN: IDEN: IDEN: IDEN: IDEN: IDEN: IDEN: IDEN: IDEN: | f the arty o POINT: T1 is POINT: T1 is ent FIXI LI | radio or gat ='0' (the id the c - for - for - for - for | unit. eway: i.e. a dent o i.e. a alled p a com a syst | vailabil f the ca vailabil party or mon-pref terprefi tem-wide | Lity alle gat fix c ix ca | check d radio check teway a call all | on call unit. on call s follo | ed ing | unit unit |), t), |
| | | | Ŧ | PAI PS: PS: TS: DI | BXI TNSIJ TNGI CI VERTI resta: | <pre>- for - for - for - for - for dive</pre> | a cal a cal a cal an RQ generations ersions | l to a F l to a f l to a g 2 or RQC al cance 5. iting ti | PABX pread gener c tra ellat | extens cranged ral PST ansaction tion by of a ra | ion PSTN d N desti on with a reci adio un | est nat th pie | inat: ion e TSC nt o: that | ion C f has |
| | | | I | equi | ested | regist | tratio | n, IDENI | c1 = | REGI. | | | | |
| : | CAT IYPE FUNC | 1 1 1 | 000' 10'. 000' | • | | | | | | | | | | |
| D | ENT2 | - c | alli | .ng j | party | or ga | teway: | | | | | | | |
| | | a | t) F I | for interview of the second se | POINT: T2 is CI MI MMYI all of ent FIXI BXI INGI | ='0' (the c: - f: - f: - f: ther ty - f: - f: - f: - f: - f: | i.e. a alling or a si or a si or a "r ypes of or a co or a co or a co or a co | vailabil party o Include nort dat no-call' f call, ommon-pr interpre all from | lity call a me 'tes refix afix n a l n the | check a ateway a l avail; essage a st avai c call call PABX ext e PSTN. | on calle as folle ability availab lability tension | ed ows ch ili y c | unit : eck ty cl heck |), heck |
| | | | 1 ma | if II Ny be | DENT2 e prov | = IPF | IXI or in an a | INCI, t appended | he a' I dat | address ca code | of the word (se | ca ee | lling | g uni w}. |
| | | b |) F IC | or) Dent; | POINT: 2 is 1 | ='1' () the ide | i.e. av ent of | vailabil the cal | lity | check a g radio | on call unit. | ing | uni | t), |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | cont | td. |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 70 of 295



sending "off-hook" or "on-hook" signalling, D='1'). POINT - '0' demands an acknowledgement from the unit whose individual address is PFIX/IDENT1. '1' demands an acknowledgement from the unit whose individual address is PFIX/IDENT2. See sections 9.2.2.2, 9.2.2.3 and 9.2.3.2 for the appropriate acknowledgement. CHECK - AHY message on a control channel: a) For POINT='0' (i.e. availability check on called unit), 101 indicates that the TSC is checking only that the unit is in radio contact (and can accept this call). indicates that the TSC is also checking: 11' - for D='0', whether the unit's user is ready for a speech call - for D='1', whether the unit's data equipment is ready. b) For POINT='1' (i.e. availability check on calling unit), CHECK is reserved for future definition. Default value='0'. AHY message on a traffic channel: CHECK is reserved for future definition. Default value='0'. E - '0' if the calling party requested a non-emergency call or transaction. 'l' if the calling party requested an emergency call. (For an AHY sent to restart the waiting timer of a radio unit requesting registration or an Include call, or sending "off-hook" or "on-hook" signalling, E='0'). AD -'0' if there is no appended data codeword. 'l' if there is a data codeword appended to the AHY. P - Parity check bits.

D - If the calling party requested speech communication (i.e. RQS(DT=0) or RQE(D=0)), then D='0'.

(For an AHY sent to restart the waiting timer of a radio unit

Otherwise, D='1'.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 71 of 295

Page 5-36

Data codeword following AHY address codeword:

For a (control channel) availability check on the called unit, if bit AD in the AHY address codeword is set to '1', then a single data codeword with the following structure is appended to the AHY codeword.

| | | 0 | FORM | PAR | AMETERS | P | |
|------|---|------|---------|--------|---------|------------|-------|
| i 5 | | 1 | 3 | | 44 | 16 | |
| FORM | _ | Defi | nes the | format | of the | PARAMETERS | field |

Defines the format of the PARAMETERS field (see below). '000' - Value used to convey the address of the calling unit in an interprefix or Include call. '001' to '100' are reserved for future use. '101' to '111' are spare for customisation.

PARAMETERS - See parameter formats below.

P - Parity check bits.

Parameter formats

•/ ;

٢

PARAMETERS

| FORM = '000' RSVD PFIX2 IDENT2 24 7 13 RSVD - Reserved for future use. Default value = all '0's PFIX2 - Prefix of the calling un | | | | | | | | | |
|---|--------------|-----|-----|--------------------------|-------------------|----------------------|-----|--|--|
| 24 7 13 RSVD - Reserved for future use. Default value = all '0's PFIX2 - Prefix of the calling un | FORM = '000' | R | SVD | PFIX | .2 : | IDENT2 | | | |
| RSVD - Reserved for future use. Default value = all '0's PFIX2 - Prefix of the calling un | | | 24 | 7 | | 13 | | | |
| PFIX2 - Prefix of the calling un | R | SVD | - | Reserved f Default va | or fut lue = 6 | ure úse. all 'O's | • | | |
| | PF | 1X2 | - | Prefix of | the ca | lling un | it. | | |
| IDENT2 - Ident of the calling unit | IDE | NT2 | - | Ident of t | he call | ling uni | it. | | |

Page 5-37

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 72 of 295
5.5.3.2.3 Cancel Alert/Waiting State Message, AHYX

This message is transmitted on a control channel by the TSC, to inform a called radio unit of cancellation of an incoming traffic channel call e.g. if the calling unit no longer wants the call. It demands a response ACK(QUAL=1) in the next slot from the called unit i.e. the unit whose individual address is PFIX/IDENT1 - see 9.2.2.4. (Note that this message is used only for cancelled individual calls to radio units.)

| 1 | PFÍX | IDENTI | 1 | CAT 000 | TYPE 10 | FUNC 010 | IDENT2 | RSVD | P |
|---|------|--------|---|------------|------------|-------------|--------|------|----|
| 1 | 7 | 13 | 1 | з | 2 | 3 | 13 | 5 | 16 |

PFIX - Prefix of the called radio unit. IDENT1 - Ident of the called radio unit. CAT - '000'.

TYPE - '10'.

FUNC - '010'.

IDENT2 - Calling party or gateway: Ident - for a common-prefix call IPFIXI - for an interprefix call PABXI - for a call from a PABX extension PSTNGI - for a call from the PSTN INCI - for an Include call.

RSVD - Reserved for future definition. Default value = '00000'.

P - Parity check bits.

Page 5-38

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 73 of 295

5.5.3.2.4 This section is reserved for future use.

5.5.3.2.5 This section is reserved for future use.

5.5.3.2.6 This section is reserved for future use.

Page 5-39

170 1440

5.5.3.2.7 Status Ahoy Message, AHYO

This message is transmitted on a control channel by a TSC to inform a called radio unit of status information sent by a radio or line unit. For an interprefix status message, IDENT2 in the AHYQ address codeword is set to IPFIXI and a data codeword is appended containing the calling unit's address. AHYQ is also used to send a 5-bit status message to a radio unit from the TSC.

AHYQ demands an response ACK, ACKX, ACKV or ACKB from the called unit (i.e. the unit whose individual address is PFIX/IDENT1):

- in the slot following the AHYQ address codeword, for a common-prefix status message (IDENT2 = ident) or a message from the TSC (IDENT2 = TSCI);
- in the slot following the appended data codeword, for an interprefix status message (IDENT2 = IPFIXI).

See 13.2.1.5 and 13.2.3.1 for the Status Ahoy procedures.

AHYO address codeword:

| 1 | PFIX | IDENT1 | 1 | CAT 000 | TYPE 10 | FUNC 110 | IDENT2 | STATUS | P | | |
|--|------|------------------------|---------------|----------------|--------------------|-------------|----------|-----------|----------|-------|--|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 5 | 16 | | |
| PFIX - Prefix of the called radio unit. | | | | | | | | | | | |
| IDENT1 - Ident of the called radio unit. | | | | | | | | | | | |
| CAT | - | ·000 · . | | | | | | | | | |
| TYPE | - | '10'. | | | | | | | | | |
| FUNC | - | '110'. | | | | | | | | | |
| <pre>IDENT2 - Calling unit or gateway: Ident - for a common-prefix transaction IPFIXI - for an interprefix transaction TSCI - for a message from the TSC. If IDENT2 = IPFIXI, the address of the calling unit is</pre> | | | | | | | | | | | |
| <pre>provided in an appended data codeword (see below). STATUS ~ For a status message from a radio or line unit, this field contains the status information sent by the calling unit: '00000' requests a speech call '00001' to '11110' are user-defined status values '11111' cancels a previous speech call request.</pre> | | | | | | | | | | | |
| | f | For a sta ield is s | itus iyste | mess em-dej | age fro pendent | om the | TSC, the | e meaning | g of the | STATU | |

P - Parity check bits.

contd.

Page 5-40

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 75 of 295

Data codeword following AHYQ address codeword:

۲

• • • • • •

•

For an interprefix status transaction, IDENT2 in the AHYQ address codeword is set to TPPIXI and a data codeword is appended containing the calling unit's address.

| 0 | RSVD | PFIX2 | IDENT2 | P |
|---|------|-------|--------|----|
| 1 | 27 | 7 | . 13 | 16 |

| RSVD | - | Reserved for future use. Default value = all '0's. |
|--------|---|--|
| PFIX2 | - | Prefix of the calling unit. |
| IDENT2 | - | Ident of the calling unit. |

P - Parity check bits.

5.5.3.2.8 Short Data Invitation Message, AHYC

This message is used by the TSC to instruct a radio unit to send a short data transmission (see sections 9.2.2.1, 11.3.1 and 15.2).

AHYC is used in two Modes:

- In Mode 1, AHYC instructs a calling radio unit to send addressing information (see SAMIS, section 5.6.1.2.2) or RQC data (see HEAD, section 5.6.2), when a preceding request message from the radio unit has indicated the requirement. Mode 1 is distinguished by setting PFIX/IDENT2 to a radio unit's individual address.
- In Mode 2, AHYC demands that a radio unit transmits a data message of a prescribed type (see section 15); for example, the TSC may demand the serial number of a radio unit. It is an interrogation mode, not part of a call requested by the radio unit. Mode 2 is distinguished by setting PFIX/IDENT1 to a radio unit's individual address (with IDENT2 as a non-radio-unit ident).

The type of data to be transmitted by the radio unit is indicated by the DESC field and the non-radio-unit ident; the meaning of DESC can be different for the two modes.

AHYC may be sent on either a control channel or a traffic channel.

| 1 | PFIX | IDENT1 | 1 | CAT 000 | TYPE 10 | FUNC 111 | IDENT2 | SLOTS | DESC | P | |
|---|------|--------|---|------------|------------|-------------|--------|-------|------|----|--|
| l | 7 | 13 | 1 | 3 | 2 | 3 | 13 | 2 | 3 | 16 | |

PFIX - Prefix of the radio unit.

IDENT1 - Called unit, gateway or special ident:

- a. Mode 1 (instructing the unit with address PFIX/IDENT2 to send data):
 - IPFIXI for inviting extended addressing information for an interprefix call
 - PSTNGI for inviting dialled digits for a call to the PSTN
 - PABXI for inviting address information for a call to a PABX extension
 - DIVERTI for inviting the blocked address for third-party call diversion
 - SDMI for inviting RQC data.
- b. Mode 2 (interrogation): Ident of the radio unit.

contd.

Page 5-42

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 77 of 295

| CAT | - | ·000'. | | | | |
|------|---|----------|--|--|--|--|
| type | - | '10'. | | | | |
| FUNC | | ·111 · . | | | | |

IDENT2 - Calling party:

- a. Mode 1: Ident of the calling radio unit.
- b. Mode 2 (instructing the unit with address PFIX/IDENT1 to send data): Ident of the interrogator:

For DESC='000' (serial number check), IDENT2 = TSCI.

SLOTS - The number of slots reserved for the data message:

| SLOTS | No. of slots | No. of codewords | | | | | | | |
|-------|--------------|------------------|----------|----|----|----|---|------|-----------|
| .00. | reserved | | | | | | | | |
| '01' | 1 | address | codeword | ¢. | nl | Y | | | |
| '10' | 2 | address | codeword | + | 1 | or | 2 | data | codewords |
| '11' | 3 | address | codeword | + | 3 | or | 4 | data | codewords |

For Mode 1, SLOTS shall correspond to the request message from the radio unit as follows:

| IDENT1 in AHYC | SLOTS |
|----------------|-----------------------------|
| IPFIXI | "01 * |
| PSTNGI | '01' for up to 9 digits, or |
| | '10' for 10 to 31 digits |
| PABXI | '01' |
| DIVERTI | .01, |
| SDMI | equal to SLOTS from the RQC |

For Mode 2, SLOTS shall correspond to the data required from the radio unit as follows:

| DESC | SLOTS |
|-------|-------|
| ·000· | '01' |

contd.

Page 5-43

DESC - Data message codeword descriptor. This field indicates the type of data message with which the radio unit shall respond:

 i) When the first codeword of the radio unit's data message is required to be SAMIS, DESC is set to the value of the DESC field to be used in the SAMIS as follows; (note that the meaning of the SAMIS message may be different for Modes 1 and 2);

a. Mode 1:

- '000' for inviting extended addressing information for an interprefix call, or the blocked address for third-party diversion
- '001' for inviting dialled digits for a call to the PSTN
- '010' for inviting address information for a call to a PABX extension
- '011' Reserved
- '100 to 111' are spare

b. Mode 2:

'000' - for demanding the serial number of a radio unit. '001 to 011' are reserved '100 to 111' are spare

ii) When the first codeword of the radio unit's data message is required to be HEAD (i.e. IDENT1=SDMI), DESC = '000'. DESC = '001' to '011' are reserved. DESC = '100' to '111' are spare P - Parity check bits.

5.5.4 Miscellaneous Control Messages (Type '11')

These are various messages required for system control. The basic structure is illustrated below, but the detailed structure for each message is defined separately on the following pages.

| 1 | PARAMS | 1 | CAT 000 | TYPE 11 | FUNC | PARAMETERS | P |
|---|--------|---|------------|------------|------|------------|----|
| 1 | 20 | ı | 3 | 2 | 3 | 18 | 16 |

PARAMS -

• • •

•

•

MS - Dependent on FUNC. Where applicable, PARAMS is an address (PFIX/IDENTI); otherwise bits 2-21 are used for other purposes. See following pages.

CAT - '000'.

TYPE - '11'.

| FUNC | - | Specifie | s the fun | oction of the message: |
|------|---|----------|-----------|---|
| | | '000' | MARK | Control channel marker |
| | | '001' | MAINT | Call maintenance message |
| | | '010' | CLEAR | Clear down from allocated channel |
| | | '011' | MOVE | Move to specified control channel |
| | | ·100· | BCAST | Broadcast message for system parameters |
| | | '101' | Reserved | for future use |
| | | '110' | Reserved | for future use |
| | | '111' | Reserved | for future use |
| | | | | |

PARAMETERS - See following pages.

P - Parity check bits.

5.5.4.1 Control Channel Marker, MARK

This message may be transmitted on a control channel by a TSC. For example, it may be sent as the first address codeword(s) on a newly designated control channel in order to accelerate control channel acquisition by radio units (see 3.3.3.1). It does not need an address PFIX/IDENT1, so bits 2-21 are reused.

The message fields A and B are chosen so that:

- i) the parity check bits always form the control channel codeword synchronisation sequence, SYNC (see section 3.2.1.1), and
- ii) the number of bit transitions included between bits 33 and 49 is the maximum achievable, taking into account condition i) above. The bit values of these fields will depend on CHAN4 and the system identity code. An algorithm for generating these fields is given in Appendix 4.

| 1 | 1 CHAN4 | | SYS | 1 | CAT 000 | TYPE 11 | FUNC 000 | в | P 1100010011010111 |
|---|---------|---|-----|---|------------|------------|-------------|----|-----------------------|
| 1 | 4 | 1 | 15 | 1 | 3 | 2 | 3 | 18 | 16 |

- CHAN4 Least significant four bits of the channel number of the control channel on which the message is sent
 - A See i) and ii) above and Appendix 4.
 - SYS System identity code of the transmitting system.
 - CAT '000'.
- TYPE '11'.
- FUNC '000'.

B - See i) and ii) above and Appendix 4.

P - Parity check bits. These complete the codeword and also form the control channel codeword synchronisation sequence.

5.5.4.2 Call Maintenance Message, MAINT

These messages are transmitted on an allocated traffic channel during a call.

A radio unit sends MAINT messages (OPER = '000', '001', '010', '011') during a call; see 9.2.3. The TSC may send MAINT (OPER = '110') to clear down from the channel any radio units that should not be there, and may send MAINT (OPER = '111') to disable radio units from user transmission.

| 1 | PFIX | IDENT1 | 1 | CAT 000 | TYPE 11 | FUNC 001 | CHAN | OPER | RSVD | P | |
|---|------|--------|---|------------|------------|-------------|------|------|------|----|--|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 10 | 3 | 5 | 16 | |

PFIX - Prefix.

> Transmitted by TSC: OPER = '110' PFIX/IDENT1 is the "call-labelling" address: either address from the GTC message. OPER = '111' Individual or group ident, or ALLI; see below.

```
CAT
          '000'.
         '11'.
TYPE
FUNC
         '001'.
      +--
CHAN - Channel number of the channel on which the message is sent.
          '000'
OPER -
                 Pressel On
          '100'
                 Pressel Off
          '010'
                 Periodic message within an item
          '011'
                 Disconnect message, end of channel use
                 Spare for customisation (eg radio transmitter
          '100'
                 power control)
          '101'
                 Reserved for future use
          1101
                 Clear down radio units for which PFIX/IDENT1 is
                 not a valid call-labelling address
          '111'
                 Disable user transmission, as follows:
                     an individual radio unit, if PFIX/IDENT1 is an
                 a)
                     individual address
                     called radio units in a group, if PFIX/IDENT1 is
                 b)
                    the group address
                 c) all radio units on the channel, if IDENTI is ALLI.
         Reserved for future use. Except for OPER = '100' when these
RSVD -
         bits are available for synchronisation when reserved,
         default value = '00000'.
   P - Parity check bits.
```

Page 5-47

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 82 of 295

5.5.4.3 Clear-Down Message, CLEAR

This message is transmitted by a TSC; it directs all radio units to clear down from a traffic channel. It does not need an address PFIX/IDENT1, so bits 2-21 are reused.

| 1 | CHAN | CONT | 1 | CAT 000 | TYPE 11 | FUNC 010 | RSVD | SPARE | REVS 101010101010 | ₽ |
|---|------|------|---|------------|------------|-------------|------|-------|----------------------|----|
| 1 | 10 | 10 | 1 | 3 | 2 | 3 | 4 | 2 | 12 | 16 |

- CHAN Channel number of the traffic channel on which the message is sent.
- CONT Channel number of the control channel to which radio units should move (unless CONT = '000000000', in which case the channel movement is system-dependent).
- CAT '000'.
- TYPE '11'.
- FUNC '010'.
- RSVD Reserved for future use. Default value = '0000'.
- SPARE These bits are available for customisation.
- REVS Bit reversals, ending with a '0'.
 - P Parity check bits.

•

5.5.4.4 Move to Control Channel, MOVE

This message is transmitted on a control channel by a TSC; it directs selected radio units to a different control channel. (See sections 6.1.1 and 7.4.2).

| 1 | PFIX | IDENT1 | 1 | CAT 000 | TYPE 11 | FUNC 011 | CONT | (M) | RSVD | SPARE | Р |
|---|------|--------|---|------------|------------|-------------|------|-----|------|-------|----|
| 1 | 7 | 13 | 1 | 3 | 2 | 3 | 10 | 5 | 2 | 1 | 16 |

PFIX - Prefix.

- IDENT1 PFIX/IDENT1 specifies the radio units that should move. Only the (M) least significant bits of the 20-bit address are used; the remaining address bits may be set arbitrarily.
 - CAT '000'.
 - TYPE '11'.
 - FUNC '011'.
 - CONT Channel number of the control channel to which the addressed radio units should move (unless CONT = '0000000000', in which case the channel movement is system-dependent).
 - (M) Address qualifier.
 - RSVD Reserved for future use. Default value = '00'.
 - SPARE This bit is available for customisation.
 - P Parity check bits.

5.5.4.5 Broadcast Message, BCAST

This message is transmitted on a control channel by a TSC; it contains information about system parameters for either this system or another system. It does not need an address PFIX/IDENT1, so bits 2-21 are reused.

32 different types of information can be broadcast using BCAST messages, by setting the SYSDEF and parameter fields appropriately. The parameter fields for each SYSDEF are detailed on the following pages.

| 1 | SYSDEF | SYS | 1 | CAT 000 | TYPE 11 | FUNC 100 | PARAMETERS | Ρ |
|---|--------|-----|---|------------|------------|-------------|------------|----|
| 1 | 5 | 15 | 1 | 3 | 2 | 3 | 18 | 16 |

SYSDEF - Specifies which system parameters are being broadcast:

| | | 1000001 | Announce control channel |
|------|---|----------------|---|
| | | '00001' | Withdraw control channel |
| | | '00010' | Specify call maintenance parameters |
| | | 00011 | Specify registration parameters |
| | | 1001001 | Broadcast adjagent site central channel |
| | | 00100 | number |
| | | '00101' | Vote now advice |
| | - | '00110') | |
| | | :) | Reserved for future use |
| | | ·01111·) | |
| | | '10000') | |
| | | :) | Spare for customisation of services |
| | | .11111.) | |
| SYS | - | System identit | y code of the system to which the broadcast |
| | | message refers | • |
| CAT | - | '000'. | |
| | | | |
| TYPE | - | '11'. | |
| | | | |
| FUNC | - | '100'. | |
| | | | |
| | | | |

PARAMETERS - Parameter fields - see following pages.

P - Parity check bits.

Page 5-50

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 85 of 295

Parameter fields in BCAST messages

a) Announce control channel (SYSDEF = '00000')

This message announces a channel that may be used for control by the named system; radio units may then include it in their list of channels to scan.

| 1 | SYSDEF 00000 | SYS | 1 | CAT 000 | TYPE 11 | FUNC 100 | CHAN | SPARE | RSVD | P | |
|---|-----------------|-----|---|------------|------------|-------------|------|-------|------|----|--|
| 1 | 5 | 15 | 1 | 3 | 2 | 3 | 10 | 2 | 6 | 16 | |

CHAN - Channel number of the control channel being announced.

SPARE - These bits are available for customisation.

RSVD - Reserved for future definition. Default = all '0's.

b) <u>Withdraw control channel (SYSDEF = '00001')</u>

.

This message withdraws a channel that could previously be used for control by the named system; radio units may then delete it from their list of channels to scan.

| 1 | SYSDEF 00001 | SYS | 1 | CAT 000 | TYPE 11 | FUNC 100 | CHAN | SPARE | RSVD | Р |
|---|-----------------|-----|---|------------|------------|-------------|------|-------|------|----|
| 1 | 5 | 15 | 1 | 3 | 2 | 3 | 10 | 2 | 6 | 16 |

CHAN - Channel number of the control channel being withdrawn.

SPARE - These bits are available for customisation.

RSVD - Reserved for future definition. Default = all '0's.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 86 of 295

c) Specify call maintenance parameters (SYSDEF = '00010')

This message specifies:

- whether this system requires radio units to send call maintenance messages on traffic channels periodically within speech items; if so, it specifies the maximum interval between the periodic messages;
- (ii) whether this system requires radio units on traffic channels to send NPON Pressel On messages at the start of speech items;
- (iii) whether this system requires that a called unit in a group shall set PFIX/IDENT1 in MAINT messages it sends to its individual address or to the group address from the GTC message.

This message shall be sent only by the system to which the broadcast refers.

Default requirements are specified in section 9.2.2.6.

| 1 | SYSDE 0001 | F 0 | SYS | ı | CAT 000 | TYPE 11 | FUNC 100 | PER | IVAL | PON | ID | RSVD | SPARE | P |
|---|---------------|--------|--------------------------|---|--|---|---|--|---|--|--------------------------------|-------------------------------------|-----------------------------|----|
| 1 | 5 | | 15 | 1 | 3 | 2 | З | 1 | 5 | 1 | 1 | 2 | 8 | 16 |
| | PER | | '0' : 1' : 1' : | if ra perio if ra perio | adio (odica) adio (odica) | inits lly wi inits lly wi | shall thin s shall thin s | send (peech not s peech | call m items end ca items | ainte: ll ma | nanco inter | e mess nance | ages message: | 5 |
| | IVAL | 4 | If PH | ER = ER = | 0, 1 be f: 1, 1 | VAL is etween irst p ubseque VAL is | the m the s eriodi ent pe reser | aximum tart o c mess riodio ved. | n inte of the sage, a c messa Defau | rval item and ti ages. It va | (in) and hen) lue : | second the betwee = '000 | s) n 00'. | |
| | PON | - | יסי i t יוי i | lf ra the s lf ra nt tl | adio s start adio s ne sta | units of sp units art of | shall eech i shall speec | send tems. not se h iter | NPON Pr end any ms. | resse y Pre | l On ssel | messa On me | ges at ssages | |
| | ID | - | ·0· i } t ·1· i | lf a (AIN) the (lf a (AIN) | calle [mess GTC me calle [mess | ed uni ages essage ed uni sages | t in a it sen t in a it sen | grouj da to grouj ds to | p shali the g p shali its in | l set roup : l set ndivie | PFI addro PFI dual | K/IDEN ess fr X/IDEN addre | Tl in om Tl in ss. | |
| | RSVD | - | Reser | ved | for | future | defin | ition | . Defa | ault | valu | e ≕ 'O | 0'. | |
| 5 | SPARE | | These | e bit | s are | avai | lable | for c | istomi | satio | n. | | | |

Page 5-52

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 87 of 295

d) <u>Specify registration parameters (SYSDEF = '00011')</u>

This message is available for systems to specify parameters which radio units may require for implementing registration.

| 1 | SYSDEF 00011 | SYS | 1 | CAT 000 | TYPE 11 | FUNC 100 | RSVD | SPARE | P | |
|---|-----------------|-----|---|------------|------------|-------------|------|-------|----|--|
| 1 | 5 | 15 | 1 | 3 | 2 | 3 | 4 | 14 | 16 | |

RSVD - Reserved for future definition. Default value = '0000'. SPARE - These bits are available for customisation.

e) Broadcast adjacent site control channel number (SYSDEF = '00100')

This message specifies a control channel currently being used for signalling on an adjacent site. It gives the system identity code of the adjacent site and the channel number of the specified control channel, and may also give the local serial number of the adjacent site.

| 1 | SYSDEF 00100 | SYS | 1 | CAT 000 | TYPE 11 | FUNC 100 | CHAN | SPARE | RSVD | ADJSITE | P | |
|---|-----------------|-----|---|------------|------------|-------------|------|-------|------|---------|----|--|
| 1 | 5 | 15 | 1 | З | 2 | 3 | 10 | 2 | 2 | 4 | 16 | |

CHAN - Channel number of the control channel being specified.

- SPARE These bits are available for customisation.
- RSVD Reserved for future definition. Default value = '00'.

ADJSITE - For ADJSITE = 0, radio units shall ignore this field. For ADJSITE = 1 to 15, ADJSITE is the local serial number of the adjacent site, as assigned by the transmitting site.

The use of site serial numbers is system-dependent. The adjacent site number is useful for wide-area systems that provide more than one control channel with the same system identity code (SYS). (Note that the same call information should be sent on control channels with the same SYS).

f) Vote now advice (SYSDEF = '00101')

This message gives an opportunity to idle radio units to use the next slot for signal assessment of the control channel specified by the broadcast message. It gives the system identity code of the adjacent site that is using the specified control channel and the channel number of the control channel, and may also give the local serial number of the adjacent site.

Note that the TSC should not use the next slot on the transmitting site to signal to units that are likely to be assessing the signal strength received from the adjacent site.

| 1 | SYSDE 0010 |)1 | SYS | 1 | CAT 000 | TYPE 11 | FUNC 100 | CHAN | SPARE | RSVD | ADJSITE | P |
|-----|---------------|----|------------------|---------------|------------------|--------------------|--------------------|-------------------|----------------------|-----------------------------|------------------------|-------|
| 1 | 5 | | 15 | 1 | Ê | 2 | Э | 10 | 2 | 2 | 4 | 16 |
| S | CHAN PARE | - | Channe These | el nu bit: | imber s are | of the | e conti able fo | col cha | annel be tomisat: | eing s _l ion. | pecified. | |
| : | RSVD | - | Reserv | red ; | for fu | iture (| lefinit | ion. | Defaul | t value | e = '0 <u>0</u> '. | |
| ADJ | SITE | - | For Af For Af | JSI: | re = (re = 1 |), radi 1 to 19 | LO UNIN 5, ADJ: | s shal SITE is | ll ignom s the lo | re this ocal se | s field. erial numb | er of |

For ADJSITE = 1 to 15, ADJSITE is the local serial number of the adjacent site, as assigned by the transmitting site.

5.6 Category '001' Messages

5.6.1 Single Address Messages (Type '0')

5.6.1.1 Outbound Single Address Messages, SAMO

The SAMO messages are for the transmission of short data messages from the TSC to radio units. They are not used in this issue of the standard, but are reserved for future definition.

| 1 | PFIX | IDENT1 | 1 | CAT 001 | TYPE O | PARAMETERS | P |
|---|------|--------|---|------------|-----------|------------|----|
| 1 | 7 | 13 | 1 | 3 | 1 | 22 | 16 |

| PFIX | - | Prefix of the called radio unit or group. |
|------------|---|---|
| IDENT1 | - | Ident of the called radio unit or group. |
| CAT | ~ | '001'. |
| TYPE | - | '0'. |
| PARAMETERS | - | Reserved for future definition. |

P - Parity check bits.

•

5.6.1.2 Inbound Single Address Messages

5.6.1.2.1 Inbound Unsolicited Single Address Message, SAMIU

The SAMIU messages are for the transmission of random access short data messages from radio units to the TSC. They are not used in this issue of the standard, but are reserved for future definition.

| A REAL PROPERTY AND A REAL PROPERTY A REAL PROPERTY AND A REAL PRO | 1 | PFIX | IDENT1 | 1 | CAT 001 | TYPĖ O | SOL 1 | PARAMETERS | P |
|--|---|------|--------|---|------------|-----------|----------|------------|----|
| | 1 | 7 | 13 | 1 | 3 | 1 | 1 | 21 | 16 |

PFIX - Prefix of the originating unit.

- IDENT1 Ident of the originating unit.
 - CAT '001'.
 - TYPE '0'.
 - SOL '1'.
- PARAMETERS Reserved for future definition.
 - P Parity check bits.

5.6.1.2.2 Inbound Solicited Single Address Message, SAMIS

The SAMIS message is for the transmission of a short data message by a radio unit in response to an AHYC message from the TSC. For example, it is used in the extended addressing procedures, in third-party call diversion (section 12) and for data interrogation (section 15). When appropriate, data codewords are appended to a SAMIS address codeword.

The meaning of a SAMIS message is indicated by the DESC field. The AHYC message which solicits a SAMIS is used in two different Modes (see 5.5.3.2.8); the meaning of the SAMIS message is specified independently for the two Modes.

The SAMIS message may be transmitted on a control channel and on a traffic channel.

SAMIS address codeword:

| 1 | | PARAMETERS1 | 1 | CAT 001 | TYPE O | SOL 0 | DESC | PARAMETERS2 | P |
|-----------|-----|---|--------------------------------------|---|---|--|--|----------------|---|
| | 1 | 20 | 1 | 3 | 1 | 1 | 3 | 18 | 16 |
| PARAMETEI | RS1 | - See para | nete | r form | nats be | elow. | | | |
| Ċ | CAT | - '001'. | | | | | | | |
| T | YPE | - '0'. | | | | | - | | |
| 5 | SOL | - '0'. | | | | | | | |
| ום | ESC | - Codeword | des | cripto | or; | | | | |
| | | a. Mode | 1: | (AHYC | with a | cadio | unit a | address as PF: | IX/IDENT2 |
| | | ,000 ,001 ,010 ,011 ,100 b. Mode : ,000 ,001 ,100 | ' _ ' is ' to 2: (i ' to | exter inter third dial addre exter reser '111 AHYC 3 radio '011 '111 | nded ac rprefix d-party led did ess ini- nsion rved ' are s with ra o unit ' are s ' are s | idress call y dive gits f format spare adio u 's ser reserv spare | sing in l, or H arsion for a (tion for unit ac rial nurved. | ddress as PFI | r an ss for STN a PABX &/IDENT1): |
| PARAMETER | RS2 | - See para | netei | r form | nats be | elow. | | | |
| | P | - Parity cl | heck | bits | | | | | contd. |



ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 93 of 295

Data codewords appended to SAMIS, Mode 1:

For DESC = '001', in response to AHYC inviting PSTN digits, one or two data codewords having the following format may be appended:

| 0 | RSVD | eleven BCD digits | P |
|---|------|-------------------|----|
| 1 | 3 | 11 x 4 | 16 |

- RSVD Reserved. Default value = '000'.
- BCD Eleven BCD groups representing the dialled digits, coded in accordance with the table in Appendix 5. BCD digits are transmitted in the dialled order.
 - P Parity check bits.

Parameter formats for Mode 2

DESC

9

0 0

,000,

| lst | part | of | serial | number | 2nd |
|-----|------|----|--------|--------|-----|
| | | | 20 | | |

PARAMETERS1

The form of the serial number is system-dependent.

Data codewords appended to SAMIS, Mode 2:

Reserved for future definition.

Page 5-59

PARAMETERS2

18

part of serial number

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 94 of 295

5.6.2 Short Data Message Header, HEAD (Type '1')

This codeword is the address codeword in a short data message having up to four data codewords and transmitted on a control channel. A radio unit may request to send a short data message using the RQC message (see 5.5.3.1.8). The TSC instructs the radio unit to send its short data message (using AHYC), and then forwards the message to the called party (or the TSC may be the called destination). The TSC may also transmit short data messages originated from a line unit, a PABX extension or the PSTN, or from the TSC itself. See section 14 for the short data message procedures.

A HEAD message transmitted by the TSC to an individually addressed radio unit demands a response from the unit, in the slot following the last data codeword of the message.

HEAD address codeword:

| 1 | PFIX1 | IDENTI | 1 | CAT 001 | TYPE 1 | LEN | PFIX2 | IDENT2 | Р |
|---|-------|--------|---|------------|-----------|-----|-------|--------|----|
| 1 | 7 | 13 | 1 | 3 | 1 | 2 | 7 | 13 | 16 |

PFIX1 - Prefix of the called party (if applicable). IDENT1 - Called party or gateway: - for a common-prefix or interprefix call Ident - for a system-wide call ALLI - for a call to a PABX extension PABXI PSTNSIj - for a call to a prearranged PSTN destination PSTNGI - for a call to a general PSTN destination - for a call to the TSC. TSCI CAT '001'. -TYPE - '1'. LEN - Indicates the number of data codewords appended to the HEAD address codeword: '00' one data codeword '01' two data codewords '10' three data codewords '11' four data codewords. PFIX2 - Prefix of the calling party (if applicable). IDENT2 - Calling party or gateway: - for a common-prefix or interprefix call Ident - for a call from a PABX extension PABXI - for a call from the PSTN PSTNGI - for a call from the TSC. TSCI P - Parity check bits.

contd.

Page 5-60

•





RSA - Return Slot Access Flag. When transmitted by the TSC on a control channel in the second half of a slot preceding an access slot: '0' - radio units are not permitted random access in the following slot on the return control channel, '1' - radio units are permitted random access in the following slot on the return control channel. When transmitted in the first or third data codeword following HEAD, RSA = SPARE, default = '0'.

PARAMETERS - This field is available for free format data.

P - Parity check bits.

5.7 Codewords applicable to Standard Data Call Set-Up

5.7.1 Request Standard Data Communication ROD

This message is transmitted to the TSC on a control channel by a radio unit requesting to send a data message using the Standard Data Protocol

| 1 | | PFIX | IDENT1 | 1 | CAT 010 | KIND 1 | PORT | FAD | IDENT2 | INTER | LEYEL | HADT | E | HODEM | Р |
|-----|----|------|--|-----|------------|--|--|--|--|--|---|--|-------------------------------|------------------------------|----------|
| 1 | | 7 | 13 | 1 | 3 | 1 | 3 | 1 | 13 | 1 | 1 | 1 | 1 | 1 | 16 |
| ; | PF | PIX | - | 1 | Prefi | x of | the r | eque | sting r | adio u | init | | | | |
| ID | EN | 171 | - | c | Calle | d par Ident IPFIX ALLI PABXI PSTNS NETSI PSTNG DNI | ty or I - Ij - J - Ij - I - | gat for for for for for for Net for for | eway a comm an int a syst a call tinatic a call work de call t a call | on-pre erpref em wid to a to a stinat o a ge to a | efix ca la cal PABX (prear) o a pre- cion eneral data p | all 11 exten: ranged e-arr; PSTN netwo | sio i P ang de rk | n STN ed dat stinat | a ion |
| | С | AT | - | | ,010 | • | | | | | | | | | |
| 1 | KI | ND | - | | '1' | | | | | | | | | | |
| 1 | PO | RT | - | Log | gical | Port | numb | er o: | f the c | alled | party | | | | |
| | F | AD | - | Flá | ng to | indi | cate | great | ter tha | n 9 di | alled | digit | ts : | for PS | TN C |
| | | | '0' - 9 or fewer dialled digits '1' - greater than 9 dialled digits | | | | | | | | | | | | |
| IDI | EN | T2 | | | Iden | t of | the r | eque | sting r | adio u | nit | | | | |
| II | T | ER | - | | inte | racti | ve co | ntaci | t requi | red | | | | | |
| | | | | | ,0. | inter requi inter be pr | activ red activ ovide | e com e com d | ntact w | ith th ith th | e call | Led pa | art | y is y need | not |
| LI | ΞV | EL | | | requ | ired | prior | ity | | | | | | | |
| | | | | | '1' '0' | non-p high | riori prior | ty ity | | | | | | | |
| F | A | DT | - | | '0' '1' | high high | accur | acy d acy d | lata tr lata tr | ansfer ansfer | not : requi | requi: ired | ced | | |
| | | E | _ | | •0' •1' | if th call if th call. | e cal or tr e cal | ling ansad ling | party ction. party | reques | ted a ted ar | non-e | eme) :gei | rgency ncy | |
| | | | | | | | | | | | | | | | co |
| | | | | | | | | | | | | | | Pa | ge ! |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 97 of 295

| | | _ |
|-------|--|---|
| | | |
| | | |
| MODEM | - requested data rate | |
| | '0' - standard rate 1200 b/s only '1' - customised rate and standard rate are supported. | |
| Р | parity check bits | |
| | | |
| | | |
| ۲ | | |
| • | | |
| | | |
| • | | |
| • | | |
| • | | |
| • | | |
| • | | |
| • | | |
| | | |
| • | | |
| • | | |
| • | | |
| • | | |
| • | | |
| • | | |
| | | |
| | | |
| • | Page 5-63 | |
| | ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 98 of 295 | |

5.7.2 Availability Check for Standard Data AHYD

| 1 | PFIX | IDENTI | 1 CAT 010 | KIND 1 | PORT | RSVD | IDENT2 | INTER | POINT | HADT | ε | AD | P |
|--------------|-----------------|-------------------|--|--------------------------------|----------------------------------|----------------------------------|--|--|----------------------------------|----------------------------------|------------------------------|-----------------------|--------------|
| 1 | 7 | 13 | 1 3 | 1 | 3 | 1 | 13 | 1 | 1 | 1 | 1 | 1 | 16 |
| 3 | PFIX | - | Pref | ix of | the | radio | unit | | | | | | |
| IDI | ENTl | - | Call | ed pa | rty o | r gat | eway : | | | | | | |
| | I | dent | - | for | a com | mon p | refix (| call | | | | | |
| | IP | FIXI | - | for | an in | terpr | efix ca | all | | | | | |
| | 1 | ALLI | - | for | a sys | tem w | ide cal | 11 | | | | | |
| | P | ABXI | - | tor | a cal | 1 to | a PABX | exten | 510n | - 17-4 | | | |
| | NE: DET | rsij | - | for | a cal | 1 to | a pre-a | arrang | ed Dat | a Net N des | wor | к ~~:. | |
| | PSI | ENGT | - | for | a cal | 1 to | the Den | iffangi EN | ed PS1 | n des | C171 | ac1(| 11 |
| | 15. DTVI | 2PT7 | _ | for | cener | | ncellat | tion h | v a ro | rinia | n÷. | nf | |
| | 2117 | 57 1 4 ± | | dive | rsion | s ca | neerra | | y a re | orpre | ne | 01 | |
| | | DNI | - | for | a cal | l to | a Data | Netwo: | rk | | | | |
| | CAT | _ | '010 | • | | | | | | | | | |
| I | CIND | · | '1' | | | | | | | | | | |
| Ţ | PORT | - | Logi | cal p | ort n | umber | | | | | | | |
| 1 | RSVD | - | Rese | rved. | Defa | ult v | alue = | all ' |)'s | | | | |
| ID | ENT2 | - | Call | ing p | arty | or ga | teway a | as fol: | lows: | | | | |
| | | | DUMMYI | - | fo | r a " andar | no-call d data | l" test | t avai | labil | ity | che | eck for |
| | | | Ident | _ | fo | rac | ommon r | prefix | call | | | | |
| | | | IPFIXI | _ | fo | r an . | interp | efix o | call | | | | |
| | | | PABXI | - | fo | rac | all fro | om a Pl | ABX ex | tensi | on | | |
| | | | PSTNGI | - | fc | rac | all fro | om the | PSTN | | | | |
| | | | NETSIj | - | fr | om a | pre-arr | anged | Data | Netwo | rk : | sou | rce |
| | | | DNI | ~ | fo | rac | all fro | om a Da | ata Ne | twork | | | |
| If] appe | (DENT) ended | 2=IPFI) data c | (I, the codeword | addre | ss of | the | calling | g unit | may b | e pro | vid | ed j | in an |
| IN | ITER | - | interac | tive | conta | ct re | quired | | | | | | |
| | | | 'l re | ' int quire | eract d | ive c | ontact | with t | che ca | lled | part | ty i | is |
| | | | '0' int provide | eract d. | ive c | ontac | t with | the ca | alled | party | ne | ed r | not be |
| PC | DINT | - | '0' dem the un '1' dem the un | ands it wh ands it wh | an ac ose i an ac ose i | knowl ndivi knowl ndivi | edgemer dual ad edgemer dual ad | nt from Idress nt from Idress | n the is PF n the is PF | calle IX/ID calli IX/ID | d ui ENT: ng i ENT: | nit 1 unit 2 | i.e t i.e |
| F | IADT | - | '0' h '1' h | igh a igh a | ccura ccura | cy da cy da | ta trar ta trar | nsfer i nsfer i | not re requir | quire ed | đ | | contd. |

Page 5-64

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 99 of 295

| E | '0' if the calling party requested a non-emergency data call. '1' if the calling party requested an emergency data call. |
|--------------------|---|
| AD | '0' if there is no appended data codeword '1' if there is a data codeword appended to the AHYD |
| -Ç- | - parity check bits |
| Data codewo | rd following AHYD address codeword |
| 0 FORM | PARAMETERS P 44 16 |
| FORM | - Defines the format of the PARAMETERS field (see below) |
| | '000' - Value used to convey the address of the calling unit in an interprefix call |
| | '001' to '100'' are reserved for future use '101' to '111' are spare for customisation |
| PARAMETERS | - see parameter formats below |
| Þ | parity check bits |
| <u>Parameter f</u> | <u>ormats</u> |
| FCRH = '000' | REVD PF1X2 IDENT2 |
| | 24 7 13 |
| RSVD - | Reserved for future use. Default value = all '0's. |
| PFIX2 - | Prefix of the calling unit |
| IDENT2 - | Ident of the calling unit |

5.7.3 Go To Transaction GTT

This message is transmitted from a TSC to radio units. It directs addressed radio units to switch to a designated Standard Data channel in order to proceed with or continue a data call.

