	Page 1	
1	IN THE UNITED STATES DISTRICT COURT	
	FOR THE EASTERN DISTRICT OF TENNESSEE	
2		
3		
4	DENTSPLY INTERNATIONAL, :	
	INC., and TULSA DENTAL :	
5	PRODUCTS LLC d/b/a TULSA:	
	DENTAL SPECIALTIES, :	
6	:	
	Plaintiffs, :	
7	:	
	v. : Civil Action No.	
8	: 2:14-CV-00196(JRG)(DHI)	
	US ENDODONTICS, LLC, :	
9		
	Defendant. :	
10	÷	
11		
12	** HIGHLY CONFIDENTIAL **	
13		
14	VIDEOTAPED DEPOSITION of NEILL	
15	HAMILTON LUEBKE, DDS, MS, taken before Ryan K.	
16	Black, CLR, RPR, Notary Public, in and for	
17	the District of Columbia, at the offices of	
18	Rothwell, Figg, Ernst & Manbeck, 607 14th	
19	Street, NW, Suite 800, Washington, D.C., on	
20	Wednesday, October 8, 2014, commencing at	
21	9:01 a.m.	
22		
23		
24	GOLD STANDARD EXH	
25	US ENDODONTICS v. GOLD CASE IPR2015-006	

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1	A. The same.
2	Q. Going back to your expert report that
3	we've marked Luebke Exhibit 3, I want to direct
4	your attention to Paragraph 10.
5	Let me know when you're there.
6	A. I am there.
7	Q. Okay. In the middle of that paragraph
8	you state, in later experiments you came up with
9	the idea of heat treating nickel-titanium
10	endodontic files that were not coated, and
11	tested these instruments after they were heat
12	treated.
13	Do you see that?
14	A. Yes.
15	Q. What possessed you to heat treat
16	nickel-titanium endodontic files?
17	MR. DAHLGREN: Objection; form.
18	Objection; vague.
19	THE WITNESS: That actually explains
20	it pretty well.
21	I had discussions with endodontists
22	who were complaining about, we call them broken,
23	separated, fractured files in teeth. And I had
24	not used those instruments because of that. And
25	so before I was going to do that, I wanted to

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1	solve see if I could solve a a problem.
2	BY MR. GINSBERG:
3	Q. Why did you think that one way to
4	solve the problem would be to heat treat a
5	nickel-titanium endodontic file?
6	MR. DAHLGREN: Objection; form.
7	Vague.
8	THE WITNESS: That isn't where I
9	started.
10	BY MR. GINSBERG:
11	Q. But you eventually got there.
12	MR. DAHLGREN: Same objections.
13	THE WITNESS: That would be a
14	quantum
15	MR. DAHLGREN: Is there a question?
16	THE WITNESS: That would be a quantum
17	leap, however.
18	BY MR. GINSBERG:
19	Q. How did you get to like, why did
20	you think you should heat treat nickel-titanium
21	files? How did that idea come into your mind?
22	MR. DAHLGREN: Objection; form.
23	Compound.
24	THE WITNESS: I thought the reason
25	nickel-titanium files were fracturing is because

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1	they weren't sharp enough. So my first I
2	first addressed sharpness with diamond coating.
3	Talking to manufacturers, talking
4	talking looking at some of the things
5	that came with it, I realized there may be
6	more problems with diamonds than with the files
7	themselves.
8	The concept was still sharpness. So I
9	decided if I TIN coated them, I could, perhaps,
10	make them sharper.
11	So that's what I did.
12	BY MR. GINSBERG:
13	Q. How did you get to heat treating?
14	MR. DAHLGREN: Objection; form.
15	THE WITNESS: Are you aware of the TIN
16	coating process?
17	BY MR. GINSBERG:
18	Q. Explain it.
19	A. TIN coating process is the short
20	for titanium nitride. And I took some files
21	to a heat treater. We figured out a jig that it
22	would work in. And we did the titanium nitride,
23	which is placing the files in a furnace,
24	evacuating the atmosphere and replacing it with
25	nitrogen, having a pure titanium rod, put

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Page 111 1 electricity across it, it atomizes and it deposits on the files. And that's how you TIN 2 3 coat them. Okay. And that's what's referred to 4 Q. 5 as your earliest experiments, correct? 6 MR. DAHLGREN: Objection; form. 7 THE WITNESS: That would be correct. BY MR. GINSBERG: 8 9 Q. Then you say, in later experiments, 10 you came up with the idea of heat treating nickel-titanium endodontic files that were not 11 12 coated. 13 Do you see that? 14 Α. Yes. 15 Q. How did you come up with the idea of 16 heat treating nickel-titanium files that were 17 not coated? 18 MR. DAHLGREN: Objection; form. 19 THE WITNESS: I tested the TIN coated 20 files, and I was satisfied with some of the 21 results, using 3630-1, General Requirements. 22 My concern, however, was, although it may have made it sharper, was there a 23 24 possibility that the TIN coating could come 25 off, just like the diamond might have, and so I

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