The message is used :

- a) On a control channel to send the RU to a data channel, and allocate its TRANS. If TRANS='0000000000' then the TRANS will be allocated on the data channel using an additional GTT.
- b) On a data channel, with an IDENT set to a value in the range 1-8100, to allocate an additional TRANS to the RU. In this case the channel number MUST be set to the current data channel
- c) On a data channel to move an individual RU to another data channel. This is only possible if the radio unit already has one TRANS assigned to it. In this case, a new TRANS will replace the current TRANS on the new channel and the data call will continue.
- d) On a data channel to move ALL radio unit's to another data channel. In this case IDENT is set to ALLI and TRANS='000000000'. All radio units moved shall retain their already allocated TRANS. The O/R and the RATE fields have no meaning and shall be set to '0'.

| | | | | | | | | _ | | |
|------|------|-------|---|------------|-----------|---------------------|-----------------|----------------------|----------------------------|--------------|
| 1 | FFIX | IDENT | 1 | CAT B10 | KIND O | CHAN | 0/R | RATE | TRANS | P |
| 1 | 7 | 13 | 1 | 3 | 1 | 10 | 1 | ١ | 10 | 16 |
| PF: | IX | - | P | refi | x of | radio | unit | - | | |
| IDEI | T | - | I | dent | of t | he ra | dio u | ınit | | |
| | | | | Ţ | dent | - | 3 1 | any id cadio | ient aj unít. | ppl |
| | | | | A | LLI | - | t | for a | syste | n w. |
| Cł | AT | - | • | 010' | | | | | | |
| KI | D | | • | 0' | | | | | | |
| СН | BN | - | D | esig | nates | the | alloo | cated | channe | el 1 |
| 0, | /R | - | 0 | rigi | nator | or R | ecipi | lent | | |
| | | | | | | '0' '1' | - | radi radi | lo unit lo unit | t i t i |
| RA | re | | T | rans | missi | on ra '0' '1' | te to - - | be u star cust | ised idard i comised | rate d ra |
| TRAI | NS. | - | Т | rans | actio | n numi | ber | | | |
| | P | - | P | arit | y bit | S | | | | |
| | | | | | | | | | | |

Page 5-66

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 101 of 295

| 5.7.4 Standard | Data Rand | om access | | |
|-----------------|------------|-------------|-----|-------|
| <u>Radio Un</u> | it General | Information | ••• | DRUGI |

This message shall be transmitted by a radio unit:

a) In response to DAHY

•

•

•

•

- b) In random access when TDE or TDH has expired
- c) When it wishes to resume data transfer after a pause

| | | | | | | | | v | | | | |
|----------|------|--------|---|------------|--|---------|---------|--------|------|--|--|--|
| • | PFIX | IDENT1 | • | CAT 010 | KIND O | RNITEL | TNITEL | TRANS | a | | | |
| 1 | 7 | 13 | 1 | 3 | 1 | 6 | 6 | 10 | 16 | | | |
| PF | хг | | - | - | Prefi | x of t | ne requ | esting | g ri | | | |
| IDENTI - | | | | | Ident of the requesting radio unit | | | | | | | |
| С | AT | | - | - | '010' | | | | | | | |
| ΚI | ND | | - | - | '0' | | | | | | | |
| RNIT | ET | | - | - | Maximum number of data codewords which could be received in a New Fragment | | | | | | | |
| INITEL - | | | | - | The number of codewords proposed for the ne dataitem transmitted by the radio unit. | | | | | | | |
| TRA | NS | | - | - | Trans | action | number | | | | | |
| | P | | | - | parit | y oneci | k bits | | | | | |

5.8 Codewords applicable to Standard Data Traffic Handling

5.8.1 Standard Data general purpose acknowledement DACKD

This message may be transmitted by either a TSC or a radio unit as a general purpose acknowledgement on the data channel.

| | | | | | | | | | | | _ | |
|-----|------|-------|---|------------|--------------------------------|---|--|--------------------------------------|-----------------------|--------------|------------------------|---------|
| | PFIX | IDENT | 1 | CAT 101 | KIND O | JOB 0101 | RSVD | REASON | TRANS | P | | |
| 1 | 7 | 13 | 1 | 3 | 1 | 4 | 5 | 3 | 10 | 16 | - | |
| P | FIX | | - | P | refix | of t | he rad | dio uni | t | | | |
| I | DEN | | - | I | dent (| of the | e rad: | io unit | | | | |
| | CAT | | - | * | 101. | | | | | | | |
| K | IND | | - | • | 0' | | | | | | | |
| | JOB | | _ | ۱ | 0101′ | | | | | | | |
| R | SVD | | - | Ri ' I | eserv O's. | ed fo: | r futi | urê use | e. Defa | ault | t value = | al: |
| REA | SON | | - | R | eason | for | this a | acknowl | edgeme | ent | | |
| | | | | • (• (| 000' 001' 010'- 110'- | respon as a r pause requir '101' '111' | nse fo respon in us red resen spare | or DAHY hse to ser dat rved | X a GO s a tran | subn remi | message i ission is | fa I |
| T | RANS | | - | Т | ransa | ction | numbe | er to b | e clos | sed | | |
| | P | | - | p | arity | checl | k bit: | 3 | | | | |

Page 5-68

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 103 of 295

5.8.2 Standard Data Codeword DACK containing Submessages DAL, DALG or GO

This message consists of an DACK acknowlegement submessage combined with either:

- A marker for a random access frame (codeword layout no.1) transmitted by the TSC only, or
- An invitation to transmit a 'GO' for a fragment (codeword layout no.2).

CODEWORD LAYOUT NUMBER 1

| | 1 | ATRANS | RTRANS | 1 | CAT 101 | KIND O | JOB 00XX | WF | P/N | RSVO | DN | TNITEL | ITENUM | P | | |
|---|----------|--------|---|--|---|----------------|--------------------|---------------|--------------|--------------|-----------------|--------|---------|-----|---|--|
| | 1 | 10 | 10 | 1 | 3 | 1 | 4 | 3 | 1 | 2 | 5 | 6 | 1 | 16 | | |
| | ATRANS - | | TRANS number for the submessage for which this is the acknowledgment.If ATRANS='0000000000' then this submessage has no significance. | | | | | | | | | | | | | |
| | RT | RANS | - | Tr | ansa | ction | numb | er fo | or ra | ndom | access | . See | 17.2.1. | 2.1 | | |
| | (| CAT | - | '101' | | | | | | | | | | | | |
| | K | IND | - | ,0 | | | | | | | | | | | | |
| | JOB - | | | '0000' - DACK+DAL where DAL marks a general random access frame | | | | | | | | | | | | |
| | | | | '0 re | '0001' - DACK+DALG where DALG marks a frame for requesting group message repeats | | | | | | | | | | | |
| | | | | '0 ex | 010 ' cept | - grou | DACK+1 p mes | DALN sage | wher repe | e DAL ats | N mark | s a fr | ame for | al | 1 | |
| | | WF | - | De fr ac | elay parameter for repeat transmissions i.e number of frame marks that must be counted before further random access of this type may be made. | | | | | | | | | | | |
| | | ND | - | th | the Aloha Number for the random access frame | | | | | | | | | | | |
| | : | P/N | | Positive (PACK) or Negative (NACK) Acknowledgement. | | | | | | | | | | | | |
| | | | (PAC | K) | K) P/N = '1' indicates whole dataitem successfully received. | | | | | | | | | ly | | |
| | | | (NAC | K) | K) $P/N = '0'$ indicates whole dataitem to be repeated. | | | | | | | | | | | |
| 5 | CNI, | TEL | _ | Pr di | opos rect | ed Tr ion a | ansmi s thi | tted s mes | next sage | data (ATR | item l ANS). | ength | in the | sam | e | |
| 3 | TE | NUM | - | Da ac | tait know | em nu ledem | mber ent (| from ATRAN | the NS). | messa | ge to | which | this is | th | e | |
| | R | SVD | - | Re | serv | ed. D | efaul ¹ | t val | lue = | a11 | '0's | | | | | |
| | | Þ | - | Pa | rity | chec | k bit | 8 | | | | | | | | |

CODEWORD LAYOUT NUMBER 2

| 1 | ATRANS | RTRANS | 3 | CAT 101 | KIND O | JOB 0011 | RSVD | P/N | RSVD | RNITEL | TNITEL | ITENUM | P | | |
|----|--------|--------|--|---|--|-------------------------|----------------------|----------------|----------------|--------------------|-------------------|-------------------|-----------|-------------|--|
| 1 | 10 | 10 | 1 | 3 | 1 | 4 | 3 | 1 | 1 | 6 | 5 | | 16 | | |
| ГA | RANS | - | TR ac ha | ANS know s no | numbe ledgm sign | r for ent-I ifica | the f ATF nce. | subm CANS= | essa 10000 | ge for 0000000 | which)' then | this is this s | th ubm | e essage | |
| RT | RANS | - | Tr | ansa | ccion | numb | er fo | or th | e GO | submes | sage. | | | | |
| | CAT | - | '1 | 01' | | | | | | | | | | | |
| | KIND | ~ | ۰۰ | 0, | | | | | | | | | | | |
| | JOB | - | •0 | '0011' - DACK+'GO' | | | | | | | | | | | |
| | P/N | - | Po | siti | ve (P | ACK) | or Ne | egati | ve (I | NACK) A | cknowl | edgemen | t. | | |
| | | (PACK) | | | <pre>P/N = '1' indicates whole dataitem successfully received.</pre> | | | | | | | | | | |
| | | (NAC | K) | | P/N = '0' indicates whole dataitem to be repeated | | | | | | | | | ated. | |
| RN | ITEL | - | to of | ind thi | icate s mes | the sage | maxin can a | num l accep | engtl t nex | h of fi xt (RTF | ragment RANS), | that t | he | sendei | |
| TN | ITEL | - | Pr di | Proposed Transmitted next dataitem length in the same direction as this message (ATRANS). | | | | | | | | | e | | |
| 11 | ENUM | - | Dataitem number from the message to which this is the acknowlegement (ATRANS). | | | | | | | | e | | | | |
| | RSVD | - | Re | ser∵ | ed. D | efaul | t va] | ue = | all | '0's | | | | | |
| | р | _ | Pa | rity | chec | k bir | a | | | | | | | | |

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 105 of 295

5.8.3 Standard Data Acknowledgement for expedited data DACKZ

٢

۲

Acknowledgement for expedited data, transmitted by both the TSC and Radio Unit.

| 1 ATRANS | SPRE | 1 | CAT | KIND | JOB | SX | SPRE | CAUSE | ₽ | | |
|----------|---------------|--|------------------------------|-----------------------------|-------------------------|----------------------|-----------------|----------|-----|---------|--|
| 1 10 | 10 | 1 | 3 | 1 | 4 | 3 | 7 | 8 | 16 | ı | |
| ATRANS | - T t | rans: he ac | action cknowle | numbe: edgeme: | r of it | the | e reiev | ant TR | ANS | for | |
| SPRE | - f D f | or Sl efaul or Sl | X = 000 lt val X = 110 | 0 to 1 ue = a1 0 to 1 | 101 - Ll '(111 - | - RS)'s. - SI | SVD. PARE fo | or custo | omi | sation. | |
| CAT | - ' | `101 <i>`</i> | | | | | | | | | |
| KIND | · | ·0· | | | | | | | | | |
| JOB | - ' | 0100 | | | | | | | | | |
| SX | T , | 000' 000' 901' | of expe = RESI to '11 | edited ET 11' - 1 | data RSVD. | а. . De | ≥fault | value = | = a | 11 'O's | |
| CAUSE | - R D | Reason for expedited data. Default value = all '0's | | | | | | | | | |
| p | - p | arity | y checl | k bits | | | | | | | |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 106 of 295

......

.

.* :

ře3

5.8.4 Standard Data General aboy DAHY

This message is transmitted by a TSC on a data channel to query availability relating to a particular TRANS. It demands an immediate response.

| anna an anna an an anna an anna an anna an an | TRANS | RSVD | 1 | CAT 101 | KIND | JOB 1000 | RSVD | SPARE | A | | | | | |
|---|-------|------|-----|--|-------|-------------|--------|-------|----------|--|--|--|--|---|
| 1 | 10 | 10 | 1 | 3 | 1 | 4 | 10 | 8 | 16 | | | | | |
| TRANS | | _ | Tr | ansa | ction | numb | er | | | | | | | |
| RSVD | | - | Re | Reserved for future use. Default value = all '0's. | | | | | | | | | | |
| CZ | ΛT | _ | ' 1 | 01' | | | | | | | | | | |
| KIN | 1D | - | ۰ c | • | | | | | | | | | | |
| JC |)B | - | ' 1 | 000' | | | | | | | | | | |
| SPAF | RE | - | SĘ | are | for c | ustom | isatio | on | | | | | | x |
| | P | - | pa | rity | chec | k bit | 5 | | | | | | | |

Page 5-72

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 107 of 295

5.8.5 Standard Data aboy containing expedited data DAHYZ

•

•

•

This message is transmitted by a TSC on a data channel to convey expedited data relating to a particular individual TRANS. It demands an immediate response.

| 1 SPRE | RSVD | 1 CAT KIND JOB SX SPRE CAUSE P 101 0 1100 | | | | | | | | | | | |
|--------|------|--|--|--|--|--|--|--|--|--|--|--|--|
| 1 10 | 10 | 1 3 1 4 3 7 8 16 | | | | | | | | | | | |
| TRANS | - | Transaction number | | | | | | | | | | | |
| SPRE | - | for SX = 000 to 101 - RSVD. Default value = all '0's. for SX = 110 to 111 - SPARE for customisation. | | | | | | | | | | | |
| RSVD | - | Reserved for future use. Default value = all '0's. | | | | | | | | | | | |
| CAT | - | ·101 · | | | | | | | | | | | |
| KIND | - | .0. | | | | | | | | | | | |
| JOB | - | '1100' | | | | | | | | | | | |
| SX | ~ | Type of expedited data | | | | | | | | | | | |
| | | '000' - Reset the link to a known state | | | | | | | | | | | |
| | | '001' to '101'- Reserved | | | | | | | | | | | |
| | | '110' to '111'- Spare | | | | | | | | | | | |
| CAUSE | - | Reason for expedited data. Default value = all 'C's. | | | | | | | | | | | |
| P | | parity check bits | | | | | | | | | | | |

Page 5-73

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 108 of 295
5.8.6 Standard Data abov for closing a TRANS. DAHYX

This message is transmitted by a TSC to close one or all TRANS' of a particular radio unit.

The message may also be used to clear ALL radio units from a data channel by setting IDENT='ALLI'. In this case there will be no response and the fields PFIX, I/T, TOC, and TRANS shall have no meaning and default to all '0's with RESP='0'.

| 1 | PFIX | IDENT | | CA1 101 | KIND O | JOB 1110 | 1/7 | RESP | SPRE | TGC | TRANS | P | |
|------|------|-------|----------|-------------|----------------|-----------------|----------------|-----------------|----------------|--------------|-----------------|------------|------------------|
| 1 | 7 | 13 | 1 | 3 | 1 | 4 | 1 | 1 | 3 | 3 | 10 | 16 | |
| PF | IX | - | Pr | efix | of r | adio | unit | | | | | | |
| IDE | NT | - | Id | lent | of th | e rad. | io un | nit | | | | | |
| | | | | I | dent | - a: | ny io | lent a | applic | able | to th | ne r | adio unit. |
| | | | | A | LLI | - t/ | o cle | ear Al | LL rad | lio u | nits f | from | a data channel. |
| ci | ΤA | - | ١ | 01' | | | | | | | | | |
| KI | ND | - | ٢C | | | | | | | | | | |
| J(| DВ | - | '1 | 110' | | | | | | | | | |
| I, | /T | - | ID | ENT/ | TRANS | | | | | | | | |
| | | | I/ RE | T='0 SP. | ' Clo (TRAN | se al S sha | l TRJ ll be | ANS as e set | socia to 'C | ted 00000 | with t 00000 | :hat '} | PFIX/IDENT. See |
| | | | I/ | T= 1 | · Clo | se th | e spe | ecifie | ed TRA | NS. | See RE | ESP. | |
| RE | SP | - | , 0 | • | no | resp | onse | to th | ie DAH | YX 1 | s expe | ecte | d |
| | | - | •] | • | An | ackn | owled | igemer | nt to | the | анух і | s r | equired |
| SPI | RE | - | fo De | r TO | C = D t val | 00 to ue = i | o 103 all | 1 - R9 '0's. | SVD. | | | | |
| | | | fo | r TO | C = 1 | 10 to | c 11) | - s: | are f | ar c | ustomi | isat | ica. |
| T | 90 | - | Τy | pe O | f Cle | ar | | | | | | | |
| | | | , | 000' | ALLC | LR Da | ta ti | ransfe | er aba | ndon | ed and | ì in | complete |
| | | | | 001' | ALLDO | NE Da | ta T: | cansfs | er for | thi | s lin} | t ha | s been completed |
| | | | | 010, | - '1 | 01' 1 | Rese | :ved | | | | | |
| | | | , | 110. | - '1 |]], ; | Spare | 3 | | | | | |
| TRAI | NS . | - | ĩr | ansa | ction | numb | er | | | | | | |
| | P | - | pa | rity | chec | k bit | s | | | | | | |

Page 5-74

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 109 of 295

5.8.7 Repeat last ACK - RLA

This message is transmitted on a data channel by a TSC or a radio unit to request a repeat of the last acknowledgement.

| | TRANS | RSVD | *** | CAT 101 | KIND O | JO8 1111 | RSVD | SPARE | P | | |
|------|-------|------|-----|------------|-----------|-------------|-------|--------|-----------|----------|-------|
| 1 | 10 | 10 | 1 | 3 | 1 | 4 | 12 | 6 6 | 16 | | |
| trai | NS | - | Τr | ansa | ction | numb | er | | | | |
| RS | VD | - | Re | serv | ed fo | r fut | ure u | se. De | fault val | ue = all | '0's. |
| C | AT | - | ' 1 | .01' | | | | | | | |
| KI | ND | - | ' C | · · | | | | | | | |
| J | ов | - | ' 1 | .111' | | | | | | | |
| SPA | RE | - | Sŗ | are | for c | ustom | isati | on | | | |
| | P | - | pa | rity | chec | k bit | s | | | | |

Page 5-75

5.8.9 Repeat group message DRQG

This codeword is transmitted by radio unit's using random access procedures to request the retransmission of the relevant group message.

| I PARTY IS VICE BARRIES AND | 1 | TRANS | SPARE | RSVD | 1 | CAT 101 | KIND 0 | JOB 1010 | RSVD | P | |
|---|------|-------|-------|-------|-----|------------|-----------|-------------|------|----|------|
| | 1 | 10 | 7 | 3 | * | 3 | t | 4 | 18 | 16 | |
| | [RA] | NS | - | Trans | act | tion | numbe | r | | | |
| | SPA | RE | - | Spare | f | or cu | stomi | sacio | n | | |
| | RS | VD | · | Reser | vec | i. De | fault | valu | e=a | 11 | '0's |
| | C | AT | - | ·101· | | | | | | | |
| | KI | ND | - | ·0· | | | | | | | |
| | J | ЭB | - | 1010 | 1 | | | | | | |
| | | đ | - | parit | y c | check | bits | | | | |
| | | | | | | | | | | | |

• Ď

D

Page 5-76

5.8.9 Request containing expedited data DRQZ

Ó

This codeword is transmitted by radio unit's using random access or 'GO' procedures as a request for expedited data to be transmitted to the other correspondant.

| | TRANS | SPRE | 1 | CAT 101 | KIHD 0 | JCB 1100 | sx | SPRE | CAUSE | p | | | |
|------|-------|------|------------|------------|---------------|-------------|-----------|---------------|--------|------|-------|-------|-------|
| 1 | 10 | 10 | 1 | 3 | 1 | 4 | 3 | 7 | 3 | 16 | | | |
| TRAN | 45 | - | Tra | insac | tion | numbe | r | | | | | | |
| SPI | RE | - | for Def | SX ault | = 000 valu | to e = a | 101 11 | - RSV O's. | D. | | | | |
| | | | for | SX | = 110 | to | 111 | - SPA | RE for | r cu | stomi | satio | n. |
| Cł | ΑT | - | •10 |)1' | | | | | | | | | |
| KI | ND | - | 10 |)' | | | | | | | | | |
| JC | ЭB | - | 11 | 00. | | | | | | | | | |
| 5 | SΧ | - | Тур | pe of | expe | dited | dat | a | | | | | |
| | | | | ٠c | 00. | | F | leset | the li | ink | to a | known | state |
| | | | | • 0 | 01' t | o '10 | 1 F | leserv | red | | | | |
| | | | | '1 | 10' t | 0'11 | 1' 5 | ipare | | | | | |
| CAU | 5E | - | Rea | ison | for e | xpedi | ted | data | | | | | |
| | SX | - | Typ | e of | expe | dited | dat | ā | | | | | |
| | p | - | par | rity | check | bits | | | | | | | |

Page 5-77

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 112 of 295

5.8.10 Request to close a transaction DRQX

This codeword is transmitted by a radio unit to request the closure of one or all of its TRANS

| · 1 | PFIX | IDENTI | 1 | CAT 101 | KIND O | JGB 1110 | SPRE | TOC | TRANS | P | |
|-----|------|--------|------------|---------------|----------------|----------------|----------------|---------------|----------------|-----------|-------------------------------------|
| 1 | 7 | ,13 | 1 | 3 | 1 | 4 | 5 | 3 | 10 | 16 | |
| P | FIX | - | Pre | efix | of th | e reg | uesti | ng ri | adio u | nit | |
| IDE | NTL | - | Ide | ent c | f the | requ | estin | g rae | dio un | it | |
| | CAT | - | '10 |)ı. | | | | | | | |
| K | IND | - | ۰ O י | • | | | | | | | |
| | JOB | - | •11 | L10' | | | | | | | |
| S | PRE | - | for Dei | TOC Tault | : = 00 valu | 0 to e = a | 101 11 '0 | - RS1 | VD. | | |
| | | | for | TOC | 2 = 11 | 0 to | 111 | - SPI | ARE ÍO | r c | ustomisation. |
| TR | ANS | - | Tra clo | nsac ose a | tion 11 tr | numbe ansac | r to tions | be c. for | losed. this | If rad | TRANS '0000000000' then io unit. |
| | TOC | - | Түр | pe Of | Clea | r | | | | | |
| | | | • (| 000. | ALLCL | R D | ata t | rans | fer ab | and | oned and incomplete |
| | | | ۰ (|)01 . | ALLDO | NE D | ata T cmple | 'rans: ted | fer fo | Γτ | his link has been |
| | | | | 010. | - '1 | 01' R | eserv | ed | | | |
| | | | | 110. | - '1 | 11' S | pare | for (| custom | isa | tion |
| | n | ~ | par | fity | check | bits | | | | | |

Page 5-75

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 113 of 295

5.8.11 Standard Data Selective Acknowledgement Header SACK

0

•

•

•

•

•

This message is transmitted by a TSC or radio unit on a Standard Data channel as a selective acknowledgement to a user data message. If there are more than 22 data codewords in the dataitem then a data codeword is appended to the SACK address codeword.

| 1 | ATRANS | EFLAGS | 1 | CAT 101 | KIND | TASK D | RSVD | EFLAGS | ONES | AD | I TENUM | þ |
|------|--------|--------|---|--------------|----------------|-----------------|------------------|------------------|----------------|--------------|------------------|--------|
| 1 | 10 | 10 | 7 | 3 | 1 | 1 | 2 | 13 | 4 | 1 | 1 | 16 |
| ATRJ | ANS | - | | Tra: whic | nsact ch th | ion n is SA | umber CK rei | of the fers | orig | inal | . heade | r to |
| EFL | AGS | - | | Erro | or Fla | ags. | See ne | ext pag | e for | rul | es of | use |
| (| CAT | - | | '10 | 1, | | | | | | | |
| ĸ | IND | - | | .]. | | | | | | | | |
| T | ASK | - | | · O · | | | | | | | | |
| R | SVD | - | | Res | erved | . Defa | ault v | /alue = | all | '0's | ; | |
| OI | NES | - | | the thi | modu. s cod | lo 16 eword | sum (| of all | 23 EF | LAG | bits i | n |
| | AD | - | | '0' '1' | if t if t | here here | is no is an | append append | ed da ed da | ta c ta c | odewor odewor | d đ |
| ITE | NUM | | ` | iter acki | nrumb nowle | er fre dgmen | om the t reie | e data ers. | heade | r to | which | thi |
| | P | - | | par | ity c | heck I | bits | | | | | |

Data codeword following Standard Data Acknowledgement Header SACK

| D ONES | EFLAGS | ₹5v0 ₽ |
|--------|--------|---|
| 1 4 | 40 | 3 16 |
| EFLAGS | - | Error Flags (unused Error Flags='0') |
| ONES | ~ | the modulo 16 sum of all 40 EFLAG bits in this codeword |
| RSVD | - | Reserved. Default value = all '0's |
| P | - | parity check bits |

contd.

Page 5-79

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 114 of 295

Rules governing the use of both EFLAG's fields

- Every data codeword in a user dataitem shall have an EFLAG assigned to it. Each assigned EFLAG shall be set to '1' if its corresponding codeword is required to be repeated, otherwise it shall be set to '0'
- 2. Within the EFLAG fields the assigned EFLAGs shall be arranged contiguously in the same order as their data codewords in the message to which they are assigned, starting with the first EFLAG i.e bit 12 of the SACK address codeword.
- The EFLAG bit following the last assigned EFLAG shall be used as a marker and set to '1' and any remaining EFLAG bits shall be set to '0'.
- 4. The EFLAGs in the address codeword are assigned first and only if they are all assigned is a data codeword appended. Thus if there are 23 assigned EFLAGs then there will be only the marker and filler '0's in the appended data codeword.

Page 5-80

5.8.12 Standard Data Address Codeword Dataitem SITH

This message is transmitted by a TSC or radio unit as an address codeword for a fragment.

Individual Dataitem

•

•

•

• • • • • •

| 1 | TRANS | USER DATA | 1 | CAT 101 | KIND 1 | TASK 1 | 1/G 0 | MORE | LASTBIT | FRAGL | TNITEL | ITENUM | P |
|---|-------|--------------|---|------------|-----------|-----------|----------|------|---------|-------|--------|--------|----|
| 1 | 10 | 10 | 1 | 3 | 1 | 1 | 1 | 1 | 6 | ô | 6 | 1 | 15 |

Group Dataitem - TSC to Group

| 1 | TRANS | USER DATA | 1 | CAT 101 | KIND 1 | TASK 1 | 1/G | HORE | LASTBIT | FRAGLG | RSVD | 1 TENUH | аналанан олоноосон ол |
|-----|-------|--------------|---|------------|-----------|-------------------------|-------------------------|----------------------------|-----------------------------|-----------------------------|--------------------------|-------------------|---|
| ī | 10 | 10 | 1 | 3 | 1 | 1 | 1 | 1 | 5 | 8 | 4 | 1 | 16 |
| | TRANS | | | - | Tra | nsact | ion (| number | | | | | |
| USE | RDATA | | | _ | 10, | bits | of u | ser da | ita | | | | |
| | CAT | | | - | '10 | 1' | | | | | | | |
| | KIND | | | - | . 11 | | | | | | | | |
| | TASK | | | - | '1' | | | | | | | | |
| | I/G | | | - | '0' in | íf t divid | his i ual 1 | Eragme link | ent is w | ithin | an | | |
| | | | | | • • • | ' íf nk | this | fraga | nent is | within | a gro | ыр | |
| | MORE | | | - | •0 1: | ' if n the | cnis Tmes | datai ssage. | ten is | the la | 5t | | |
| | | | | | ŕ | 1' if | more | e to f | icllow | | | | |
| I | TENUM | | | - | ī. 1 | he nu nform | mber ation | of th 1 in t | ie datai his πes | tem wh sage. | ich in | cludes | the |
| LA | STBIT | | | - | | ndica ast b odewo | tes t it of rd ho | the bi f user olding | t numbe inform user i | r (see ation s nforma | 17.0. within tion. | 2.5) 0: the la | f the ast data |
| | FRAGL | | | - | N | umber | of d | iata c | adeword | s appe: | nded | | |
| F | RAGLG | | | - | f | ragme umber | nt le of d | ength lata c | (S bit ndeword | field : s apper | for gr nded | oup) | |
| Т | NITEL | | | | 2 | ropos | ed Tı | ansmi | tted n | ext dai | taitem | lengtl | 14 14 |
| | RSVD | | | _ | R | eserv | ed. I |)efaul | t value | = all | 'C's | | |
| | p | | | - | p | aríty | chec | k bit | s | | | | |

Page 5-81



6. CHANNEL DISCIPLINE

This section defines basic discipline for the TSC and radio units on control, traffic and Standard Data channels. In particular, timing constraints are specified covering:

- the transmission of standardised messages,
- change-over between transmitting and receiving, and
- channel switching.

The timings for the transmission of standardised messages on a traffic channel are applicable to the procedures defined in this issue of the standard.

Some minimum rules are specified for radio unit control channel acquisition, but additional specifications are likely to be necessary for a specific system implementation.

6.1 Channel Discipline for TSC

6.1.1 Control channel discipline for TSC

For as long as a suitable channel is available, the TSC shall provide at least one control channel substantially continuously, conforming to the basic format defined in section 3.3.3. The TSC may operate either a dedicated or a non-dedicated control channel. If the TSC transmits from more than one base station site then a separate control channel may be provided at each site, or a single control channel may be used with simultaneous transmission at each site, or a single control channel may be shared by time division.

Interruptions in the control channel signalling will occur when, for example, sites are switched in a time-division scheme, or all channels are allocated for traffic in a system with a non-dedicated control channel. Slot synchronisation need not be maintained across interruptions.

If the TSC operates a non-dedicated control channel, it is recommended that the TSC does not allocate the control channel for traffic during a random access frame (except for emergency calls).

When the TSC commences transmission on a different control channel, it should provide an adequate transmission period for the radio units to locate and identify the control channel before it allocates traffic channels for calls; see 6.2.1.1 for control channel acquisition by radio units.

It is recommended that broadcast messages (BCAST) are used to announce the channel numbers of the channels that can be used for control by the TSC. The broadcast messages may also be used to announce the control channels of other systems, for example, to facilitate roaming. It is also recommended that, before a channel is taken out of control service, another control channel shall be indicated if practicable (for example, by sending an appropriate MOVE message).

The TSC shall be prepared to receive messages which conform to the format specified in section 3 for radio unit transmissions on a control channel, and which conform to the timings specified in section 6.2.1.3.

Page 6-1

When the TSC sends a message in response to a random access message received from a radio unit, the response may be sent in the slot following the random access message or it may be delayed (see 7.2.4).

6.1.2 Traffic channel discipline for TSC

6.1.2.1 Monitoring

The TSC shall be prepared to receive messages which conform to the format specified in section 3 for radio unit transmissions on a traffic channel.

The TSC shall monitor all traffic channels continuously while they are allocated for traffic. If there is any reason to doubt whether communication is still taking place, the TSC may query whether an individual radio unit is on the traffic channel by means of an AHY message (see 9.1.2.2), and shall be prepared to receive an acknowledgement within the timings given in 6.2.2.2.

6.1.2.2 Signal timing

The format for standardised messages transmitted on a traffic channel by the TSC is defined in section 3. In particular, unless the TSC is already transmitting, each transmission shall be introduced by at least 6 bit periods (5 ms) of link establishment time. Note that the appropriate codeword synchronisation sequence (SYNT) shall be used.

When the TSC sends a response to an unsolicited message from a radio unit (e.g. a response to an Include request), the codeword synchronisation sequence in the response message shall not begin before the start of bit 52 nor later than the start of bit NT, measured from the end of the last codeword transmitted by the radio unit. (For the suggested value of NT, see Appendix 1).

6.1.3 Data channel discipline for TSC

6.1.3.1 Monitoring

The TSC shall be prepared to receive messages which conform to the format specified in section 3 for radio unit transmissions on the data channel.

6.1.3.2 Signal Timing

The format for messages transmitted at the standard rate on a data channel by the TSC is defined in section 3. In particular, unless the TSC is already transmitting, each transmission shall be introduced by at least six bit periods (5 ms) of LET. Note that the appropriate codeword synchronisation sequence, of SYNT, shall be used.

Page 6-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 119 of 295

6.2 Channel Discipline for Radio Units

6.2.1 Control channel discipline for Radio Units

6.2.1.1 Control channel acquisition

•

When not assigned to a traffic channel (including immediately after switch-cn), the radio unit shall attempt to find a control channel. The search for a control channel may be performed by a general hunt through all likely channels or by reference to memory within the radio unit; the search strategy is likely to be system-dependent and is not included in this standard. However, when a radio unit leaves an allocated traffic channel, it shall commence its search on the control channel on which it was last active, unless it has been directed to a different control channel by a CLEAR message.

The radio unit shall not make any transmissions on a control channel unless it is active on that channel. It shall not become active until it has received an appropriate codeword containing an appropriate system identity code; the codewords / system identity codes which shall be considered appropriate are system-dependent.

If a radio unit is hunting over a number of channels, it should leave a candidate channel as soon as it becomes clear that it is unlikely to become active on the channel. In some systems it may be necessary to specify a maximum time between channel changes for channels on which no control channel codeword synchronisation sequence is detected.

6.2.1.2 Retaining a control channel

If, while a radio unit is active on a control channel, a time TS elapses during which no system identity code is decoded, then the unit shall cease to be active on that channel and shall return to the control channel acquisition procedures. (For the suggested value of TS, see Appendix 1). Some systems may impose additional rules for returning to the control channel acquisition procedures.

If the radio unit receives an appropriate codeword containing an inapplicable system identity code (system-dependent), or receives a CHAN4 field that does not match the least significant four bits of the number of the channel to which the unit is tured, then the unit shall not transmit or act on any other received information until either:

- it has received a correct value of system identity code or CHAN4, respectively, on that channel (whether or not a channel hunt has been made in the interim), or
- it has become active on a different control channel.

(Note that the codewords / system identity codes which cause a radio unit to temporarily suspend activity may be different from those which enabled the radio unit to become active). The radio unit shall be capable of satisfactory operation when there are interruptions of duration less than TS in the signalling (slot timing may not be maintained across interruptions), and when CCSCs are displaced by data codewords in up to two consecutive timeslots.

The radio unit shall not give to its user any information which is not pertinent to that radio unit.

5.2.1.3 Signal timing

The radio unit shall not transmit on the return control channel at any time unless permitted by the requirements of this standard. All transmissions shall conform to the formats specified in section 3 and the timing requirements specified below. (If, under any circumstances, the radio unit's timing is not sufficiently accurate then it shall refrain from transmitting.)

For the transmission of a random access message, the radio unit shall choose a timeslot for transmission in accordance with the requirements of the random access protocol defined in section 7. The radio unit shall derive the timing of slots from the frame marker message or from any other message transmitted by the TSC within the same frame.

For a radio unit response to a message received from the TSC, the radio unit shall commence transmission of its message in the timeslot following the end of the TSC message.

The start of slots on the return control channel shall be deemed to be coincident with the start of the control channel system codewords on the forward channel, and timings are specified in bit periods relative to this point in time. (Note, however, that slot delineation is maintained even when a CCSC is displaced by a data codeword; see 3.3.) Figure 6-1 illustrates the timing for a single codeword message; the start of each slot is designated time TO.

The radio unit shall not commence r.f. transmission before the start of bit 21 (time T2 in Figure 6-1), nor shall it reach 90% of its maximum power later than the start of bit 37 (time T4). The radio unit shall provide a link establishment time of at least 6 bit periods (5 ms). At the conclusion of the link establishment time it shall transmit a 16-bit preamble; the 16-bit preamble shall not begin before the start of bit 30 (time T3), nor later than the start of bit 43 (time T5). Following the preamble, the radio unit shall transmit the control channel codeword synchronisation sequence, an address codeword, any data codewords and one "hang-over" bit of either 'D' or '1'. It shall then cease transmission so that power is reduced by at least 60 dB by the start of the next occurring bit 15 of a slot (time T1).

The radio unit shall then return to the forward channel in time to be capable of decoding address codewords as follows:

- For a radio unit transmission with no data codewords, the radio unit shall be capable of decoding an address codeword in the first forward channel slot following the start of the radio unit transmission.

Page 6-4

- For a radio unit transmission with one or two data codewords, the radio unit shall be capable of decoding an address codeword in the second forward channel slot following the start of the radio unit transmission.
- For a radio unit transmission with three or four data codewords, the radio unit shall be capable of decoding an address codeword in the third forward channel slot following the start of the radio unit transmission.

If a radio unit receives a command to change channel (MOVE, GTC; see 7.4.2 and 9.2.2.5), it shall be capable of receiving on the new channel within 35 ms after the end of the TSC message, unless the unit is a called unit in an interprefix call, in which case it may delay the channel change by one slot and shall be capable of receiving on the new channel within 142 ms after the end of the TSC message (see 9.2.2.5).

6.2.2 Traffic channel discipline for Radio Units

6.2.2.1 Monitoring

Whilst receiving on the forward traffic channel, the radio unit shall monitor the channel continuously for messages from the TSC and shall take appropriate action; see section 3 for the TSC signalling formats and sections 9.2.3.2, 9.2.3.3, 9.2.3.4, 9.2.3.7, 9.2.3.8, 11.3.1 and 15.2 for procedures. If the radio unit is required to transmit a response to a message received from the TSC, its response shall conform to the timings specified in section 6.2.2.2.

If a radio unit receives a command to change channel (see 9.2.3.4 and 9.2.3.8), it shall be capable of receiving on the new channel within 35 ms after the end of the TSC message.

The radio unit shall not give to its user any information which is not pertinent to that radio unit.

6.2.2.2 Signal timing

The format for standardised messages transmitted on a traffic channel by the radio unit is defined in section 3. In particular, unless the unit is already transmitting, each transmission shall be introduced by at least 12 bit periods (10 ms) of link establishment time. If the radio unit sends unsolicited messages (e.g. an Include request, a Pressel On message or Disconnect messages), the link establishment time shall not exceed 24 bit periods (20 ms). The preamble duration shall be 16 bits, and messages shall commence with the traffic channel codeword synchronisation sequence. After the final ("hang-over") bit of a standardised transmission, unless the radio unit is required to continue transmitting for user communication, it shall cease transmission so that power is reduced by at least 60 dB within 6 bit periods (5 ms).

The transmission of standardised messages on a traffic channel shall conform to the timings specified in sections 6.2.2.2.1 and 6.2.2.2.2.

Page 5-5

5.2.2.2.1 Radio unit response

When the radio unit sends a response (e.g. an acknowledgement to an Ahoy message from the TSC), its transmission shall conform to the following timings, which are measured in bit periods, numbered from the end of the last codeword in the received message.

The radio unit shall not commence r.f. transmission before the start of bit 21, nor shall it reach 90% of its maximum power later than the start of bit 37; the 16-bit preamble shall not begin before the start of bit 36 nor later than the start of bit 49; after sending the "hang-over" bit and reducing power, the radio unit shall reture to the forward channel in time to be capable of decoding another message whose codeword synchronisation sequence may begin at the start of bit 183 + (64 x number of data codewords transmitted by the radio unit).

6.2.2.2.2 Unsolicited transmission that requires a response

When a radio unit sends an unsolicited standardised message that requires a response (e.g. an Include request), it shall conform to the following timings, which are measured in bit periods, numbered from the end of the last codeword of its transmission.

After transmitting the unsolicited message, the radio unit shall returne to the forward traffic channel in time to be capable of decoding a message which may begin (i.e. first bit of codeword synchronisation sequence) at the start of bit 52.

If the radio unit has not received a codeword synchronisation sequence by the start of bit NT+16, it shall either abandon its unsolicited access attempt or make another unsolicited transmission, timing the next message to begin (i.e. first bit of codeword synchronisation sequence) no earlier than the start of bit NT+144.

If, while waiting to transmit an unsolicited standardised message, the radio unit receives a codeword synchronisation sequence SYNT, it shall wait to determine whether there is a message relevant to it before making its transmission.

6.2.3 Data channel discipline for radio units

6.2.3.1 Monitoring

Whilst receiving on the forward channel, the radio unit shall monitor the channel to take appropriate actions for all relevant received messages.

If a radio unit receives a command to change data channel (see 17.2.6.2), it shall be capable of receiving on the new channel within 35 ms of the end of the TSC message.

6.2.3.2 Signal Timing

At the standard transmission rate, when the radio unit transmits a message the timing shall conform to 6.2.1.3 (but using SYNT instead of SYNC).

Details of transmission timing at a customised rate must be specified elsewhere.

Page 6~6

•





RANDOM ACCESS PROTOCOL

This section defines the random access protocol, which is based on slotted Aloha with a superimposed framing structure that can be used to:

- control clashing of messages from different radio units,
- minimise access delays,
- ensure stability, and
- maintain peak throughput under heavy traffic, loads.

The slotting structure of the control channel and timing constraints for the transmission of messages are defined in sections 3 and 6.

7.1 The Principle

•

The basic principle of the access protocol is described with reference to the example below, which illustrates signalling on a control channel.

The TSC transmits a synchronisation message (indicated by ALH in the example) to establish slot timing and to invite radio units to send random access messages. The ALH message contains a parameter (N) which indicates the number of following timeslots, constituting a frame, that are available for access. If a frame is already in progress when a call is initiated, the radio unit may send its random access message in the next immediate slot. Otherwise the unit waits for a frame to be started and then chooses a random slot from the frame for its message. A unit wishing to send a repeat transmission after an unsuccessful message (corrupted by fading or clashing) must wait for a new frame before choosing another slot.

The TSC can monitor activity on the control channel and can optimise the system performance by varying the framelength to prevent excessive clashing and to minimise the access delays. System designers should choose a control algorithm appropriate to the type of system.



Example Two random access frames, each marked by an ALH message. (Random access frames can be marked by Aloha, Acknowledgement and Go To Channel messages.) Contiguous frames are shown in the example; frames may overlap. Frames need not be contiguous.

Page 7-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 126 of 295

7.2 TSC Random Access Facilities

7.2.1 Marking random access frames

The TSC shall designate sections of a return control channel as random access frames, each containing a whole number of timeslots. Aloha messages (see 5.5.1) sent on the forward control channel contain an Aloha number, and can be used to mark random access frames. The Acknowledgements and Go To Channel message also contain an Aloha number and may substitute for an Aloha message. For example, ACK(4) acknowledges a message from a radio unit and also marks a four-slot frame.

The zero Aloha number (N=0) is a special value indicating "this is not the beginning of a frame". Thus, for example, ACK(0) can be sent within a frame to acknowledge a message.

All other Aloha numbers mark the beginning of a frame.

Aloha and Acknowledgement messages contain a four-bit Aloha number and the Go To Channel message contains a two-bit Aloha number. The Aloha number is coded, so that longer frames can be achieved than a pure binary representation would permit; the explicit numbers of slots in a frame indicated by the four- and two-bit Aloha numbers are given in Table 7-1 (see 7.3.3). If the required framelength is too long to be designated by a GTC message then an Aloha message or Acknowledgement must be used.

7.2.2 Subdividing the radio unit population

The TSC may divide the radio unit population into subsets, where each subset can be permitted random access in turn. The division is performed by using the address qualifier (M) in Aloha messages. This parameter instructs a radio unit to compare the M least significant bits of its individual address (prefix/ident) with the M least significant bits of the address (PFIX/IDENT1) from the Aloha message when choosing a slot. the unit is allowed to transmit non-emergency random access messages only if the M bits match (see 7.3.1) when the slot is chosen. The subdivision is applied to subsequent frames marked by non-Aloha messages, until changed by the next Aloha message. (However, note that radio units which have recently acquired the control channel or have missed Aloha messages may be unaware of the subdivision and that the latest Aloha message received by the unit is applied by the unit when chosing a slot.)

In this way, the radio unit population is effectively divided into \mathbb{R}^N subsets:

- If M = 0 then no address bits are compared, so there is no subdivision. (Under normal traffic loading, this will usually be the case.)
- If M = 1 then only units whose least significant address bit matches the Aloha address may send non-emergency random access messages.
 Thus the radio unit population has been divided into two subsets.
- This process continues up to M = 19.

Page 7-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 127 of 295

If M = 20 then all twenty bits of the address must be compared, and this indicates that the Aloha message is applicable to only one unit or a specified group of units. Note that M = 20 is a special case in which the radio unit compares the Aloha address with each of its designated addresses, not just its individual address; in this way a group of units may be invited to send random access messages. Note also that an Aloha message with M = 20 and the Aloha address set to an individual address demands a response from that unit, rather than just inviting a random access message (see 7.4.1). If the TSC sends an individually addressed Aloha message, it shall set the Aloha number (N) to 1.

7.2.3 Inviting specific types of random access message

The TSC may limit random access to particular types of message by means of specific Aloha messages: ALH, ALHS, ALHD, ALHE, ALHR, ALHX, ALHF (see 5.5.1 and 7.3.2); for example, ALHR invites registration or emergency requests only. The limitation is applied to subsequent frames until changed by a different Aloha message. (However, note that radio units which have recently acquired the control channel will assume an Aloha function of ALHX. While those that have missed Aloha messages may be unaware of the current function and will apply the limitations of the last received Aloha function. Once a slot is chosen the radio unit applies that Aloha function throughout the frame for the purpose of random access.)

7.2.4 TSC responses

•

۲

•

After receiving a random access message, the TSC shall send a response; valid responses are specified in the sections detailing the call procedures. The response may be sent in the slot following the random access message or it may be delayed. The TSC shall specify, using the WT field in the Aloha messages, the time (in slots) a radio unit must wait before deciding to retransmit and choosing another slot from a new frame (see Table 7-2 in section 7.3.7).

7.2.5 Withdrawing slots from frames

During a frame, the TSC may transmit messages that demand a response from a specified radio unit; the response is sent in the slot(s) following the last codeword of the TSC's message.

The TSC's message inhibits random access in the first following return slot (see 7.3.6), and so reserves that slot for the response. For a multicodeword response, the TSC shall take appropriate action to reserve the subsequent return slot(s) if they are still within the frame (e.g. by sending the AHY message with both idents set to DOMMYI). Note that:

- a. All TSC address codewords that do not contain an Aloha number, except AHY(AD=1), AHYQ(IDENT2=IPFIXI), MARK, MOVE, BCAST and HEAD, inhibit random access in the following slot.
- b. An Aloha message with M = 20 inhibits access by radio units that are not explicitly addressed.

Page 7-3

c. All data codewords transmitted by the TSC in the second half of a slot preceding a designated random access slot contain a Return Slot Access flag RSA (bit number 2), which shall be set to indicate whether the following slot is reserved for a response; for example, see section 5.6.2. Note that, for TSC messages containing an odd number of data codewords (e.g. AHY(AD=1) and AHYQ(IDENT2=IPFIXI)), a "filler" data codeword is appended to the message (see 3.3.3.2); if the message demands a response from a radio unit, the RSA flag in the filler codeword shall be set to '0', to inhibit random access.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 129 of 295

7.3 Radio Unit Random Access Protocol

These procedures shall be obeyed by all radio units that are required to attempt random access.

7.3.1 Checking subsets of the radio unit population

A radio unit shall note the population subdivision contained in each Aloha message that it receives. When attempting random access the radio unit shall check if the population subdivision is applicable to it. This is done using the 5-bit address qualifier (M) and the address (PFIX/IDENT1) from the Aloha message. For M = 0 to 19, the message is applicable to the unit if the M least significant bits of the Aloha address match the M least significant bits of its individual address (prefix/ident). For M = 20, the message is applicable to the unit if the Aloha address matches any of its designated addresses for this system (including its group addresses).

The unit shall not choose a slot for random access in the frame designated by the Aloha message, or frames designated by subsequent Acknowledgement or Go To Channel messages, unless:

the Aloha message is applicable to it, for non-emergency messages, or the Aloha message is applicable to it or M < 20, for emergency requests (ie RQE or RQD (E = 1).

Note that slots are chosen either immediately for the first try option (see 7.3.4) or on receipt of a frame marker when the limit needs to make a random access attempt (see 7.3.5).

When a radio unit becomes active on a control channel, including when returning from a traffic channel, it shall either assume that the population is not subdivided (i.e. that the last Aloha message was applicable to all radio units) or wait for an Aloha message before attempting random access.

7.3.2 Checking the Aloha function

A radio unit shall note the function (FUNC) from each Alona message it receives. The requests invited by each Aloha function are as follows:

ALH Invites RQS, RQD(E=0), RQD(E=1), RQX, RQT, RQE, RQR, RQQ, RQC Invites RQS, RQX, RQT, RQE, RQE, RQQ, RQC ALHS RQD(E=0), RQD(E=1), RQX, RQT, RQE, RQR, RQQ, RQC ALHD Invites ALHE Invites RQD(E=1), RQE ALHR Invites RQD(E=1), RQE, RQR Invites RQS, RQD(E=0), RQD(E=1), RQX, RQT, RQE, ALHX RQQ, RQC ALHE Fall-back mode; messages invited only from radio units which know the fall-back method used by this system.

(The rules defining the Aloha functions appropriate to customised random access messages are system-dependent.)

The unit is not required to recognise the meaning of all these functions. However, it shall not choose a slot for random access message in the frame designated by the Aloha message, or frames designated by subsequent Acknowledgement or Go To Channel messages, unless it recognised the Aloha function and its random access message is of a type invited by the Aloha message. When a radio unit becomes active on a control channel, including when returning from a traffic channel, it shall assume an Aloha function of ALHX.

7.3.3 Frames defined by Aloha numbers

A radio unit shall use Table 7-1 to derive the explicit number of slots in a frame indicated by the four-bit Aloha number within the Aloha and Acknowledgement messages and the two-bit Aloha number within the Go To Channel message. (The zero Aloha number indicates that the message does not mark a frame.)

Four-bit Aloha number:

| Aloha Number | Framelength | <u>Aloha Number</u> | Framelength |
|--------------|--------------------|---------------------|-------------|
| 0 | Not a frame marker | 8 | 8 |
| 1 | l | 9 | 9 |
| 2 | 2 | 10 | 10 |
| 3 | 3 | 11 | 12 |
| 4 | 4 | 12 | 15 |
| 5 | 5 | 13 | 19 |
| б | 6 | 14 | 25 |
| 7 | 7 | 15 | 32 |

Two-bit Aloha number:

Aloha Number

| 0 | Not | a | frame | marker |
|---|-----|---|-------|--------|
| 1 | | | 1 | |
| 2 | | | 3 | |
| 3 | | | 6 | |

<u>Framelength</u>

Table 7-1 Number of slots in a frame indicated by Aloha numbers

The radio unit shall monitor the forward control channel and shall note which sections of the return control channel are designated as random access frames (using the framing Aloha numbers contained in Aloha, Acknowledgement and Go To Channel messages). The first access slot in a frame starts at the end of the forward control channel codeword containing the framing Aloha number and respective coincidence is maintained for subsequent slots.

7.3.4 First try option

When a radio unit is required to transmit a new message, it is permitted to transmit in the next immediate slot, provided that:

a. the slot is within a frame and the most recently received Aloha message does not inhibit access. (see 7.3.1, 7.3.2, 7.3.3),
b. the slot is not withdrawn (see 7.3.6).

and

Page 7-6

•

However, if it does not wish to use this option or if the slot is not within a suitable frame or if the slot is withdrawn, then the unit shall choose a slot from a new frame (see 7.3.5).

7.3.5 Choosing a slot from a new frame

A radio unit that requires to select a slot from a new frame shall wait for a message marking a frame available for it to use (see 7.3.1 and 7.3.2); it shall then choose a slot randomly from the specified framelength, using a uniform distribution. The most recently received Aloha message parameters are enforced at the moment of slot choice. The unit shall transmit its message in the chosen slot, provided that the slot is not withdrawn (see 7.3.6); for access timing, see 6.2.1.3.

A radio unit shall not choose more than one slot from a frame. Therefore, if it has to repeat the selection of a slot (either because a chosen slot was withdrawn or to make a repeat transmission), it shall count to the last slot of the previous frame before using another Aloha number. For example, if the last selection was from a frame with 8 slots, designated by an ALH message, the unit shall not use frame marker messages received in the 7 slots after the ALH message to choose its next slot. (Counting slots is required to allow for multi-site systems with time division of a single control channel, in which radio units may receive messages from several sites and frames designated by different sites may overlap in time.)

7.3.6 Check for withdrawn slot

Before transmitting its random access message in a chosen slot, a radio unit shall check whether the slot is still available for random access by attempting to decode the second codeword on the forward channel in the slot immediately preceding the chosen slot. If any of the following is received then random access is permitted:

- a. Any address codeword containing an Aloha number, except an Aloha message with M = 20 and the Aloha address (PFIX/IDENT1) not applicable to the unit (see 7.3.1).
- b. The following address codewords:
 - AHY with AD = 1
 - (unless the AHY is addressed to the unit)
 - AHYQ with IDENT2 = IPFIXI
 - (unless the AHYQ is addressed to the unit)
 - MARK
 - a MOVE message not applicable to the unit (see 7.4.2)
 - BCAST
 - HEAD (unless the HEAD is addressed to the unit).
- c. A data codeword with the Return Slot Access flag RSA (bit number 2) set to '1', (unless the codeword is part of a message addressed to the unit).
- d. If permitted by the type of system, a codeword that is not decodeable (or no signal is received).

Otherwise the unit shall refrain from transmitting and shall choose again from a new frame.

Page 7-7

(Future enhancements of the standard protocol, and customised messages, may result in additional messages that permit access for those radio units which can recognise these additional messages.)

7.3.7 Noting the response delay

A radio unit shall note the delay parameter WT from each Aloha message it receives and shall use Table 7-2 to derive from it the number of slots, WAIT, by which the TSC's response to a random access message may be delayed. (WAIT = 0 means that the response should be received in the slot following the random access message.) At the start of a session, until it receives an Aloha message, the unit shall assume a value of WAIT = NW (see Appendix 1).

| WT | WAIT | WT | WAIT |
|----|------|----|------|
| 0 | 0 | 4 | 4 |
| 1 | 1 | 5 | 5 |
| 2 | 2 | 6 | 10 |
| 3 | 3 | 7 | 15 |

Table 7-2 Response delays indicated by the delay parameter WT

7.3.8 Retry decision and time-outs

After sending a random access message, a radio unit shall wait to receive a response from the TSC. Various messages shall be accepted as a valid response (as specified in the sections detailing the call procedures).

If the radio unit does not receive a response within the WAIT+1 slots after its message, it shall assume that the message was unsuccessful. Then it shall either:

- a. abandon its access attempt (see below), or
- b. choose another slot, from a new frame (using a frame marker message received in or after the WAIT+1 th slot after the unsuccessful message); however, if the unit receives a valid response before sending a repeat message, it shall accept the response and not retransmit.

The radio unit shall abandon its access attempt if it has sent the maximum permitted number of transmissions and received no valid response. This number depends on the function of the message:

- For requests RQS, RQD(E=0), RQX, RQT, RQR, RQQ and RQC, it is NR.

- For emergency requests RQE and RQD(E=1), it is NE.

The unit shall also operate a time-out TC on the maximum time it spends trying to achieve access, and abandon the attempt if this time-out expires.

If the unit's access attempt fails, then:

 If the message was a cancellation/abortion request RQX, the unit shall return to waiting for signalling for the original transaction (for example, see sections 9.2.1.7 and 9.2.1.6).

Page 7-8

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 133 of 295

- ii) For access attempts for other messages:
 - if the unit has not sent a message, it shall return to the idle state (and may indicate the failure to the user);
 - otherwise, it shall wait for further signalling for the transaction (until the relevant time-out TW or TJ has expired for example, see sections 9.2.1.1 and 9.2.1.6).

Page 7-9

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 134 of 295

7.4 Related Procedures for All Radio Units on a Control Channel

7.4.1 Individually addressed Aloha message

If a radio unit on a control channel receives an Aloha message with M \neq 20 and Aloha address (PFIX/IDENT1) matching its individual address for this system, then it shall send a message in the next slot:

- a. If the unit recognises the Aloha function and is currently attempting random access with a message of a type invited by the Aloha message, it shall transmit its message and then continue to obey the procedures in section 7.3 (regarding the transmission as if it were a random access).
- b. Otherwise, if the Aloha message is ALHR and the unit has the ability to register, it shall send a registration request RQR and then wait until it receives a response or for WAIT+1 slots. While waiting for a response, the unit shall not seek to transmit messages by random access. See also section 8.3.2.
- c. Otherwise, the unit shall send an acknowledgement ACKX(QUAL=0) with PFIX/IDENT2 set to its individual address and IDENT1 set to TSCI. (It will not be sent a response to this message.)

7.4.2 MOVE message

If a radio unit on a control channel receives a MOVE message that is applicable to it (see below), then it shall move to the specified forward control channel and shall be able to receive within 35 ms after the end of the MOVE address codeword; after becoming active on the specified control channel, the unit shall retain the same state as on the old control channel except that, if currently attempting random access, it shall choose a slot from a new frame, using a frame marker message received on the new control channel (see 7.3.5).

The unit uses the address qualifier (M) and the address (PFIX/IDENT1) from the MOVE message to decide whether the message is applicable to it. For M = 0 to 19, the message is applicable to the unit if the M least significant bits of the MOVE address match the M least significant bits of its individual address. For M = 20, the message is applicable to the unit if the MOVE address matches any of its designated addresses for this system (including its group addresses).

Note: If field CONT in an applicable MOVE message is equal to '0000000000', then the channel movement is system-dependent.

Page 7-10

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 135 of 295

8. REGISTRATION PROCEDURES

Registration enables a radio unit to inform a system that it is within a session on that system. This section defines signalling procedures for radio units and TSCs that are required to employ registration.

Additional specifications will be needed for a specific system implementation, for example, to define:

- the criteria for when a radio unit should initiate registration
- the radio unit action after a registration denial or failure.

These specifications are likely to be system-dependent and therefore are not included in this standard.

8.1 Registration Facilities

The registration procedures in this standard provide the following facilities for the TSC:

- a. The TSC shall indicate, by the value of field FUNC in Aloha messages, whether random access registration request messages are invited from radio units. (See also sections 7.2.3 and 7.3.2.)
 - i) ALH, ALHS, ALHD and ALHR invite registration requests.
 - ii) ALHE and ALHX do not invite registration requests.
 - iii) The function of ALHF will be determined by the customised fall-back mode.
- b. The TSC may vary the value of the address qualifier (M) in Aloha messages to invite registration requests from:
 - the whole radio unit population (M = 0),
 - a section of the radio unit population (0 < M < 20), or
 - members of a selected group only
 - (M = 20 and PFIX/IDENT1 set to a group address).

See also sections 7.2,2 and 7.3.1.

- c. The TSC may demand registration from a specific radio unit by transmitting the ALHR message, with PFIX/IDENT1 set to the individual address of the wanted radio unit and M set to 20.
- d. The TSC may reject individual registration requests.
- e. The TSC may transmit the BCAST message with SYSDEF='00011', to broadcast registration parameters to radio units. See 5.5,4.5d.

The procedures for registration by random access and registration on demand are specified in sections 8.2 and 8.3 respectively.

Page 8-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 136 of 295

8.2 Procedures for Registration by Random Access

8.2.1 TSC Procedures

The TSC shall use the random access protocol to control the generation of registration requests by the radio unit population, as described in section 8.1 above. If the TSC indicates, in the manner described therein, that registration requests are invited then it shall be prepared to receive RQR messages from radio units.

8.2.1.1 Responses to a random access ROR message

A radio unit requests to register by generating an RQR message, complying with the random access protocol. On receiving an RQR message, the TSC shall send a response - ACKI(QUAL=1), ACKX or ACK(QUAL=0) - with PFIX/IDENT2 as the unit's individual address and IDENT1 set to REGI. For acceptable delay, see 7.2.4. See also 8.2.1.2.

8.2.1.2 Acknowledgements sent to indicate progress of registration

The TSC may send the following acknowledgement messages (with PFIX/IDENT2 as the unit's individual address and IDENT1 set to REGI) to indicate to a radio unit the progress of its registration;

| ACKI | (QUAL=1) | | Intermediate acknowledgement; the decision to accept |
|------|----------|---|--|
| | | | or reject the registration has been postponed; |
| | | | more signalling to follow. |
| ACKX | (QUAL=0) | - | Invalid request; registration denied. |
| ACKX | (QUAL=1) | - | System overload; registration failed. |
| ACK | (QUAL=0) | - | Registration accepted. |

8.2.1.3 TSC_time-out

The TSC may instruct a radio unit to restart its waiting timer TJ, by sending the AHY message with bit POINT set to '1', PFIX/IDENT2 set to the unit's individual address and IDENT1 set to REGI; see 9.1.1.7 and 9.2.2.3. If a time TJ (minus the tolerance on the radio unit's timer) elapses since the last message it received for the registration, the TSC shall not send any further signalling for the registration. See also 8.2.2.4. 8.2.2 Radio Unit Procedures for Registration by Random Access

8.2.2.1 Criteria for registration

At the start of a session, a radio unit shall decide (by examination of the system identity code in codewords received on the forward control channel) whether it should seek to register with the system. The process by which the unit decides whether to seek to register is system-dependent and is not included in this standard.

A radio unit seeking to register with a system may attempt to make calls prior to registration (but shall be prepared to register on demand before being accepted for traffic; see 7.4.1 and 8.3.2.1).

8.2.2.2 Registration request and valid responses

A radio unit requests to register by sending the RQR message on a control channel, complying with the random access protocol (see 7.3). The fields in the RQR message shall be set appropriately (see 5.5.3.1.6); however, note particularly that PFIX/IDENT1 is set to the radio unit's individual address agreed for the system, and field INFO may contain additional (customised) information.

The unit shall attempt access until it receives a valid response (see below) or until the access attempt fails (i.e. the unit has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8)). In the case of access failure, if the unit has not sent a request, it shall return to the idle state (further actions to be taken by the unit are system-dependent); otherwise, it shall wait for further signalling for the registration - see 8.2.2.3 and 8.2.2.4.

The unit shall accept acknowledgements ACKI(QUAL=1), ACKX or ACK(QUAL=0), with PFIX/IDENT2 as its individual address and IDENT1 as REGI, as a valid response to its RQR and send no more requests. For other actions on receiving these messages, see section 8.2.2.3.

8.2.2.3 Acknowledgement received

If a radio unit attempting access or waiting for signalling for a registration receives ACKI(QUAL=1), with PFIX/IDENT2 as its individual address and IDENT1 as REGI, then it shall wait for further signalling for the registration. (For time-out, see 8.2.2.4.)

If a radio unit attempting access or waiting for signalling for a registration receives ACKX or ACK(QUAL=0), with PFIX/IDENT2 as its individual address and IDENT1 as REGI, then it shall return to the idle state:

ACKX (QUAL=0) - Invalid request; registration denied. ACKX (QUAL=1) - System overload; registration failed. ACK (QUAL=0) - Registration accepted.

Other actions to be taken by the radio unit on receiving ACKX or ACK(QUAL=0) are system-dependent. (For example, receipt of ACKX(QUAL=0) could restrict or ban random access on the system for the duration of the session).

8.2.2.4 Time-out after waiting

A radio unit waiting for further signalling for a registration shall return to the idle state if a time TJ has elapsed since the last message it sent for the registration, viz.

RQR, requesting registration (see 8.2.2.2 and 8.3.2.1) or ACK(QUAL=0), sent in response to an AHY message with bit POINT = 1 and IDENT1 set to REGI (see 9.2.2.3).

The unit shall assume that the outcome of the registration attempt is unknown. (Further actions to be taken by the unit are system-dependent.)

Page 8-4

8.3 Procedures for Registration on Demand

8.3.1 TSC Procedures for Demanding Registration

The TSC may demand a registration message from any radio unit which may be within a session on the system. For example, it may use this facility after sending a response to a call request from a radio unit that has not registered.

The TSC demands registration from a radio unit by transmitting the ALHR message on the control channel, with:

- PFIX/IDENT1 set to the individual address of the radio unit
- the address qualifier (M) set to 20.
- the Aloha number (N) set to 1.

The ALHR message instructs the addressed radio unit to send a reply (RQE, RQR or ACKX(QUAL=0)) in the next slot; see sections 7.4.1 and 8.3.2.1. If the TSC does not successfully decode a reply, it may repeat the ALHR message when convenient.

If the reply is RQE, the TSC shall send a response as soon as possible (see 10.1.1 and 10.1.2).

If the reply is RQR, the TSC shall decide whether to accept the registration. Valid responses are:

ACKX (QUAL=0) - Invalid request; registration denied. ACK (QUAL=0) - Registration accepted.

with PFIX/IDENT2 set to the radio unit's individual address and IDENT1 set to REGI. See also section 0.3.2.2.

Page 8-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 140 of 295

8.3.2 Radio Unit Procedures for Registration on Demand

8.3.2.1 Individually addressed ALHR message

If a radio unit on a control channel receives an Aloha message with M = 20 and PFIX/IDENT1 matching its individual address for the system, then it shall send a message in the next slot, as specified in section 7.4.1. For convenience, the procedure is repeated here, for the specific case of FUNC = ALHR.

- al. If the unit is currently attempting random access for an emergency call, it shall send an emergency request RQE or RQD(E=1) and then continue to obey the procedures in sections 7.3 and 10.2 or 17.1.2.2 (regarding the transmission as if it were a random access).
- a2. Otherwise, if the unit is currently attempting random access for registration, it shall send a registration request RQR and then continue to obey the procedures in sections 7.3 and 8.2.2 (regarding the transmission as if it were a random access).
- b. Otherwise, if the unit has the ability to register, it shall send a registration request RQR and then wait until it receives a response or for WAIT+1 slots; see 8.3.2.2. While waiting for a response, the unit shall not seek to transmit messages by random access.
- c. Otherwise, the unit shall send ACKX(QUAL=0) with PFIX/IDENT2 set to its individual address and IDENT1 set to TSCI.

8.3.2.2 Responses to RQR sent on demand

After sending a demanded RQR in reply to ALHR with M=20, the radio unit shall accept either of the following acknowledgements, with PFIX/IDENT2 as its individual address and IDENT1 as REGI, as a valid response to its RQR:

> ACKX (QUAL=0) - Invalid request; registration denied. ACK (QUAL=0) - Registration accepted.

If ACKX(QUAL=0) is received, the action to be taken by the radio unit is system-dependent (as in 8.2.2.3).

If ACK(QUAL=0) is received, the unit shall return to the state it was in directly prior to receiving the ALHR message (unless signalling messages received in the interim have changed this state). After receiving ACK(QUAL=0) in response to a registration on demand, the unit shall assume that its current registration requirements are satisfied, as if it had successfully registered by random access (see 8.2.2.3).

If the unit receives no response within the WAIT+1 slots after its RQR, then it shall return to the state it was in directly prior to receiving the ALHR message (unless signalling messages received in the WAIT+1 slots have changed this state).

Page 8-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 141 of 295

9. BASIC CALL PROCEDURES

This section defines the basic call procedures for non-emergency speech calls and calls requiring a channel over which non-prescribed data may be sent. The procedures cover both short addressing and extended addressing calls. They cater for calls between the following parties:

| radio ur | nit> | radio unit, line unit or group |
|----------|----------|--|
| radio un | it> | all units in system |
| radio ur | nit> | PABX extension (with extension number that can |
| | | be represented by 13 bits, or |
| | | with a "long" extension number) |
| radio un | it> | PSTN destination (prearranged or general) |
| line uni | it> | radio unit, group or all units in system |
| PABX ext | ension> | radio unit, group or all units in system |
| PSTN tel | lephone> | radio unit, group or all units in system. |

These calls from radio units are requested using the "Simple" Call Request Message RQS; see section 5.5.3.1.1. Bit DT in the RQS message specifies whether the unit is requesting a conversation or a channel over which any appropriate audio signalling, even a non-standard modulation or format, may be sent to the called unit(s).

The RQS message contains all the information necessary to request a short addressing call viz. a common-prefix call, a system-wide call, a call to a prearranged PSTN destination or a call to a "short" PABX extension number. However, for an interprefix call, a general call to the PSTN or a call to a "long" PABX extension number, the call details cannot be accommodated in a single address codeword. For these types of call, the RQS message requests entry into the extended addressing mode; the radio unit sets IDENT1 in the RQS to the appropriate gateway ident (viz. IPFIXI, PSTNGI or PABXI), and the TSC then demands the full called party information using the AHYC message.

The basic procedures for the TSC and radio units are specified in sections 9.1 and 9.2 respectively. These procedures cover:

- a) call set-up
 - call request procedures for Simple calls
 - instruction to send extended address information
 - call cancellation while waiting for a call
 - checking availability of radio units
 - traffic channel allocation

b) call maintenance and call clear-down.

Other sections define related procedures (such as call diversion and Include call requests), and procedures for status messages, short data messages, data interrogation and emergency calls. Note particularly that status messages (RQQ - see section 13) are used for:

- a) the "Called Party Answer" mechanism
- b) cancellation of a requested speech call after the called unit has accepted the call for call-back.

Page 9-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 142 of 295

Examples of typical message sequences to set up:

- a) a short addressing call
- b) an extended addressing call

between two radio units are illustrated below. Both sequences include the call request, availability check and channel allocation signalling. (In these examples, the TSC checks only that the called unit is in radio contact before allocating a traffic channel i.e. the called party answer mechanism is not employed.) The extended addressing example has an extra phase: after receiving the RQS message, the TSC sends AHYC to instruct the calling unit to transmit the full called address information.



Example A message sequence on a control channel to set up a common-prefix call between two radio units on the same site.

- 1. ALH : General Aloha invitation (three-slot frame).
- 2. RQS : Random access request for a Simple call.
- 3. AHY : Availability check message
 - acknowledges the RQS message
 - demands a response from the called radio unit
 - inhibits random access in the next slot.
- 4. ACK : Acknowledgement ACK(QUAL=0) from the called radio unit.
- 5. GTC : Go To Channel message instructing both radio units to switch to a designated traffic channel for their call. In this example the GTC is repeated immediately, for added reliability. (Note that repeat messages may be delayed for other signalling.)

Page 9-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 143 of 295



Example A message sequence on a control channel to set up an interprefix call between two radio units on the same site.

- 1. ALH : General Aloha invitation (four-slot frame).
- RQS : Random access request for an interprefix Simple call (IDENT1 set to IPFIXI).
- 3. AHYC : Short data invitation message
 - acknowledges the RQS message
 - instructs the calling unit to send the called address
 - inhibits random access in the next slot.
- SAMIS : Single Address Message from the calling radio unit, containing the prefix and ident of the called unit.
- 5. AHY : Availability check message demands a response from the called radio unit. In this example, the availability check is a single-codeword message i.e. the address of the calling unit is not supplied.
- ACK : Acknowledgement ACK(QUAL=0) from the called radio unit.
- 7. GTC : Go To Channel message instructing the called radio unit to switch to a designated traffic channel for the call.
- 8. GTC : Go To Channel message instructing the calling radio unit to switch to the designated channel for the call.

Page 9-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 144 of 295
9.1 Basic Call Procedures for TSC

This subsection describes the basic call facilities available for use by the TSC. However, note that the TSC is allowed a great deal of flexibility and it need not implement all these facilities. Also, system designers are left free to choose an appropriate strategy for scheduling messages on the control channel.

9.1.1 Basic TSC Procedures for Setting Up Calls

9.1.1.1 Responses to a short addressing RQS message

A radio unit requests a short addressing Simple call by generating an RQS message (with EXT = 1, or with EXT = 0 and IDENT1 set to a valid called party ident), complying with the random access protocol. On receiving a short addressing RQS message, the TSC shall send a response (so that the radio unit will not retransmit its message). The response may be sent in the slot following the RQS or it may be delayed; for acceptable delay, see 7.2.4.

The following messages are valid responses to a short addressing RQS message (though a TSC need not be able to provide all of these messages):

- a. An acknowledgement ACKI, ACKQ, ACKX, ACKV or ACKB(QUAL=0), with PFIX/IDENT2 as the calling unit's individual address and IDENT1 as the called ident (or PABXI for a call to a PABX extension) - see 5.5.2.1.
- b. An acknowledgement ACKT(QUAL=0), with PFIX/IDENT2 as the calling unit's individual address - see 5.5.2.1 and 9.1.1.4.
- c. An AHY message (i.e. availability check) for this call see 9.1.1.5 and 9.1.1.7.
- d. A Go To Channel message GTC for this call, or a call with which this call has been amalgamated see 9.1.1.9 and 9.1.1.12.

The response is thus a direct acknowledgement (as in a. and b.) or an indirect acknowledgement (as in c. and d.).

The acknowledgement messages may also be sent to the calling unit at appropriate times to indicate the progress of the call set-up - see 9.1.1.4.

9.1.1.2 Responses to an extended addressing RQS message

A radio unit requests an extended addressing Simple call by generating an RQS message (with EXT = 0 and IDENT1 = IPFIXI, PSTNGI or PABXI), complying with the random access protocol. On receiving an extended addressing RQS message, the TSC shall send one of the following responses, with the same prefix and idents as the RQS:

- An acknowledgement ACKI(QUAL=1), ACKX or ACKV(QUAL=0).
- b. AHYC (i.e. an instruction to send the full called address information).

For acceptable delay, see 7.2.4. See also 9.1.1.3 and 9.1.1.4.

Page 9-4

-

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 145 of 295

9.1.1.3 Instruction to send extended address information

After receiving an extended addressing RQS message, the TSC may demand the full called address from the calling radio unit; it uses the AHYC message, with the same prefix and idents as the RQS and field DESC set to indicate the appropriate gateway (see 5.5.3.2.8). In the AHYC message, the SLOTS parameter shall be set to correspond to the request as follows:

> For an interprefix or PABX call, SLOTS = '01' For a general PSTN call, for up to 9 digits, SLOTS = '01' for 10 to 31 digits, SLOTS = '10'.

The AHYC message instructs the calling unit to send the called party address information in the following SLOTS slot(s) (see 9.2.2.1). If the TSC does not successfully decode the address information, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the call.

After decoding the full address information successfully, the TSC may send appropriate acknowledgements to the calling unit (see 9.1.1.4).

The TSC may send AHYC in any slot on the forward control channel. However, note that AHYC bars random access only in the next return slot. For SLOTS = '01', this is sufficient for the unit's response; however, for SLOTS = '10', the TSC shall take appropriate action to reserve the second return slot if it is within a random access frame (e.g. by sending the AHY message, with both idents set to DUMMYI, in the slot following the AHYC).

9.1.1.4 Acknowledgements sent to calling unit to indicate progress of Simple call

The TSC may send acknowledgement messages at appropriate times to indicate to a calling radio unit the progress of its Simple call - for idents in acknowledgements, see 5.5.2.1. (For extended addressing calls, only ACKI(QUAL=1), ACKX and ACKV(QUAL=0) are appropriate until the full address information has been obtained.) Note that the criteria for setting the maximum delay of repeats of acknowledgements ACKX, ACKV, ACKB and ACKT should take account of time-out TB (described in 9.2.1.4).

The TSC may send ACKI or ACKQ to indicate to a calling radio unit the progress of the signalling for its Simple call:

| ACKI | (QUAL=0) | - | Called unit alerting but user/ data equipment not |
|------|----------|---|--|
| | | | ready. |
| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to |
| | | | follow. |
| ACKQ | (QUAL=0) | - | All traffic channels in use. TSC has queued the call. |
| ACKQ | (QUAL=1) | - | Conflicting call in progress (e.g. called unit |
| | | | engaged), or higher in queue. TSC has queued the call. |

It may send ACKX or ACKV to indicate to the calling unit that its Simple call request will not be complied with:

Page 9-5

ACKV (QUAL=1) - Conflicting call in progress or higher in queue (and call has not been queued), or called user does not wish to receive this call.

It may send ACKB(QUAL=0) to indicate to the calling unit that its Simple call request has been accepted for call-back by the called unit.

If the TSC has previously accepted a diversion request RQT requesting that this type of call be redirected to another party, then it shall send ACKT(QUAL=0) with PFIX/IDENT2 as the calling unit's individual address and:

- a. IDENT1 as the diversion ident, or
- DENTI as a gateway (viz. IPFIXI, PSTNGI or PABXI); in this case, the diversion address follows in concatenated data codeword(s). Note that IDENTI is set to IPFIXI to indicate either an interprefix diversion address or that the diversion address is of a different type (group/individual) from the called address; see 5.5.2.1.

(On receiving ACKT, the radio unit will either return to the idle state or re-attempt access calling the diversion address - see 9.2.1.4.)

9.1.1.5 Availability check on called radio unit

After receiving a request for an individual call to a radio unit, the TSC shall at least check that the called unit is in radio contact before making a traffic channel allocation; (the TSC is exempted from this requirement when operating in fall-back mode). The TSC may check also that the called user/ data equipment is ready for the call before allocating a channel.

The TSC checks availability of a called radio unit by sending the AHY message, with:

- bit POINT set to '0'
- bit CHECK set to indicate whether the TSC is checking:
 a) only that the called unit is in radio contact (CHECK=0), or
 b) that the called user/ data equipment is ready (CHECK=1)
- bits D and E set appropriately (see 5.5.3.2.1)
- PFIX/IDENT1 as the called unit's address
- IDENT2 as the calling ident (or gateway),

If IDENT2 = IPFIXI, the TSC may append a data codeword containing the calling unit's address; if so, it shall set bit AD in the AHY to '1' (and shall set flag RSA in the "filler" data codeword to '0' - see 7.2.5).

The AHY message demands a response from the called unit (see 9.2.2.2A). If the response is ACKI(QUAL=0), ACKX(QUAL=0), ACKV(QUAL=1) or ACKB(QUAL=0), the TSC may send appropriate acknowledgement(s) to a calling radio unit (see 9.1.1.4). If the TSC does not successfully decode a response, or if the response is ACKB(QUAL=1) or ACKI(QUAL=0), it may repeat the AHY message at intervals. If the called unit cannot be contacted, the TSC may indicate the failure to the calling unit by sending ACKV(QUAL=0).

Page 9-6

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 147 of 295

After sending ACKI(QUAL=0) in response to an AHY message with CHECK = 1, a radio unit may attempt random access with RQQ(STATUS='00000') addressed to the TSC when its user/ data equipment is ready to receive the call. After responding with ACKI(QUAL=0) or ACK(QUAL=0), the unit may send RQQ(STATUS='11111') if its user no longer wishes to receive the call. The TSC shall send appropriate responses to these "off-hook" and "on-hook" RQQ messages; see 13.1.1.1.

Note that, if a radio unit is waiting for an incoming traffic channel call and receives an AHY message checking its availability for a different incoming traffic channel call, then it abandons any signalling for the first call and obeys the new AHY (see 9.2.2.2A, 9.2.2.4 and 13.1.2.8). Therefore, if the TSC sends an AHY message for a new call, it shall not send any further acknowledgements for any previous "off-hook" or "on-hook" RQQ message from the called unit. Note also that, if the TSC receives an "off-hook" or "on-hook" RQQ message for a called radio unit before it has received a response to an AHY message for the call, then the RQQ message could be for an old call.

9.1.1.6 Availability check for calls to PABX extensions and PSTN destinations

For calls to PABX extensions or onto the PSTN, the TSC may check that the called telephone has been answered before allocating a traffic channel. This check may be made either manually or automatically.

9.1.1.7 Availability check on requesting radio unit

The TSC may check the availability of a requesting radio unit by sending the AHY message, with:

- bit AD set to '0'
- bit POINT set to '1'
- bit CHECK set to '0'
- bits D and E set appropriately (see 5.5.3.2.1)
- PFIX/IDENT2 as the requesting unit's address
- IDENT1 as the called ident or gateway (or REGI for a registration request; see 8.2.1.3).

The AHY message demands a response from the requesting unit (see 9.2.2.3) and also instructs the unit to restart its waiting timer for the requested call or transaction. The message therefore has two functions:

- a. To restart the unit's timer (TW or TJ), enabling the TSC to use a variable queueing time limit; for example, see 8.2.1.3, 9.1.1.10, 10.1.7, 12.1.7, 13.1.1.4, 13.2.1.7 and 14.1.9.
- b. To check that the calling unit is still in radio contact, before a traffic channel is allocated for a call. (If the call will not be set up, the TSC may inform the called unit; see 9.1.1.8.)

Page 9-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 148 of 295

9.1.1.8 Call cancellation

À calling radio unit may cancel a requested Simple call by generating an RQX message (see 5.5.3.1.3), complying with the random access protocol. On receiving an RQX message cancelling a Simple call, the TSC shall send a response. Valid responses are:

a. ACK(QUAL=1), with the same prefix and idents as the RQX.b. AHYX, with the same prefix and idents as the RQX.

If a call is cancelled (for example, on the request of the calling unit or after an availability check on the calling unit or if the TSC's queueing time limit is exceeded), then the TSC may inform a called radio unit by sending the AHYX message with PFIX/IDENT1 as the called unit's address and IDENT2 as the calling ident (or gateway). The TSC may repeat the AHYX message if it is not acknowledged by an ACK(QUAL=1) message from the called unit (see 9.2.2.4).

If the TSC receives an RQX message on a control channel, and does not currently hold a corresponding call or transaction request from that unit, it shall send a response: ACK(QUAL=1), with the same prefix and idents as the RQX.

9.1.1.9 Call amalgamation

The TSC shall either amalgamate any (non-emergency) individual speech calls in its queues which are between the same parties, or refuse to accept more than one speech call between the same individuals. See also section 10.1.8b.

(The TSC shall not amalgamate speech calls to the same group, or data calls.)

9.1.1.10 Queue management and queue time-out

The TSC may order its queue of calls (non-priority and priority, between any parties) in any way acceptable to the system operator.

The TSC may operate a time-out on the maximum time for which it queues a call (for example, waiting for a traffic channel or for the called party to be free). See also 9.2.1.6 and 9.2.2.4.

The TSC may instruct a calling radio unit to restart its waiting timer, by sending the AHY message with bit POINT set to '1'; see 9.1.1.7 and 9.2.2.3. If a time TW, minus the tolerance on the radio unit's timer, elapses since the last message it received for a Simple call (from the calling unit), the TSC shall not send any further signalling for the call, except that it may send AHYX to inform a called radio unit that the call will not take place (see 9.1.1.8). ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 149 of 295

9.1.1.11 Resolving call conflicts

It is recommended that the TSC uses suitable rules to decide on priorities for resolving call conflicts. For instance:

- a. it should not send an individually addressed GTC command to a radio unit that is known to be currently engaged in another call;
- b. for a system-wide call, it may wait until all traffic channel activity has ceased before allocating a channel (so that the system-wide call can be heard by all powered-on units).

Similar conflicts may arise for group/subgroup calls. (Note, however, that the TSC is not required to know the membership of groups i.e. its need not check for call conflict involving individual called units in a group.)

9.1.1.12 Traffic channel allocation

The TSC shall allocate traffic channels using the Go To Channel message GTC (see 5.4). It shall set bit D in the GTC message to '0' when setting up a speech call or to '1' when setting up a data call (e.g. a Simple call requested with bit DT set to '1'). It may repeat the GTC command.

In the case of a multi-site call on a system employing time-shared control channels where the calling and called parties are active on the same control channel, the GTC to the calling party may contain DUMMYI in IDENT1 and the GTC to the called party may contain DUMMYI in IDENT2.

In the case of an interprefix call between radio units, at least two GTC messages must be transmitted: one to instruct the called unit (or group) and one to instruct the calling unit. For a multi-site call, these GTC messages may be sent at different sites.

Note that a called radio unit in an interprefix call is permitted to remain on the control channel for one timeslot after receiving GTC, to see whether the next message is a GTC for the calling unit; see 9.2.2.5. It is recommended that the TSC schedules GTC messages appropriately.

9.1.2 Basic TSC Procedures for Maintenance and Clear-Down of Calls

It should be noted that the transmission of standardised messages on a traffic channel during a call requested with RQS, DT=1 could corrupt non-prescribed data signalling. It is recommended that any use of the facilities described below takes this into account.

9.1.2.1 Call maintenance options

All speech items transmitted by radio units on an allocated traffic channel end with at least one Pressel Off message (see 9.2.3.1). The TSC may also require that any radio unit which transmits a speech item shall start the item with at least one Pressel On message, and that the unit shall interrupt the item at intervals to send a call maintenance message. The TSC indicates activation or deactivation of these options, the maximum duration of the interval and the required setting of PFIX/IDENT1 for call maintenance messages in group calls, by sending the BCAST message with SYSDEF='00010' (see 5.5.4.5c) on the control channel.

9.1.2.2 Availability check on a traffic channel

During a call, when appropriate, the TSC may query whether an individual radio unit is on the traffic channel by sending (on the traffic channel) an AHY message with bit AD = 0 and:

POINT = 0 and PFIX/IDENT1 as the unit's individual address or POINT = 1 and PFIX/IDENT2 as the unit's individual address.

The AHY message demands an acknowledgement from the addressed radio unit; see 9.2.3.2.

Note that the AHY message with POINT set to '1' (and IDENT1 set to the called ident or gateway) may be sent to instruct an Including unit to restart its waiting timer TI. See section 11.1.7.

9.1.2.3 Disabling user transmission

During a call, the TSC may send call maintenance message MAINT, OPER='111' on the traffic channel to instruct radio units to inhibit user transmission; see 5.5.4.2 and 9.2.3.3. It can disable individually addressed units, called units in a group or all radio units.

For instance, the TSC may send this message at the start of a group call if the calling unit requested that the called users be disabled from replying.

9.1.2.4 Allocating replacement traffic channel

During a call, the TSC may send GTC messages on the traffic channel to move radio units already in communication to a replacement traffic channel; see 5.4 and 9.2.3.4. (For instance, the TSC could send this message if one traffic channel has special facilities and an emergency call requiring these facilities is requested when the channel is in use for another call.)

Page 9-10

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 151 of 295

Note that the TSC may send MAINT, OPER='111' during an item to disable radio users from replying, and then send GTC at the end of the item. Receipt of the GTC message re-enables user transmission on the replacement channel (unless IDENT1 = ALLI); see 9.2.3.4.

9.1.2.5 Clearing down unwanted radio units during a call

During a call, the TSC may send call maintenance message MAINT, OPER='110' on the traffic channel to clear down any radio units that should not be there. The address (PFIX/IDENT1) in the message "labels" the ongoing call, so that only unwanted radio units leave the channel; see 5.5.4.2 and 9.2.3.7.

Note that:

•

- a. If radio units with different prefixes are occupying the traffic channel then transmission of MAINT, OPER='110' would clear units with the other prefix.
- b. After an Include call, the use of MAINT, OPER='110' could clear the included party.

9.1.2.6 Call clear-down

The TSC shall clear down a call in which the Include facility has not been used if any one of the following criteria is satisfied; (after an Include call, criteria a. and b. may be relaxed as specified in 11.1.9):

- a. If it receives a valid Disconnect message (indicating the end of channel use) on the return traffic channel, from either unit in an individual call or from the calling unit in a group/system-wide call; see 5.5.4.2 and 9.2.3.5.
- b. If either party in an individual call is a line/PABX/PSTN user, or if the calling party in a group/system-wide call is a line/PABX/PSTN user, and the TSC detects appropriate indication (from the line unit/PABX/PSTN) that the call has ended.
- c. If the time without apparent transmission (e.g. without detected carrier, without receiving valid call maintenance messages or without receiving a response to availability checks) is excessive.
- d. If an overall TSC call time limit is reached.

Also, if required by the type of system, the TSC may clear down a systemwide call or a group call in which the called users have been disabled from replying, if it receives a valid Pressel Off message from the calling unit.

The TSC shall clear down a call by sending at least two CLEAR messages on the forward traffic channel; see also 3.3.2, 5.5.4.3 and 9.2.3.8.

Page 9-11

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 152 of 295

9.2 Basic Call Procedures for Radio Units

It is recommended that a radio unit be equipped with a ready-forcommunication control (RFCC) e.g. a switch-hook. Optionally the unit may be equipped with a "Busy control" which, if in the busy state, shall override an active RFCC state.

A radio unit attempting access or waiting for further signalling for a call may be sent an availability check message AHY or Go To Channel message GTC for an incoming call (see 9.2.2.2A and 9.2.2.5). Note that:

- i) If the unit were to transmit ACKI(QUAL=0) in response to an AHY message with CHECK = 1, then it would not be able to send the "off-hook" message until its own call had been completed.
- ii) The unit can reject an incoming individual call by sending ACKV(QUAL=1) in response to the AHY message.
- iii) A radio unit is required to obey individually addressed GTC messages and system-wide calls (except in emergency), though it may ignore other group call GTCs if the user does not wish to receive group calls.

However, if making a call of its own, the unit is required to ignore GTC messages for incoming group calls (except calls to a group the unit is itself attempting to call); see 9.2.2.5. (This rule applies also to a unit that has received an AHY message for an incoming individual call and responded with ACK(QUAL=0) or ACKI(QUAL=0).)

iv) If a unit receives and obeys a GTC message not for its own call, it returns to its previous state at the end of the incoming call, unless the time-out (e.g. TW or TJ) on the previous state has expired. (Note however that, if the unit was making a call of its own, then it may attempt cancellation/abortion if the user no longer wants his call.)

9.2.1 Procedures for Radio Units Making Simple Calls

A radio unit shall make only one call attempt at a time (except in emergency); while attempting access or waiting for further signalling for its Simple call, the unit shall not request another non-emergency call of any type (unless the user first cancels the original call).

Radio units can request calls to most PABX extensions using short addressing; in the RQS message, IDENT1 is the extension number, EXT = 1 and FLAG1/FLAG2 indicates the appropriate exchange (see sections 4 and 5.5.3.1.1). All other messages sent during the call set-up use the PABX gateway ident, PABXI.

By prearrangement with the system, radio units may request calls to a limited number of PSTN destinations using short addressing; IDENT1 in the RQS message is set to the appropriate short-form PSTN ident (see section 4).

Radio units use extended addressing procedures to request interprefix calls, general calls to the PSTN and calls to PABX extensions with "long" numbers; IDENT1 in the RQS message is set to the appropriate gateway and the unit then sends the full called address information in response to an AHYC message from the TSC.

9.2.1.1 Request for a Simple call

A radio unit requests a Simple call by sending an RQS message on a control channel, complying with the random access protocol (see 7.3). The fields in the RQS message shall be set appropriately (see 5.5.3.1.1); however, note particularly that:

- a. Bit DT specifies whether the caller is requesting a speech call (DT=0) or a channel for sending non-prescribed data (DT=1).
- b. An extended addressing request is indicated by setting IDENT1 in the RQS message to the appropriate gateway (viz. IPFIXI, PSTNGI or PABXI).

The unit shall attempt access until:

- i) it receives a valid response (see 9.2.1.2/3), or
- ii) its user cancels the call (see 9.2.1.7), or
- iii) the access attempt fails (i.e. the unit has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8)). In this case:
 - If the unit has not sent a request, it shall return to the idle state (and may indicate the failure to the user).
 Otherwise, the unit shall wait for further signalling for
 - the call; see 9.2.1.4 to 9.2.1.6. (As usual, the unit may attempt cancellation while waiting; see 9.2.1.7.)

If the user tries to initiate another non-emergency call of any type or re-initiate the same call (without first cancelling it) while his unit is trying to access the system, the unit shall ignore the command.

9.2.1.2 Valid responses to short addressing RQS

For a short addressing call, the calling unit shall accept the following messages as a valid response to its RQS and send no more requests:

- a. An acknowledgement ACKI, ACKQ, ACKX, ACKV or ACKB(QUAL=0), with PFIX/IDENT2 as its individual address and IDENT1 as the called ident (or PABXI if it is making a PABX call).
- b. An acknowledgement ACKT(QUAL=0) with PFIX/IDENT2 as its individual address. See also 9.2.1.4.
- c. An AHY message with PFIX/IDENT2 as its individual address and IDENT1 as the called ident (or PABXI for a PABX call).
- d. A Go To Channel message GTC with PFIX/IDENT2 as its individual address and IDENT1 as the called ident (or PABXI for a PABX call, or DUMMYI for a multi-site call on a system employing time-shared control channels).
- e. In response to an RQS with DT=0 and EXT=0: a GTC message with D=0, PFIX/IDENT1 as its individual address and IDENT2 as the called ident. Note: this is a check for call amalgamation.)

For other actions on receiving these messages, see sections 9.2.1.4, 9.2.1.5, 9.2.2.3 and 9.2.2.5.

Page 9-13

9.2.1.3 Valid responses to extended addressing RQS

For an extended addressing call, the calling unit shall accept the following messages (with the same prefix and idents as the RQS) as a valid response to its RQS and send no more requests:

- a. An acknowledgement ACKI(QUAL=1), ACKX or ACKV(QUAL=0).
- b. AHYC (i.e. an instruction to send the full called address information).

For other actions on receiving these messages, see 9.2.1.4 and 9.2.2.1.

9.2.1.4 Acknowledgement received

If a radio unit attempting access or waiting for further signalling for a Simple call receives an appropriate acknowledgement then it shall take action as indicated below. Appropriate acknowledgements for a short addressing call, or for an extended addressing call after the full address information has been sent, are:

- ACKI, ACKQ, ACKX, ACKV and ACKB(QUAL=0), with PFIX/IDENT2 as the unit's individual address and IDENT1 as the called ident or gateway;
- ACKT(QUAL=0) with PFIX/IDENT2 as the unit's individual address.

Appropriate acknowledgements for an extended addressing call before the full address information has been sent are ACKI(QUAL=1), ACKX and ACKV(QUAL=0), with PFIX/IDENT2 as the unit's individual address and IDENT1 as the called gateway.

| ACKI | (QUAL=0) | - | Called unit alerting but user/ data equipment not ready. |
|------|----------|---|--|
| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
| ACKQ | (QUAL=0) | - | All traffic channels in use. TSC has queued the call. |
| ACKQ | (QUAL=1) | | Conflicting call in progress (e.g. called unit |
| | | | engaged), or higher in queue. TSC has queued the call. |
| ACKX | (QUAL=0) | - | Invalid call; request rejected. |
| ACKX | (QUAL=1) | - | System overload; request rejected. |
| ACKV | (QUAL=0) | _ | Called unit not in radio contact or call set-up |
| | | | abandoned. |
| ACKV | (QUAL=1) | - | Conflicting call in progress or higher in queue |
| | | | (and call has not been queued), or called user |
| | | | does not wish to receive this call. |
| ACKB | (QUAL=0) | - | Called unit has accepted the call for call-back. |
| ACKT | (QUAL=0) | - | Called party's calls have been diverted. |
| | | | |

If ACKI or ACKQ is received, the unit shall wait for further signalling for the call and may indicate to the user the progress of the call.

If ACKX or ACKV is received, the unit shall return to the idle state and may indicate to the user the reason for the failure of the call; it is recommended that receipt of ACKX(QUAL=0) be indicated in a distinct manner.

If ACKB(QUAL=0) is received, the unit shall return to the idle state and may indicate to the user that the call has been accepted by the called unit for call-back. If, after receiving ACKB(QUAL=0), the user wishes to

Page 9-14

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 155 of 295

withdraw the request, then cancellation may be attempted using an RQQ message with STATUS='11111' (addressed to the called unit); see section 13.

If a complete ACKT(QUAL=0) message is received, the unit shall either:

- a. return to the idle state (and may indicate to the user that the called party's calls have been diverted), or
- b. wait for a time TB (see below), and then attempt a new call to the diversion address given in the ACKT message:
 - if IDENT1 / IPFIXI, PSTNGI or PABXI, try on IDENT1;
 - if IDENT1 = IPFIXI, PSTNGI or PABXI, try the alternative called party given in the appended data codeword(s).
 Note that ACKT(QUAL=0), with IDENT1 = IPFIXI and an appended data

codeword, indicates either an interprefix diversion address or that the diversion address is of a different type from the original called address. Flag GF in the appended data codeword specifies whether the diversion address is an individual or group address; see 5.5.2.1.

If an incomplete ACKT(QUAL=0) message is received (i.e. if not all the appended data codewords are decodeable), then:

- If the unit does not require the diversion address, it shall return to the idle state (and may give an indication to the user).
- ii) If the unit does require the diversion address then:
 - if still attempting access for the call, it shall ignore the message and continue to attempt access;
 - otherwise it shall wait for a repeat ACKT, returning to the idle state if a time TB elapses (in which case, it may indicate the failure to the user).

After receiving ACKX, ACKV or ACKB for its Simple call, the unit shall not request another non-emergency call of any type to the same called ident for at least a time TB; (note that this includes a call to the same gateway). After receiving ACKT for its Simple call, the unit shall not request another non-emergency call of any type for at least a time TB.

9.2.1.5 Availability check and channel allocation for own call

A calling radio unit attempting access or waiting for further signalling for a Simple call shall obey the availability check and channel allocation procedures (see 9.2.2.2 to 9.2.2.5). It shall decide whether a GTC message it receives is for its requested call by inspecting the prefix and idents and bit D from the GTC message:

- a. for a short addressing call, as in 9.2.1.2 d. and e.
- b. for any extended addressing call, if PFIX/IDENT2 is its individual address and IDENT1 is the called gateway
- c. for an interprefix speech call, if: - D=0, PFIX/IDENT1 is its individual address and IDENT2 is IPFIXI, and
 - it receives a GTC message for the caller in the next slot (see 9.2.2.5a) and PFIX/IDENT2 is the address the unit is calling.
 (Note: this is a check for call amalgamation.)

Page 9-15

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 156 of 295

If so, it may give an indication to the user, and shall revert to the idle state at the end of the call.

9.2.1.6 Time-out after waiting

A calling radio unit waiting for further signalling for a Simple call shall return to the idle state if a time TW has elapsed since the last message it sent for the call, viz.

RQS, requesting the Simple call (see 9.2.1.1) or SAMIS, providing extended address information for the call (see 9.2.2.1)

or ACK(QUAL=0), sent in response to an AHY message with bit POINT = 1 and IDENT1 as the called ident or gateway (see 9.2.2.3).

It may also indicate the failure to the user.

If the user tries to initiate another non-emergency call of any type or re-initiate the same call (without first cancelling it) while his unit is waiting for signalling for the call, the unit shall ignore the command.

9.2.1.7 Call cancellation

If the user wishes to cancel his Simple call and the unit has not yet sent an RQS, then it shall return immediately to the idle state. Otherwise, if the unit has sent an RQS, it shall attempt to send a call cancellation request RQX (see 5.5.3.1.3), complying with the random access protocol (see 7.3). It shall attempt access until one of the following occurs:

- a. It receives ACK(QUAL=1) or AHYX, with the same prefix and idents as the RQX, confirming cancellation of the call.
- b. It receives ACKX, ACKV or ACKT(QUAL=0) for the call it is attempting to cancel. See also 9.2.1.4.
- c. It receives ACKB(QUAL=0) for the call it is attempting to cancel; in this case, it may indicate to the user that the call has been accepted for call-back and that the cancellation was unsuccessful. (Withdrawal of the request may then be attempted using an RQQ message with STATUS='11111', addressed to the called unit; see section 13.)
- d. It receives a GTC message for the call it is attempting to cancel; in this case, it shall proceed to the designated traffic channel (see 9.2.2.5) and then revert to the idle state at the end of the call.
- e. It has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8). In this case, it shall return to waiting for signalling for the Simple call (see 9.2.1.4 to 9.2.1.6).

In cases a., b. and c., the unit shall return to the idle state.

If the user tries to "cancel" a call when his unit is not attempting access or waiting for signalling for a call, the unit shall ignore the command.

Page 9-16

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 157 of 295

9.2.2 Basic Procedures for All Radio Units on a Control Channel

These procedures shall be obeyed by all radio units on a control channel (including units making calls or requesting transactions). For other procedures for all radio units on a control channel, see sections:

| Control channel discipline. |
|--|
| Individually addressed Aloha message and MOVE message. |
| Registration procedures. |
| Receiving status message (AHYQ). |
| Receiving short data message (HEAD). |
| Data interrogation procedures. |
| |

9.2.2.1 Instruction to send address information or data message

This procedure shall be obeyed by all radio units that are equipped to request extended addressing calls, complex diversion or RQC transactions.

If a radio unit on a control channel receives an AHYC message with PFIX/IDENT2 matching its individual address then it shall either send address information or a data message in the following SLOTS slot(s), or transmit ACKX(QUAL=0), as indicated below. For timing, see 6.2.1.3.

If
 the unit has sent an extended addressing non-emergency request,
 or has received ACKE or AHY(E=1) for an extended addressing RQE
 and IDENT1 matches IDENT1 from the request
 and DESC is appropriate to IDENT1 (see 5.5.3.2.8)
 and SLOTS corresponds to the request
 (i.e. if IDENT1=PSTNGI and FLAG1=1 then SLOTS='10' else SLOTS='01')

then it shall transmit the full address information for IDENT1, conforming to the codeword formats defined in section 5.6.1.2.2 (SAMIS, Mode 1).

Otherwise If

the unit has sent a request for 3-address diversion (RQT, FLAG2=1) and IDENT1 is set to DIVERTI and DESC is set to '000' and SLOTS is set to '01'

then it shall transmit the "blocked address", conforming to the interprefix codeword format defined in section 5.5.1.2.2 (SAMIS, Mode 1, DESC='000').

Otherwise If

> the unit has sent an RQC message and IDENT1 is set to SDMI and DESC is set to '000' and SLOTS matches SLOTS from the RQC

then it shall transmit its short data message, conforming to the codeword formats defined in section 5.6.2 (HEAD).

Otherwise The unit shall transmit ACKX(QUAL=0), with the same prefix and idents as the AHYC.

Page 9-17

9.2.2.2 Availability check on called radio unit

If a radio unit on a control channel receives an AHY message with PFIX/IDENT1 matching its individual address and bit POINT set to '0' then it shall respond with the appropriate acknowledgement (see below), with the same prefix and idents as the AHY. If bit AD = 0 in the AHY message, the unit shall respond in the slot following the AHY; if bit AD = 1, a data codeword is appended (containing the calling address) and the unit shall respond in the slot following the data codeword. For timing, see 6.2.1.3.

A) Incoming traffic channel call : IDENT2 = Ident (1 to 8100), Ident (8121 to 8180), INCI, IPFIXI, PSINGI or PABXI

If bit AD = 1 in the AHY message but the appended data codeword was not decodeable and the unit requires the calling address for its operation, then it may request a retransmission by sending ACKB(QUAL=1):

ACKB (QUAL=1) - The unit requires the message to be retransmitted.

Otherwise

The unit may reject the incoming call by sending ACKX(QUAL=0) or ACKV(QUAL=1): ACKX (QUAL=0) - The unit cannot accept the call e.g. D = 0 in the AHY message and the unit has no speech equipment, or D = 1 in the AHY message and the unit has no data equipment.

ACKV (QUAL=1) - The user has indicated that he does not wish to receive this call (e.g. using the "Busy control").

Otherwise

If bit D = 0 in the AHY message and IDENT2 is not set to INCI, the unit may accept the call for call-back by sending ACKB(QUAL=0):

ACKB (QUAL=0) - The unit has accepted the call for call-back.

Otherwise

 i) If bit CHECK = 0 in the AHY message, then the unit shall send ACK(QUAL=0):

ACK (QUAL=0) - Unit is available for the call.

ii) If bit CHECK = 1 in the AHY message, then the unit shall send either ACKI(QUAL=0) or ACK(QUAL=0), to indicate its state of readiness so far as it is able. For ACKI(QUAL=0), the unit shall alert the user or take action to prepare the data equipment.

ACKI (QUAL=0) - Unit alerting but user/ data equipment not ready e.g. D = 0 in the AHY message and the unit's RFCC is not currently active, or D = 1 in the AHY message and the unit's data equipment is not ready. ACK (QUAL=0) - User/ data equipment is available for the call.

Page 9-18

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 159 of 295

The unit may indicate the caller (by reference to PFIX/IDENT2 from the AHY message or PFIX2/IDENT2 from the data codeword), and may indicate whether the incoming call is an emergency call (by reference to bit E from the AHY).

After receiving an AHY message for an incoming traffic channel call and responding with ACK(QUAL=0) or ACKI(QUAL=0), the unit shall ignore group call GTC messages as specified in section 9.2.2.5 rule 2 or 3, until either:

a. it receives channel allocation signalling for the incoming call (i.e. a GTC message with the same prefix, idents and bit D as the AHY), or
b. it assumes that the call will not take place; see 9.2.2.4.

If a radio unit receives AHY(CHECK=1) alerting it for an incoming call and responds with ACKI(QUAL=0), it may attempt to send RQQ(STATUS='00000') to the TSC when its user/ data equipment is ready to receive the call. After responding with ACKI(QUAL=0) or ACK(QUAL=0), it may send RQQ(STATUS='11111') if the user no longer wishes to receive the call; in this case, it shall respond to any further AHY messages with ACKV(QUAL=1). See also 13.1.2.1.

If, while waiting for an incoming traffic channel call, a radio unit receives a repeat AHY, it shall send the appropriate acknowledgement and continue with any "off-hook" or "on-hook" signalling in progress; also, for ACK(QUAL=0) or ACKI(QUAL=0), it shall restart its timer TA (see 9.2.2.4). If the unit receives an AHY for a different incoming traffic channel call, it shall abandon any signalling for the old call and obey the new AHY; see also 9.2.2.4 and 13.1.2.8.

B) Availability check for short data message : IDENT2 = SDMI

The unit may reject the short data message by sending ACKX(QUAL=0) or ACKV(QUAL=1). Otherwise it shall send ACK(QUAL=0).

| ACKX | (QUAL=0) | | The unit cannot accept the short data message |
|------|----------|---|--|
| | | | e.g. it has no data equipment. |
| ACKV | (QUAL=1) | - | The user has indicated that he does not |
| | | | wish to receive short data messages. |
| ACK | (QUAL=0) | | Unit is available to receive a short data message. |

C) "No-call" test availability check : IDENT2 = DUMMYI

The unit may indicate that it is not suitably equipped by sending ACKX(QUAL=0). Otherwise it shall send ACK(QUAL=0).

| ACKX | (QUAL=0) | - | The unit could not accept a call of this type |
|------|----------|---|---|
| | | | e.g. $D = 0$ in the AHY message and the unit |
| | | | has no speech equipment, or |
| | | | D = 1 in the AHY message and the unit |
| | | | has no data equipment. |
| ACK | (QUAL=0) | - | Unit is in radio contact and is suitably equipped |

D) Invalid availability check : IDENT2 / Ident (1 to 8100), IDENT2=Ident(8121 to 8180), INCL, IPPIXI, PSTNGI, PABXI, SDMI or DUMMYI

The unit shall send ACKX(QUAL=0), to reject the availability check.

Page 9-19

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 160 of 295

9.2.2.3 Availability check on requesting radio unit

If a radio unit on a control channel receives an AHY message with PFIX/IDENT2 matching its individual address and bit POINT set to '1' then it shall respond with the appropriate acknowledgement (see below), with the same prefix and idents as the AHY. If bit AD = 0 in the AHY message, the unit shall respond in the slot following the AHY; if bit AD = 1, a data codeword is appended and the unit shall respond in the slot following the data codeword. For timing, see 6.2.1.3.

ACK (QUAL=0) - The unit is waiting for signalling for a call or transaction appropriate to IDENT1 and bit E i.e. a. IDENT1 is the called ident or gateway (or REGI for a registration request) b. E is '1' for an emergency call, otherwise '0'; see section 5.5.3.2.1. See also sections 8.2.2.4, 9.2.1.6, 10.2.7, 12.2.5, 13.1.2.5, 13.2.2.5 and 14.2.6.

ACKX (QUAL=0) - The unit is not waiting for signalling for a call or transaction appropriate to IDENT1 and bit E.

9.2.2.4 Cancelling alert/waiting state of called unit

If a radio unit on a control channel receives an AHYX message with PFIX/IDENT1 matching its individual address then it shall respond in the next slot with ACK(QUAL=1), with the same prefix and idents as the AHYX.

A unit that has received an AHY message for an incoming traffic channel call (see 9.2.2.2A), and responded with ACK(QUAL=0) or ACKI(QUAL=0), shall assume that the call will not take place if one of the following occurs:

- a. It has not received channel allocation signalling for the call at a time TA after the last ACK(QUAL=0) or ACKI(QUAL=0) it sent in response to an AHY for the call.
- b. It receives an AHYX message with the same prefix and idents as the AHY. In this case, if currently attempting an "off-hook" or "on-hook" RQQ transaction for the incoming call, it shall return to the idle state - see 13.1.2.7.
- c. It receives an AHY message checking its availability for a different incoming traffic channel call (i.e. bit D and/or bit E and/or the calling address is different from the original AHY). In this case, if currently attempting an "off-hook" or "on-hook" RQQ transaction for the original call, it shall abandon the transaction - see 13.1.2.8.

In cases a. and b., the unit shall stop the alerting signal (if appropriate) and may indicate to the user/ data equipment that the call will not take place; it shall also note that rule 2 or 3 of section 9.2,2.5 (requiring it to ignore GTC messages for incoming group calls) no longer applies. In case c., the unit shall obey the procedures in 9.2.2.2A for the new call.

Page 9-20

9.2.2.5 Traffic channel allocation

A radio unit on a control channel shall check all GTC messages it receives to see whether the message is addressed to it, that is, whether:

PFIX/IDENT2 from the GTC message matches its individual address or PFIX/IDENT1 matches any of its designated addresses for this system or IDENT1 is the system-wide all-call ident ALLI.

If the GTC message is addressed to it, the unit shall use the appropriate rule below to decide whether to obey the command:

 If the unit is making an emergency (RQE) call and has not received ACKE(QUAL=0) or AHY(E=1) for its call, it shall obey the GTC[®] message if and only if its emergency call is a short addressing non-PABX call and the GTC message is for the requested call (see 10.2.2 and 10.2.6).

If the unit is waiting for further signalling for its emergency call, after receiving ACKE(QUAL=0) or AHY(E=1) for the call, it shall obey the GTC message if and only if it is individually addressed by the GTC (i.e. its individual address is PFIX/IDENT1 or PFIX/IDENT2).

- Otherwise
 If the unit is waiting for an incoming emergency call (see 9.2.2.2A),
 it shall obey the GTC message if and only if it is individually
 addressed by the GTC.
- 3. Otherwise If the unit is waiting for an incoming non-emergency traffic channel call (see 9.2.2.2A), it shall obey the GTC message if and only if it is individually addressed by the GTC or IDENT1 is set to ALLI.
- 4. Otherwise If the unit is attempting access or waiting for further signalling for a non-emergency call or transaction, it shall obey the GTC message if and only if:

it is individually addressed by the GTC message, or IDENT1 is set to ALLI,

or PFIX/IDENT1 is one of the unit's group addresses, and the unit is attempting to call that group, and the user wishes to receive group calls, and the unit knows that it is not the calling unit (see below).

(Thus, if making an interprefix group call, a radio unit shall ignore GTC messages containing the requested group address and the requested bit D unless it receives a GTC message for the calling unit in the next slot (see a. below) and finds that it is not the calling unit. If it is the calling unit, it obeys the individually addressed GTC message.)

Otherwise (i.e. if not waiting for any call or transaction)
 The unit shall obey the GTC message if:

it is individually addressed by the GTC message, or IDENT1 is set to ALLI,

or PFIX/IDENT1 is one of the unit's group addresses and the user wishes to receive group calls.

Page 9-21

If the unit is required to obey the GTC command, it shall perform the following actions:

- a. It shall tune to the designated forward traffic channel, obeying the following timings:
 - If IDENT2 / IPFIXI, the unit shall be able to receive on the traffic channel within 35 ms after the end of the GTC message.
 - If IDENT2 = IPFIXI, the unit shall be able to receive on the traffic channel within 142 ms after the end of the GTC message; (this allows a called radio unit in an interprefix call to remain on the control channel for one timeslot after receiving GTC, to extract the caller's address if the next message is a GTC for the calling unit).
- b. It shall note PFIX, IDENT1 and IDENT2 from the GTC message and also the channel number of the control channel (for use in obeying the procedures in sections 9.2.3.1, 9.2.3.3, 9.2.3.5, 9.2.3.6 and 9.2.3.7).
- c. If bit D from the GTC message is '0', then the unit shall unmute the audio (for speech communication). If bit D is '1', the unit shall mute the audio (for data communication) and shall note that it need not send call maintenance messages within items (unless required by the system by prearrangement).
- d. If IDENT1 from the GTC message is ALLI and PFIX/IDENT2 from the GTC message is not its individual address, then the unit shall inhibit user transmission on the traffic channel. Otherwise it shall enable user transmission on the traffic channel.

It may also give an indication to the user. This may include an indication of the caller on the called party's unit. Such an indication should be derived from any availability check performed for the call. However if the contents of IDENT2 of the GTC message differ from the contents of IDENT2 in the AHY availability check and are not DUMMYI, the indication should be derived from IDENT2 of the GTC message.

If the unit does not obey a GTC message (or, for IDENT2 = IPFIXI, a GTC message in the next slot), and the designated traffic channel is the control channel on which the message was received, then the unit shall return to the control channel acquisition procedures (see 6.2.1.1).

9.2.2.6 Storing call maintenance parameters

A radio unit shall store the call maintenance parameters specified by the most recent broadcast message BCAST, SYSDEF='00010' it has received referring to the system it is currently using. These parameters indicate:

- a. whether the system requires that a radio unit on an allocated traffic channel shall send Pressel On messages at the start of each speech item it transmits (the number of messages is specified in 9.2.3.1);
- b. whether radio units shall send messages periodically within speech items and, if so, the maximum interval (in seconds) between the start

Page 9-22

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 163 of 295

of the item and the first periodic message, and then between subsequent periodic messages;

c. whether a called unit in a group shall set PFIX/IDENT1 in MAINT messages it sends to its individual address or to the group address from the GTC message.

See also 5.5.4.2, 5.5.4.5c and 9.2.3.1. At the start of a session, until it receives a BCAST, SYSDEF='00010' message, the unit shall:

- send Pressel On messages
- send periodic messages with a maximum interval TP
- set PFIX/IDENT1 to the group address
- (when it is a called unit in a group).

9.2.3 Procedures for All Radio Units on an Allocated Traffic Channel

These procedures shall be obeyed by all radio units on an allocated traffic channel (except when exempted by emergency call procedures agreed with the system - see 10.2.8). For other procedures for all radio units on a traffic channel, see sections:

ion.

| 6.2.2 | Traffic channel discipline. |
|-------|---|
| 11.3 | Instruction to send extended address informat |
| 15.2 | Data interrogation procedures. |

9.2.3.1 Call maintenance messages

During a speech call (see 9.2.2.5 and 9.2.3.4), a radio unit shall send the following call maintenance messages within speech items:

- a. If required by the system (see 9.2.2.5 and 9.2.3.4), the radio unit shall send a minimum of one Pressel On message (MAINT, OPER='000') at the start of each speech item it transmits. If defined by the system the radio unit may send NPON messages. When NPON is not defined it shall default to the value 1. Where more than one message is sent the form of transmission specified in 3.3.2 shall be used.
- b. If required by the system, the radio unit shall send periodic messages (MAINT, OPER='010') within each speech item it transmits.
 See 9.2.2.6 for the maximum interval between periodic messages.
- c. The radio unit shall send a minimum of one Pressel Off message (MAINT, OPER='001') at the end of each speech item it transmits, as the last signal before retuning to the forward traffic channel. If defined by the system the radio unit may send NPOFF messages. Where NPOFF is not defined it shall default to the value 1. Where more than one message is sent the form of transmission specified in 3.3.2 shall be used.

PFIX/IDENT1 in MAINT messages sent by a radio unit is the unit's individual address if it was individually addressed by the GTC message; otherwise (i.e. for a called unit in a group), PFIX/IDENT1 shall be set to either the unit's individual address or to the group address (PFIX/IDENT1) from the GTC message, as required by the system - see 9.2.2.5 and 9.2.2.6.

(During a data call, a radio unit need not send the above messages, unless required by the system by prearrangement.)

9.2.3.2 Availability check on a traffic channel

If a radio unit on a traffic channel receives an AHY message with:

PFIX/IDENT1 matching its individual address and POINT = 0
or PFIX/IDENT2 matching its individual address and POINT = 1

then it shall respond with the appropriate acknowledgement (see below), with the same prefix and idents as the AHY. If bit AD = 0 in the AHY message, the unit shall time its response from the end of the AHY address codeword; if bit AD = 1, a data codeword is appended and the unit shall time its response from the end of the data codeword. For timing, see 6.2.2.2.

Page 9-24

a. If POINT = 0, the unit shall send ACK(QUAL=0).

ACK (QUAL=0) - The unit is in radio contact.

b. If POINT = 1, the unit shall send ACK(QUAL=0) or ACKX(QUAL=0):

| ACK | (QUAL=0) | - | The unit is waiting for signalling for an Include |
|------|----------|---|--|
| | | | call appropriate to IDENT1 (i.e. IDENT1 is the |
| | | | called ident or gateway). See also section 11.2.5. |
| ACKX | (QUAL=0) | - | The unit is not waiting for signalling for an |
| | | | Include call appropriate to IDENT1. |

9.2.3.3 Disabling user transmission

If a radio unit on a traffic channel receives a call maintenance message MAINT, OPER='111' with channel number (CHAN) equal to the number of the traffic channel and an applicable address, then it shall inhibit user transmission while it is tuned to this traffic channel (i.e. it shall disable the pressel for a speech call or inhibit user data for a data call).

The address (PFIX/IDENT1) from the MAINT message is applicable if:

- a. PFIX/IDENT1 matches the unit's individual address, or
- b. PFIX/IDENT1 is equal to PFIX/IDENT1 from the GTC message and the unit is not the calling party, or
- c. IDENT1 is equal to ALLI.

9.2.3.4 Replacement of traffic channel

If a radio unit on a traffic channel receives a GTC message with:

PFIX/IDENT2 from the GTC message matching its individual address or PFIX/IDENT1 matching any of its designated addresses for this system or IDENT1 set to the system-wide all-call ident ALLI

then it shall perform the following actions:

- i) It shall tune to the designated forward traffic channel and shall be able to receive within 35 ms after the end of the GTC message.
- ii) If bit D from the GTC message is '0', then the unit shall unmute the audio (for speech communication). If bit D is '1', the unit shall mute the audio (for data communication) and shall note that it need not send call maintenance messages within items (unless required by the system by prearrangement).
- iii) If IDENT1 from the GTC message is ALLI and PFIX/IDENT2 from the GTC message is not its individual address, then the unit shall inhibit user transmission. Otherwise it shall enable user transmission. (See also 11.2.7c.)

When the unit has tuned to the designated traffic channel, it may continue communication.

(Note that the unit continues to use PFIX, IDENT1 and IDENT2 from the original GTC message (see 9.2.2.5) in obeying the procedures in sections 9.2.3.1, 9.2.3.3, 9.2.3.5, 9.2.3.6 and 9.2.3.7).

Page 9-25

9.2.3.5 Going "on-hook" on traffic channel

If a radio unit's user goes on-hook or equivalent (or if its data equipment indicates that a data call has ended) while it is tuned to the traffic channel, and if its individual address is either PFIX/IDENT1 or PFIX/IDENT2 from the GTC message, then the unit shall send a number of Disconnect messages (MAINT, OPER='011') on the traffic channel. It shall send ND1 Disconnect messages if its individual address is PFIX/IDENT1 from the GTC, or ND2 if its individual address is PFIX/IDENT2 from the GTC. The unit shall send the messages continuously (see 3.3.2 and 6.2.2.2) and mute the audio, and shall then return to the control channel acquisition procedures (see 6.2.1.1).

A radio unit whose individual address is neither PFIX/IDENT1 nor PFIX/IDENT2 from the GTC message (i.e. a called unit in a group call) may leave the call at any time when the user goes on-hook or equivalent; it shall mute the audio and return to the control channel acquisition procedures (without signalling). However, the calling unit sends ND2 Disconnect messages for a group call (see above), and so the caller should be advised to remain with a group call until its completion.

9.2.3.6 Time-outs on traffic channel

A radio unit on a traffic channel shall time the length of a period during which it detects no activity (e.g. fails to receive adequate signal strength) and shall also time the length of each item it transmits.

If the unit detects no activity on the forward traffic channel for a time TN then it shall assume that the call is terminated: it shall mute the audio and return to the control channel acquisition procedures (without signalling), and may indicate to the user that the call has ended.

If the unit transmits an item that reaches the maximum permitted duration TT then it shall mute the audio and shall:

- i) send NPON Pressel Off messages (for a speech item);
- ii) send ND1 or ND2 Disconnect messages if its individual address is PFIX/IDENT1 or PFIX/IDENT2 from the GTC (as in section 9.2.3.5).

It shall then cease transmission on the traffic channel and return to the control channel acquisition procedures, and may indicate to the user that the call has ended.

9.2.3.7 "Selective" clear-down message : MAINT with OPER='110'

If a radio unit on a traffic channel receives a call maintenance message MAINT, OPER='110' with:

channel number (CHAN) equal to the number of the traffic channel and PFIX/IDENT1 not equal to PFIX/IDENT1 from the GTC message and PFIX/IDENT1 not equal to PFIX/IDENT2 from the GTC message

then immediately it shall mute the audio and return to the control channel acquisition procedures, and may indicate to the user that the call has ended.

Page 9-26

•

9.2.3.8 CLEAR message

If a radio unit on a traffic channel receives a clear-down message CLEAR with:

channel number (CHAN) equal to the number of the traffic channel and field REVS equal to '101010101010'

then it shall immediately mute the audio and move to the forward control channel indicated by field CONT in the CLEAR message (to be capable of receiving within 35 ms after the end of the CLEAR address codeword), and may indicate to the user that the call has ended.

Note: If field CONT in the CLEAR message is equal to '0000000000', then the channel movement is system-dependent.

Page 9-27

. Lester

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 169 of 295

10. EMERGENCY CALL PROCEDURES

This section defines standardised procedures for emergency calls. (Note that systems may have alternative emergency procedures employing customised messages, and radio units which have suitable arrangements with the system may use these.)

Standard emergency calls from radio units may be requested to:

- a radio unit, line unit or group
- all units in the system
- a PABX extension (short or extended addressing)
- a PSTN destination (prearranged or general).

Emergency calls from radio units are requested using the Emergency Call Request Message RQE (see 5.5.3.1.5). Bit D in the RQE message specifies whether the unit is requesting speech or data communication. An extended addressing request is indicated by setting IDENT1 in the RQE message to the appropriate gateway ident.

A radio unit may interrupt a non-emergency call attempt to request an emergency call; in this case it abandons the previous call attempt. Messages ACKE(QUAL=0) and AHY(E=1) are responses unique to RQE calls; they indicate positively that the TSC has received the RQE and that any further signalling sent to the unit is for the emergency call. Until it receives ACKE(QUAL=0) or AHY(E=1), the unit ignores other acknowledgements and rejects Mode 1 AHYC messages.

Usually emergency calls will take precedence over all other calls. Emergency calls may be pre-emptive, that is, another call may be terminated prematurely to free a channel for an emergency call.

If bit EXT is set to '0' in the RQE message (i.e. if the RQE is not for a short addressing PABX call) then FLAG2 may be set to '1' to indicate that the calling radio unit is requesting a special mode of emergency service previously arranged with the system; the TSC determines the required action by reference to the calling unit's address, and the TSC and radio unit follow appropriate (non-standardised) procedures. In this case, the meanings of fields IDENT1, D and FLAG1 in the RQE message may be redefined. For example, EXT=0/FLAG2=1 could indicate that field IDENT1 contains a special 13-bit message to be acted upon by the TSC; these special messages could have any prearranged meaning (such as the nature of the emergency, the required service or the unit's geographical position). See also the introductions to sections 10.1 and 10.2.

10.1 Standard Emergency Call Procedures for TSC

If the TSC offers an emergency service then it shall be prepared to accept an RQE message in any random access slot.

The TSC procedures detailed in the following subsections are for standard emergency calls. If, owing to incorrect operation of a radio unit, the TSC receives an RQE message requesting a special mode of service (i.e. EXT=0/FLAG2=1) from a radio unit with which it has no previous arrangements then it may reject the request by responding with ACKE(QUAL=0) and then sending ACKX(QUAL=0), where both ACKE and ACKX contain the same PFIX, IDENT1 and IDENT2 as the RQE message.

10.1.1 Responses to a short addressing standard emergency request

A radio unit requests an emergency call by generating an RQE message, complying with the random access protocol (unless it has other arrangements with the system). On receiving a short addressing RQE message, the TSC shall send a response as soon as possible; for maximum permissible delay, see 7.2.4. Valid responses are:

- a. ACKE(QUAL=0); see 5.5.2.1 and 10.2.2.
- b. An availability check for the call (AHY with bit E set to '1'); see 9.1.1.5, 9.1.1.7 and 10.2.2.
- c. For a non-PABX call (i.e. EXT=0 in the RQE message):
 - A Go To Channel message GTC for the call; see 9.1.1.12 and 10.2.2. (This is the recommended response if the TSC does not make any availability checks for the call - see 10.1.6.)

ACKE(QUAL=0) is sent only as a response to an RQE message; it is an intermediate acknowledgement, indicating that further signalling will follow. The TSC may then send other acknowledgements (e.g. ACKI, ACKX) to the waiting calling unit at appropriate times to indicate the progress of the call set-up; see section 10.1.5.

10.1.2 Response to an extended addressing standard emergency request

A radio unit requests an emergency call by generating an RQE message, complying with the random access protocol (unless it has other arrangements with the system). On receiving an extended addressing RQE message, the TSC shall send a response: ACKE(QUAL=0) with the same prefix and idents as the RQE. For maximum permissible delay, see 7.2.4.

10.1.3 Signalling for previous call

After receiving an RQE message, the TSC shall not send any further signalling messages to the calling unit for any previous call requested by that unit (though, for a traffic channel call, it may send AHYX to inform a called radio unit that the call will not take place). ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 171 of 295

10.1.4 Obtaining extended address information

After receiving an extended addressing RQE message and responding with ACKE(QUAL=0), the TSC may demand the full called address information from the calling radio unit by sending the AHYC message (as in section 9.1.1.3).

10.1.5 Acknowledgements sent to indicate progress of emergency call

After sending ACKE(QUAL=0) or an availability check AHY with E=1 as a response to an emergency call request, the TSC may send acknowledgements ACKI, ACKQ, ACKX, ACKV, ACKB(QUAL=0) or ACKT(QUAL=0) to the calling unit to indicate the progress of the call (as in section 9.1.1.4).

10.1.6 Availability checks before allocating traffic channel

For emergency calls, the mandatory availability check detailed in section 9.1.1.5 may be dispensed with. (For emergency calls, availability checks on radio units are made using the AHY message with bit E set to '1'.)

10.1.7 TSC time-out

The TSC may instruct the calling unit to restart its waiting timer TW, by sending the AHY message with bit POINT set to '1' (and bit E set to '1'); see 9.1.1.7 and 9.2.2.3. If a time TW, minus the tolerance on the radio unit's timer, elapses since the last message it received for an emergency call (from the calling unit), the TSC shall not send any further signalling for the call, except that it may send AHYX to inform a called radio unit that the call will not take place. See also 10.2.7.

10.1.8 Other procedures

- a. A calling radio unit may send an RQX message to cancel its emergency call. The TSC procedures are as defined in 9.1.1.8 for Simple calls.
- b. It is recommended that the TSC does not amalgamate an emergency call with any other call in its queues.
- c. If all traffic channels are in use then the TSC may terminate another call prematurely (with or without warning to the correspondents using it), in order to free the channel for an emergency call.
- d. The procedures for traffic channel allocation and call maintenance and clear-down are as detailed in 9.1.1.12 and 9.1.2.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 172 of 295

10.2 Standard Emergency Call Procedures for Radio Units

A radio unit shall make only one emergency call attempt at a time. While attempting access or waiting for further signalling for an emergency request, the unit shall not request another call of any type (unless the user first cancels the original call). It may make an emergency call at any other time. For example, it may interrupt a non-emergency call attempt to request an emergency call; in this case it shall abandon the previous call attempt (without sending RQX).

The radio unit procedures detailed in the following subsections are for standard emergency calls. If a radio unit sends an RQE message with EXT=0/FLAG2=1 then it is requesting a special mode of emergency service previously arranged with the system and generally follows non-standardised procedures; however, if it receives ACKE(QUAL=0) and subsequently receives ACKX(QUAL=0) - both with the same PFIX, IDENT1 and IDENT2 as its RQE - then it shall return to the idle state (and may indicate to the user that the call attempt has failed).

10.2.1 Request for a standard emergency call

A radio unit requests a standard emergency call by sending an RQE message on a control channel; the fields in the RQE message shall be set appropriately (see 5.5.3.1.5). Some TSCs may permit more than one emergency random access transmission in a frame; however, unless the radio unit knows the retry rate permitted by the TSC, it shall comply with the normal random access protocol - see 7.3. (Note that a radio unit requesting an emergency call ignores all values of the address qualifier except M=20 - see 7.3.1.)

The unit shall attempt access until it receives a valid response (see 10.2.2/3), or until its user cancels the call (see 10.2.8), or until the access attempt fails (i.e. the unit has sent the maximum number of transmissions NE and received no response, or its access time-out TC has expired (see 7.3.8)). In the case of access failure, if the unit has not sent a request, it shall return to the idle state (and may indicate the failure to the user); otherwise, it shall wait for further signalling for the call - see 10.2.4 to 10.2.7.

10.2.2 Responses to short addressing ROE

For a short addressing call, the calling unit shall accept the following messages (with PFIX/IDENT2 as its individual address and IDENT1 as the called ident (or PABXI for a PABX call)) as a valid response to its RQE and send no more requests:

- a. An acknowledgement ACKE(QUAL=0).
- b. An AHY message with bit E set to '1'.
- c. For a non-PABX call (i.e. EXT=0 in the RQE message):
 a Go To Channel message GTC with bit D equal to bit D from the RQE message.

In cases a. and b., the unit shall then wait for further signalling for the call. See also sections 10.2.6, 9.2.2.3 and 9.2.2.5.

Page 10-4

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 173 of 295

10.2.3 Responses to extended addressing RQE

For an extended addressing call, the calling unit shall accept an acknowledgement ACKE(QUAL=0) or an AHY(E=1) message (with the same prefix and idents as the RQE) as a response to its RQE and send no more requests; it shall then wait for further signalling for the call. See also 9.2.2.3.

10.2.4 Sending extended address information

For an extended addressing emergency call, after receiving ACKE(QUAL=0) or an AHY(E=1) message for its call, the calling unit shall send the full called address information on receipt of an appropriate AHYC; see section 9.2.2.1. Until it receives ACKE(QUAL=0) or AHY(E=1); the unit shall respond to Mode 1 AHYC messages with ACKX(QUAL=0).

10.2.5 Acknowledgements indicating progress of emergency call

After receiving ACKE(QUAL=0) or an AHY(E=1) message for its emergency call, the waiting calling unit shall take appropriate action on receiving further acknowledgements - ACKI, ACKQ, ACKX, ACKV, ACKB(QUAL=0) or ACKT(QUAL=0) - as detailed in section 9.2.1.4.

If it receives ACKE(QUAL=0) for the call then the unit shall wait for further signalling.

10.2.6 Availability check and channel allocation for own call

A calling radio unit attempting access or waiting for further signalling for an emergency call shall obey the availability check procedures (see 9.2.2.2 to 9.2.2.4).

The unit shall also obey the traffic channel allocation procedures (see 9.2.2.5). Note particularly that:

- a. If the unit has not received ACKE(QUAL=0) or AHY(E=1) for its emergency call, it shall obey a GTC message only if its call is a short addressing non-PABX call and the GTC message is for the requested call (see below).
- b. After receiving ACKE(QUAL=0) or AHY(E=1) for its emergency call, the unit shall obey a GTC message only if it is individually addressed by the GTC (i.e. if its individual address is PFIX/IDENT1 or PFIX/IDENT2).

See section 9.2.2.5, rule 1.

For a short addressing non-PABX call or after receiving ACKE(QUAL=0) or AHY(E=1) for a short addressing PABX call or after sending the full address information for an extended addressing call, the unit shall assume that a GTC message it receives is for its requested call if PFIX/IDENT2 is its individual address, IDENT1 is the called ident (or gateway) and bit D is the same as in the RQE. If so, it may give an indication to the user, and shall revert to the idle state at the end of the call.

Page 10-5

Sec. 2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 174 of 295

10.2.7 Time-out after waiting

A calling radio unit waiting for further signalling for an emergency call shall return to the idle state if a time TW has elapsed since the last message it sent for the call, viz.

RQE, requesting the emergency call (see 10.2.1)

- or SAMIS, providing extended address information for the call (see 9.2.2.1)
- or ACK(QUAL=0), sent in response to an AHY message with POINT = 1, E = 1 and IDENT1 as the called ident or gateway (see 9.2.2.3).

It may also indicate the failure to the user.

10.2.8 Other procedures

- a. A calling radio unit waiting for an emergency call may attempt to cancel the call by sending a call cancellation request RQX. The procedures are as defined in 9.2.1.7 for cancelling Simple calls.
- b. The procedures on an allocated traffic channel are as defined in 9.2.3 (unless other arrangements have been made with the system).

Page 10-6

11. INCLUDE CALL PROCEDURES

During an RQS or RQE call, a radio unit on its allocated traffic channel may send a request message RQS to the TSC, to ask for a party to join the call in progress. This facility may be used to implement:

| a) | a Conference Call | - | a user on channel may ask for the call to be expanded to include another party; |
|----|-------------------|---|--|
| p) | Call Transfer | - | a user may include another party in the call, and then leave the call to proceed without him; |
| c) | a Repeat Call | - | a user may ask for the channel assignment signalling for the call to be retransmitted. |

The Included party may be a radio unit, a line unit, a group of units, a PABX extension (short or extended addressing) or a PSTN number (short-form or general).

A radio unit requests an Include call by transmitting a request message RQS on the allocated traffic channel. (An extended addressing request is indicated by setting IDENT1 in the RQS message to the appropriate gateway ident.) The TSC responds and, for an extended addressing request, instructs the Including unit to transmit the full called party details. It then checks the availability of the called party (if appropriate) and directs the called party to the traffic channel. Throughout the transaction, the TSC may send acknowledgements to the Including unit to indicate the progress and the success/failure of the transaction.

When a user initiates an Include call, his pressel is disabled until the radio unit receives an acknowledgement other than ACKI(QUAL=1) or until it times out.

After a party has been included in a call, the TSC may allow units to leave the call, without terminating the call, provided that the number of parties that will indicate the "on-hook" condition is not reduced below the normal number for the type of call.

The timing constraints for messages transmitted on a traffic channel are specified in sections 6.1.2.2 and 6.2.2.2.

Page 11-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 176 of 295

11.1 TSC Procedures for Include Calls

This subsection defines procedures for TSCs that offer the Include facility.

11.1.1 Responses to a short addressing Include request

A radio unit requests a short addressing Include call by transmitting an RQS message (with EXT = 1, or with EXT = 0 and IDENT1 set to a valid called party ident) on the traffic channel. On receiving a short addressing Include RQS, the TSC shall send a response:

ACKI, ACKQ(QUAL=0), ACKX, ACKV, ACKT(QUAL=0) or ACK(QUAL=0).

For idents, see section 5.5.2.1; for acceptable delay, see 6.1.2.2.

These acknowledgement messages may also be sent to the unit to indicate the progress of its Include call - see section 11.1.4.

11.1.2 Responses to an extended addressing Include request

A radio unit requests an extended addressing Include call by transmitting an RQS message (with EXT = 0 and IDENT1 = IPFIXI, PABXI or PSTNGI) on the traffic channel. On receiving an extended addressing Include RQS, the TSC shall send one of the following responses, with the same prefix and idents as the Include RQS:

a. An acknowledgement ACKI (QUAL=1), ACKX or ACKV (QUAL=0).

b. AHYC (i.e. an instruction to send the full called address information). For acceptable delay, see 5.1.2.2. See also 11.1.3 and 11.1.4.

11.1.3 Instruction to send extended address information

After receiving an extended addressing Include RQS, the TSC may demand the full called address by sending the AHYC message, with:

- the same prefix and idents as the Include RQS
- DESC set to indicate the appropriate gateway (see 5.5.3.2.8)
- SLOTS set to correspond to the Include RQS (i.e. if IDENT1=PSTNGI and FLAG1=1 then SLOTS='10' else SLOTS='01').

The AHYC message instructs the Including radio unit to send the called party address information (see 11.3.1). If the TSC does not successfully decode the address information, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the Include call.

After decoding the full address information successfully, the TSC may send appropriate acknowledgements to the Including unit (see 11.1.4).

Page 11-2

•

11.1.4 Acknowledgements sent to indicate progress of Include call

The TSC may send acknowledgement messages to indicate to a radio unit the progress of its Include call - for idents, see 5.5.2.1. (For extended addressing Include calls, only ACKI(QUAL=1), ACKX and ACKV(QUAL=0) are appropriate until the full address information has been obtained.)

| ACKI (QUAL=0) - | Called party alerting but not yet ready. |
|-----------------|---|
| ACKI (QUAL=1) - | Intermediate acknowledgement; more signalling to |
| | follow. |
| ACKQ (QUAL=0) - | All traffic channels in use on called site; |
| | more signalling to follow. |
| ACKX (QUAL=0) - | Invalid call; request rejected. |
| ACKX (QUAL=1) - | System overload e.g. all channels in use on called |
| | site, and call has not been queued. |
| ACKV (QUAL=0) - | Called unit not in radio contact or Include call |
| | abandoned. |
| ACKV (QUAL=1) - | Conflicting call in progress (e.g. called party |
| | engaged) or called user does not wish to receive this |
| | call. |
| ACKT (QUAL=0) - | Called party's calls have been diverted. |
| ACK (QUAL=0) - | Include request accepted; availability check |
| | successful (if performed); called party will be |
| | directed to the traffic channel. |

For maximum acceptable delay of repeats of acknowledgements ACKX, ACKV, ACKT and ACK, see time-out TB in section 11.2.4.

11.1.5 Availability check on called radio unit

If an Include request specified an individual radio unit to be Included, the TSC may check the availability of the called unit before instructing it to join the call in progress.

The TSC checks availability of a called radio unit by sending the AHY message on a control channel (see 5.5.3.2.1 and 9.2.2.2A). For an Include call availability check, IDENT2 in the AHY address codeword is set to INCI (to prohibit the called unit from responding with ACKB(QUAL=0)) and a data codeword may be appended containing the Including unit's address.

The TSC may indicate the result of the availability check to an Including radio unit by sending appropriate acknowledgement(s) (see 11.1.4) on the traffic channel.

11.1.6 Call cancellation

A radio unit may cancel its requested Include call by transmitting an RQX message (see 5.5.3.1.3) on the traffic channel. On receiving an RQX message cancelling an Include call, the TSC shall respond with ACK(QUAL=0) or ACK(QUAL=1), with the same prefix and idents as the RQX; see also 11.1.4 and 11.2.6.

If an Include call is cancelled, the TSC may inform a called radio unit by sending the AHYX message (with IDENT2 set to INCI) on the control channel. The AHYX message may be repeated if it is not acknowledged by an ACK(QUAL=1) message from the called unit (see 9.2.2.4).

Page 11-3

11.1.7 TSC time-out

The TSC may instruct an Including radio unit to restart its waiting timer TI, by sending the AHY message with:

- bit POINT set to '1'
- PFIX/IDENT2 set to the unit's individual address
- IDENT1 set to the called ident or gateway.

See 9.1.2.2 and 9.2.3.2. If a time TI, minus the tolerance on the radio unit's timer, elapses since the last message it received for an Include call (from the Including unit), the TSC shall not send any further signalling for the transaction, except that it may send AHYX on the control channel to inform a called radio unit. See also 11.2.5.

11.1.8 Traffic channel allocation

The TSC shall direct a called radio unit or group of radio units to the appropriate traffic channel using the Go To Channel message GTC (with IDENT2 set to INCI); see section 5.4.

11.1.9 Call clear-down

After an Include call, the TSC may allow parties to leave the call in progress, without terminating the call, as follows:

- i) For a group call or a call in which a group has been included, the TSC may allow radio units to signal on-hook (or line/PABX/PSTN users to leave the call), without terminating the call, provided that at least one party that will indicate end-of-channel-use remains in the call.
- ii) For a call comprising only individually addressed parties, the TSC may allow radio units to signal on-hook (or line/PABX/PSTN users to leave the call), without terminating the call, provided that at least two parties remain in the call.

In this way, at least the normal number of parties that will indicate endof-channel-use remains in a call (barring corruption of signalling messages). See also section 9.1.2.6.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 179 of 295

Page 11-4

11.2 Procedures for Radio Units Requesting Include

A radio unit on a traffic channel shall request only one transaction at a time; while requesting an Include call or waiting for further signalling, the unit shall not request another transaction of any type (unless the user first cancels the Include call).

11.2.1 Include request

When a user initiates an Include call (indicating that he wishes another party to join the call in progress), the radio unit shall inhibit user transmission i.e.

disable the pressel for a speech call, or
 inhibit user data for a data call.

The radio unit requests an Include call by transmitting RQS on the allocated traffic channel. The fields in the RQS message shall be set appropriately (see 5.5.3.1.1); however, note particularly that:

- a. If the call in progress is a speech call (see 9.2.2.5 and 9.2.3.4), bit DT shall be set to '0'; for a data call, DT shall be set to '1'.
- b. Bit LEVEL shall be set to 'l'.
 (This constraint is imposed to prevent the RQS being interpreted as an AHY to the called party).
- c. An extended addressing request is indicated by setting IDENT1 in the RQS message to the appropriate gateway (viz. IPFIXI, PABXI or PSINGI).

After transmitting an Include request message on a traffic channel, the unit shall wait to receive a response from the TSC. If a response is not received within the timing constraints defined in section 6.2.2.2, the unit shall assume that the message was unsuccessful and may retransmit its request. It shall repeat its Include request, each time waiting for a response from the TSC, until:

- i) it receives a valid response (see 11.2.2/3), or
- ii) its user cancels the Include call (see 11.2.6), or
- iii) it has sent the maximum number of transmissions NI. In this case, it shall wait for further signalling for the Include call (see 11.2.4 and 11.2.5).

11.2.2 Responses to short addressing Include request

For a short addressing Include RQS, the radio unit shall accept the following messages as a valid response to its RQS and send no more requests:

- a. ACKI, ACKQ(QUAL=0), ACKX, ACKV or ACK(QUAL=0), with PFIX/IDENT2 as its individual address and IDENT1 as the called ident (or PAEXI for a PAEX call).
- b. ACKT(QUAL=0) with PFIX/IDENT2 as its individual address.

For other actions on receiving these messages, see section 11.2.4.

Page 11-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 180 of 295
11.2.3 Responses to extended addressing Include request

For an extended addressing Include RQS, the radio unit shall accept the following messages (with the same prefix and idents as the RQS) as a valid response to its RQS and send no more requests:

a. An acknowledgement ACKI(QUAL=1), ACKX or ACKV(QUAL=0).

b. AHYC (i.e. an instruction to send the full called address information).

For other actions on receiving these messages, see 11.2.4 and 11.3.1.

11.2.4 Acknowledgement received

If a radio unit waiting for a response to an Include RQS, or for further signalling for an Include call, receives an appropriate acknowledgement then it shall take action as indicated below. For extended addressing Include calls, only ACKI(QUAL=1), ACKX and ACKV(QUAL=0) are appropriate until the full address information has been sent. For idents, see 5.5.2.1.

| ACKI | (QUAL=0) | - | Called party alerting but not yet ready. |
|------|----------|---|---|
| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to |
| | | | follow. |
| ACKQ | (QUAL=0) | - | All traffic channels in use on called site; |
| | | | more signalling to follow. |
| ACKX | (QUAL=0) | - | Invalid call; request rejected. |
| ACKX | (QUAL=1) | | System overload; request rejected. |
| ACKV | (QUAL=0) | | Called unit not in radio contact or Include call |
| | | | abandoned. |
| ACKV | (QUAL=1) | - | Conflicting call in progress (e.g. called party |
| | | | engaged) or called user does not wish to receive this |
| | | | call, |
| ACKT | (QUAL=0) | | Called party's calls have been diverted. |
| ACK | (QUAL=0) | - | Include request accepted; called party will be |
| | | | directed to the traffic channel. |

If ACKI or ACKQ(QUAL=0) is received, the unit shall wait for further signalling. However, for a speech call, it may re-enable the pressel on receiving ACKI(QUAL=0) or ACKQ(QUAL=0).

If ACKX or ACKV is received, the unit shall re-enable user transmission and may indicate to the user that the Include call has failed.

If a complete ACKT(QUAL=0) message is received, the unit shall either:

 re-enable user transmission (and may indicate to the user that the called party's calls have been diverted), or

b. attempt a new Include call to the diversion address.

If an incomplete ACKT(QUAL=0) message is received, then:

i) If the unit does not require the diversion address, it shall re-enable user transmission (and may give an indication to the user).

Page 11-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 181 of 295

- ii) If the unit does require the diversion address then:
 if it has received no previous response to its Include RQS,
 - and has not sent the maximum number of transmissions of the RQS (see 11.2.1), it shall ignore the ACKT message; - otherwise it shall wait for a repeat ACKT, re-enabling
 - user transmission if a time TB elapses (in which case, it may indicate the failure to the user).

If ACK(QUAL=0) is received, the unit shall re-enable user transmission and may indicate to the user that the called party is being directed to the traffic channel.

After receiving ACKX, ACKV or ACK for its Include call, the unit shall not request on the traffic channel another transaction of any type to the same called ident (or gateway) for at least a time TB. After receiving ACKT for its Include call, the unit shall not request on the traffic channel another transaction of any type for at least a time TB.

11.2.5 Time-out after waiting for Include call

A radio unit waiting for further signalling for an Include call shall re-enable user transmission if a time TI has elapsed since the last message it sent for the transaction, viz.

It may also indicate to the user that the outcome of the transaction is unknown.

11.2.6 Cancelling Include

•

A radio unit may cancel an Include request (after sending an RQS and while still waiting to receive ACKX, ACKV, ACKT or ACK) by transmitting a cancellation request RQX (see 5.5.3.1.3) on the traffic channel. The unit shall then wait for a response from the TSC; for timing, see 6.2.2.2. The unit shall repeat its cancellation request, each time waiting for a response from the TSC, until one of the following occurs:

- a. It receives ACK(QUAL=1), with the same prefix and idents as the RQX, confirming cancellation of the Include call.
- b. It receives ACKX, ACKV, ACKT(QUAL=0) or ACK(QUAL=0) for the Include call it is attempting to cancel. See also 11.2.4.
- c. It has sent the maximum number of transmissions NI. In this case, it shall return to waiting for signalling for the Include call (see 11.2.4 and 11.2.5).

In cases a. and b., the unit shall re-enable user transmission.

Page 11-7

11.2.7 Other procedures

- a. A radio unit shall not attempt an Include call if user transmission has been inhibited (by the GTC message or by a MAINT(OPER='111') message; see 9.2.2.5, 9.2.3.3 and 9.2.3.4).
- b. If a radio unit requesting or attempting to cancel an Include call, or waiting for further signalling, receives a MAINT(OPER='111') message inhibiting user transmission (see 9.2.3.3), then it shall continue with the Include call but shall not re-enable user transmission at the end of the transaction.
- c. If a radio unit requesting or attempting to cancel an Include call, or waiting for further signalling, receives a GTC message allocating a replacement traffic channel, it shall perform actions i) and ii) as specified in section 9.2.3.4 and shall then continue with the Include call. At the end of the transaction, the unit shall re-enable user transmission only if permitted by action iii) of 9.2.3.4.
- d. If a radio unit's user goes on-hook (or equivalent) while it is requesting or attempting to cancel an Include call, or waiting for further signalling, then the unit shall abandon the Include call and shall obey the procedures specified in section 9.2.3.5.

(If the user goes on-hook (or equivalent) after an Include call, then the unit shall obey the procedures specified in section 9.2.3.5. However, see also section 11.1.9.)

e. If a radio unit requesting or attempting to cancel an Include call, or waiting for further signalling, receives a MAINT(OPER='110') or CLEAR message terminating the call in progress, then it shall abandon the Include call and shall obey the procedures specified in sections 9.2.3.7 and 9.2.3.8 respectively.

Page 11-8

11.3 Procedures for All Radio Units on an Allocated Traffic Channel

11.3.1 Instruction to send extended address information

This procedure shall be obeyed by all radio units that are equipped to request extended addressing Include calls.

If a radio unit on a traffic channel receives an AHYC message with PFIX/IDENT2 matching its individual address then it shall either send address information or transmit ACKX(QUAL=0), as indicated below. For timing, see section 6.2.2.2.

If

the unit has sent an extended addressing Include RQS and IDENT1 matches IDENT1 from the Include RQS and DESC is appropriate to IDENT1 (see 5.5.3.2.8) and SLOTS corresponds to the Include RQS (i.e. if IDENT1=PSTNGI and FLAG1=1 then SLOTS='10' else SLOTS='01')

then it shall transmit the full called address information, conforming to the codeword formats defined in section 5.6.1.2.2 (SAMIS, Mode 1).

Otherwise The unit shall transmit ACKX(QUAL=0), with the same prefix and idents as the AHYC.

Page 11-9

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 184 of 295



12. CALL DIVERSION PROCEDURES

This section defines the procedures for requesting and cancelling call diversion. Requests may apply to speech calls, data calls or both.

Two types of call diversion are provided:

- Self-initiated diversion.
 A radio unit may request that future calls addressed to it be redirected to a specified alternative destination.
- ii) Third-party diversion.

A radio unit may request that future calls addressed to another subscriber unit (or group) be redirected to a specified alternative destination. For example, a despatcher unit may request diversion on behalf of a radio unit in its fleet.

In general, radio unit A (the requesting unit) may divert calls for address B (the blocked address) to alternative destination C (the diversion address); for self-initiated diversion, B = A. The procedures permit blocked address B to be a radio unit, line unit or group address. Destination C may be a radio unit, line unit or group address, a PABX extension or a PSTN number (short-form or general).

Three types of call diversion cancellation are provided:

- Self-initiated cancellation.
 A radio unit may request that its calls are no longer diverted.
- 1i) Third-party cancellation.A radio unit may request that another subscriber unit's (or group's) calls are no longer diverted.
- iii) General cancellation by recipient. A radio unit may request that any existing diversions to it be cancelled. (This is a general cancellation by the recipient of diversions; specific cancellation of diversions by the recipient is covered by third-party cancellation.)

It is recommended that requests for third-party diversion or thirdparty cancellation are accepted only from authorised units.

Call diversion is requested or cancelled using the Request Call Diversion Message RQT (see 5.5.3.1.4). In this message:

- PFIX/IDENT2 is the address of the requesting radio unit.
- For diversion requests, IDENT1 is the diversion ident C (or IPFIXI, PABXI or PSTNGI for an interprefix address, <u>any</u> PABX extension or a general PSTN number respectively).
 - For "self" or "third-party" cancellation of call diversion, IDENT1 specifies the unit or group whose calls should be returned (or IPFIXI for an interprefix address),

For general cancellation by a recipient, IDENT1 is set to DIVERTI.

Page 12-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 186 of 295

- Bit DIV indicates call diversion or cancellation.
- For call diversion, FLAG2 indicates "self" or "third-party" diversion.
- Field SD specifies the types of call (i.e. speech, data or both) to which the diversion or cancellation refers.

For diversion purposes, "speech" calls are defined as calls requested using RQS(DT=0), RQE(D=0), RQQ(STATUS='00000') or RQQ(STATUS='11111'). "Data" calls are defined as calls requested using RQS(DT=1), RQE(D=1), RQQ('00001' to '11110'), RQC or RQD.

For self-initiated diversion requests or any cancellation, two addresses (plus the other parameters) specify the requirement. However, for third-party diversion requests, address B (the blocked address) must be supplied.

The TSC uses the AHYC message to demand:

- a. extended address information for IDENT1
- b. blocked address B

as appropriate. If both a. and b. are needed, the TSC obtains the full information in two steps, each step using the AHYC message. The AHYCs are distinguished by the setting of IDENT1 (to IPFIXI, PABXI or PSTNGI for a., or to DIVERTI for b.), and so the order in which they are sent is not prescribed.

In the procedures, a request for call diversion or cancellation is defined as an extended addressing request if IDENT1 is set to IPFIXI, PABXI or PSTNGI. A request is defined as "complex" if it requires extended addressing or if three addresses must be provided; otherwise it is "simple".

Note that extended addressing procedures are used for requesting diversion to any PABX extension (with either a "long" or "short" extension number). The radio unit sets IDENT1 in the RQT message to PABXI, and then sends the PABX address information in response to an AHYC message with IDENT1 set to PABXI and DESC set to '010'. The unit sets bit SP in the SAMIS message to indicate whether it is sending BCD digits or a 13-bit extension number (plus 2-bit exchange number). See 5.5.3.2.8 and 5.6.1.2.2.

If the TSC accepts a diversion request and then a call to the blocked address is requested by a radio unit, the TSC indicates the diversion address to the calling radio unit using the ACKT(QUAL=0) acknowledgement. The unit then either retries on the diversion address or returns to the idle state. For example, see sections 9.1.1.4 and 9.2.1.4.

Page 12-2

12.1 TSC Procedures for Call Diversion Requests

12.1.1 Responses to a simple diversion request

A radio unit requests simple call diversion by generating an RQT message (with FLAG2 = 0 and IDENT1 set to a valid called party ident or to DIVERTI), complying with the random access protocol. On receiving a simple RQT message, the TSC shall send one of the following responses, with the same prefix and idents as the RQT:

ACKI (QUAL=1), ACKX, ACKV (QUAL=0) or ACK (QUAL=0).

For acceptable delay, see 7.2.4. See also 12.1.5.

12.1.2 Responses to a complex diversion request

A radio unit requests complex call diversion by generating an RQT message (with FLAG2 = 1 and/or IDENT1 = IPFIXI, PABXI or PSTNGI), complying with the random access protocol. On receiving a complex RQT message, the TSC shall send one of the following responses:

- a. An acknowledgement ACKI(QUAL=1), ACKX or ACKV(QUAL=0), with the same prefix and idents as the RQT.
- b. For an extended addressing RQT: An AHYC message instructing the unit to send the full address information for IDENTL.
- c. For a request for 3-address diversion (i.e. RQT with FLAG2=1):
 An AHYC message instructing the unit to send the blocked address.

For acceptable delay, see 7.2.4. See also 12.1.3 to 12.1.5.

12.1.3 Instruction to send extended address information

After receiving an extended addressing RQT message, the TSC may demand the full address information for IDENT1 by sending the AHYC message, with:

- the same prefix and idents as the RQT (i.e. IDENT1 set to IPFIXI, PABXI or PSTNGI as appropriate, and PFIX/IDENT2 set to the requesting unit's address)
- DESC set to indicate the appropriate gateway (see 5.5.3.2.8)
- SLOTS set to correspond to the RQT
- (i.e. if IDENT1=PSTNGI and FLAG1=1 then SLOTS='10' else SLOTS='01').

The AHYC message instructs the requesting radio unit to send the full address information for IDENT1 in the following SLOTS slot(s) (see 9.2.2.1). If the TSC does not successfully decode the address information, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the transaction.

Page 12-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 188 of 295

12.1.4 Instruction to send the blocked address

After receiving a request for 3-address diversion (i.e. FLAG2=1), the TSC may demand the blocked address by sending the AHYC message, with:

- IDENT1 set to DIVERTI
- PFIX/IDENT2 set to the requesting unit's address
- DESC set to '000'
- SLOTS set to '01'.

The AHYC message instructs the requesting radio unit to send the blocked address in the following slot (see 9.2.2.1). If the TSC does not successfully decode the address information, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the transaction.

12.1.5 Acknowledgements sent to indicate progress of ROT transaction

The TSC may send the following acknowledgement messages, with the same prefix and idents as the RQT, to indicate to a radio unit the progress of its diversion transaction. (For a complex diversion request, ACK(QUAL=0) is not appropriate until the full diversion information has been obtained.)

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
|------|----------|---|--|
| ACKX | (QUAL=0) | - | Invalid call e.g. unauthorised diversion request, or TSC does not provide call diversion. |
| ACKX | (QUAL=1) | - | System overload; request rejected. |
| ACKV | (QUAL=0) | - | Transaction abandoned. |
| ACK | (QUAL=0) | - | Call diversion or cancellation has been accepted. |

For maximum acceptable delay of repeats of acknowledgements ACKX, ACKV and ACK, see time-out TB in 12.2.4.

12.1.6 Aborting the transaction

A radio unit may abort its diversion transaction by generating an RQX message (see 5.5.3.1.3), complying with the random access protocol. On receiving an RQX message aborting a diversion transaction, the TSC shall send a response: ACK(QUAL=1) with the same prefix and idents as the RQX.

12.1.7 TSC time-out

The TSC may instruct a radio unit to restart its waiting timer TJ, by sending the AHY message with bit POINT set to '1' (and the same prefix and idents as the RQT); see 9.1.1.7 and 9.2.2.3. If a time TJ, minus the tolerance on the radio unit's timer, elapses since the last message it received for a diversion transaction, the TSC shall not send any further signalling for the transaction. See also 12.2.5.

12.2 Procedures for Radio Units Requesting Call Diversion

A radio unit shall make only one call attempt at a time (except in emergency); while attempting access or waiting for a terminating (i.e. endof-transaction) acknowledgement to a diversion request, the unit shall not request another non-emergency call of any type (unless the user first aborts the original transaction).

12.2.1 Diversion request

A radio unit requests or cancels call diversion by transmitting an RQT message on a control channel, complying with the random access protocol (see 7.3). The fields in the RQT message shall be set appropriately (see 5.5.3.1.4); however, note particularly that:

- a. Bit DIV specifies whether the unit is requesting call diversion or cancellation of call diversion.
- b. Bit FLAG2 specifies whether or not three addresses must be provided.
- c. An extended addressing diversion request is indicated by setting IDENT1 in the RQT message to the appropriate gateway ident viz. IPFIXI, PABXI or PSTNGI. (Note that extended addressing procedures are used for requesting diversion to a PABX extension with either a "short" or "long" extension number.)

The unit shall attempt access until it receives a valid response (see 12.2.2/3), or until its user aborts the transaction (see 12.2.6), or until the access attempt fails (i.e. the unit has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8)). In the case of access failure, if the unit has not sent a request, it shall return to the idle state (and may indicate the failure to the user); otherwise, it shall wait for further signalling for the transaction - see 12.2.4 and 12.2.5.

12.2.2 Responses to simple diversion request

For a simple diversion request, the radio unit shall accept the following messages (with the same prefix and idents as the RQT) as a valid response to its RQT and send no more requests:

ACKI(QUAL=1), ACKX, ACKV(QUAL=0) or ACK(QUAL=0).

For other actions on receiving these messages, see section 12.2.4.

12.2.3 Responses to complex diversion request

For a complex diversion request, the radio unit shall accept the following messages as a valid response to its RQT and send no more requests:

- a. ACKI(QUAL=1), ACKX or ACKV(QUAL=0), with the same prefix and idents as the RQT.
- b. For an extended addressing RQT: AHYC, with the same prefix and idents as the RQT.

Page 12-5

c. For a request for 3-address diversion (i.e. RQT with FLAG2=1): AHYC, with PFIX/IDENT2 as its individual address and IDENT1 as DIVERTI.

For other actions on receiving these messages, see 12.2.4 and 9.2.2.1.

12.2.4 Acknowledgement received

If a radio unit attempting access or waiting for further signalling for a diversion transaction receives an appropriate acknowledgement (with the same prefix and idents as the RQT) then it shall take action as indicated below. For a complex diversion request, ACK(QUAL=0) is not appropriate until the full diversion information has been sent.

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to |
|------|----------|---|---|
| | | | follow. |
| ACKX | (QUAL=0) | - | Invalid call; request rejected. |
| ACKX | (QUAL=1) | - | System overload; request rejected. |
| ACKV | (QUAL=0) | - | Transaction abandoned. |
| ACK | (QUAL=0) | - | Call diversion or cancellation has been accepted. |

If ACKI(QUAL=1) is received, the unit shall wait for further signalling for the transaction.

If ACKX or ACKV(QUAL=0) is received, the unit shall return to the idle state and may indicate to the user that the transaction has failed.

If ACK(QUAL=0) is received, the unit shall return to the idle state and may indicate to the user that the request for call diversion or cancellation has been accepted.

After receiving ACKX, ACKV or ACK for its diversion request, the unit shall not request another non-emergency call of any type to the same called ident (or gateway) for at least a time TB.

12.2.5 Time-out after waiting

A radio unit waiting for further signalling for a diversion transaction shall return to the idle state if a time TJ has elapsed since the last message it sent for the transaction, viz.

RQT, requesting the transaction (see 12.2.1) or SAMIS, providing address information for the call (see 9.2.2.1) or ACK(QUAL=0), sent in response to an AHY message with bit POINT = 1 and the same prefix and idents as the RQT (see 9.2.2.3).

It may also indicate to the user that the outcome of the transaction is unknown.

Page 12-6

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 191 of 295

13. STATUS MESSAGE PROCEDURES

This section defines the procedures for status messages. Status messages may be sent to the TSC or to a radio or line unit.

A radio unit sends status information using message RQQ - see 5.5.3.1.7. The status field in an RQQ message consists of 5 bits, allowing 32 different status values. Two of these values have been predefined.

a) For status sent to the TSC:

| ,00000, | indica | ites | that | the | unit | is | ready | to | receive | a | call |
|---------|--------|------|--------|------|-------|------|--------|----|-----------|-----|------|
| | (i.e. | it | indica | ates | "off- | -hoc | ok" or | eq | livalent) | • • | |

'00001' to '11110' are system-defined status values.

'11111' indicates that the unit is no longer ready to receive a call (i.e. it indicates "on-hook" or equivalent).

RQQ('00000') and RQQ('11111') are used for the "Called Party Answer" mechanism - see section 9.2.2.2. If a radio unit receives AHY(CHECK=1) alerting it for an incoming call and responds with ACKI(QUAL=0), it may attempt random access with RQQ('00000') when its user/ data equipment is ready to receive the call. Then, if the user no longer wishes to receive the call, the unit may inform the TSC using RQQ('11111').

The other 30 status values may be used to send status information, as previously arranged with the system.

b) For status sent to a radio unit or line unit:

'00000' requests that the addressed unit call back with a speech call.

'00001' to '11110' are user-defined status values.

'11111' cancels a previous speech call request.

For example, RQQ('00000') may be used to request a "despatcher-queued call". In this type of call, a radio unit sends RQQ('00000') to request that the addressed despatcher be informed that the unit's user wishes the despatcher to call him (for a speech call). The TSC routes the information to the despatcher unit, which keeps a list of requested calls. The despatcher may then request each call at his convenience in the usual way; for example, if his unit is a radio unit, it sends a Simple call request RQS (see 5.5.3.1.1 and 9.2.1).

RQQ('111111') is used to withdraw a previously requested call from the addressed unit's call queue. This may be used for cancellation either:

- i) after the called unit has accepted an RQQ('00000') message, or
- ii) after the called unit in an RQS or RQE call has accepted the call for call-back (by sending ACKB(QUAL=0) in response to the availability check); see 9.2.1.4 and 9.2.2.2.

Page 13-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 192 of 295

The other 30 status values may be used to send status information, as previously agreed between the requesting user and the called user.

The TSC informs a called radio unit of status information using message AHYQ - see 5.5.3.2.7. The status message may have originated from the TSC itself, from another radio unit (using RQQ etc.) or from a line unit.

The procedures for status messages addressed to the TSC are similar to a subset of the procedures for status messages addressed to radio units or line units. However, for clarity, they are specified separately:

- Section 13.1 specifies the procedures for status messages addressed to the TSC.
- Section 13.2 specifies the procedures for status messages addressed to radio units or line units.

A typical message sequence for a radio unit sending a status message to another radio unit (with the same prefix) is illustrated in the example below.



Example A message sequence on a control channel for a radio unit sending a status message to a radio unit with the same prefix.

- 1. ALH : General Aloha invitation (single-slot frame).
- RQQ : Random access request that status information be relayed to the called unit.
 - AHYQ : Status Aboy message - acknowledges the RQQ message - relays the information to the called radio unit and demands a response.

3.

- 4. ACK : Acknowledgement ACK(QUAL=0) from the called radio unit - information accepted.
- 5. ACK : Acknowledgement ACK(QUAL=0) sent to the calling unit to indicate that the called unit has accepted the information. In this example the TSC immediately repeats the ACK message, for added reliability.

Page 13-2

13.1 Procedures for Status Messages Addressed to the TSC

13.1.1 TSC Procedures for Status Messages Addressed to It

13.1.1.1 Responses to an ROO message addressed to the TSC

A radio unit sends status information to the TSC by generating an RQQ message (with IDENT1 = TSCI), complying with the random access protocol. On receiving an RQQ message addressed to it, the TSC shall send one of the following responses:

- a. ACK(QUAL=0), ACKI(QUAL=1) or ACKX, with the same prefix and idents as the RQQ.
- b. For STATUS = '00000' or STATUS = '11111':
 - an AHYX message with the same prefix and idents as the "alerting AHY"
 - a GTC message for the original call (i.e. GTC with the same prefix, idents and bit D as the alerting AHY).

For acceptable delay, see 7.2.4. See also 13.1.1.2, 9.1.1.8 and 9.1.1.12.

13.1.1.2 Acknowledgements sent to indicate progress of RQQ transaction

The TSC may send the following acknowledgement messages (with the same prefix and idents as the RQQ) to indicate to a radio unit the progress of its status transaction:

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
|------|----------|---|--|
| NOVY | | | Taurid coll, measure unioned |
| ACUY | (QOWT-0) | - | invalid call; message rejected. |
| ACKX | (QUAL=1) | - | System overload; message rejected. |
| ACK | (QUAL=0) | - | Transaction has been successfully completed |
| | | | i.e. the TSC has accepted the status information. |

For maximum acceptable delay of repeats of acknowledgements ACKX and ACK, see time-out TB in 13.1.2.4.

13.1.1.3 Aborting the transaction

A radio unit may abort its status transaction by generating an RQX message (see 5.5.3.1.3), complying with the random access protocol. On receiving an RQX message aborting a status transaction, the TSC shall send a response: ACK(QUAL=1) with the same prefix and idents as the RQX.

13.1.1.4 TSC time-out

The TSC may instruct a radio unit to restart its waiting timer TJ, by sending the AHY message with bit POINT set to '1', PFIX/IDENT2 set to the unit's individual address and IDENT1 set to TSCI; see 9.1.1.7 and 9.2.2.3. If a time TJ (minus the tolerance on the radio unit's timer) elapses since the last message it received for the status transaction, the TSC shall not send any further signalling for the transaction. See also 13.1.2.5.

See also sections 9.1.1.5, 13.1.2.7 and 13.1.2.8.

Page 13-3

13.1.2 Procedures for Radio Units Sending Status Messages to the TSC

A radio unit shall make only one call attempt at a time (except in emergency); while attempting access or waiting for a terminating (i.e. endof-transaction) acknowledgement to a status message addressed to the TSC, the unit shall not request another non-emergency call of any type (unless the user first aborts the original transaction).

13.1.2.1 Criteria for sending "off-hook" or "on-hook" message

If a radio unit on a control channel has been alerted for an incoming traffic channel call (see 9.2.2.2A), it may initiate the Called Party Answer mechanism, i.e. attempt random access with RQQ(STATUS='00000') addressed to the TSC, if:

- Its response to the last alerting AHY was ACKI(QUAL=0), and
- Its user/ data equipment is now ready to receive the call, and
- It is still waiting for the incoming call, i.e. the call has not taken place or been cancelled (by AHYX or by an AHY for a different call) and not more than a time TA has elapsed since receipt of the last AHY for the call; see 9.2.2.2A and 9.2.2.4.

If a radio unit has been alerted for an incoming traffic channel call and its user then indicates that he no longer wishes to receive the call (e.g. he wishes to initiate a call of his own), the unit may attempt to reject the call as follows:

- a. If it is currently attempting access or waiting for signalling for an "off-hook" RQQ, it attempts to send RQX (see 13.1.2.6).
- b. Otherwise, if the unit is still waiting for the incoming call, it attempts random access with RQQ(STATUS='lllll') addressed to the TSC.

(Throughout these procedures, the unit responds to AHY messages and obey GTCs as specified in section 9.2.2. See also sections 13.1.2.7 and 13.1.2.8.)

13.1.2.2 Request for a status transaction to the TSC

A radio unit requests a status transaction by sending an RQQ message on a control channel, complying with the random access protocol (see 7.3). The fields in the RQQ message shall be set appropriately (see 5.5.3.1.7).

The unit shall attempt access until it receives a valid response (see 13.1.2.3), or until its user aborts the transaction (see 13.1.2.6), or until the access attempt fails (i.e. the unit has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8)). In the case of access failure, if the unit has not sent a request, it shall return to the idle state (and may indicate the failure to the user); otherwise, it shall wait for further signalling for the transaction - see 13.1.2.4, 13.1.2.5 and 13.1.2.7.

Page 13-4

13.1.2.3 Valid responses to an ROQ addressed to the TSC

A radio unit shall accept the following messages as a response to its RQQ to the TSC, and send no more requests:

- a. An acknowledgement ACK(QUAL=0), ACKI(QUAL=1) or ACKX, with the same prefix and idents as the RQQ.
- b. For STATUS = '00000' or STATUS = '11111':
 - an AHYX message with the same prefix and idents as the "alerting AHY", or
 - a GTC message with the same prefix, idents and bit D as the alerting AHY.

For other actions on receiving these messages, see sections 13.1.2.4 and 13.1.2.7. See also section 13.1.2.8.

13.1.2.4 Acknowledgement received

If a radio unit attempting access or waiting for further signalling for a status transaction to the TSC receives one of the following acknowledgements (with the same prefix and idents as the RQQ), then it shall take action as indicated below.

| ACKI | (QUAL=1) | | Intermediate acknowledgement; more signalling to | |
|------|----------|---|---|--|
| | | | follow. | |
| ACKX | (QUAL=0) | - | Invalid call; message rejected. | |
| ACKX | (QUAL=1) | - | System overload; message rejected. | |
| ACK | (QUAL=0) | - | Transaction has been successfully completed | |
| | | | i.e. the TSC has accepted the status information. | |

If ACKI(QUAL=1) is received, the unit shall wait for further signalling for the transaction.

If ACKX is received, the unit shall return to the idle state and may indicate to the user that the transaction has failed.

If ACK(QUAL=0) is received, the unit shall consider the transaction successfully completed and may indicate this to the user.

After receiving ACKX or ACK for the transaction, the unit shall not request another non-emergency call of any type to the TSC for at least a time TB.

13.1.2.5 Time-out after waiting

A radio unit waiting for further signalling for a status transaction to the TSC shall return to the idle state if a time TJ has elapsed since the last message it sent for the transaction, viz.

RQQ, requesting the transaction (see 13.1.2.2) or ACK(QUAL=0), sent in response to an AHY message with bit POINT = 1 and IDENT1 set to TSCI (see 9.2.2.3).

It may also indicate to the user that the outcome of the transaction is unknown.

Page 13-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 196 of 295

13.1.2.6 Aborting the transaction

If the user wishes to abort the transaction after the unit has sent an RQQ and while it is still waiting for a terminating acknowledgement, the unit shall attempt to send an abort transaction request RQX (see 5.5.3.1.3), complying with the random access protocol. It shall attempt access until one of the following occurs:

- a. It receives ACK(QUAL=1) with the same prefix and idents as the RQX.
- b. It receives ACK(QUAL=0) or ACKX for the transaction it is attempting to abort. See also 13.1.2.4.
- c. It has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8). In this case, it shall return to waiting for signalling for the status transaction (see 13.1.2.4, 13.1.2.5 and 13.1.2.7).
- d. The conditions specified in 13.1.2.7 or 13.1.2.8 occur (applicable only for STATUS = '00000' and '11111').

In cases a. and b., the unit shall return to the idle state.

13.1.2.7 Receiving AHYX or GTC for the incoming call

If a radio unit:

- attempting access or waiting for a terminating acknowledgement to an "off-hook" or "on-hook" RQQ message (STATUS = '00000' or '11111'), or
- b. attempting to abort an "off-hook" or "on-hook" RQQ transaction

receives AHYX with the same prefix and idents as the alerting AHY, it shall respond with ACK(QUAL=1), stop its alerting signal (if appropriate) and return to the idle state; see also 9.2.2.4. If it receives a GTC message with the same prefix, idents and bit D as the alerting AHY, it shall obey the procedures in 9.2.2.5 and then revert to the idle state at the end of the call.

13.1.2.8 Receiving AHY for a different incoming call

If a radio unit:

- attempting access or waiting for a terminating acknowledgement to an "off-hook" or "on-hook" RQQ message (STATUS = '00000' or '11111'), or
- b. attempting to abort an "off-hook" or "on-hook" RQQ transaction

receives an AHY message checking its availability for a different incoming traffic channel call (i.e. bit D and/or bit E and/or the calling address is different from the alerting AHY), then the unit shall assume that the original call will not take place and shall abandon the RQQ transaction (without sending RQX). It shall also obey the procedures in 9.2.2.2A for the new call.

Page 13-6

•

13.2 Procedures for Status Messages Addressed to Radio or Line Units

13.2.1 TSC Procedures for Status Messages to Radio or Line Units

13.2.1.1 Responses to a short addressing ROO message

A radio unit requests that status information be relayed to a unit with the same prefix by generating an RQQ message, complying with the random access protocol. On receiving a common-prefix RQQ message, the TSC shall send one of the following responses:

- a. ACK(QUAL=0), ACKI(QUAL=1), ACKQ, ACKX or ACKV, with the same prefix and idents as the RQQ.
- b. ACKT(QUAL=0), with PFIX/IDENT2 as the calling unit's individual address.
- c. An AHYQ message for this transaction.

For acceptable delay, see 7.2.4. See also 13.2.1.4 and 13.2.1.5.

13.2.1.2 Responses to an extended addressing ROQ message

A radio unit requests that status information be relayed to a unit with a different prefix by generating an RQQ message (with IDENT1 = IPFIXI), complying with the random access protocol. On receiving an interprefix RQQ message, the TSC shall send one of the following responses, with the same prefix and idents as the RQQ:

a. An acknowledgement ACKI(QUAL=1), ACKX or ACKV(QUAL=0).

b. AHYC (i.e. an instruction to send the called unit's address).

For acceptable delay, see 7.2.4. See also 13.2.1.3 and 13.2.1.4.

13.2.1.3 Instruction to send extended address information

After receiving an interprefix RQQ message, the TSC may demand the called unit's address from the calling radio unit by sending the AHYC message (with the same prefix and idents as the RQQ, field DESC set to '000' and field SLOTS set to '01').

The AHYC message instructs the calling unit to send the called address in the following slot (see 9.2.2.1). If the TSC does not successfully decode the address information, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the transaction.

Page 13-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 198 of 295

13.2.1.4 Acknowledgements sent to indicate progress of RQQ transaction

The TSC may send acknowledgement messages to indicate to a calling radio unit the progress of its status message - for idents, see 5.5.2.1. (For interprefix RQQs, only ACKI(QUAL=1), ACKX and ACKV(QUAL=0) are appropriate until the full address information has been obtained.)

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
|------|----------|---|---|
| ACKQ | (QUAL=0) | - | System is busy. Wait for further signalling. |
| ACKQ | (QUAL=1) | - | Called unit engaged. Wait for further signalling. |
| ACKX | (QUAL=0) | - | Invalid call e.g. TSC does not support status messages, |
| | • • | | or called address is a group address. |
| | | | or called unit is not equipped to |
| | | | accept the information. |
| ACKX | (QUAL=1) | - | System or called unit overload; message rejected. |
| ACKV | (QUAL=0) | - | Called unit not in radio contact or transaction abandoned. |
| ACKV | (QUAL=1) | | Called unit engaged (and TSC will not hold the |
| | | | request), or called unit does not wish to accept the information. |
| ACKT | (OUAL=0) | | Called unit's calls have been diverted. |
| ACK | (QUAL=0) | - | Transaction has been successfully completed i.e. the |
| | | | called unit has accepted the status information. |

For maximum acceptable delay of repeats of acknowledgements ACKX, ACKV, ACKT and ACK, see time-out TB in 13.2.2.4.

13.2.1.5 Informing a called radio unit

The TSC informs a called radio unit of status information by sending the AHYQ message (see 5.5.3.2.7). The status message may have originated from the TSC itself, or from a radio unit (using RQQ etc.) or a line unit.

For an interprefix status message, IDENT2 in the AHYQ address codeword is set to IPFIXI and a data codeword is appended containing the calling unit's address.

The AHYQ message demands a response from the called unit (see 13.2.3). If the response is ACK(QUAL=0), ACKX or ACKV(QUAL=1), the TSC may send appropriate acknowledgement(s) to a calling radio unit (see 13.2.1.4). If the TSC does not successfully decode a response, or if the response is ACKB(QUAL=1), it may repeat the AHYQ message. If the called unit cannot be contacted, the TSC may indicate the failure to the calling unit by sending ACKV(QUAL=0).

13.2.1.6 Aborting the transaction

A calling radio unit may abort its status transaction by generating an RQX message (see 5.5.3.1.3), complying with the random access protocol. On receiving an RQX message aborting a status transaction, the TSC shall send a response: ACK(QUAL=1) with the same prefix and idents as the RQX.

If the RQX is aborting a speech call request (i.e. RQQ(STATUS='00000')) and the TSC has already informed the called unit of the call request, it may inform the called unit of the abortion by sending AHYQ with STATUS='11111'.

Page 13-8

•

13.2.1.7 TSC time-out

ġ.

The TSC may operate a time-out on the maximum time for which it holds a status message request (for example, waiting for the called unit to be free).

The TSC may instruct a calling radio unit to restart its waiting timer TW, by sending the AHY message with bit POINT set to '1'; see 9.1.1.7 and 9.2.2.3. If a time TW, minus the tolerance on the radio unit's timer, elapses since the last message it received for the status transaction (from the calling unit), the TSC shall not send any further signalling for the transaction. See also 13.2.2.5.

13.2.2 Procedures for Radio Units Sending Status Messages to Radio or Line Units

A radio unit shall make only one call attempt at a time (except in emergency); while attempting access or waiting for a terminating (i.e. endof-transaction) acknowledgement to a status message request, the unit shall not request another non-emergency call of any type (unless the user first aborts the original transaction).

13.2.2.1 Request for a status transaction to a radio or line unit

A radio unit requests a status transaction by sending an RQQ message on a control channel, complying with the random access protocol (see 7.3). The fields in the RQQ message shall be set appropriately (see 5.5.3.1.7); however, note particularly that an interprefix request is indicated by setting IDENT1 to IPFIXI. (Note also that status messages cannot be sent to a group.)

The unit shall attempt access until it receives a valid response (see 13.2.2.2/3), or until its user aborts the transaction (see 13.2.2.6), or until the access attempt fails (i.e. the unit has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8)). In the case of access failure, if the unit has not sent a request, it shall return to the idle state (and may indicate the failure to the user); otherwise, it shall wait for further signalling for the transaction - see 13.2.2.4 and 13.2.2.5.

13.2.2.2 Valid responses to a short addressing ROO

For a common-prefix RQQ, the calling unit shall accept the following messages as a response to its RQQ and send no more requests:

- a. An acknowledgement ACK(QUAL=0), ACKI(QUAL=1), ACKQ, ACKX or ACKV, with the same prefix and idents as the RQQ message.
- b. An acknowledgement ACKT(QUAL=0) with PFIX/IDENT2 as its individual address. See also 13.2.2.4.
- c. An AHYQ message with the same prefix, idents and STATUS field as the RQQ message.

For other actions on receiving these messages, see section 13.2.2.4.

Page 13-9

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 200 of 295

13.2.2.3 Valid responses to an extended addressing ROQ

For an interprefix RQQ, the calling unit shall accept the following messages (with the same prefix and idents as the RQQ) as a response to its RQQ and send no more requests:

a. An acknowledgement ACKI (QUAL=1), ACKX or ACKV (QUAL=0).

b. AHYC (i.e. an instruction to send the called unit's address).

For other actions on receiving these messages, see 13.2.2.4 and 9.2.2.1.

13.2.2.4 Acknowledgement received

If a radio unit attempting access or waiting for further signalling for a status transaction receives an appropriate acknowledgement then it shall take action as indicated below. For interprefix RQQs, only ACKI(QUAL=1), ACKX and ACKV(QUAL=0) are appropriate until the full address information has been sent. For idents, see 5.5.2.1.

| ACKI | (QUAL=1) | | Intermediate acknowledgement; more signalling to |
|------|----------|----------|--|
| | | | follow. |
| ACKQ | (QUAL=0) | | System is busy. Wait for further signalling. |
| ACKQ | (QUAL=1) | - | Called unit engaged. Wait for further signalling. |
| ACKX | (QUAL=0) | <u> </u> | Invalid call; message rejected. |
| ACKX | (QUAL=1) | | System or called unit overload; message rejected. |
| ACKV | (QUAL=0) | - | Called unit not in radio contact or transaction |
| | | | abandoned. |
| ACKV | (QUAL=1) | | Called unit engaged (and TSC will not hold the |
| | | | request), or called unit does not wish to accept the |
| | | | information. |
| ACKT | (QUAL=0) | - | Called unit's calls have been diverted. |
| ACK | (QUAL=0) | | Transaction has been successfully completed i.e. the |
| | | | called unit has accepted the status information. |

If ACKI(QUAL=1) or ACKQ is received, the unit shall wait for further signalling and may indicate to the user the progress of the transaction.

If ACKX or ACKV is received, the unit shall return to the idle state and may indicate to the user the reason for the failure of the transaction; it is recommended that receipt of ACKX(QUAL=0) be indicated in a distinct manner.

If a complete ACKT(QUAL=0) message is received, the unit shall either:

- return to the idle state (and may indicate to the user that the called unit's calls have been diverted), or
- b. attempt a new status transaction to the diversion address given in the ACKT message.
 Note that, if IDENT1 = IPFIXI in the ACKT address codeword and bit GF = 1 in the appended data codeword, then the diversion address is a group address; in this case, a status transaction to the diversion address would be an invalid call.

If an incomplete ACKT(QUAL=0) message is received, then:

Page 13-10

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 201 of 295

- i) If the unit does not require the diversion address, it shall return to the idle state (and may give an indication to the user).
- ii) If the unit does require the diversion address then:
 - if still attempting access for the transaction, it shall ignore the message and continue to attempt access;
 - otherwise it shall wait for a repeat ACKT, returning to the idle state if a time TB elapses (in which case, it may indicate the failure to the user).

If ACK(QUAL=0) is received, the unit shall return to the idle state and may indicate to the user that the transaction has been successfully completed i.e. that the called unit has accepted the information. (Note that this does not imply user acceptance.)

After receiving ACKX, ACKV or ACK for the transaction, the unit shall not request another non-emergency call of any type to the same called ident (or gateway) for at least a time TB. After receiving ACKT for the transaction, the unit shall not request another non-emergency call of any type for at least a time TB.

13.2.2.5 Time-out after waiting

A calling radio unit waiting for further signalling for a status transaction to a radio or line unit shall return to the idle state if a time TW has elapsed since the last message it sent for the transaction, viz.

RQQ, requesting the transaction (see 13.2.2.1)

- or SAMIS, providing extended address information for the call (see 9.2.2.1)
- or ACK(QUAL=0), sent in response to an AHY message with bit POINT = 1 and IDENT1 as the called ident or gateway (see 9.2.2.3).

It may also indicate to the user that the outcome of the transaction is unknown.

13.2.2.6 Aborting the transaction

If the user wishes to abort the transaction after the unit has sent an RQQ and while it is still waiting for a terminating acknowledgement, the unit shall attempt to send an abort transaction request RQX (see 5.5.3.1.3), complying with the random access protocol. It shall attempt access until one of the following occurs:

- a. It receives ACK(QUAL=1) with the same prefix and idents as the RQX. In this case, it may indicate to the user that the outcome of the transaction is unknown.
- b. It receives ACK(QUAL=0), ACKX, ACKV or ACKT(QUAL=0) for the transaction it is attempting to abort. See also 13.2.2.4.
- c. It has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8). In this case, it shall return to waiting for signalling for the status transaction (see 13.2.2.4 and 13.2.2.5).

In cases a. and b., the unit shall return to the idle state.

Page 13-11

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 202 of 295

13.2.3 Procedures for All Radio Units on a Control Channel

The procedures in this section shall be obeyed by all radio units that are equipped to recognise a received AHYQ address codeword. (The requirement to recognise AHYQ will be system-dependent.)

۶.

13.2.3.1 Receiving status message (AHYO)

If a radio unit on a control channel receives an AHYQ message with PFIX/IDENT1 matching its individual address then it shall respond with the appropriate acknowledgement (see below), with the same prefix and idents as the AHYQ. If IDENT2 / IPFIXI in the AHYQ message, the unit shall respond in the slot following the AHYQ; if IDENT2 = IPFIXI, a data codeword is appended (containing the calling address) and the unit shall respond in the slot following the data codeword. For timing, see 6.2.1.3.

- a. If the unit is not equipped to accept the information then it shall send ACKX (QUAL=0).
- b. Otherwise, the unit shall send one of the following acknowledgements:

ACKB (QUAL=1) if IDENT2 = IPFIXI in the AHYQ message but the appended data codeword was not decodeable and the unit requires the message to be retransmitted.

- or ACKX (QUAL=1) if it cannot accept the information at this time (e.g. STATUS = '00000' in the AHYQ message and the unit's call queue is full)
- or ACKV (QUAL=1) if it does not wish to accept status information from this calling party
- or ACK (QUAL=0) if it has accepted the information in the AHYQ message.

Page 13-12

14. SHORT DATA MESSAGE PROCEDURES

This section defines the procedures for short data messages of up to 184 bits of free-format data, transmitted on a control channel. The data is contained in up to four data codewords, appended to an address codeword (HEAD).

A radio unit requests to send a short data message using the RQC message (see 5.5.3.1.8). The TSC then:

- instructs the unit to send the short data message
 - (and extended address information if appropriate)
- forwards the message to the called party
- indicates the outcome of the transaction to the calling unit.

A radio unit may send a short data message to the TSC or to a radio unit, a line unit, a group of units, all units in the system, a PABX extension (short or extended addressing) or a PSTN number (short-form or general).

The TSC may also transmit short data messages (addressed to a radio unit, a group or all units in the system), originated from the TSC itself or from a line unit, a PABX extension or the PSTN.

For calls from radio units, the TSC uses the AHYC message to demand:

- a. the called address information, for calls to:
 - interprefix addresses
 - (if appropriate: see below)
 - general PSTN destinations
 - "long" PABX extension numbers
- b. the short data message.

•

•

If both a. and b. are needed, the TSC obtains the full information in two steps, each step using the AHYC message. The AHYCs are distinguished by the setting of IDENT1 (to IPFIXI, PSTNGI or PABXI for a., or to SDMI for b.), and so the order in which they are sent is not prescribed.

Note that, when a radio unit sends its short data message, it supplies the address (prefix/ident) of the called party in the data message header. Therefore, for an interprefix call, the TSC need not demand the called address separately unless it is required for operational convenience.

The format for data within a short data message is not prescribed. Also, further (system-dependent) specifications may be required to define:

- timings for repeat messages, and/or
- enumeration of data messages

to prevent duplicate messages (when a recipient accepts the repeat of a message as a new message).

A typical message sequence for a radio unit sending a short data message to another radio unit is illustrated in the example below.

Page 14-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 204 of 295



- Example A message sequence on a control channel for sending a short data message from one radio unit to another radio unit on the same site. In this example, the data message comprises an address codeword and two appended data codewords.
 - 1. ALH : General Aloha invitation (three-slot frame).
 - RQC : Random access request to transmit a short data message. (The request indicates the number of timeslots required for the data message: in this case, two slots.)
 - 3. AHYC : Short data invitation message
 - acknowledges the RQC message
 - instructs the calling unit to send the data message in the next two slots
 - inhibits random access in the next slot.
 - 4. HEAD + data : The calling radio unit sends its short data message to the TSC. In this example the message comprises an address codeword (HEAD) and two appended data codewords.
 - 5. HEAD + data : The TSC forwards the short data message to the called radio unit.

The second data codeword contains a flag (RSA) which is set to '0' to inhibit random access in the following slot, thus reserving the slot for a response from the called unit.

- ACK : Acknowledgement ACK(QUAL=0) from the called radio unit - data message accepted.
- 7. ACK : Acknowledgement ACK(QUAL=0) sent to the calling unit to indicate that the called unit has accepted the data message. In this example the TSC immediately repeats the ACK message, for added reliability.

Page 14-2

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 205 of 295

14.1 TSC Procedures for Short Data Messages

14.1.1 Responses to a short addressing RQC message

A radio unit requests to send a short data message by generating an RQC message, complying with the random access protocol. On receiving a short addressing RQC message (with EXT = 1, or with EXT = 0 and IDENT1 set to a valid called party ident), the TSC shall send one of the following responses:

- a. ACKI(QUAL=1), ACKQ(QUAL=1), ACKX or ACKV, with PFIX/IDENT2 as the calling unit's individual address and IDENT1 as the called ident (or PABXI for a call to a PABX extension).
- b. ACKT(QUAL=0), with PFIX/IDENT2 as the calling unit's individual address.
- c. An AHYC message instructing the calling unit to send its data message.

For acceptable delay, see 7.2.4. See also 14.1.4 and 14.1.5.

14.1.2 Responses to an extended addressing RQC message

A radio unit requests to send a short data message by generating an RQC message, complying with the random access protocol. On receiving an extended addressing RQC message (with EXT = 0 and IDENT1 = IPFIXI, PSTNGI or PABXI), the TSC shall send one of the following responses:

- a. ACKI(QUAL=1), ACKX or ACKV(QUAL=0), with the same prefix and idents as the RQC.
- b. An AHYC message instructing the calling unit to send the full called address information.
- c. An AHYC message instructing the calling unit to send its data message.

For acceptable delay, see 7.2.4. See also 14.1.3 to 14.1.5.

14.1.3 Instruction to send extended address information

After receiving an extended addressing RQC message, the TSC may demand the full called address (if appropriate), by sending the AHYC message with:

- the same prefix and idents as the RQC
 (i.e. IDENT1 set to IPFIXI, PSTNGI or PABXI as appropriate, and PFIX/IDENT2 set to the calling unit's address)
- DESC set to indicate the appropriate gateway (see 5.5.3.2.8)
- SLOTS set to correspond to the RQC
 - (i.e. if IDENT1=PSTNGI and FLAG1=1 then SLOTS='10' else SLOTS='01').

The AHYC message instructs the calling unit to send the called party address information in the following SLOTS slot(s) (see 9.2.2.1). If the TSC does not successfully decode the address information, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the transaction.

Page 14-3

Note that, when the radio unit sends its short data message, it supplies the called address (prefix/ident) in the data message header. Therefore, for an interprefix call, the TSC need not demand the called address separately unless it is required for operational convenience.

4

14.1.4 Instruction to send the short data message

After receiving an RQC message, the TSC may demand the short data message from the calling radio unit by sending the AHYC message, with:

- IDENT1 set to SDMI
- PFIX/IDENT2 set to the calling unit's address
- DESC set to '000'
- SLOTS equal to SLOTS from the RQC.

The AHYC message instructs the calling unit to send its short data message in the following SLOTS slots (see 9.2.2.1). If the TSC does not successfully decode the short data message, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the transaction.

Note that AHYC bars random access only in the first following return slot. When demanding a short data message, the TSC shall take appropriate action to reserve the subsequent return slot(s) if they are within a frame (e.g. by sending the AHY message with both idents set to DUMMYI).

14.1.5 Acknowledgements sent to indicate progress of RQC transaction

The TSC may send acknowledgement messages to indicate to a calling radio unit the progress of its short data transaction - for idents, see 5.5.2.1. (For an extended addressing call, acknowledgements ACKQ, ACKV(QUAL=1), ACKT(QUAL=0) and ACK(QUAL=0) are not appropriate until the called address has been obtained. Acknowledgements ACKQ(QUAL=0) and ACK(QUAL=0) are not appropriate until the short data message has been obtained.)

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to |
|------|----------|---|---|
| | | | follow. |
| ACKQ | (QUAL=0) | | System is busy, Wait for further signalling. |
| ACKQ | (QUAL=1) | | Called party engaged. Wait for further signalling. |
| ACKX | (QUAL=0) | | Invalid call e.g. TSC does not support short data |
| | | | messages, or called party is not |
| | | | equipped to accept the message. |
| ACKX | (QUAL=1) | - | System or called unit overload; message rejected. |
| ACKV | (QUAL=0) | | Called unit not in radio contact or transaction |
| | | | abandoned. |
| ACKV | (QUAL=1) | - | Called party engaged (and TSC will not hold the |
| | | | request) or called unit does not wish to accept the |
| | | | message. |
| ACKT | (QUAL=0) | - | Called party's data calls have been diverted. |
| ACK | (QUAL=0) | - | Transaction has been successfully completed. |
| | , - , | | |

For maximum acceptable delay of repeats of acknowledgements ACKX, ACKV, ACKT and ACK, see time-out TB in 14.2.4.

Page 14-4

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 207 of 295

14.1.6 Availability check on called radio unit

Before transmitting a short data message to a radio unit, the TSC may check that the unit is in radio contact (and suitably equipped). It uses the AHY message, with:

- bit POINT set to '0'
- bit CHECK set to '0'
- bit D set to '1'
- bit E set to '0'
- bit AD set to '0'
- PFIX/IDENT1 as the called unit's address
- IDENT2 set to SDMI.

The AHY message demands a response in the following slot from the called unit (see 9.2.2.2B).

The TSC may indicate the result of the availability check to a calling radio unit by sending appropriate acknowledgement(s) (see 14.1.5).

14.1.7 Informing called party

The TSC transmits a short data message to a radio unit, a group or all units in the system by sending the HEAD message on a control channel (see 5.6.2). The data message may have originated from the TSC itself, or from a radio unit (using RQC etc.), a line unit, a PABX extension or the PSTN.

The HEAD address codeword indicates the number of appended data codewords (up to four), and contains two 20-bit addresses: the called address and calling address (or gateway). The user data is contained in the data codewords. For an individually addressed short data message sent within a frame, the TSC shall set the RSA flag in the last data codeword (or in the "filler" data codeword) to '0', to inhibit random access in the next slot.

For an individually addressed short data message, the HEAD message demands a response from the called unit (see 14.3.1.1). If the response is ACK(QUAL=0), ACKX or ACKV(QUAL=1), the TSC may send appropriate acknowledgement(s) to a calling radio unit (see 14.1.5). If the TSC does not successfully decode a response, or if the response is ACKB(QUAL=1), it may repeat the HEAD message. If the called unit cannot be contacted, the TSC may indicate the failure to the calling unit by sending ACKV(QUAL=0).

For a short data message addressed to a group (or system-wide), the called units do not respond; the TSC may repeat the data message, to increase the probability of successful receipt. After transmitting the short data message, the TSC may send ACK(QUAL=0) to a calling radio unit.

14.1.8 Aborting the transaction

A calling radio unit may abort its short data transaction by generating an RQX message (see 5.5.3.1.3), complying with the random access protocol. On receiving an RQX message aborting a short data transaction, the TSC shall send a response: ACK(QUAL=1) with the same prefix and idents as the RQX.

Page 14-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 208 of 295

14.1.9 TSC time-out

The TSC may operate a time-out on the maximum time for which it holds a short data message (for example, waiting for the called party to be free).

The TSC may instruct a calling radio unit to restart its waiting timer TJ or TW, by sending the AHY message with bit POINT set to '1'; see 9.1.1.7 and 9.2.2.3. If a time TJ or TW, minus the tolerance on the radio unit's timer, elapses since the last message it received for a short data transaction (from the calling unit), the TSC shall not send any further signalling for the transaction. See also 14.2.6.

Page 14-6

14.2 Procedures for Radio Units Sending Short Data Messages

A radio unit shall make only one call attempt at a time (except in emergency); while attempting access or waiting for a terminating (i.e. endof-transaction) acknowledgement to a short data message request, the unit shall not request another non-emergency call of any type (unless the user first aborts the original transaction).

14.2.1 Request for a short data transaction

A radio unit requests to transmit a short data message by sending an RQC message on a control channel, complying with the random access protocol (see 7.3). The fields in the RQC message shall be set appropriately (see 5.5.3.1.8); however, note particularly that:

- a. Field SLOTS specifies the number of timeslots required for the data message (minimum two slots, maximum three slots).
- b. An extended addressing request is indicated by setting IDENT1 in the RQC message to the appropriate gateway (viz. IPFIXI, PSTNGI or PABXI).

The unit shall attempt access until it receives a valid response (see 14.2.2/3), or until its user aborts the transaction (see 14.2.7), or until the access attempt fails (i.e. the unit has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8). In the case of access failure, if the unit has not sent a request, it shall return to the idle state (and may indicate the failure to the user); otherwise, it shall wait for further signalling for the transaction - see 14.2.4 to 14.2.6.

14.2.2 Valid responses to a short addressing ROC

For a short addressing RQC, the calling unit shall accept the following messages as a response to its RQC and send no more requests:

- a. ACKI(QUAL=1), ACKQ(QUAL=1), ACKX or ACKV, with PFIX/IDENT2 as its individual address and IDENT1 as the called ident (or PABXI for a PABX call).
- b. ACKT(QUAL=0) with PFIX/IDENT2 as its individual address.
- c. AHYC, with PFIX/IDENT2 as its individual address and IDENT1 as SDMI.

For other actions on receiving these messages, see 14.2.4 and 9.2.2.1.

14.2.3 Valid responses to an extended addressing RQC

For an extended addressing RQC, the calling unit shall accept the following messages as a response to its RQC and send no more requests:

- a. ACKI(QUAL=1), ACKX or ACKV(QUAL=0), with the same prefix and idents as the RQC.
- b. AHYC, with the same prefix and idents as the RQC.
- c. AHYC, with PFIX/IDENT2 as its individual address and IDENT1 as SDMI.

For other actions on receiving these messages, see 14.2.4 and 9.2.2.1.

Page 14-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 210 of 295

14.2.4 Acknowledgement received

If a radio unit attempting access or waiting for further signalling for a short data transaction receives an appropriate acknowledgement then it shall take action as indicated below. (ACKQ, ACKV(QUAL=1), ACKT(QUAL=0) and ACK(QUAL=0) are not appropriate until the called address information has been sent - in the RQC, as extended address information or in the short data message. ACKQ(QUAL=0) and ACK(QUAL=0) are not appropriate until the short data message has been sent.) For idents, see 5.5.2.1.

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
|------|----------|---|--|
| ACKQ | (QUAL=0) | - | System is busy. Wait for further signalling. |
| ACKQ | (QUAL=1) | - | Called party engaged. Wait for further signalling. |
| ACKX | (QUAL=0) | - | Invalid call; message rejected. |
| ACKX | (QUAL=1) | - | System or called unit overload; message rejected. |
| ACKV | (QUAL=0) | - | Called unit not in radio contact or transaction abandoned. |
| ACKV | (QUAL=1) | - | Called party engaged (and TSC will not hold the request) or called unit does not wish to accept the message. |
| ACKT | (QUAL=0) | - | Called party's data calls have been diverted. |
| ACK | (QUAL=0) | - | Transaction has been successfully completed. |

If ACKI(QUAL=1) or ACKQ is received, the unit shall wait for further signalling and may indicate to the user the progress of the transaction.

If ACKX or ACKV is received, the unit shall return to the idle state and may indicate to the user the reason for the failure of the transaction; it is recommended that receipt of ACKX(QUAL=0) be indicated in a distinct manner.

If a complete ACKT(QUAL=0) message is received, the unit shall either:

- a. return to the idle state (and may indicate to the user that the called party's data calls have been diverted), or
- b. attempt a new short data transaction to the diversion address given in the ACKT message.

If an incomplete ACKT(QUAL=0) message is received, then:

- If the unit does not require the diversion address, it shall return to the idle state (and may give an indication to the user).
- ii) If the unit does require the diversion address then:
 if still attempting access for the transaction, it shall
 - ignore the message and continue to attempt access;
 otherwise it shall wait for a repeat ACKT, returning to the idle state if a time TB elapses (in which case, it may indicate the failure to the user).

If ACK(QUAL=0) is received, the unit shall return to the idle state and may indicate to the user that the transaction has been successfully completed i.e. that:

- for an individual call, the called unit has accepted the short data message; (note that this does not imply user acceptance);

Page 14-8

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 211 of 295

- for a group (or system-wide) call, the short data message has been sent to the group.

After receiving ACKX, ACKV or ACK for the transaction, the unit shall not request another non-emergency call of any type to the same called ident (or gateway) for at least a time TB. After receiving ACKT for the transaction, the unit shall not request another non-emergency call of any type for at least a time TB.

14.2.5 Sending the short data message

The calling unit shall transmit its short data message (a HEAD address codeword and appended data codeword(s) - see 5.6.2) on receipt of an appropriate AHYC from the TSC; see section 9.2.2.1.

14.2.6 Time-out after waiting

A calling radio unit waiting for further signalling for a short data transaction shall return to the idle state if a time TJ (for a data message addressed to the TSC) or TW (for other destinations) has elapsed since the last signalling message it sent for the transaction, viz.

- RQC, requesting the transaction (see 14.2.1)
- or SAMIS, providing extended address information for the call (see 9.2.2.1)
- or HEAD, containing the short data message (see 14.2.5 and 9.2.2.1)
- or ACK(QUAL=0), sent in response to an AHY message with bit POINT = 1 and IDENT1 as the called ident or gateway (see 9.2.2.3).

It may also indicate to the user that the outcome of the transaction is unknown.

14.2.7 Aborting the transaction

A radio unit may abort a short data transaction (after sending an RQC and while still waiting to receive ACKX, ACKV, ACKT or ACK) by transmitting an abort transaction request RQX (see 5.5.3.1.3), complying with the random access protocol. It shall attempt access until one of the following occurs:

- a. It receives ACK(QUAL=1) with the same prefix and idents as the RQX. In this case, it may indicate to the user that the outcome of the transaction is unknown.
- b. It receives ACK(QUAL=0), ACKX, ACKV or ACKT(QUAL=0) for the transaction it is attempting to abort. See also 14.2.4.
- c. It has sent the maximum number of transmissions NR and received no response, or its access time-out TC has expired (see 7.3.8). In this case, it shall return to waiting for signalling for the short data transaction (see 14.2.4 to 14.2.6).

In cases a. and b., the unit shall return to the idle state.

Page 14-9

14.3 Procedures for All Radio Units on a Control Channel

The procedures in this section shall be obeyed by all radio units that are equipped to recognise a received HEAD address codeword. (The requirement to recognise HEAD will be system-dependent.)

14.3.1 Receiving short data message (HEAD)

14.3.1.1 Individually addressed HEAD message

If a radio unit on a control channel receives a HEAD message with PFIX1/IDENT1 matching its individual address then it shall respond with the appropriate acknowledgement (see below), with PFIX/IDENT1 as its individual address and IDENT2 set to IDENT2 from the HEAD. The HEAD address codeword contains a field (LEN) which indicates the number of appended data codewords; the unit shall respond in the slot following the last data codeword. For timing, see 6.2.1.3.

- a. If the unit is not equipped to accept the data message then it shall send ACKX (QUAL=0).
- b. Otherwise, the unit shall send one of the following acknowledgements:

ACKB (QUAL=1) if not all the appended data codewords were decodeable and the unit requires the message to be retransmitted

- or ACKX (QUAL=1) if it cannot accept the message at this time (e.g. its data store is full)
- or ACKV (QUAL=1) if it does not wish to accept a data message from this calling party
- or ACK (QUAL=0) if it has accepted the data message.

14.3.1.2 HEAD message addressed to a group

If a radio unit on a control channel receives a HEAD message with PFIX2/IDENT2 not matching its individual address, and

PFIX1/IDENT1 matching one of its group addresses for this system or

IDENT1 set to the system-wide all-call ident ALLI,

then it may accept the information contained in the HEAD address codeword and the appended data codewords, but shall transmit no response.

Page 14-10

15. DATA INTERROGATION PROCEDURES

۲

•

This section defines data interrogation procedures, which allow the TSC to demand that an addressed radio unit transmits a data message of a prescribed type. This demand is an interrogation by the TSC, not part of the signalling for a call requested by the radio unit. It may be sent on either a control channel or an allocated traffic channel.

The TSC interrogates the radio unit by sending message AHYC, Mode 2 (see 5.5.3.2.8). In this message, PFIX/IDENT1 is set to the radio unit's individual address and IDENT2 is the ident of the interrogator (a nonradio-unit ident). The type of data to be transmitted by the radio unit is indicated by the descriptor field DESC and the non-radio-unit ident.

The TSC does not acknowledge receipt of the radio unit's data message (though it may take appropriate action as a result of the received data).

Currently, for data interrogation, only one value of the data message descriptor field DESC has been assigned. This value is used for implementing serial number checks: the TSC may at any time, on a control channel or traffic channel, instruct a radio unit to send its 38-bit serial number. Comparison of the received serial number with the expected value (held in store at the TSC) will assist in the detection of fraudulent users.

Page 15-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 214 of 295

15.1 Data Interrogation Procedures for TSC

15.1.1 Data interrogation on a control channel

The TSC may demand that a radio unit on a control channel transmits a data message of a prescribed type, by sending the AHYC message with:

- PFIX/IDENT1 set to the individual address of the radio unit
- IDENT2 set to the ident of the interrogator (for example, for a serial number check, IDENT2 = TSCI)
- DESC set to indicate the type of data message required; see 5.5.3.2.8 (for example, for a serial number check, DESC = '000')
- SLOTS set appropriately; see 5.5.3.2.8 (for example, for a serial number check, SLOTS = '01').

The AHYC message instructs the addressed radio unit to transmit a data message in the following SLOTS slot(s) (see 15.2.1). If the TSC does not successfully decode a reply, it may repeat the AHYC message when convenient. (The TSC does not acknowledge receipt of the data message).

Note that AHYC bars random access only in the first following return slot. When demanding a multi-codeword data message, the TSC shall take appropriate action to reserve the subsequent return slot(s) if they are within a frame (e.g. by sending the AHY message with both idents set to DUMMYI).

15.1.2 Data interrogation on a traffic channel

The TSC may demand that a radio unit on an allocated traffic channel transmits a data message of a prescribed type, by sending the AHYC message with:

- PFIX/IDENT1 set to the individual address of the radio unit
- IDENT2 set to the ident of the interrogator
- DESC set to indicate the type of data message required; see 5.5.3.2.8
- SLOTS set appropriately; see 5.5.3.2.8.

The AHYC message instructs the addressed radio unit to transmit a data message (see 15.2.2). If the TSC does not successfully decode a reply, it may repeat the AHYC message.

Page 15-2

15.2 Procedures for All Radio Units

The procedures in this section shall be obeyed by all radio units that are equipped to recognise a received Mode 2 AHYC message. (The requirement to recognise AHYC, Mode 2 will be system-dependent.)

15.2.1 Data interrogation message (AHYC, Mode 2) on a control channel

If a radio unit on a control channel receives an AHYC message with PFIX/IDENT1 matching its individual address then it shall either send a data message in the following SLOTS slot(s), or transmit ACXX(QUAL=0), as indicated below. For timing, see section 5.2.1.3.

If

IDENT2 is set to TSCI and DESC is set to '000' and SLOTS is set to '01' and the unit is equipped to transmit its serial number on interrogation

then it shall transmit its serial number, conforming to the codeword format defined in section 5.6.1.2.2 (SAMIS, Mode 2, DESC='000'). (The form of the serial number is system-dependent.)

Otherwise The unit shall transmit ACKX(QUAL=0), with the same prefix and idents as the AHYC.

15.2.2 Data interrogation message (AHYC, Mode 2) on an allocated traffic channel

If a radio unit on a traffic channel receives an AHYC message with PFIX/IDENT1 matching its individual address then it shall either send a data message or transmit ACKX(QUAL=0), as indicated below. For timing, see section 6.2.2.2.

If

IDENT2 is set to TSCI and DESC is set to '000' and SLOTS is set to '01' and the unit is equipped to transmit its serial number on interrogation

then it shall transmit its serial number, conforming to the codeword format defined in section 5.6.1.2.2 (SAMIS, Mode 2, DESC='000').

Otherwise The unit shall transmit ACKX(QUAL=0), with the same prefix and idents as the AHYC.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 216 of 295


ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 217 of 295

16. ADDITIONAL SHORT DATA PROCEDURES e.g. SAME

ŝ,

Additional short data procedures are not included in this issue.

Page 16-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 218 of 295



ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 219 of 295

17 STANDARD DATA PROCEDURES

17.0 Introduction

This section defines the procedures for setting up data calls and then transmitting Tmessages (see 2) in a standard manner on a standard data traffic channel (the data channel). A base station may include several data channels.

Data may be transferred between the following parties:

radio unit ---> TSC, line unit, radio unit or group radio unit ---> all standard data equipped (SDE) units in system radio unit ---> PABX extension (short or long extension number) radio unit ---> PSTN destination (prearranged or general) radio unit ---> Public Data Network (PDN) subscriber TSC ---> radio unit, group or all SDE units in system line unit ---> radio unit, group or all SDE units in system PABX extn. ---> radio unit, group or all SDE units in system PSTN terminal-> radio unit, group or all SDE units in system PDN user ---> radio unit, group or all SDE units in system

Set-up of a new data call is initiated by the RQD request transmitted on either the control or data channel. For this and other purposes the data channel has random access frames interspersed with the user data being conveyed.

The data channel provides a link between the TSC and radio unit for the purposes of a data call. For an individual link with the TSC, errors on the data channel are corrected as necessary by automatic request for repetition (ARQ) before the data is passed on to any other data link or equipment, i.e. operation is "store and forward". The TSC may limit the time for which it will store a call if it finds difficulty in forwarding it. For a call between radio units at least two links are necessary.

One data channel at a base station may be shared at one time by up to 1023 links, several of which may be concurrently active, although the mean data transfer rate experienced by each active radio unit is liable to reduce as the total activity increases. The TSC is the master station and controls all transmissions on the data channel so as to avoid any simultaneous transmissions (except random access ones) from radio units on the return channel.

Page 17-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 220 of 295

17.0.1 Facilities offered by the Standard Data Procedures

Facilities offered by these procedures are:

- a) radio units operate in a half-duplex mode with bidirectional Tmessage transmission facility,
- b) for group calls the data in the link from the TSC to the group is not corrected by automatic request for repetition but may be repeated up to a prearranged number of times to increase the probability of successful reception by all group members,
- c) a data call may be conducted with an individual radio unit or transmitted to a group of radio units, and in the latter case responses may be obtained either by separate polling of each radio unit in the group or by inviting random access from group members,
- d) the calling party may request that a call shall be directed to any one of 8 sub-addresses (PORTs), e.g. to call a particular receiving terminal configuration,
- e) an end-to-end high accuracy data transfer (HADT) mode may be invoked,
- f) a calling radio unit may request priority for resources for a data call,
- g) a calling radio unit may make a request for an interactive data exchange with the called party so that the TSC will test whether a suitable radio channel is available and the called party is ready to exchange data,
- h) urgent calls may be requested,
- j) a suitably equipped radio unit may engage in more than one data call concurrently,
- any called party can be informed of the identity of the calling party,
- the calling party is given a reason for any call set-up failure,
- m) a data call may consist of a single Tmessage (see NOTE 1), or may include a response or a number of data interchanges with pauses between the various Tmessages,
- n) each link provided via a data channel is bit-transparent (see NOTE 2),
- p) the standard data signalling rate is 1200 bit/s, with provision for a customised rate (see NOTE 3), and

Page 17-2

q) radio units may be transferred individually or in groups from one data channel to another so that relief data channels may be created and brought into use when a data traffic overload occurs and can be taken out of use when the overload subsides. Also a radio unit on a data channel may be transferred collectively to another data channel.

0

- NOTE 1. No specific "mail-box" facility is listed but all the ingredients necessary to provide that facility are available.
- NOTE 2. Users concerned about unauthorised reception may wish to take advantage of this facility by encrypting their data.
- NOTE 3. The individual links of a call may transmit at different rates because of the storage provided by the TSC. No customised rate is prescribed by this standard.

Page 17-3

17.0.2 Guide to Some Key Protocol Aspects

17.0.2.1 Data Channel Addressing

On the data channel it would be wasteful of time always to use address codewords including the full identity of both the sender and recipient of a transmission dataitem. Moreover, if two radio units are involved they each have their own link with the TSC(s), and these links often act at different times because of the store and forward nature of the facility. Therefore on the data channel, instead of Prefixes and Identities, each radio unit uses a 10-bit transaction number termed a "TRANS" which identifies that link during that call and is assigned by the TSC in a "Go-to-TRANS" (GTT) message sent during the call set-up phase. The TRANS validity ceases when the link closes, and the TRANS value may then be reused for a new link. A dummy TRANS value '0000000000' is reserved for use in messages whenever no allocated value is appropriate.

Apart from the use of TRANS, the use of certain addresswords containing a PFIX and IDENT(s) also is valid on a data channel in appropriate circumstances.

17.0.2.2 Data channel format

All messages on the data channel conform to the traffic channel format described in section 3. On the forward channel preamble and SYNT are found in the last half of a Data Channel System Codeword (DCSC), equivalent to the CCSC of a control channel but with SYNC replaced by SYNT, see 5.1.

The standard allows for two possible transmission rates, viz 1200 bit/s and a customised one. Only one rate is used on any one channel. All stations must be able to utilize the 1200 bit/s rate, but use of the customised_ope_is_optional.A.callipn_or_individually_collined radio unit states whether it could operate at the customised rate in its respective RQD or acknowledgement message. The TSC specifies the rate for each TRANS in the relevant GTT message, but must not specify the customised rate unless both TSC and radio unit can use it. At 1200 bit/s the timing of a radio unit message relative to the TSC "invoking message" is as described in 6.2.1.3, but for any customised rate this timing is specified elsewhere. Some timing criteria are outlined in Appendix 6.

The data channel format is similar to a control channel in some ways, consisting of random and non-random transactions with radio units. However, because the transactions are often lengthy, differences between data and control channel are:

- because of the long messages a TSC may transmit, on the forward channel DCSCs are infrequent compared to CCSCs,
- b) the concept of time-slots only applies within random access frames,
- c) the Aloha function which introduces a random access frame does not require a whole codeword and frequently shares a codeword with another function,
- d) the list of codewords on the forward channel which withdraw slots from a random access frame is different,

Page 17-4

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 223 of 295

- e) the WAIT parameter is replaced by a 3-bit frame count parameter, WF.
 If a random access attempt has not been acknowledged before WF frames have been received then the access attempt may be repeated,
- f) in the Aloha function there is a frame length (slots) field ND which has 5 bits to give a wide range of frame lengths,
- g) a 10-bit TRANS field replaces the PFIX and IDENT fields found in frame marking codewords, and
- h) there is no equivalent of the modifier M field in frame marking codewords (a TRANS value of '0000000000' permits any radio unit to attempt access, otherwise only the radio unit(s) assigned to the specified TRANS can attempt access).

17.0.2.3 Access on the data channel

Random access opportunities are provided on the data channel for radio units to make various data service requests. A frame marking function states the number of slots in an access frame, and radio units attempt access by transmitting a one-codeword message in a random slot within that frame. At 1200 bit/s each slot consists of two codewords, but slots at the customised rate may differ. The access frame has a maximum length of 31 slots. The frame marking function occupies half a codeword, the other half often being used for an acknowledgement.

Three types of frame marking function are provided, viz:

- DAL, a general Aloha,

- DALG, which limits requests to urgent calls or those for repeat of a group Tmessage, and

- DALN, which invites any requests except those for a repeat of a non-urgent group Tmessage.

Five types of request may be sent by radio units, viz:

- RQD to request a data call (which may be a concurrent call), (RQD with urgency bit E set to '1' is sent in any random access frame)

- DRUGI to request resumption of data transmission or reception in a given TRANS after a period of inactivity,

- DRQG to request repeat of the whole of a group Tmessage,

- DRQZ to request transmission of expedited data, and

- DRQX to request that a TRANS be closed. If the TRANS value in DRQX is the dummy, '0000000000', then it requests that all TRANS assigned to that radio unit be closed.

Permitted responses to these requests are detailed in 17.2.2.

A parameter, NDR, limits the number of random access tries in any one access attempt, and a time-out, TDC, limits the duration of a random access attempt. These parameters are pre-set. If either is exceeded then the

Page 17-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 224 of 295

random access attempt is abandoned.

The AHYD message contains bit E to signal an urgent call. As well as the AHYD message, the TSC may send other data aboy type messages to addressed radio units, viz:

DAHY to check whether a particular TRANS is still active,

- DAHYZ to inform a radio unit of expedited data, e.g. to reset the link to a known state, and

- DAHYX to demand that a specific (or all) TRANS are closed.

17.0.2.4 Call Set-up

The call set-up procedures are similar whether initiated on the control or data channel.

To make a data call the radio unit transmits an RQD message. This includes PFIX and IDENT information and the called PORT, priority or urgency required, whether real-time data exchange with the recipient is required, whether high accuracy data transfer (HADT) is required, and whether the customised transmission rate can be used. The TSC grants the Request by sending a GTT message which includes the calling identity, the designation of the data channel to be used, the TRANS to be used for that call (or TRANS can be allocated on the data channel), and the designated link transmission rate.

To call a radio unit(s) the TSC sends an AHYD (POINT=0) message containing calling and called identities, whether HADT will be used, and the called PORT. In an individual call, if the radio unit can satisfy the call requirements it acknowledges this with a message which includes an indication of whether the customised rate can be used. A GTT message from the TSC, including the called identity, channel number, TRANS for that link (usually), and transmission rate, then instructs the radio unit to go to the data channel.

A call to or from a prearranged destination on any network can be set up using a special Ident, e.g. NETSIj or one of the spare Ident's. Calls to general PSTN and PABX destinations require extended addressing which is achieved in the normal manner. General calls to or from a PDN destination use the gateway "DNI", and then are switched to the data channel so that the addressing can be completed in gateway dependent format.

For a group call no response is made to the AHYD message. The group link then is announced by a GTT message. Usually the group transmission rate is prearranged. Any unit which cannot cope with the announced transmission rate or HADT, or accept the PORT ignores that message (but radio units may use a fall-back PORT). Although no reply to the Tmessage is possible within the call, a radio unit may note the caller's address in the AHYD, and, after the call, if it wishes to respond it sets up (e.g. on the data channel) a new call to the noted originator's address. The amount of data which can be conveyed in one group call is limited to a maximum of 11994 bits.

Page 17-6

17.0.2.5 The ARQ scheme and related matters

Apart from the above, as well as the forward error correction possibilities offered by each codeword, an ARQ scheme is included in links with individual radio units. The descriptions given in this subsection apply only to individual links.

ARQ is not provided for a transmission to a group but, by prearrangement, the Tmessage may be transmitted automatically more than once, and individual radio units can request a repeat.

On any link no dataitem may contain more user data than that found in the address word plus 62 data codewords. Tmessages needing more than this are sent by dividing them into a number of dataitems. The maximum number of data codewords in any dataitem is controlled by the receiving station (or the TSC for a first dataitem) and in no case exceeds 62. Initially a dataitem is sent in one user data message but a fragment of a dataitem can also constitute a user data message.

The number of data codewords in any user data message is stated in a FRAGL field in its address codeword (SITH, see 5.8.12), and the position of the last bit of user data in the final codeword is given in the LASTBIT field of SITH. In a dataitem, user data starts in the 10-bit USER DATA field of SITH and continues with 47 bits of user data in every appended data codeword until the final codeword in the dataitem. In the user data field of that codeword (including SITH if it has no appended data codeword) the end of the user data is followed by a '1' to confirm the end of the user data. Any space left in the field is filled with '0's (unless HADT is used, see below). If it happens that the last user data bit occupies the final bit in the user data field of a codeword the end of user data is still confirmed, which gives rise to an appended data codeword containing a '1' and 46 '0's in its information field.

If HADT is invoked, the last 15 information bits in the message are a checksum on the data in the dataitem, and may replace 15 filler '0's. See 17.2.3.1.4.2.

In response to a faulty user data message the receiver may send a selective acknowledgement (SACK) to request retransmission of a fragment of a dataitem. Alternatively the receiver may request complete retransmission of the dataitem by sending a negative acknowledgement (NACK).

If HADT is invoked, when an apparently entire dataitem has been received the checksum is used to decide whether the dataitem is incorrect, and if so a NACK message is sent.

When the entire dataitem has been correctly received, a positive acknowledgement (PACK) is sent. Only then may the next dataitem be embarked upon. PACK and NACK only differ in 1 bit, and are known collectively as DACK.

As a precaution against lost or duplicated messages every header and acknowledgement contain the TRANS and a 1-bit dataitem number (ITENUM). The dataitem number remains constant for one dataitem and any repeat fragments but differs between any two adjacent dataitems.

DACK occupies only about half a codeword (a submessage) and the remaining submessage is used for data channel access (see 17.0.2.3 and

Page 17-7

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 226 of 295

17.0.2.6). In contrast a SACK message includes one assigned EFLAG bit for every dataword in the dataitem. An assigned EFLAG which is set to '1' indicates that the relevant data codeword must be repeated. The leading EFLAG represents the leading data codeword in the dataitem, and so on (see 5.8.11 for rules governing the use of the EFLAGS' field).

In order to mark the last assigned data codeword in the SACK message the first non-assigned EFLAG is set to '1' and any remaining non-assigned EFLAGs are set to '0'. There are 23 EFLAGs in the SACK addressword. If the dataitem has more than 22 datawords the SACK has an appended data codeword with a 40-bit EFLAG field, as confirmed by an address codeword flag "AD". Security of the EFLAG information is enhanced by a 4-bit 'ONES' field in each SACK codeword. The value of ONES is set to the modulo-16 number of EFLAGs set to 1 in that codeword.

In response to a SACK message containing assigned EFLAGs which are set to '1' the data transmitter sends a fragment of the dataitem which consists of a new header and only the repeated relevant data codewords in the same order as in the dataitem. A radio unit sends this response immediately (see Figure 17.1 below). For procedures for the data sending station (DSS) see 17.2.3.1.



FIGURE 17.1 Use of EFLAGS in Selective Repeat of a user data message

After sending a user data message, if, within a set time limit, the sender does not recognize an acknowledgement then it may infer that either

Page 17-8

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 227 of 295

the recipient failed to decode the SITH (and hence any appended datawords) or that an acknowledgement was sent but not decoded. If only the addressword of a two-word SACK is decoded then clearly the user data message was received but the SACK cannot be acted upon. The acknowledgement loss situation can be rectified by repeating the message, but this is wasteful if the message is a long one and only acknowledgement information has been lost. To reduce waste a Repeat Last Acknowledgement (RLA) message is specified so that a sending station can demand acknowledgement repetition instead of sending a message repetition. The sending station acts upon the information in the repeated acknowledgement.

An acknowledgement from a radio unit follows substantially immediately after the end of the message transmitted by the TSC but the acknowledgement sent by the TSC in response to a message from a radio unit may be delayed by up to a pre-set time limit after the end of the message. If the time limit is exceeded the radio unit may use random access to send a query to the TSC.

17.0.2.6 Data Traffic and Flow Control

The TSC controls all data traffic on the data channel either by using a GO submessage to grant a dataitem transmission to the addressed radio unit or by using an aloha marker submessage to give a random access opportunity to radio units (see 5.8.2). A radio unit may indicate to the TSC in a GO submessage the length of the next dataitem it proposes to send. The TSC may grant a shorter dataitem length.

A field found both in SITH and DACK is "TNITEL" which informs the receiver of the proposed number of data codewords (0 to 62) in the next dataitem. The GO submessage (sent by a data receiving station) includes a 6-bit field, RNITEL, which defines the maximum number of data codewords that can be accepted in the next user data message.

Flow control at a link level is achieved by using the TNITEL and RNITEL fields. A data sending station must not send more data than is acceptable to the receiving station, as expressed in RNITEL. The TNITEL value found in SITH is advisory so that the receiver can check that it has sufficient storage.

When transmitted in a DACK submessage, if TNITEL has any value except the null value, '111111', that means the the acknowledging station intends or wishes to send user data, and hence bidirectional transmission is implied. If sent by a radio unit, TNITEL < '111111' can be acted upon by the TSC to invite user data. For example, bidirectional data flow in a TRANS may occur in the following recurrent order:

| F'w'd | Chan | DACK+TNITEL+GO | Message | DACK+TNITEL+GO |
|-------|------|----------------|----------------|----------------|
| Ret'n | * | Message | DACK+TNITEL+GO | |
| etc. | | | | |

A radio unit which finds it necessary to stop data reception completely for some time may cease to be offered more data by the TSC. In that case, when it is ready the radio unit may request resumption of data flow by using a random access opportunity.

Page 17-9

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 228 of 295

17.0.2.7 RESET

The RESET function is employed by a receiving or sending station or by the TSC to reset the data to a known state. The RESET operated by the end users is usually combined with synchronisation points so that after a RESET operation data communication can restart from a known synchronisation mark. The synchronisation marking techniques of the network service user are not specified in this standard. Generally this involves more than one link but of course comprises a single link if the radio unit and TSC happen to be the two end parties.

The RESET operation discards all that data transmitted before initiation of the RESET but not yet delivered to the network service user.

If the TSC receives the RESET message (which may be sent in a random access frame or in place of an acknowledgement or instead of invited data) it firstly acknowledges the message and also transmits RESET to the other correspondent at the earliest opportunity, e.g. before sending a 'GO' message or other which would permit the correspondent to send or repeat data. If necessary the TSC repeats the RESET until it is acknowledged by the radio unit. The TSC sends no more data to the originator of the RESET until this acknowledgement has been received.

A data receiving or sending radio unit which receives a RESET message firstly removes all data from its receiving and sending buffers and acknowledges the (received) RESET and then sends a RESET to (its) data terminal equipment (DTE) and waits for DTE acknowledgement before resuming any data sending or receiving.

17.0.2.8 Demarcation of a Tmessage

A "MORE" bit is found in each SITH, "MORE" is used to indicate the conclusion of each Tmessage. It is the duty of each node in the communication chain to interpret the "MORE" bit in this protocol or its equivalent in any other protocol and pass its meaning on to the dependant link in the appropriate format and position within the data stream.

17.0.2.9 Closing a TRANS and Moving to another channel

A TRANS may be closed either by TSC demand (which may or may not also demand an acknowledgement) or by radio unit request or by expiry of the radio unit inactivity timer TDX or TDN for an individual or group call respectively. When all TRANS of a radio unit have been closed it returns to the control channel.

If a TSC finds it desirable to move a radio unit to another data channel, e.g. because the data channel it is on has become overloaded, it may do so without breaking down a data call. To do this the TSC first demands closure of any calls concurrent with the one to be preserved. Then, at a time when neither radio unit nor TSC are attempting to send a message it sends the radio unit a GTT message including a new channel and a new TRANS. The radio unit moves to the new channel and notes that the new TRANS replaces the preserved one for the continued call.

The TSC may simultaneously move all the radio units to a new data channel by addressing them with the ALLI ident.

Page 17-10

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 229 of 295

17.1 Procedures for Setting Up Standard Data Calls

This section contains the procedures for setting up standard data call links on either a control channel or a data channel. The procedures cover the following aspects:

- a) Link with calling party
 - call request procedures for standard data calls
 - instruction to send extended address information
 - call cancellation while waiting for a call
 - establishment of calling party data link.
- b) Link with called party
 - availability/rate check on called radio units
 - establishment of called party data link.

Standard data calls from radio units are requested using the Standard Data Communication Request Message RQD (see 5.7.1). For an emergency standard data call, bit E in the RQD is set to '1'.

The RQD message contains all the information necessary to request a common-prefix call, a system-wide call or a call to a prearranged PSTN or PDN destination.

For an interprefix call, a general call to the PSTN or a call to any PABX extension, the call details cannot be accommodated in a single address codeword. For these calls, the RQD message requests entry into the extended addressing mode; the radio unit sets IDENT1 in the RQD to the appropriate gateway ident (viz. IPFIXI, DNI, PSTNGI or PABXI), and the TSC then demands the full called party information using the AHYC message.

Usually, if the TSC has a direct entry point to a data network then for a general call to a data network destination, the radio unit sets IDENT1 in the RQD message to DNI. After setting up the link, the radio unit is invited to supply the full destination address on the data channel using network layer procedures. Alternatively a radio unit may contact a distant data network entry point via the PSTN or a PABX, and when that contact has been established the full destination address is provided on the data channel as described above.

Note that extended addressing procedures are used for requesting a standard data call to any PABX extension (with either a long or short extension number). The unit sets IDENT1 in the RQD message to PABX1, and then sends the PABX address information in response to an AHYC message with IDENT1 set to PABXI and DESC set to '010'. The unit sets bit SP in the SAMIS message to indicate whether it is sending BCD digits or a 13-bit extension number (plus 2-bit exchange number). See 5.5.3.2.8 and 5.6.1.2.2.

Radio units requesting a 'general' PSTN call use the gateway ident, PSTNGI. In this case, units are requested to provide the full dialling information for the PSTN destination using extended addressing procedures. The FAD field in the RQD message performs the same function as FLAGI in an RQC message.

Page 17-11

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 230 of 295

The call set-up procedures are outlined in section 17.0.2, and typical message sequences for establishing standard data links are illustrated in the example below. The call shown is a common-prefix non-emergency call between two radio units, both of which are currently tuned to controlchannels. In this example, the calling unit is queued (to wait for a data channel or for the called party in an interactive call) otherwise the TSC could have sent the Go To Transaction message directly. 1 slot



Example 1A A message sequence on a control channel for a calling radio unit requesting a common-prefix standard data call.

| - | | radio | unit requesting a common-prefix standard data call. |
|----|-------|-----------------|--|
| 1. | ALH | 2 | General Aloha invitation (three-slot frame). |
| 2. | RQD | : | Random access request for a Standard Data call. |
| 3. | ACKQ | : | The TSC acknowledges the RQD message, informing the calling unit that the call has been queued. |
| 4. | GTT | : | Go To Transaction message instructing the calling unit to switch to a designated data channel for the transaction. In this example, the GTT message is repeated, for added reliability. |
| | (Note | e that withdraw | the GTT message cannot mark an Aloha frame, but does |

Page 17-12

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 231 of 295

| TSC to I | NUs | | AHYD | | ALH | GTT | GTT | | ίω · |
|--------------------------------------|----------------------------|------------------------------------|---|---------------------------------------|--|--|-------------------------------------|------------------------------------|--|
| | | | l Tara dan manjaran sebe | 1 | (3) | i | | ; · | • |
| RUs to 1 | rsc | | | 2 ACK | | | |) } ! ! | |
| | | - | | | | ۱ <u>.</u> | frame | / | - |
| Example | 18 | | A mes calle call. | sage se d radio | quence c unit in | n a com 1 a com | ntrol non-pr | channe efix s | l for a tandard data |
| | 1. | AHYD | 2 | Availa unit. | bility/r Demands | ate che a respo | eck me onse f | irom the | sent to called e called unit. |
| | 2. | ACK | : | Acknow and in custom | ledgemer dicates ised rat | nt that whethen te. | the r it c | adio u an ope | nit is availab rate at the |
| | з. | GTT | : | Go To unit t transa repeat | Transact o switch ction. ed, for | tion means to a d In this added o | ssage lesigr s exan reliat | instrumated d ple, t pility. | cting the calle ata channel for he GTT message |
| As Transaci radio un links, | show tion hit for | wn in messa or gro a part | the ex age GTT oup. GT ticular | ample, 1. Note T messa call, | data lir that th ges are between: | nks are ne GTT r sent in | estak nessag ndeper | lished Je inst Idently | using the Go ructs only one to establish |
| that. | | a) b) | calli TSC a | ng radi ind call | o unit a ed radio | and TSC unit | , (or gr | coup), | as required. I |
| - | | thes | e GTT m | essages | may be | sent o | n diff | erent | sites; |
| - | | they | may de | signate | differe | ent data | a char | nels; | |
| - | | the : | specífi | ed tran | emission | n rates | may t | e diff | erent; |
| - | | there numbe to us | e is no ers (wh se the |) relati Nich wil same da | onship b 1 be din ta chann | etween fferent nel). | the t if bo | ransac oth par | tion ties are direc |
| | | | | | | | | | |
| | | | | | | | | | |

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 232 of 295

Also, since all data is transferred via the TSC, it may not be necessary for the two parties to be on their data channels at the same time. Bit INTER in RQD indicates whether the calling party requires that the called party should be available to receive the data immediately (enabling a call where the parties appear to have interactive contact, achieved via the TSC's store-and-forward mechanism).

In the example, both radio units started the exchange tuned to control channels. More generally:

- a) The call request (and following message sequence) may be sent on either a control or data channel, as appropriate.
- b) The message sequence with the called party may take place on either a control or data channel, depending on where the called party is currently tuned.

The message sequences are similar whether they take place on a control or data channel. Where there are differences (for example, in the random access method) these are indicated in the procedures.

Note that high accuracy data transfer (HADT) may be invoked by setting the HADT bit of an RQD or AHYD message to '1'. When HADT is used in a call, it shall be applied to all links within the call which conform to this standard and, in an individual call, to both possible user data transmission directions. Parts of the call chain which do not conform to this standard are assumed here to be of adequate data transfer accuracy and are excluded from the rules laid down here. It is the user's responsibility to assure himself of the data transfer accuracy of these non-MPT 1327 parts of the chain.

17.1.1 TSC Procedures for Setting Up Standard Data Calls

This section describes facilities available for use by the TSC. However, note that the TSC is allowed a great deal of flexibility and it need not implement all these facilities.

Section 17.1.1.1 defines the basic procedures for setting up non-emergency standard data call links. Section 17.1.1.2 defines the procedures for emergency standard data calls.

Page 17-14

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 234 of 295

- SLOTS set to correspond to the request as follows:

For a general PSTN call, SLOTS = '01' or For a general PSTN call, SLOTS = '01' or '10'

(The FAD field in the RQD message indicates if the number of dialled digits exceeds 9).

The AHYC message instructs the calling radio unit to send the called party address information in the following SLOTS slot(s) (see 17.1.2.1.5). If the TSC does not successfully decode the address information, it may repeat the AHYC message or transmit ACKV(QUAL=0) to indicate failure of the call.

After decoding the full address information successfully, the TSC may send appropriate acknowledgements to the calling unit (see 17.1.1.1.4).

Note that AHYC bars random access only in the first following return slot. For SLOTS = '01', this is sufficient for the radio unit's response; however, for SLOTS = '10', the TSC shall take appropriate action to reserve the second return slot if it is within a random access frame (e.g. by sending the AHY message with both idents set to DUMMYI in the slot following the AHYC).

17.1.1.1.4 Acknowledgements sent to indicate progress of a call set-up attempt

The TSC may send acknowledgement messages at appropriate times to indicate to a calling radio unit the progress of its standard data call. For idents, see 5.5.2.1. (For extended addressing calls, only ACKI(QUAL=1), ACKV(QUAL=0) and ACKX are appropriate until the full address information has been obtained.) Note that the criteria for setting the maximum delay of repeats of acknowledgements ACKX, ACKV and ACKT should take account of time-out TDB (described in 17.1.2.1.4).

The TSC may send ACKI(QUAL=1) or ACKQ to indicate to a calling radio unit the progress of the signalling for its data call:

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
|------|----------|---|--|
| ACKQ | (QUAL=0) | - | All data channels are busy. Wait for further signalling. |
| ACKQ | (QUAL=1) | | Called party is engaged (and calling party requires interactive contact). Wait for further signalling. |

It may send ACKX or ACKV to indicate to the calling unit that its data call request will not be complied with:

ACKX (QUAL=0) - Invalid call e.g. TSC or called party does not support standard data at least from this caller, or the radio unit is blacklisted, or called address is unobtainable.

Page 17-16

•

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 235 of 295

| ACKX (QUAL=1) | - | System overload, or for INTER='1' the called party is engaged or will not interact at this time and the TSC has not queued the call; request rejected. |
|---------------|---|--|
| ACKV (QUAL=0) | - | Called unit not in radio contact or call set-up abandoned. |
| ACKV (QUAL=1) | - | Call not queued because the called party is unable to receive a call with the required facilities, e.g. the called radio unit does not support HADT or interaction or cannot accept the requested PORT. |

If the TSC has previously accepted a diversion request RQT requesting that this type of call be redirected to another party, then it shall send ACKT(QUAL=0) with PFIX/IDENT2 as the calling unit's individual address and:

- a. IDENT1 as the diversion ident, or
- b. IDENT1 as a gateway (viz. IPFIXI, PSTNGI, or PABXI); in this case, the diversion address follows in concatenated data codeword(s); see 5.5.2.1.

(On receiving ACKT, the radio unit will either return to the idle state or re-attempt access by calling the diversion address - see 17.1.2.1.4.)

17.1.1.1.5 Call cancellation by calling party

A calling radio unit may cancel a requested standard data call by generating an RQX message (see 5.5.3.1.3), complying with the appropriate random access protocol. On receiving an RQX message cancelling a standard data call, the TSC shall send a response: ACK(QUAL=1), with the same prefix and idents as the RQX.

17.1.1.1.6 Queue time-out

The TSC may order its queue of standard data calls (non-priority and priority, between any parties) in any way acceptable to the system operator.

The TSC may operate a time-out on the maximum time for which it queues a standard data call. See also 17.1.2.1.7.

The TSC may instruct a calling radio unit to restart its waiting timer, by sending the AHY message with bit POINT set to '1'. If a time TW, (control channel) or TDW (data channel), minus the tolerance on the radio unit's timer, elapses since the last call set-up message it received for a standard data call (from the calling unit), the TSC shall not send on this channel any further call set-up messages to the calling unit for this call. (It may send AHYX to inform a called radio unit that the call will not take place - see 17.1.1.1.9.)

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 236 of 295

17.1.1.1.7 Establishing a data link with a calling party

A calling radio unit's request message indicates whether it is able to operate at the customised transmission rate. If the customised rate is not acceptable to both the calling unit and the TSC, then the TSC shall default to the standard rate. The TSC shall not specify a rate which is different from one in current use on the allocated channel.

For an interactive call, the TSC may establish the data link with the calling party only when it has ascertained that the called party or network gateway can accept the call (see 17.1.1.1.8 for checking call acceptance by a radio unit).

The TSC sends the Go To Transaction message GTT (on the channel on which the RQD was received). The TSC may repeat the GTT command.

The GTT message specifies:

i) The data channel number for the transaction.

For a call set-up GTT sent on a data channel, this shall be the number of that same data channel. (Whereas the TSC procedures for in-call transfer are specified in section 17.2.5.1.)

ii) The transmission rate to be used.

For a call set-up GTT sent on data channel, this shall specify the rate currently used on that channel.

iii) A transaction number TRANS for use on that data channel.

For GTT sent on a control channel, the TSC may set TRANS to a dummy value '0000000000'. In this case, it shall send further GTT message(s) on the specified data channel to designate a valid transaction number. The calling party will wait for a time TDG for a data channel GTT - see 17.1.2.3.4c. Accordingly, it is recommended that the TSC sends any data channel GTTs for the call within a period TDG (minus the tolerance on the radio unit's timer) following the first control channel GTT.

When establishing a data link with a calling radio unit, the TSC shall set bit O/R in the GTT message to 'l'.

17.1.1.1.8 Availability/rate check on individually called radio unit

A TSC which wishes to set up a standard data call to a radio unit shall, before establishing the called party link, check whether the called unit can accept the call.

The TSC checks availability of a called radio unit for standard data, and asks whether the unit can accept the customised transmission rate, by sending the AHYD message. This message may be sent on either a control channel or data channel as appropriate. In the AHYD message:

- INTER indicates whether interactive contact is required,

- bit E indicates whether the call is urgent,

Page 17-18

•

- PORT is the called port,
- PFIX/IDENT1 is the called unit's individual address,
- IDENT2 is the calling ident or short-form ident appropriate to the calling terminal or gateway, and
- HADT is set as appropriate.

If IDENT2 = IPFIXI, the TSC may append a data codeword containing the calling unit's address; if so, it shall set bit AD in the AHYD to '1'.

The AHYD message demands a response from the called unit (see 17.1.2.3.1 or 17.1.2.4.3). If the response is ACKX or ACKV(QUAL=1), the TSC may send appropriate acknowledgement(s) to a calling radio unit (if the calling unit is still in the state of waiting for call set-up signalling for this call). If the TSC does not successfully decode a response, or if the response is ACKB(QUAL=1), it may repeat the AHYD message. If the called unit cannot be contacted, the TSC may indicate the failure to a waiting calling unit by sending ACKV(QUAL=0).

Acknowledgement ACK(QUAL=0) contains a bit, MODEM, which indicates whether the customised transmission rate is acceptable to the unit (see 5.5.2.2).

17.1.1.1.9 Informing called party of call cancellation

If an individual call is cancelled then the TSC may inform a called radio unit by sending the AHYX message with PFIX/IDENT1 as the called unit's address and IDENT2 as the calling ident or gateway. The TSC may repeat the AHYX message if it is not acknowledged by an ACK(QUAL=1) message from the called unit (see 17.1.2.3.2 or 17.1.2.4.4).

17.1.1.1.10 Sending AHYD to a group or ALLI

After receiving a request for a standard data call to a group (or to all units in the system), the TSC may send the AHYD message to announce:

- whether it is an emergency call (bit E),
- the called PORT,
- the calling or short-form ident or gateway (IDENT2), and
- whether HADT will be used.

For a request for a group call with INTER set to '1', or if PORT information is needed by called radio units, use of this message is recommended.

The AHYD message may be sent on either a control channel or data channel as appropriate. It may be repeated,

For an interprefix call, the TSC may append a data codeword containing the calling unit's address; if so, it shall set bit AD in the AHYD to '1'.

Page 17-19

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 238 of 295

On receipt of the AHYD message, group members do not respond but may wait for a time TA (on a control channel) or TDA (on a data channel) for the corresponding GTT message (see 17.1.2.3.3 or 17.1.2.4.5). Accordingly, it is recommended that, on this channel:

- a) The TSC sends any GTT messages for the call within a period TA/TDA (less the tolerance on the radio unit's timer) following the first transmitted AHYD message.
- b) The TSC does not send any GTT messages for a different call to the same group address within a period TA/TDA (plus tolerance) following the last AHYD for this call. (Note that some radio units may miss the GTT messages for this call.)

17.1.1.1.11 Establishing data link with called party

The TSC establishes a data call link with a called radio unit or group by sending the Go To Transaction message GTT with bit O/R set to '0'. It may repeat the GTT command.

The GTT message specifies:

i) The data channel number for the transaction.

For a call set-up GTT sent on a data channel, this shall be the number of that same data channel.

ii) The transmission rate to be used.

For a call set-up GTT sent on a data channel, this shall specify the rate currently used on that channel.

For GTT sent on a control channel to a group, the method for the TSC to choose an appropriate transmission rate is system-dependent.

iii) A transaction number TRANS for use on the data channel.

For GTT sent on a control channel, the TSC may set TRANS to a dummy value '0000000000'. In this case, it shall send further GTT message(s) on the specified data channel to designate a valid transaction number. Called party(ies) will wait for a time TDG for a data channel GTT (or for an AHYD for another call) - see 17.1.2.3.4c. Accordingly, it is recommended that the TSC sends any data channel GTTs for the call within a period TDG (minus tolerance) following the first control channel GTT; and does not send any data channel GTT messages for a different call to the same group address within a period TDG (plus tolerance) following the last control channel GTT.

Page 17-20

17.1.1.2 TSC Procedures for Setting Up Emergency Standard DataCall Links

17.1.1.2.1 Response to an emergency standard data request

A radio unit requests an emergency standard data call by generating an RQD(E=1) message, on a control channel or data channel, complying with the appropriate normal random access protocol (unless it has other arrangements with the system). On receiving an RQD(E=1) message, the TSC shall send a response: ACKE(QUAL=0) with the same prefix and idents as the RQD. For maximum permissible delay, see 7.2.4 or 17.2.1.1.4.

ACKE(QUAL=0) is sent only as a response to an RQE or RQD(E=1) message; it is an intermediate acknowledgement, indicating that further signalling will follow.

17.1.1.2.2 Signalling for previous call

After receiving an RQD(E=1) message, the TSC shall not send any further call set-up messages to the calling unit for any previous call requested by that unit (though, for a traffic channel or standard data call, it may send AHYX to inform a called radio unit that the call will not take place).

17.1.1.2.3 Obtaining extended address information

After receiving and responding to an extended addressing RQD(E=1) message, the TSC may demand the full called address information from the calling radio unit by sending the AHYC message (as in 17.1.1.1.3).

17.1.1.2.4 Acknowledgements sent to indicate progress of call

After receiving and responding to an RQD(E=1) message, the TSC may send acknowledgements ACKI(QUAL=1), ACKQ, ACKX, ACKV or ACKT(QUAL=0) to the waiting calling unit to indicate the progress of the call (as in 17.1.1.1.4).

17.1.1.2.5 Call cancellation by calling party

A calling radio unit may send an RQX message to cancel its emergency standard data call. The TSC procedures are as defined in 17.1.1.1.5.

17.1.1.2.6 TSC time-out

The TSC may instruct a calling radio unit to restart its waiting timer by sending the AHY message with bit POINT set to '1' (and bit E set to '1'). If a time TW/TDW, minus the tolerance on the radio unit's timer, elapses since the last call set-up message it received for an emergency data call (from the calling unit), the TSC shall not send on this channel any further call set-up messages to the calling unit for this call. (It may send AHYX to inform a called radio unit that the call will not take place.) See also 17.1.2.2.6.

17.1.1.2.7 Availability/rate check on individually called radio unit

This check shall be made as specified in 17.1.1.1.8.

17.1.1.2.9 Establishing data links

If all standard data channels (or transaction numbers) are fully occupied then the TSC may terminate another data call prematurely in order to establish an emergency call.

The procedures for establishing data links are as detailed in sections 17.1.1.1.7 and 17.1.1.1.11.

17.1.2 Radio Unit Procedures for Establishing Standard Data Calls

Section 17.1.2.1 defines the procedures for requesting non-emergency standard data calls and section 17.1.2.2 defines the procedures for emergency standard data calls. Sections 17.1.2.3 and 17.1.2.4 define related procedures for all radio units on control and data channels.

17.1.2.1 Procedures for Radio Units Requesting Standard Data Calls

A radio unit shall use short addressing for calls to other radio units with the same prefix, or, by prearrangement with the system, to a limited number of PSTN and PDN destinations. A radio unit also shall use short addressing for general calls via any PDN gateway offered by the TSC, in which case the full addressing is then accomplished on the allotted data channel in the format appropriate to that gateway.

A radio unit shall make only one call set-up attempt at a time (except in emergency); while attempting access or waiting for further call set-up signalling for its standard data call, Unless the user first cancels the original call the unit shall not request another non-emergency call of any type.

17.1.2.1.1 Request for a non-emergency standard data call

A radio unit requests a non-emergency standard data call by sending an RQD(E=0) message, on a control channel or data channel, complying with the appropriate random access protocol (see 7.3 or 17.2.1.2). The fields in the RQD message shall be set appropriately (see 5.7.1); however, note particularly that:

a. An extended addressing request is indicated by setting IDENT1 in the RQD message to the appropriate gateway viz. IPFIXI, PSTNGI, and PABXI.

Note that:

extended addressing procedures are used for a call to a PABX extension. 'Short' PABX procedures are not supported for standard data calls, and

Page 17-22

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 241 of 295

if a PDN entry point is to be reached via an intermediate network then the appropriate intermediate gateway is set in IDENT1 and further addressing is accomplished on the data channel in the format appropriate to that gateway.

- b. The FAD field shall be set to 'O' unless the PABX/PSTN destination address contains more than 9 digits.
- c. Field PORT indicates the required called port.
- d. Bit INTER is set to '1' if the calling party requires interactive contact with the called party.
- e. Bit LEVEL indicates whether the calling party is requesting high priority for resources. For INTER = '1', this requests high priority for the complete path to the called party; for INTER = '0', it requests high priority only for the calling unit's link to the TSC.
- Bit MODEM indicates whether the unit is able to operate at the customised transmission rate.
- g. Bit HADT shall be set to 'l' if high accuracy data transfer is thought to be supported by the TSC and is required.

The radio unit shall attempt access until:

- i) it receives a valid response (see 17.1.2.1.2/3), or
- ii) its user cancels the call (see 17.1.2.1.8), or
- iii) the access attempt fails (i.e. the unit has sent the maximum number of transmissions NR/NDR and received no response, or its access time-out TC/TDC has expired (see 7.3.8 or 17.2.1.2.7)).
 - In this case:
 - If the unit has not sent a request, it shall return to the state previous to the access attempt (and may indicate the failure to the service user).
 - Otherwise, the unit shall wait for further call set-up signalling for the call; see 17.1.2.1.4 to 17.1.2.1.7.

17.1.2.1.2 Valid responses to short addressing RQD(E=0)

For a short addressing call, the calling unit shall accept the following messages as a valid response to its RQD and send no more requests:

- a. An acknowledgement ACKI(QUAL=1), ACKQ, ACKX or ACKV, with the same prefix and idents as the RQD.
- b. An acknowledgement ACKT(QUAL=0) with PFIX/IDENT2 as its individual address.

Page 17-23

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 242 of 295

c. A Go To Transaction message GTT with PFIX/IDENT as its individual address, bit O/R set to '1', an acceptable RATE and, for a request on a data channel, CHAN set to the number of that data channel.

For other actions on receiving these messages, see sections 17.1.2.1.4 and 17.1.2.1.6, and 17.1.2.3.4 or 17.1.2.4.6.

17.1.2.1.3 Valid responses to extended addressing ROD(E=0)

For an extended addressing call, the calling unit shall accept the following messages (with the same prefix and idents as the RQD) as a valid response to its RQD and send no more requests:

- a. An acknowledgement ACKI(QUAL=1), ACKV(QUAL=0) or ACKX.
- b. AHYC (i.e. an instruction to send the full called address information).

For other actions on receiving these messages, see sections 17.1.2.1.4 and 17.1.2.1.5.

17.1.2.1.4 Acknowledgement received

If a radio unit attempting access or waiting for further call set-up signalling for a standard data call receives an appropriate acknowledgement then it shall take action as indicated below. (For extended addressing calls, only ACKI(QUAL=1), ACKV(QUAL=0) and ACKX are appropriate until the full address information has been sent.) For idents, see 5.5.2.1.

| ACKI | (QUAL=1) | - | Intermediate acknowledgement; more signalling to follow. |
|------|----------|----------------|---|
| ACKQ | (QUAL=0) | - | All data channels are busy. Wait for further signalling. |
| ACKQ | (QUAL=1) | - . | Called party engaged. Wait for further signalling. |
| АСКХ | (QUAL=0) | - | Call adjudged to be invalid by TSC or called party; request rejected. |
| ACKX | (QUAL=1) | - | System overload, or for INTER='1' the called party is engaged or will not interact at this time, and the TSC has not queued the call; request rejected. |
| ACKV | (QUAL=0) | - | Called unit not in radio contact or call set-up abandoned. |
| ACKV | (QUAL=1) | - | Call not queued because the called party is unable to receive a call with the required facilities, e.g. the radio unit does not support HADT or interaction or cannot accept the requested PORT. |
| ACKT | (QUAL=0) | - | Called party's calls have been diverted. |

If ACKI(QUAL=1) or ACKQ is received, the unit shall wait for further signalling and may indicate to the service user the progress of the call.

Page 17-24

•

If ACKX or ACKV is received, the unit shall return to the state previous to the call request and may indicate to the service user the reason for the failure of the call; it is recommended that receipt of ACKV(QUAL=0), ACKV(QUAL=1), ACKX(QUAL=0), and ACKX(QUAL=1) each be indicated in its own distinct manner.

If a complete ACKT(QUAL=0) message is received, the unit shall either:

- return to the state previous to the call request (and may indicate to the service user that the called party's calls have been diverted), or
- b. wait for a time (TB on the control channel, TDB on the data channel) (see below), and then attempt a new call to the diversion address given in the ACKT message:
 - if IDENT1 does not equal IPFIXI or PSTNGI or PAEXI, try on IDENT1;
 - if IDENT1 = IPFIXI or PSTNGI or PABXI, try the alternative called party given in the appended data codeword(s).

Note that ACKT(QUAL=0), with IDENT1 = IPFIXI and an appended data codeword, indicates either an interprefix diversion address or that the diversion address is of a different type from the original called address. Flag GF in the appended data codeword specifies whether the diversion address is an individual or group address; see 5.5.2.1.

If an incomplete ACKT(QUAL=0) message is received (i.e. if not all the appended data codewords are decodeable), then:

i) If the unit does not require the diversion address, it shall return to the previous state (and may give an indication to the service user).

ii) If the unit does require the diversion address then:

- if still attempting access for the call, it shall ignore the message and continue to attempt access;
- otherwise it shall wait for a repeat ACKT, returning to the previous state if a time TB/TDB elapses (in which case, it may indicate the failure to the service user).

After receiving ACKX or ACKV for the call, the unit shall not request another non-emergency call of any type to the same called ident (or gateway) for at least a time TB/TDB. After receiving ACKT for the call, the unit shall not request another non-emergency call of any type for at least a time TB/TDB.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 244 of 295

17.1.2.1.5 Instruction to send address information

A radio unit that is requesting an extended addressing standard data call on a control channel shall follow the procedure in 9.2.2.1 for sending the full address information, but with a revised check on the SLOTS field from the AHYC. The check that "SLOTS corresponds to the request", for an extended addressing data call, shall be taken as:

The procedure for sending extended addressing information for a data call requested on a data channel is specified in section 17.1.2.4.1.

17.1.2.1.6 Availability check and channel command for own call

A calling radio unit attempting access or waiting for further call set-up signalling for a standard data call shall obey the appropriate availability check and channel command procedures (see 9.2.2.2 to 9.2.2.5 and 17.1.2.3.1 to 17.1.2.3.4, or 17.1.2.4.2 to 17.1.2.4.6).

It shall assume that a GTT message it receives is for its requested standard data call if PFIX/IDENT is its individual address, bit O/R is set to 'l', RATE is acceptable and, for a GTT on a data channel, CHAN is set to the number of that data channel. If also TRANS > '0000000000' then the unit shall regard the call link as established and may give an indication to the service user.

17.1.2.1.7 Time-out after waiting

A calling radio unit waiting for further call set-up signalling on the channel on which it attempted access for a standard data call shall return to the previous state if a time TW/TDW has elapsed since the last message it sent for the call, viz.

RQD, requesting the standard data call (see 17.1.2.1.1)

or SAMIS, providing extended address information for the call (see 17.1.2.1.5)

or ACK(QUAL=0), sent in response to an AHY message with bit POINT = 1 and IDENT1 as the called ident or gateway (see 9.2.2.3 or 17.1.2.4.2).

It may also indicate the failure to the service user.

Page 17-26

17.1.2.1.8 Call cancellation

If the service user wishes to cancel the call, and the unit has not yet sent an RQD, then it shall return immediately to the previous state. Otherwise, if the unit has sent an RQD, it shall attempt to send a call cancellation request RQX (see 5.5.3.1.3), complying with the appropriate random access protocol. It shall attempt access until one of the following occurs:

- a. It receives ACK(QUAL=1), with the same prefix and idents as the RQX, confirming cancellation of the call.
- b. It receives ACKX, ACKV or ACKT(QUAL=0) for the call it is attempting to cancel. See also 17.1.2.1.4.
- c. It receives a GTT message for the call it is attempting to cancel; in this case, it shall obey the GTT procedure (see 17.1.2.3.4 or 17.1.2.4.6), though it may then terminate the transaction.
- d. It has sent the maximum number of transmissions NR/NDR and received no response, or it's access time-out TC/TDC has expired. In this case, it shall return to waiting for signalling for the standard data call (see 17.1.2.1.4 to 17.1.2.1.7).

In cases a. and b., the unit shall return to the previous state.

17.1.2.2 Procedures for Radio Units Requesting Emergency Standard Data Calls

A radio unit shall make only one emergency call set-up attempt at a time. While attempting access or waiting for further call set-up signalling for an emergency request, the unit shall not request another call of any type (unless the user first cancels the original call). It may make an emergency call at any other time. For example, it may interrupt a non-emergency call set-up attempt to request an emergency call; in this case it shall abandon the previous call attempt (without sending RQX).

17.1.2.2.1 Request for an emergency standard data call

A radio unit requests an emergency standard data call by sending an RQD(E=1) message on a control channel or data channel. The fields in the RQD message shall be set appropriately (see 5.7.1). Some TSCs may permit more than one emergency random access transmission in a frame; however, unless the radio unit knows the retry rate permitted by the TSC, it shall comply with the appropriate normal random access protocol - see 7.3 or 17.2.1.2.

The unit shall attempt access until it receives a valid response (see 17.1.2.2.2), or until its user cancels the call (see 17.1.2.2.7), or until the access attempt fails (i.e. the unit has sent the maximum number of transmissions (NE for the control channel, NDE for the data channel) and received no response, or its access time-out TC/TDC has expired). In the case of access failure, if the unit has not sent a request, it shall return to the previous state (and may indicate the failure to the service user); otherwise, it shall wait for further call set-up signalling for the call - see 17.1.2.2.3 to 17.1.2.2.6.

Page 17-27

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 246 of 295

17.1.2.2.2 Responses to ROD(E=1)

The calling unit shall accept the following messages (with the same prefix and idents as the RQD) as a valid response to its emergency RQD and send no more requests:

An acknowledgement ACKE(QUAL=0).

b. An AHYD message with bits POINT and E set to '1'.

It shall then wait for further signalling for the call. See also section 9.2.2.3 or 17.1.2.4.2.

17.1.2.2.3 Sending extended address information

For an extended addressing emergency standard data call, after receiving ACKE(QUAL=0) or AHY(POINT=1,E=1) for its call, the calling unit shall send the full called address information on receipt of an appropriate AHYC; see section 17.1.2.1.5.

Until it receives ACKE(QUAL=0) or AHY(POINT=1,E=1), the unit shall respond to Mode 1 AHYC messages with ACKX(QUAL=0).

17.1.2.2.4 Acknowledgements indicating progress of call

After receiving ACKE(QUAL=0) or AHY(POINT=1,E=1) for its emergency standard data call, the waiting calling unit shall take appropriate action on receiving further acknowledgements -ACKI(QUAL=1), ACKQ, ACKX, ACKV or ACKT(QUAL=0) - as detailed in section 17.1.2.1.4.

Until it receives ACKE(QUAL=0) or AHY(POINT=1,E=1), the unit shall ignore other acknowledgements.

If it receives ACKE(QUAL=0) for the call then the unit shall wait for further signalling.

17.1.2.2.5 Availability check and channel command for own call

A calling radio unit attempting access or waiting for further call set-up signalling for an emergency standard data call shall obey the availability check procedures (see 9.2.2.2 to 9.2.2.4, 17.1.2.3.1 and 17.1.2.3.2, or 17.1.2.4.2 to 17.1.2.4.4).

The unit shall also obey the channel allocation procedures (see 9.2.2.5 and 17.1.2.3.4 or 17.1.2.4.6). Note particularly that:

- i) On a control channel:
 - a. If the unit has not received ACKE(QUAL=0) or AHY(POINT=1,E=1) for its emergency call, it shall ignore all GTT and GTC messages.
 - b. After receiving ACKE(QUAL=0) or AHY(POINT=1,E=1) for its emergency call, the unit shall obey a GTT or GTC message only if it is individually addressed by the GTT or GTC.

Page 17-28

•

•

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 247 of 295

ii) On a data channel:

If the unit has not received ACKE(QUAL=0) or AHY(POINT=1,E=1) for its emergency call, it shall ignore individually addressed GTT messages with bit O/R set to '1'.

See rule 1 of sections 9.2.2.5 and 17.1.2.3.4, or section 17.1.2.4.6b.

After receiving ACKE(QUAL=0) or AHY(POINT=1,E=1) for a short addressing call or after sending the full address information for an extended addressing call, the unit shall assume that a GTT message it receives is for its requested call if PFIX/IDENT is its individual address, bit O/R is set to '1', RATE is acceptable and, for a GTT on a data channel, CHAN is set to the number of that data channel. If also TRANS > '0000000000' then the unit shall regard the call link as established and may give an indication to the service user.

17.1.2.2.6 Time-out after waiting

A calling radio unit waiting for further call set-up signalling on the channel on which it attempted access for an emergency standard data call shall return to the previous state if a time TW/TDW has elapsed since the last message it sent for the call, viz.

RQD(E=1), requesting the emergency call (see 17.1.2.2.1) or SAMIS, providing extended address information for the call or ACK(QUAL=0), sent in response to an AHY message with POINT = 1, E = 1 and IDENT1 as the called ident or gateway. It may also indicate the failure to the service user.

17.1.2.2.7 Other procedures

- A calling radio unit waiting for an emergency standard data call may attempt to cancel the call by sending a call cancellation request RQX. The procedures are as defined in 17.1.2.1.8 for cancelling non-emergency data calls.
- b. The procedures on the data channel are as defined in 17.2.

17.1.2.3 Related Procedures for All Radio Units on a Control Channel

These procedures shall be obeyed by all radio units that are equipped to send or receive standard data.

A radio unit attempting access or waiting for further signalling for a call may be sent a data availability check message AHYD or Go To Transaction message GTT for an incoming call (see 17.1.2.3.1A and 17.1.2.3.4). Note that:

i) The unit can reject an incoming individual standard data call by sending ACKV(QUAL=1) in response to the AHYD message.

Page 17-29

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 248 of 295

ii) A radio unit is required to obey individually addressed GTT messages and system-wide calls (except in emergency), though it may ignore group call GTTs. However, if making a call of its own, the unit is required to ignore GTT messages for incoming group calls; see 17.1.2.3.4. This rule applies also to a unit that has received an AHY or AHYD message for an incoming individual traffic channel or data call and responded with ACK(QUAL=0) or ACKI(QUAL=0).

iii) If a unit receives and obeys a GTT message not for its own call, it returns to its previous state at the end of the incoming call, unless the time-out (e.g. TW or TDW) on the previous state has expired.

17.1.2.3.1 Data availability/rate check on individually called radio unit

If a radio unit on a control channel receives an AHYD message with PFIX/IDENT1 matching its individual address then it shall respond with the appropriate acknowledgement (see below), with the same prefix and idents as the AHYD. If bit AD = 0 in the AHYD message, the unit shall respond in the slot following the AHYD address codeword; if bit AD = 1, a data codeword is appended (containing the calling address) and the unit shall respond in the slot following the data codeword. For timing, see 6.2.1.3.

A) Incoming standard data call : IDENT2 not equal to DUMMYI

The unit shall send one of the following acknowledgements:

ACKX (QUAL=0) if it is not equipped to accept standard data calls at least from this calling party.

ACKX (QUAL=1) if it cannot accept this standard data call at this time (e.g. its data store is full or interaction has been requested but is not immediately possible).

ACKV (QUAL=1) if it does not support one or more of the requested facilities, i.e. does not support HADT or interaction or cannot accept the wanted PORT.

ACKE (QUAL=1) if AD = 1 in the AHYD message but the appended data codeword was not decodeable and the unit requires the message to be retransmitted.

ACK (QUAL=0) if it is available for a standard data call of this type; in this case, the unit shall set bit MODEM to indicate whether it is able to operate at the customised transmission rate; see 5.5.2.2.

The unit may indicate to its user the caller (by reference to PFIX/IDENT2 from the AHYD message or PFIX2/IDENT2 from the data codeword) and whether interaction is required, and whether the incoming call is an emergency call (by reference to bit E from the AHYD).

Note that, unlike AHY for traffic channel calls, there is no option for the radio unit to respond to AHYD with an intermediate acknowledgement ACKI(QUAL=0), followed by use of a called party answer mechanism; the unit must either accept or reject the data call. (If the data equipment is not ready immediately, the radio unit could receive and buffer the first data

Page 17-30

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 249 of 295

transmission(s), and then introduce a pause using the Plow Control mechanisms on the data channel.)

After receiving an AHYD message for an incoming individual standard data call and responding with ACK(QUAL=0), the unit shall ignore:

- group call GTC messages as specified in section 9.2.2.5 rule 2 or 3,

- group call GTT messages as specified in section 17.1.2.3.4 rule 2 or 4,

until either:

 a. it receives a channel command for the incoming data call (i.e. a GTT message with PFIX/IDENT as its individual address, bit O/R set to '0' and an acceptable RATE), or

b. it assumes that the call will not take place; see 17.1.2.3.2.

If, while waiting for an incoming individual standard data call, a radio unit receives a repeat AHYD then it shall send the appropriate acknowledgement; also, for ACK(QUAL=0), it shall restart its timer TA/TDA (see 17.1.2.3.2).

If, while waiting for an incoming traffic channel call (having sent ACK(QUAL=0) or ACKI(QUAL=0) in response to an AHY message), a radio unit receives an AHYD for an incoming individual standard data call, the unit shall abandon the old call and obey the AHYD; also, if currently attempting an "off-hook" or "on-hook" RQQ transaction for the original call, it shall abandon the RQQ transaction - see 13.1.2.

B) "No-call" test availability check : IDENT2 = DUMMYI

The unit may indicate that it is not suitably equipped by sending ACKX(QUAL=0). Otherwise it shall send ACK(QUAL=0).

- ACKX (QUAL=0) The unit could not at any time accept a standard data call with all the specified facilities.
- ACK (QUAL=0) Unit is in radio contact and is suitably equipped to support the particular parameter settings in the AHYD. Bit MODEM indicates whether it is able to operate at the customised transmission rate.

17.1.2.3.2 Cancelling waiting state of individually called radio unit

A radio unit that has received an ANYD message for an incoming individual standard data call (see 17.1.2.3.1A), and responded with ACK(QUAL=0), shall assume that the call will not take place if one of the following occurs:

a. It has not received a GTT message for the call at a time TA/TDA after the last ACK(QUAL=0) it sent in response to an AHYD for the call.

Page 17-31

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 250 of 295

- b. It receives an AHYX message with the same prefix and idents as the AHYD. (The unit shall respond in the next slot with ACK(QUAL=1), as required by section 9.2.2.4.)
- c. It receives an AHYD message checking its availability for a different incoming individual standard data call (i.e. bit E and/or the calling address and/or the PORT is different from the original AHYD).
- d. It receives an AHY message checking its availability for an incoming traffic channel call.

The unit may indicate to the service user that the expected data call will not take place. In cases a. and b., the unit shall note that:

- rule 2 or 3 of section 9.2.2.5, and

- rule 2 or 4 of section 17.1.2.3.4

(requiring the unit to ignore GTC/GTT messages for incoming group calls) no longer apply. In case c., the unit shall obey the procedures in 17.1.2.3.1A for the new call. In case d., the unit shall obey the procedures in 9.2.2.2A for the new call.

17.1.2.3.3 Receiving AHYD message addressed to a group or ALLI

If a radio unit on a control channel receives an AHYD message with

PFIX/IDENT1 matching any of its group addresses for this system or

IDENT1 set to the system-wide all-call ident ALLI

then it may accept the call information contained in the AHYD codeword, but shall transmit no response. The unit may then assume that the next GTT(O/R=0) message for this respective group or ALLI address received on this channel within the following time TA/TDA corresponds to the:

- calling address (PFIX/IDENT2 or PFIX2/IDENT2 from an appended data codeword)
- ii) E bit
- iii) PORT

announced by the AHYD message.

Page 17-32

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 251 of 295

If the unit has not received a GTT(O/R=0) message at a time TA/TDA after the last received AHYD for the call, or if it receives an AHYD message for different call to this address, then it may assume that the expected call will not take place.

17.1.2.3.4 Data channel assignment

A radio unit on a control channel shall check all GTT messages it receives to see whether the message is addressed to it, that is, whether:

PFIX/IDENT from the GTT message matches its individual address or

PFIX/IDENT matches any of its group addresses for this system or

IDENT is the system-wide all-call ident ALLI.

If the GTT message is addressed to it, and it is able to receive standard data at the transmission rate specified by field RATE, the unit shall use the appropriate rule below to decide whether to obey the command:

 If the unit is making an emergency call (RQE or RQD(E=1)) and has not received ACKE(QUAL=0) or AHY(E=1) for its call, it shall ignore the GTT message.

If the unit is waiting for further signalling for its emergency call, after receiving ACKE(QUAL=0) or AHY(E=1) for the call, it shall obey the GTT message if and only if it is individually addressed by the GTT (i.e. its individual address is PFIX/IDENT).

2. Otherwise:

If the unit is waiting for an incoming individual emergency call (see 9.2.2.2A and 17.1.2.3.1A), it shall obey the GTT message if and only if it is individually addressed by the GTT.

Otherwise:

If the unit is attempting access or waiting for further signalling for a non-emergency call or transaction, it shall obey the GTT message if and only if:

it is individually addressed by the GTT,

or IDENT is set to ALLI and the unit knows that it is not the calling unit (i.e. it is not making a system-wide standard data call or has received an AHYD message indicating another caller - see 17.1.2.3.3).

4. Otherwise:

If the unit is waiting for an incoming non-emergency individual traffic channel or data call (see 9.2.2.2A and 17.1.2.3.1A), it shall obey the GTT message if and only if:

it is individually addressed by the GTT,

or IDENT is set to ALLI (unless the unit has received an AHYD message indicating that it was the calling party in the call).

Page 17-33

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 252 of 295
Otherwise (i.e. if not waiting for any call or transaction):

The unit shall obey the GTT message, unless:

IDENT is set to ALLI and the unit has received an AHYD message indicating that it was the calling party in the call

- or PFIX/IDENT is one of the unit's group addresses and the unit cannot or does not wish to accept this call, for example:
 - the service user does not wish to receive group calls, or
 - the unit has received an AHYD message for this group address indicating that it was the calling party in the call, or
 - the unit has received an AHYD message for this group address indicating an unacceptable calling party or PORT, or
 - the unit has not received an AHYD message for this group address and it needs the AHYD information for reliable operation (e.g. some calls to this address are of normal accuracy whilst others employ HADT).

If the unit is required to obey the GTT command, it shall perform the following actions:

a. The unit shall tune to the designated forward channel and shall be able to receive on the data channel within 35 ms after the end of the GTT message.

It shall be prepared to receive signalling at the transmission rate specified by field RATE in the GTT message.

b. If bit O/R from the GTT message is set to 'l', the unit shall note that it is the calling party. Otherwise it is a called party.

Note that, if the unit is a called party and is waiting for an incoming standard data call for this address (see 17.1.2.3.1 and 17.1.2.3.3), then it may take the PORT and the calling address (if fully supplied) from the AHYD message.

- c. The unit shall note PFIX, IDENT and TRANS from the GTT message.
 - i) For TRANS > '0000000000', the unit shall expect to receive signalling on the data channel for this transaction number.
 - ii) For TRANS = '0000000000', the unit shall expect to receive a further GTT message on the data channel to assign a transaction number for the link. The unit shall assume that the next GTT message, containing this address, bit O/R and bit RATE, and with CHAN equal to the number of the data channel, received within the following time TDG, corresponds to this call - see 17.1.2.4.6a.

Page 17-34

If a calling unit has not received the expected GTT(O/R=1) message on the data channel at a time TDG after the control channel GTT, then it shall return to the idle state on the control channel and may indicate the call failure to the service user.

If a called unit has not received the expected GTT(O/R=0)message on the data channel at a time TDG after the control channel GTT, or if it receives an individually addressed AHYD message for a different call to this address, then it shall assume that the expected call will not be received and may give an indication to the service user.

d. The unit shall note the channel number of the control channel.

It may also give an indication of the event to the service user.

If the unit does not obey a GTT message, and the designated data channel is the control channel on which the message was received, then the unit shall enter the control channel acquisition procedures.

17.1.2.4 Related Procedures for All Radio Units on a Data Channel

These procedures shall be obeyed by all radio units which are equipped to request or receive calls on a data channel. (Other procedures for radio units on a data channel are included in sections 17.2.)

17.1.2.4.1 Instruction to send extended address information

This procedure shall be obeyed by all radio units that are equipped to request extended addressing standard data calls.

If a radio unit on a data channel receives an AHYC message with PFIX/IDENT2 matching its individual address then it shall either send address information or transmit ACKX(QUAL=0), as indicated below. For timing on a 1200 bit/s data channel, see section 6.2.1.3.

If the unit has sent an extended addressing RQD(E=0) request, or has received ACKE or AHY(E=1) for an extended addressing RQD(E=1)

and IDENT1 matches IDENT1 from the request

and DESC is appropriate to IDENT1 (see 5.5.3.2.8)

- and SLOTS corresponds to the request
- (i.e. if IDENT1 = PSTNGI and unit's call requires > 9 PSTN digits then SLOTS = '10'

if IDENT1 = PSTNGI and unit's call requires < 10 PSTN digits then SLOTS = '01' or '10'

Page 17-35

if IDENT1 is not equal to PSTNGI then SLOTS = '01')

then it shall transmit the full called address information, conforming to the codeword formats defined in section 5.6.1.2.2 (SAMIS, Mode 1).

Otherwise

the unit shall transmit ACKX(QUAL=0), with the same prefix and idents as the AHYC.

17.1.2.4.2 Data availability/rate check on individually called radio unit

If a radio unit on a data channel receives an AHYD message with PFIX/IDENT1 matching its individual address then it shall respond with the appropriate acknowledgement (see below), with the same prefix and idents as the AHYD. If bit AD = 0 in the AHYD message, the unit shall respond in the slot following the AHYD address codeword; if bit AD = 1, a data codeword is appended (containing the calling address) and the unit shall respond in the slot following the data codeword. For timing on a 1200 bit/s data channel, see 6.2.1.3.

A) Incoming standard data call : IDENT2 not equal to DUMMYI

The unit shall send one of the following acknowledgements:

ACKX (QUAL=0) if it is not equipped to accept standard data calls from this calling party.

ACKX (QUAL=1) if it cannot accept this standard data call at this time (e.g. it cannot process concurrent calls or its data store is full or interaction has been requested but is not immediately possible).

ACKV (QUAL=1) if it does not support one or more of the requested facilities, i.e. does not support HADT or interaction or cannot accept the wanted PORT.

ACKB (QUAL=1) if AD = 1 in the AHYD message but the appended data codeword was not decodeable and the unit requires the message to be retransmitted.

ACK (QUAL=0) if it is available for a standard data call of this type; i.e. it can support the particular parameter settings of the AHYD. In this case, the unit shall set bit MODEM to the value appropriate for that channel; see 5.5.2.2.

The unit may indicate to its user the caller (by reference to PFIX/IDENT2 from the AHYD message or PFIX2/IDENT2 from the data codeword) and whether interaction is required, and whether the incoming call is an emergency call (by reference to bit E from the AHYD).

After receiving an AHYD message for an incoming individual standard data call and responding with ACK(QUAL=0), the unit shall wait for a GTT message for the call (i.e. a GTT message with PFIX/IDENT as its individual address,

Page 17-36

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 255 of 295

bit O/R set to '0', an acceptable RATE and CHAN set to the number of this data channel), or until it assumes that the call will not take place (see 17.1.2.4.4).

If, while waiting for an incoming individual standard data call, a radio unit receives a repeat AHYD then it shall send the appropriate acknowledgement; also, for ACK(QUAL=0), it shall restart its timer TA/TDA.

B) "No-call" test availability check : IDENT2 = DUMMYI

The unit may indicate that it is not suitably equipped by sending ACKX(QUAL=0). Otherwise it shall send ACK(QUAL=0).

ACKX (QUAL=0) - The unit could not at any time accept a standard data call with the parameter settings of the AHYD.

This availability check does not start or restart any timer.

17.1.2.4.3 Cancelling waiting state of individually called radio unit

If a radio unit on a data channel receives an AHYX message with PFIX/IDENT1 matching its individual address then it shall respond in the next slot with ACK(QUAL=1), with the same prefix and idents as the AHYX.

A radio unit that has received an AHYD message for an incoming individual standard data call (see 17.1.2.4.2A), and responded with ACK(QUAL=0), shall assume that the call will not take place if one of the following occurs:

- a. It has not received a GTT message for the call at a time TDA after the last ACK(QUAL=0) it sent in response to an AHYD for the call.
- b. It receives an AHYX message with the same prefix and idents as AHYD.
- c. It receives an AHYD message checking its availability for a different incoming individual standard data call (i.e. bit E and/or the calling address and/or the PORT is different from the original AHYD).

The unit may indicate to the service user that the expected data call will not take place. In case c., the unit shall obey the procedures in 17.1.2.4.2A for the new call.

17.1.2.4.4 Receiving AHYD message addressed to a group or ALLI

If a radio unit on a data channel receives an AHYD message with PFIX/IDENT1 matching any of its group addresses for this system or IDENT1 set to the system-wide all-call ident ALLI

Page 17-37

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 256 of 295

then it may accept the call information contained in the AHYD codeword and indicate it, but shall transmit no response. The unit may then assume that the next GTT(O/R=0) message, for this group or ALLI address and with CHAN equal to the number of this data channel, received within the following time TDA corresponds to the:

- calling address (PFIX/IDENT2 or PFIX2/IDENT2 from an appended data codeword)
- ii) E bit'
- iii) PORT

announced by the AHYD message.

If the unit has not received a GTT(O/R=0) message at a time TDA after the last received AHYD for the call, or if it receives an AHYD message for a different call to this address, then it may assume that the expected call will not take place.

17.1.2.4.5 Receiving GTT message for same data channel

If a radio unit on a data channel receives a GTT message with channel number CHAN equal to the number of the data channel then it shall obey the procedure in this section. The procedure if CHAN is not equal to the number of the data channel is specified in section 17.2.6.2 (In-call transfer).

A radio unit on a data channel shall check all GTT messages it receives to see whether the channel number CHAN is equal to the number of this data channel and whether the message is addressed to it, that is, whether:

PFIX/IDENT from the GTT message matches its individual address

or PFIX/IDENT matches any of its group addresses for this system

or IDENT is the system-wide all-call ident ALLI.

If the GTT message is addressed to it, and TRANS >'0000000000', and it is able to receive on this data channel at the specified RATE, then the unit shall use the appropriate rule below to decide whether to accept the GTT:

- a. If the unit is currently waiting for a transaction number for this address and bit O/R, having received a GTT message on a control channel with TRANS = '00000000000' (see 17.1.2.3.4c.), then it shall accept the GTT message as applying to that call.
- b. If bit O/R is set to 'l' and PFIX/IDENT from the GTT message matches its individual address, then:
 - If the unit is making an emergency call RQD(E=1) and has not received ACKE(QUAL=0) or AHY(E=1), then it shall ignore the GTT.
 - Otherwise, a unit making a data call RQD(E=0/1) shall accept the GTT message.

Page 17-38

c. If bit O/R is set to '0' and PFIX/IDENT from the GTT message matches its individual address, and the unit is waiting for an incoming individual data call, having received an AHYD message and responded with ACK(QUAL=0), then it shall accept the GTT message.

d. Otherwise, the unit may accept the GTT message.

If the unit accepts the GTT message, it shall perform the following actions:

i) It shall be prepared to receive signalling for this transaction number.

ii) If bit O/R from the GTT message is set to 'l', the unit shall note that it is the calling party. Otherwise it is a called party.

If the unit is a called party and is waiting for an incoming standard data call for this address (see 17.1.2.4.3 and 17.1.2.4.5) then it may take the PORT and the calling address (if fully supplied) from the AHYD message.

It may also give an indication to the service user.

0

0

17.2 Behaviour on the Data Channel

17.2.0 General

These procedures shall be obeyed by all stations on an allocated data channel. More than one data channel may be operated at a base station and radio units may be transferred between channels, for example to provide an even load sharing.

17.2.0.1 Signalling Formats

The signalling format shall conform to Sections 3.1 and 3.2 (but see transmission rate below).

The Data Channel codeword synchronisation sequence shall always be SYNT.

In addition to the 1200 bit/s standard transmission rate a network may offer or a radio unit may be equipped for a customised rate.

17.2.0.2 General behaviour of a TSC on a data channel

Every message transmitted by a TSC shall start with SYNT. Except for the first message in a transmission, SYNT shall be contained in a DCSC codeword.

The TSC shall monitor the return channel and shall be prepared to receive messages with timing according to 17.2.0.3 below.

Many messages require or invite individual response transmissions from radio units with timing according to 17.2.0.3. The TSC shall not transmit any combination of messages which could result in any of these required responses coinciding to produce channel interference.

It is not necessary to provide synchronisation between the Control Channel and the Data Channel.

17.2.0.3 General behaviour of a radio unit on a data channel

Whilst on a data channel a radio unit shall not indicate to its user or any attached equipment any information relating to the address or data codewords of any message except those pertinent to that radio unit. However, the radio unit itself may use the information in non-pertinent address codewords to enhance its performance, e.g. to save energy or optimise random access.

A radio unit may support more than one concurrent standard data call.

A radio unit shall start a system dependant timer, TDX or TDN, for an individual or group call respectively, for the TRANS when it receives the GTT message. Timer TDX shall be restarted whenever the radio unit receives any message relevant to the TRANS except DAHYX. If timer TDX or TDN expires the radio unit shall deem the TRANS to be closed. •

If at any time a radio unit deems that it no longer has any open TRANS it shall leave the data channel and return to control channel acquisition procedures.

A radio unit shall attempt to decode DCSC codewords whilst receiving on the forward data channel. If a time TDL elapses without being able to decode any DCSC codeword the radio unit shall assume that it is out of range and shall enter channel acquisition procedures.

A radio unit shall not transmit on the return channel unless it is either to make random access within an appropriate random access frame in an unwithdrawn slot or is invited to transmit on an individual basis, which latter opportunity may be specified by either the radio unit's individual address or an individual TRANS (see below).

Every message transmitted by a radio unit shall start with SYNT. Radio unit transmission timing shall conform either to the requirements of 6.2.1.3 but with timing starting from the end of the last codeword of any invoking message from the TSC or to the timing rules specified for the particular customised transmission rate for that data call (see Appendix 6).

17.2.1 Random Access Protocol for the Data Channel

A Random Access protocol is used on the data channel which is based on that found on the control channel but differing considerably in detail.

Random access on a data channel is used by radio units to:

- a) query an unexpected delay in user data transfer, or
- b) send expedited data such as RESET, or
- c) close one or all of its TRANS, or
- d) attempt to set up a concurrent call.

17.2.1.1 TSC Random Access Facilities

17.2.1.1.1 Marking Random Access Frames

The TSC shall designate sections of a return data channel as random access frames, each containing a whole number of timeslots. Every frame is marked by a codeword which contains an Aloha submessage and an ND parameter indicating the frame size.

The zero aloha number (ND=0) is a special value indicating "this is not the beginning of a frame". Filler messages each consisting of a DCSC codeword and a codeword containing an aloha submessage with ND='0' may be used.

Page 17-41

17.2.1.1.2 Addressing the radio unit population

The TSC may invite random access responses from all radio units, or may restrict access to a specific individual or group of units using the TRANS parameter in the data-aloha codeword.

For TRANS='0000000000', there is no restriction, i.e. all radio units may attempt access subject to the other random access rules specified in this section. For all other values of TRANS, access is restricted to the one or more units corresponding to that TRANS. This will typically be used for a group TRANS' to restrict a frame for use by one particular group only. Note that unlike the control channel random access mechanism, a response is never demanded, even when an individual TRANS is specified.

17.2.1.1.3 Inviting specific types of random access message

The TSC may limit random access to particular types of message by means of specific data-aloha submessages: DAL, DALG, DALN (see 5.8.2.).

17.2.1.1.4 TSC responses

After receiving a random access message, the TSC shall send a response; valid responses are specified in the sections detailing the call procedures. The response may be sent in the slot following the random access message or it may be delayed. The TSC shall specify, using the WF field in the data-aloha submessage, the number of frames that a radio unit must wait for before attempting a further random access transmission of the message (see 17.2.1.2.6).

17.2.1.1.5 Withdrawing slots from frames

The TSC shall ensure that slot synchronism is maintained within any random access frame, e.g. at 1200 bit/s if an AHYD message within the frame contains an appended data codeword the TSC shall add an appropriate filler data codeword.

The only invoking messages the TSC may transmit within the random access frames are:

DAHYX, DAHYZ, DAHY, AHYD, and AHYC

(Random access is inhibited in the first following return slot after the messages.)

- SITH (individual or group) such that the user data message extends at least to the end of the return channel random access frame.

17.2.1.2 Radio Unit Random Access Protocol

These procedures shall be obeyed by all radio units that are required to attempt random access on the data channel.

The various criteria given below must all be satisfied before a random access transmission is made.

Page 17-42

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 261 of 295

17.2.1.2.1 Checking for TRANS restriction

A radio unit is permitted to transmit a non-emergency random access message only if the related transaction is invited by the TSC, by means of the TRANS parameter in the data-aloha submessage. Thus access is permitted by the radio unit if either

- RTRANS = '000000000', or
- The specified RTRANS in the data-aloha submessage matches any of the radio unit's currently active TRANS' to be transmitted.

An emergency request, RQD(E=1), can be transmitted regardless of any TRANS restrictions.

17.2.1.2.2 Checking the Aloha function

A radio unit shall note the function from each data-aloha submessage it receives. The requests invited (subject to other restrictions) by each function are as follows:

DAL invites DRQX, DRQZ, DRUGI, DRQG, RQD(E=1), RQD(E=0), RQX DALG invites DRQX, DRQZ, DRUGI, RQD(E=1) DALN invites DRQX, DRQZ, DRUGI, RQD(E=1), RQD(E=0), RQX

17.2.1.2.3 Frames defined by Aloha numbers

The number of slots in a frame is equal to the aloha number within the frame marking data-aloha submessage, and can take any value in the range 1-31.

The radio unit shall monitor the forward data channel and shall note which sections of the return data channel are designated as random access frames. The first access slot in a frame starts at the end of a codeword containing a data aloha submessage with a non-zero aloha number, and respective coincidence is maintained for subsequent slots.

17.2.1.2.4 Choosing a slot from a new frame

A radio unit that requires to select a slot from a new frame shall wait for a message marking a frame available for it to use; it shall then choose a slot randomly from the specified frame length, using a uniform distribution. The unit shall transmit its message in the chosen slot, provided that the slot is not withdrawn (see 17.2.1.2.5). For access timing see section 6.2.1.3 or as specified for the customised rate in use.

A radio unit shall not chose more than one slot from a frame.

Page 17-43

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 262 of 295

17.2.1.2.5 Check for withdrawn slot

Before transmitting its random access message in a chosen slot, except for case (a) below, a radio unit shall check whether the slot is still available for random access by attempting to decode the final codeword in the slot immediately preceding the chosen slot. If any of the following is received then random access is permitted:

- a) reception of a SITH address codeword as the last codeword in any slot of that frame before the chosen access slot, and
- b) any address codeword containing an Aloha number DN, and RTRANS = '0000000000' or any currently active TRANS for this RU, and
- c) the following address codewords:

AHYD or AHYC, either only with AD = '1' (unless the AHYD or AHYC is addressed to the unit)

GTT

Note that, unless covered by rule (a), all received codewords which are spare, reserved or undecodeable do not permit random access in the next slot.

17.2.1.2.6 Noting the response delay

A radio unit shall note the delay parameter WF from each data-aloha submessage it receives.

If a random access attempt has not been acknowledged (see 17.2.2 for listed acknowledgements) before WF frames have been received, then the random access attempt may be repeated if the time-out or allowable number of tries permits.

17.2.1.2.7 Retry decision and time-outs

After sending a random access message, a radio unit shall wait to receive a response from the TSC. Various messages shall be accepted as a valid response (as specified in the sections detailing the call procedures and summarised in 17.2.2).

If the radio unit does not receive a response before WF subsequent frames have been received, it shall assume that the message was unsuccessful.

Then it shall either:

- a. abandon its access attempt (see below), or
- b. attempt a further random access transmission. However, if the unit receives a valid response before sending a repeat message, it shall accept the response and not retransmit.

The radio unit shall abandon its access attempt if it has sent the maximum permitted number of transmissions, NDR, and received no valid response.

Page 17-44

The unit shall also operate a time-out, TDC, on the maximum time it spends trying to achieve access, and abandon the attempt if this time-out expires.

If the unit's access attempt to close all its TRANS fails then it shall deem them all to be closed and shall relinquish the data channel and attempt to return to the control channel. If the unit's access attempt to progress or close one TRANS (see 17.2.1 (a, b, or c)) fails then it shall deem the TRANS to be closed. If the attempt to set up a concurrent call fails then it shall abandon the attempt.

17.2.2. Messages, Submessages, and Responses on the data channel

Data channel procedures are ranked from highest to lowest as: a) closure of all or one TRANS,

- b) transfer to another data channel,
- c) transfer of expedited data, and
- d) transfer of user data and call set-up.

A current procedure of one rank may be interrupted or aborted by the TSC or radio unit at any opportunity by using an appropriate message to enter a procedure of a higher rank.

This subsection lists all the various messages and submessages that can be transmitted on a data channel, together with the appropriate responses in their ranking order. Descriptions of the messages are found in section 5, and their uses follow from this. A Submessage is preceded by "(S)".

All random access attempts are subject to the time-outs and re-try limits given in 17.2.1.2.7. Additionally some random access attempts are prohibited before time-outs have expired; and these accesses are marked "(L)"

Page 17-45

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 264 of 295

All messages from the TSC are to individual radio units except those specifically indicated for groups.

LIST OF MESSAGES, SUBMESSAGES AND RESPONSES

| Message | Receiver | Response(s) |
|-------------------------|-------------|---|
| CLEAR RU | None, | but deem all TRANS closed. |
| RQD TS | C See 1 | 7.1 |
| AHYD RU | 1 | " |
| AHYC RI | J SAMIS | Extended address message |
| GTT RL | I Notr | ansmitted response. See 17.1 and 17.2.6 |
| DRUGI (TNITEL < 63) TS | с ранух | , DAHYZ, (S)GO |
| DRUGI (RNITEL < 63) TS | C DAHYX | , DAHYZ, |
| | | DACKD(REASON='001'), SITH |
| DRUGI(T'L = R'L = 63)TS | C DAHYX | , DAHYZ, None (await developments) |
| DRQG TS | C Ignor | e, DAHYX (GROUP), SITH group |
| DRQZ TS | C DAHYX | , DACKZ |
| DRQX TS | C DACKD | (REASON='000) |
| DAHY RU | DRQX, | DRQZ(REASON='000), DRUGI |
| DAHYZ RU | DRQX, | DACKZ |
| DAHYX (TRANS > 0) RU | DACKD | (REASON = '000') |
| (S)DAL RU | DRQX, | DRQZ, RQD, DRQG, DRUGI, RLA (L) |
| (S)DALG RU | DRQX, | DRQG, RQD ($E='1'$) |
| (S)DALN RU | DROX, | DRQZ, RQD, DRUGI, RLA (L) |
| (S)GO after (S)DACK RU | DRQX, | DRQZ, DACKD (REASON = '001'), SITH |
| (S)GO (no (S)DACK) RU | DRQX, | DRQZ, RLA |
| (S)GO (+ (S)DACK) TS | с данух | , DAHYZ, DACKD(REASON = '001'), SITH |
| SACK Ei | ther DAHYX. | or DRQX, DAHYZ or DRQZ, SITH |
| SACK (incomplete) Ei | ther DAHYX. | or DRQX, DAHYZ or DRQZ, RLA |
| RLA Ei | ther DAHYX | or DRQX, DAHYZ or DRQZ, (S)DACK(P/N), and SACK |
| SITH Ef | ther DAHYY | or DROX, DAHYZ or DROZ. |
| | | (S) BACK (P/N), and SACK |

•

Page 17-46

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 265 of 295

17.2.3 Transmission and correction of user's data

In this sub-section there are major differences between the actions required of a station sending user data and one receiving it. These stations are referred to as data sending and data receiving stations (DSS and DRS) respectively. There are only minor differences between the actions to be taken by TSCs and radio units. For this reason, except where noted the procedures described here apply to both TSCs and radio units, although each shall always conform to the appropriate transmission timing requirements.

Due to the bidirectional facilities provided by this standard a data sending station may also be a data receiving station at the same time. Such a station shall conform to the appropriate procedures according to the particular direction of data transmission under immediate consideration at any instant.

All the procedures specified here shall be understood to refer only to the one TRANS under consideration. Every message not bearing that TRANS in its appropriate field(s) shall be deemed irrelevant. Every TRANS being processed by any station shall be treated as a separate entity, and interleaving of messages relevant to various radio units and TRANS may take place. Such interleaving is not mentioned further in this section.

17.2.3.1 Procedures for Data Sending Stations (DSS)

17.2.3.1.0 Tmessages and dataitems

User data consists of one or more Tmessages. A Tmessage consists of one or more dataitems. No dataitem shall contain data from more than one Tmessage. The last dataitem of one Tmessage may be adjacent to the first dataitem of a following Tmessage. See 17.2.3.1.4.1 for use of the MORE bit for marking the end of a Tmessage.

17.2.3.1.1 Sending a User Data Message to a Group

A group link may convey only one Tmessage in a single dataitem which may not include more user data (including any HADT checksum) than that which can be accommodated in the user data field of its address codeword plus NG data codewords, where NG = 1, 3, 7, 15, 31, 63, 127, or 255 as prearranged.

Within the link the group dataitem may be repeated a prearranged number of times. It is permitted to transmit other messages between these dataitems providing the total time between the GTT message and the end of the last codeword of the final transmission of the dataitem does not exceed TDN seconds. For example, a message with DALG submessage bearing the group TRANS to mark a random access frame could be sent after transmission of a group message. Lack of any random access attempt in that frame might then be taken by the TSC to mean that no following repeat of the dataitem is required.

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 266 of 295

17.2.3.1.2 Maximum Length of a dataitem in an Individual Link

For an individual link no dataitem may include more data than that which can be accommodated in the user data field of its address codeword plus 62 data codewords. The RNITEL field in the GO submessage indicates to a DSS how much data the data receiving station (DRS) can receive. A DSS shall not transmit any quantity of user data unless the DRS has previously indicated that it can accept at least that quantity, but a lesser quantity may be sent.

17.2.3.1.3 Responding to a GO Submessage in an individual link

Upon receiving a data acknowledgement (DACK) submessage followed by a GO submessage (which may be in the same message) a DSS shall decide whether a higher ranking message (see 17.2.2) or an old or new dataitem should be sent, and if the last, what user data will compose the new dataitem (if any) which will be sent. Once a dataitem has been sent then all or parts of that data shall be repeated as required by the DRS but no other user data shall be sent until the DRS indicates by a positive submessage (PACK) that the dataitem has been completely received. If a DSS receives a data acknowledgement and GO submessage and the limit of responsible of the sent set of the set of the sent set of the set of th

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 267 of 295

- TNITEL shall be set to indicate the maximum number of data codewords proposed for the next dataitem. Its null value is 'llllll' and is used if no further dataitem is immediately proposed.
- LASTBIT shall be set to indicate the codeword bit number, see 17.0.2.5, of the last bit of user data in the dataitem unless modified by the HADT coding rules, see 17.2.3.1.4.2.

In a dataitem (i.e. the initial fragment) user data shall start in the first bit of the USER DATA field and continue in bit order through this field and through any appended data codewords until all user data in the dataitem have been included. A further information bit following the last user data in the dataitem shall be a marker bit, '1'. The marker bit shall always be provided even if that requires addition of an extra data codeword. All remaining bits in the user data field of the last codeword shall be '0's unless subsequently altered by HADT coding, see below.

17.2.3.1.4.2 HADT Coding

The SITH codeword and the user data in it is not included in HADT coding.

If HADT is invoked then the last 15 bits of appended data in each dataitem shall consist of a dataitem checksum of all the other user information bits in appended data codewords in the dataitem (see Figure 17.2). The 15 bits of the checksum are calculated as follows:

The information field of each appended data codeword containing user data or the end of data marker shall be considered to be the co-efficients of a polynomial having terms x^{61} down to x^{15} . This polynomial shall be divided modulo-2 by the generating polynomial;

 $x^{15} + x^{14} + x^{11} + x^{10} + x^9 + x^6 + x^5 + x^4 + x + 1$.

The co-efficients of the terms x^{14} down to x^0 found at the completion of the division are termed the HADT remainder.

All the HADT remainders for the dataitem shall be modulo-2 added to form a 15-bit dataitem checksum. If bit positions 34-48 in the final user data codeword are all 'O's then the dataitem checksum shall replace these 'O's; otherwise a further data codeword shall be appended with bits 1-33 = 'O' and the dataitem checksum shall occupy the bits 34-48 and 16 shall be added to the value of the LASTBIT field in SITH. The value in the FRAGL field of SITH shall include the added codeword.

FIG 17.2. SITH plus 4 Additional Data Codewords (ADC)



- End of User data Marker

Page 17-49

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 268 of 295

17.2.3.1.5 Actions after sending a Fragment or RLA Message

Within subsection 17.2.3.1.5 and dependent subsections the specified actions only apply if the DSS decides not to close the TRANS or send expedited data.

After sending a fragment or a "Repeat Last Acknowledgement" (RLA) message,

- a radio unit shall immediately restart its timer TDH. If no acknowledgement is received before timer TDH expires and a suitable random access frame occurs the radio unit may attempt random access with a RLA message.
- if a TSC receives a partial or no acknowledgement from the radio unit it shall either repeat the fragment or send an RLA message. The TSC shall only repeat that fragment to which it was expecting an acknowledgement.
- if a radio unit receives no acknowledgement from the TSC but receives a GO submessage it shall send an RLA message,
- if a radio unit receives a partial acknowledgement it shall send an RLA message.

17.2.3.1.5.1 After sending an RLA message

- if the acknowledgement received is the same as the last complete acknowledgement received then the DSS shall, at the next GO submessage, repeat the last fragment sent,

- if the acknowledgement received is appropriate to the last fragment sent then the DSS shall act on that acknowledgement according to the rules specified below.

17.2.3.1.5.2 After sending a fragment

- if a DSS receives a NACK submessage in acknowledgement it shall repeat the whole dataitem when it receives an appropriate GO submessage.

- if the DSS receives a SACK message in acknowledgement it shall send a fragment consisting of a SITH address codeword and ONLY the data codewords corresponding to the assigned EFLAGs set to '1' in the SACK message. This is irrespective of the setting of EFLAGs in any previous SACK message relevant to that dataitem. (If no assigned EFLAGs are set in a SACK message the DSS shall repeat the whole fragment or send an RLA message). Note that in a dataitem the user data in the SITH is repeated in all fragments of that dataitem,

- if the DSS receives a PACK submessage, it shall proceed to the next dataitem, if any. If it receives a relevant GO submessage before it has a complete Tmessage ready for transmission then it shall transmit a DACKD(REASON = '001') message.

If a DSS sends a fragment with more than 22 included data codewords or an RLA message after having last sent such a fragment it shall prepare to receive an acknowledgement with appended codeword.

Page 17-50

0

•

17.2.3.2 Procedures for Data Receiving Stations (DRS)

17.2.3.2.1 Minimum Reception Storage when Starting a Call

A DRS shall indicate to the DSS how much data it can receive initially. Compliance with a GTT message is one method of giving this indication. Otherwise a DRS shall give the indication in the RNITEL field of a GO submessage.

For an individual link a radio unit shall disregard a GTT message unless it can receive a message with at least 22 fully used data codewords. For a group link a radio unit shall disregard a GTT message unless it can receive a message with at least a preset number, NG, of data codewords, see 17.2.3.1.1.

17.2.3.2.2 Receiving a Group Message

A radio unit which receives a SITH codeword of a group message shall count the number of times that SITH has been received within the group link, and shall also attempt to decode the message. If the group message has been repeated then amalgamation of the various decoding attempts is permitted. HADT decoding shall be used when appropriate. The radio unit shall then decide whether to accept the message, and if so it may consider the call to be complete and the TRANS to be closed. If it does not accept the message and timer TDN has not expired and the relevant SITH count is less than NDN and an appropriate random access frame is marked then the RU may attempt random access with the DRQG(TRANS=group) message to request a message repetition. If either timer TDN expires or the SITH count equals NDN then the RU shall consider the call to be complete and its TRANS closed.

17.2.3.2.3 Decoding an Individual Fragment

After decoding a SITH address codeword and attempting to decode every following data codeword in a fragment as determined by the received value of FRAGL, a DRS in an individual TRANS shall decide whether to send a higher ranking message or shall choose the appropriate acknowledgement to send. A radio unit shall also restart its timer TDE:

- if the DRS finds any inconsistency in a fragment when compared to prior states, fragments or acknowledgements, e.g. FRAGL exceeding the previous RNITEL value or an unexpected ITENUM value, etc., according to the severity or persistence of the condition it may demand closure of the TRANS or send a RESET request or request repeat of the dataitem or fragment,
- if the DRS decides to ask for the entire dataitem to be repeated it shall send a NACK submessage,
- if the DRS decides to ask for any selection of data codewords to be repeated, it shall send a SACK message.
- if the HADT mode was invoked by the call set up procedures and the entire dataitem has been decoded the DRS shall modulo-2 divide the data in each data codeword by the generator polynomial given in 17.2.3.1 above to yield HADT remainders and shall modulo-2 add these

Page 17-51

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 270 of 295

HADT remainders together to form a dataitem checksum. If this checksum does not equal the final 15 data bits of the received dataitem then the DRS shall send a NACK message.

- if the HADT mode was invoked and LASTBIT value is larger than 48 then the last codeword contains only HADT check sum and the user data ends in the previous codeword at the point of LASTBIT-16 (see 17.2.3.1.4.2)
- if the LASTBIT value is 48 then the last additional data codeword does not contain any user data (see 17.2.3.1.4.1).
- if the DRS is satisfied that the entire dataitem can be accepted then it shall send a PACK submessage. The RNITEL field shall be set either to the value of the TNITEL field in the SITH codeword heading the accepted dataitem or to a lower value if the DRS is unable to accept that amount of data.

If the DRS receives an RLA message instead of a fragment then it shall repeat the last acknowledgement sent.

If a radio unit, after sending a GO submessage with RNITEL not equal to 'llllll', does not receive another relevant message before timer TDE expires and then a suitable random access frame occurs the radio unit may attempt random access with a DRUGI message.

The above-mentioned acknowledgements shall conform to the following rules:

- In a message which includes a NACK submessage, the GO submessage shall set RTRANS = ATRANS, and RNITEL to the value that was in the GO submessage which permitted that dataitem.
- A SACK message indicates that a selected codeword(s) of the dataitem is(are) required to be repeated.

[NOTE that security can be improved by ensuring that the number of EFLAGS set to 'l' differs in successive SACK messages relevant to the same dataitem. Thus the value of FRAGL in the requested fragment can be related unambiguously to the number of EFLAGS set. This inequality can then be used as a further consistency test.]

 A PACK submessage informs the DSS that the dataitem has been successfully received.

In the HADT mode a DRS shall pass on only the user information to any other link or equipment.

 The GO submessage which accompanies a PACK submessage may be for any TRANS relevant to the DRS.

[Note that transmission security may be improved if this GO submessage refers to the same TRANS as that in the PACK submessage]

 A TSC may combine PACK and a Data Aloha type submessage into a single message

Page 17-52

17.2.4 Procedures involving expedited data

17.2.4.1 DSS procedures

If a DSS receives expedited data from a preceding link or decides of its own volition to send expedited data it is preferred that it shall place that data at the head of any queue of data awaiting transmission.

If a DSS receives expedited data instead of an acknowledgement to one of its fragments it shall pass that data back to any preceding link or equipment and shall send no more data until a further message is received indicating that transmission may be resumed.

A TSC may send expedited data at any time. A radio unit may send expedited data upon receipt of a GO submessage or may send a DRQZ message in a random access frame.

If the expedited data is a RESET message then the DSS shall discard all other data queued for transmission and shall send no more data until the RESET message has been acknowledged.

17.2.4.2 DRS procedures

If a DRS receives expedited data it shall acknowledge that data and also shall pass that data on to any further link or equipment, preferably ahead of any other data.

A DRS may decide to originate expedited data for return to its corresponding DSS. A TSC may send such expedited data at any time.

A radio unit may send expedited data in place of an acknowledgement providing that the expedited data message is not longer than the replaced acknowledgement. Alternatively it may indicate by the TNITEL value in the GO part of an acknowledgement that it wishes to send data and send the expedited data when invited by a GO message. Alternatively it may send a DRQZ message in a random access frame.

If the expedited data is a RESET message then the DRS shall discard any data not yet passed on to a further link or equipment.

17.2.5 Closing a TRANS

17.2.5.1 TRANS closing procedures for a TSC

By sending a CLEAR message, a TSC may demand that all radio units close all TRANS and leave the data channel.

A TSC may close a group or System Wide link TRANS by sending a DAHYX message with IDENT = the group address or ALLI, the TRANS value to be closed, and RESP = '0'.

If a TSC receives a DRQX message for an individual TRANS with correct address then it shall send a DACKD(REASON='000') message as acknowledgement. If the address is incorrect the TSC may use DAHY to query either or both the TRANS and address.

Page 17-53

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 272 of 295

A TSC may close all TRANS for a particular radio unit by sending a DAHYX message with PFIX and IDENT equal to the individual address of the radio unit, I/T set to '0' and the value '0000000000' in the TRANS field.

A TSC may close a particular TRANS for a radio unit by sending a DAHYX message with the TRANS value to be closed and I/T set to '1'.

A TSC may check whether a radio unit is still receiving a particular TRANS by sending the DAHY message. The acknowledgement to this message is DRUGI.

If the TSC receives no relevant messages from a radio unit for at least TDX then it shall assume that the TRANS is no longer active, and shall send a DAHYX message to close the TRANS.

A TSC may reuse the TRANS value of a closed TRANS after a period which accounts for the TRANS timer (TDX or TDN) of the radio unit(s) involved.

After closing a TRANS a TSC shall forward any data queued for transmission to any associated links.

If a TSC receives a DRQX message for a group TRANS it shall ignore it.

17.2.5.2 TRANS closing procedures for a radio unit

If its relevant timer TDX or TDN expires, a radio unit shall assume that the TRANS is closed.

By sending a DRQX message in an appropriate random access frame a radio unit may request, e.g. as a result of user action, that an individual TRANS be closed.

In response to a GO submessage or a message headed by SITH a radio unit may, by sending a DRQX message, request that an individual TRANS be closed.

If a radio unit receives a CLEAR message, or a DAHYX message with its individual address and TRANS = '0000000000', it shall close all its TRANS and leave the channel. In the case of the DAHYX message with RESP='1', it shall send a DACKD (REASON='000') acknowledgement before it leaves the data channel.

If a radio unit receives a DAHYX message with its individual address and a relevant TRANS value it shall note that the TRANS is closed. In the case of the DAHYX message with RESP='1', it shall send a DACKD (REASON='000') acknowledgement.

If a radio unit receives a DAHYX message with a group or ALLI TRANS the radio unit shall close the TRANS but not send an acknowledgement.

If a radio unit closes its last remaining TRANS then it shall leave the channel (after sending any required acknowledgement).

Page 17-54

•

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 273 of 295

17.2.6.1 Procedures for the TSC

A TSC may NOT move radio units by using a TRANS allocated to a group link because of the risk of one or more of the radio units being engaged in a concurrent call.

17.2.6.1.1 Moving an individual radio unit

A TSC may move an individual radio unit in call to another data channel if that radio unit has only one individual TRANS. The TSC may close all but one individual TRANS for that unit to ensure this. If the TSC is a DSS it shall accomplish the move after fully receiving an acknowledgement for a fragment and before it sends the next fragment.

If the TSC is a DRS it shall accomplish the move after acknowledging a (good quality) fragment and before it sends a GO submessage for another fragment.

To accomplish an individual move a TSC shall send a GTT message with the individual address of the radio unit and the new channel designation and TRANS value to be used in the link and appropriate settings of RNITEL and TNITEL and values of O/R and RATE fields equal to those used in the original GTT for that call.

The TSC may check that the channel movement has been successful by sending a DAHY message with the new TRANS value on the new channel.

Data exchange with the radio unit shall resume at the point at which the channel change occurred.

17.2.6.1.2 Moving ALL radio units from one data channel to another

A TSC may move ALL radio units from one data channel to another. The TSC shall not attempt to do this unless all demanded or invited responses on the return channel have had time to be completed.

To accomplish this move a TSC shall send a GTT message with IDENT = ALLI, TRANS = '0000000000', O/R='0', and RATE as originally given.

17.2.6.2 Radio unit procedures

If a radio unit on a data channel receives a GTT message nominating another channel and also containing any group address it shall ignore it.

17.2.6.2.1 An Individual move

If a radio unit having only one TRANS receives an individually addressed GTT message with a new channel and a new TRANS value and all other parameters in the GTT message matching those present in the original individual GTT message it shall move to the new channel and replace the old TRANS value with the new one. The timers TDX, TDA and TDD shall continue

Page 17-55

without being reset. Data exchange shall be expected to resume at the point at which the channel change was made.

17.2.6.2.2 An ALLI move

If a radio unit receives a GTT message with the IDENT = ALLI and TRANS = '0000000000', O/R=0 and RATE as originally given then it shall move to the designated channel and maintain its TRANS number(s) and ALL other parameters and states that existed immediately before the move message. Data exchange shall be expected to resume at the point at which the channel change was made.

Page 17-56

APPENDIX 1

SUGGESTED VALUES FOR PARAMETERS

Parameters such as radio unit time-outs and numbers of retries are represented by symbols in this standard; for example, the maximum item duration is referred to as TT. This Appendix contains suggested values for these parameters. However, note that a radio unit must use the values required by the system on which it is currently operating.

A brief indication of the usage of each parameter is given, but readers should refer to the procedures sections for the precise definitions of usage. The table below lists the sections which refer to each parameter.

The maximum permissible tolerance for radio units implementing the times given is 10 %.

| Meaning | <u>Symbol</u> | <u>Suggested</u> <u>Value</u> | Refs. |
|---|---------------|----------------------------------|--|
| Number of Disconnect messages sent by individually-called radio unit. | ND1 | 2 | 9.2.3.5 9.2.3.6 |
| Number of Disconnect messages sent by calling radio unit. | ND2 | 4 | 9.2.3.5 9.2.3.6 |
| Maximum number of random access transmissions of RQE. | NE | 16 | 7.3.8 10.2.1 |
| Maximum number of traffic channel transmissions of RQS or RQX. | NI | 4 | 11.2.1 11.2.6 |
| Number of Pressel On messages | NPON | 2 | 9.2.3.1 |
| Number of Pressel Off messages | NPOFF | .3 | 9.2.3.1 |
| Maximum number of random access transmissions of RQS, RQD, RQX, RQT, RQR, RQQ or RQC. | NR | 8 | 7.3.8 $8.2.2.2$ $9.2.1.1$ $9.2.1.7$ $12.2.1$ $12.2.6$ $13.1.2.6$ $13.2.2.1$ $13.2.2.6$ $14.2.1$ $14.2.7$ |
| | | | |

contd.

Page A1-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 276 of 295

| Meaning | Symbol | <u>Suggested</u> <u>Value</u> | <u>Refs.</u> |
|--|----------------|----------------------------------|--|
| Maximum delay of TSC's response to an unsolicited message from a radio unit on a traffic channel. (The response SYNT begins not later than the start of bit NT, measured from the end of the radio unit's message.) | NT | 103 | 6.1.2.2 6.2.2.2.2 |
| Value of WAIT assumed at the start of a session. (WAIT is a number of slots.) | NW | 4 | 7.3.7 |
| Time-out for called radio unit after receiving AHY. | ТА | 60 seconds | 9.2.2.2 9.2.2.4 13.1.2.1 |
| Time barred from calling same ident after receiving ACK(QUAL=0), ACKX, ACKV or ACKB(QUAL=0), or any ident after receiving ACKT(QUAL=0). | TB | 2 seconds | 9.1.1.4 9.2.1.4 11.1.4 11.2.4 12.1.5 12.2.4 13.1.1.2 13.1.2.4 13.2.1.4 13.2.2.4 14.1.5 14.2.4 |
| Time-out for requesting radio unit attempting random access. | тс | 50 seconds | 7.3.8 8.2.2.2 9.2.1.1 9.2.1.7 10.2.1 12.2.1 12.2.6 13.1.2.2 13.1.2.6 13.2.2.1 13.2.2.6 14.2.1 14.2.7 |
| Time-out for requesting radio unit waiting for further signalling for an Include call. | TI | 2 seconds | 9.1.2.2 11.1.7 11.2.5 |
| | | | contd. |
| ARRIS GROUP, INC., IPR2015-0063 | 5. Ex. 1035. r |). 277 of 295 | Page A1-2 |

| Meaning | <u>Symbol</u> | Suggested Value | <u>Refs.</u> |
|---|---------------|--------------------|--|
| Time-out for requesting radio unit waiting for further signalling for a control channel transaction with the TSC (viz. registration, diversion request, or status message or short data message to the TSC). | ŢJ | 20 seconds | 7.3.8 8.2.1.3 8.2.2.4 9.1.1.7 9.2 12.1.7 12.2.5 13.1.1.4 13.1.2.5 14.1.9 14.2.6 |
| Radio unit's inactivity time-out on a traffic channel. | TN | 7 seconds | 9.2.3.6 |
| Maximum interval between periodic messages (within speech items) at the start of a session. | TP | 5 seconds | 9.2.2.6 |
| Time when radio unit returns to the control channel acquisition procedures if no system identity code is decoded. | TS | 5 seconds | 6.2.1.2 |
| Maximum item duration. | TT | 60 seconds | 9.2.3.6 |
| Time-out for calling radio unit waiting for further signalling for a call or transaction that may require queueing (for a traffic channel or for a called party). | ΤW | 60 seconds | 7.3.8 9.1.1.7 9.1.1.10 9.2 9.2.1.6 10.1.7 10.2.7 13.2.1.7 13.2.2.5 14.1.9 14.2.6 |
| | | | |

Page Al-3

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 278 of 295

List of Timers and Counters used for standard Data (see Section 17)

The foregoing parameters are also used for Standard Data.

Timeouts

| <u>No.</u> | Meaning | Symbol | Range | Held By |
|------------|---|------------|--|-----------|
| 1. | Time that a TSC may hold an undelivered Tmessage before destroying it. | TDF | System dependent | TSC |
| 2. | Inactivity time before a TRANS is abandoned by an RU. | TDX | 1, 2, 4, 8, 16, 32, 64 minutes indefinite. | TSC & RU |
| 3. | Time that a radio unit waits waits to be allocated a TRANS after obeying GTT(TRANS=0), before returning to the control channel. | TDG | | |
| 4. | Time-out for requesting radio unit attempting random access on a data channel. | TDC | 1 - 120 s | RU |
| 5. | Time-out for requesting radio unit waiting for further signalling for a random access transaction on a data channel. | TDW | 1 - 120 s | RU |
| б. | Time-out for called radio unit after receiving AHYD on a data channel. | TDA | 1 - 120 s | RU |
| 7. | Time-out for radio unit waiting for further data from TSC before impatiently trying DRQT. | TDE | 1 - 120 s | RU |
| 8. | Time-out for radio unit waiting for acknowledgement from TSC to data it sent before using DRC | TDH 2T. | 1 - 120 s | RU |
| 9. | Time barred from calling same ident on a data channel after receiving ACKV or ACKX in response to an RQD request. | TDB | 1 - 60 s | RU |
| 10. | Lack of signal timer on a standard data channel (see 17.2.0.3). | TDL | 2 - 10 mins. | RU |
| 11. | Time that a radio unit waits waits for received group data (including prearranged repeats). Started when a group GTT is received. | TDN | 1 - 120 s | RU |
| | | | | contd. |
| | | | | Dane Al-A |

D

D

• • •

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 279 of 295

Retry Parameters

•

•

| No. | Meaning | Symbol | Range | Held By |
|-----|---|--------|--------|----------|
| 1. | Maximum number of (non- emergency) random access transmissions on the data channel. | NDR | 1 - 16 | RU |
| 2. | Maximum number of emergency i.e. RQD(E=1) random access transmissions on the data channel. | NDE | 1 - 16 | RU |
| 3. | Maximum number of times that a group message will be repeated by a TSC. | NDG | 1 - 16 | TSC & RU |

Page A1-5

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 280 of 295

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 281 of 295

APPENDIX 2

THE ERROR CONTROL PROPERTIES OF THE CODEWORDS

The error control properties of the codewords are at least the following.

With "hard decision" decoding:

- a. Detect all odd numbers of errors, any 5 random errors, and any errorburst up to length 16, or
- b. correct any 1 error and detect any 4 errors and any error-burst up to length 11, or
- c. correct up to any 2 errors, and detect any 3 errors and any errorburst up to length 4, or
- d. correct any single error-burst up to length 5.

With "soft decision" decoding:

Correct any 5 dubious bits and any single burst of dubious bits up to length 16, according to examination of the pattern of dubious bits.

Note. The higher the degree of error correction applied, the more likely is false decoding. The application of signal quality measurement on a bit-by-bit basis may help to guard against falsing if hard decision decoding is used, and is essential if soft decision decoding is used.



APPENDIX 3

AN ALGORITHM FOR DETERMINING THE CODEWORD COMPLETION SEQUENCE

OF A CONTROL CHANNEL SYSTEM CODEWORD

- Create a codeword starting with a 16-bit preamble followed by the bit sequence '1100010011010100' and the 15-bit system identity code, thus filling bits 1 to 47.
- 2. Assume bit 48 = '0'. Calculate the check bits (see section 3.2.3).
- If the parity bit = '0', then the assumption in 2 was wrong. In this case, set bit 48 = '1' and recalculate the check bits. (See also Note 1).
- 4. The wanted Codeword Completion Sequence is bits 48 to 63 inclusive with bit 53 inverted.
- Note 1. A quick way to reverse the assumed bit and recalculate the check bits is to add modulo-2 the generator polynomial '1110100000010101' to bits 47 to 63, and then calculate the parity bit.
- Note 2. The algorithm works because bits 1 to 63 are completely cyclic, except for the inversion of bit 63, and there are an odd number of '1's in the generator polynomial. The parity bit remains unaltered by any cycling process.



ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 285 of 295

APPENDIX 4

AN ALGORITHM FOR GENERATING FIELDS & AND B OF THE MARK CODEWORD

- Bits 1, 22 to 30 and 49 to 64 of the MARK address codeword are fixed (see section 5.5.4.1). Bits 2 to 5 (CHAN4) and 7 to 21 (SYS) are system-dependent. Bit 6 (field A) and bits 31 to 48 (field B) are chosen to maximise the number of bit transitions between bits 33 and 49 of the codeword.
- In order to calculate an initial candidate MARK codeword, assume that bits 6, 31 and 32 of the MARK codeword will be '0'.
- Obtain a 16-bit sequence to insert in bits 33 to 48 by a method similar to that in Appendix 3, i.e.
 - a. Create an intermediate codeword starting with the sequence '1100010011010101', followed by CHAN4, '0' (bit 6 of MARK), SYS, '100011000' and '00' (bits 31 and 32 of MARK).
 - b. Assume bit 48 of the intermediate codeword = '0'. Calculate the check bits (see section 3.2.3).
 - c. If the parity bit = '0', then the assumption in b. was wrong. In this case, set bit 48 = '1' and recalculate the check bits. (See also Note 1 of Appendix 3).
 - d. The 16-bit sequence to insert in bits 33 to 48 of the candidate MARK codeword is bits 48 to 63 of the intermediate codeword, with bit 63 inverted.
- 4. Derive seven other candidate MARK codewords having the alternative combinations of bits 6, 31 and 32. This may be performed by adding modulo-2 the following sequences to bits 33 to 48 of the initial candidate MARK codeword:

If bit 6 = '1' add '0100000000101110'
If bit 31 = '1' add '011100000111110'
If bit 32 = '1' add '0011100000111111'

5.

For each candidate MARK codeword, count the number of bit transitions occurring between bits 33 and 49.

 The required MARK codeword is a candidate which provides the greatest number of counted transitions.

Page A4-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 286 of 295



ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 287 of 295

APPENDIX 5

BCD CODING

Where BCD coding is specified in this standard, the following representation shall be used:

•

L

| Binary | Character |
|---------|-------------|
| value | represented |
| | |
| ·0000 · | 0 |
| '0001' | 1 |
| '0010' | 2 |
| '0011' | 3 |
| '0100' | 4 |
| '0101' | 5 |
| '0110' | 6 |
| '0111' | 7 |
| '1000' | 8 |
| 1001' | 9 |
| 1010' | reserved |
| '1011' | * |
| '1100' | Ť |
| '1101' | reserved |
| '1110' | reserved |
| '1111' | NULL |

Note: These BCD groups shall be arranged in codewords so that the most significant bit of the binary value is transmitted first (i.e. the leftmost bit in the above table shall be transmitted first).

Page A5-1


ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 289 of 295



•

).

-

A6-1

ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 290 of 295



ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, p. 291 of 295

APPENDIX 7

OTHER IDEAS CONSIDERED DURING THE DRAFTING OF SECTION 17 (STANDARD DATA)

1. As explained in section 17, the Standard Data protocol is an optional feature of MPT 1327. In considering whether to implement it, firms might therefore like to be aware of other ideas which arose in the drafting discussions but were not specifically used in the protocol.

2. The ideas set out below are believed by their originators to be already in the public domain or, with their agreement, are hereby offered to it. Before proceeding, however, firms are advised to make appropriate enquiries through their Patent Agents so as to ensure that any relevant IPR claims not compromised.

3. The ideas are:

a) <u>An "ackvitation"</u>, ie a message sent by a TSC to a radio unit, the ackvitation message being a combination of an acknowledgement to a user data message sent by the radio unit and an invitation to the same unit to send more data or repeat the data previously sent according to the requirements of the acknowledgement, see STDWP CP 623. This latter part of the message can include a parameter stating the maximum amount of data which may be transmitted next. An essential point of an ackviatation is that it needs only one address label because only one radio unit is being addressed. An example of an ackvitation is the selective acknowledgement message, "SACK".

The "DACK" acknowledgement messages included in the standard are not ackvitations because they contain two addresses, one for the acknowledgement submessage and one for the GO submessage. If both addresses are the same then the function of the DACK message is indistinguishable from that of an ackvitation.

- b) Inclusion of repeated data and new data in a single message, STDWP CP 629. Each user data message is responded to in the manner described in the text. Whereas in the text, if selective repeats are required only the repeated data is then sent by DSS in a new message, in this idea the repeated data occupies the front part of the new message and new data then is appended to the repeated data. The DRS can distinguish the repeated data from the new data by referring to the last acknowledgement it sent which, of necessity, includes the number of codewords to be repeated. The DRS has to keep a running record of the position or order of every codeword it requires to be repeated until that codeword has been successfully received.
- c) Inclusion of the SYStem identity code in each message header as a means of combatting radio over-reach. The idea was extended to include either the SYS or radio unit address modulo-2 added into every user data codeword. However, it was judged that the incidence of the problem is too low to warrant inclusion of the idea.

Page A7-1

d) <u>Distinguishing Dataitems by length rather than by label</u>. STDWP CP 650

The idea here is to use a separate label for each segment, but instead to differentiate between them by their lengths. For example the length might alternate between adjacent segments or might progressively increase or decrease over the whole Tmessage. Thus each header only need include the name of the Tmessage (or TRANS). The message length could be indicated in any known manner, eg by a FRAGL field or a continuation bit in each codeword. The only requirement is that adjacent messages have different lengths unless the whole message is repeated. This serves to distinguish new messages from repeated ones, which is the only absolute necessity.

The method in the standard is to use a separate segment label but restrict this to only one bit (ITENUM). However, the length differentiation method is noted in 17.2.3.2.3 as a means of increasing security, although in this case the length is controlled by the DRS varying the number of assigned EFLAGS set.

e) To include both a message header and a message tail codeword.

A problem with reception is that if the header cannot be decoded then the whole of the user data message is lost. If a tail codeword containing substantially the same information as the header is added to the message then a DRS could record any data codewords received without a decodeable header in the hope that the tail codeword might then be decoded and hence perhaps make the received data codewords usable.

A multipath fading simulation study indicated that there could be an increase in air-time efficiency in very poor reception conditions but a decrease in efficiency in most other conditions. The latter outweighed the advantage given by the former.

Page A7-2





ARRIS GROUP, INC., IPR2015-00635, Ex. 1035, P. 295 OF 295