

Updated Clinical Classification of Pulmonary Hypertension

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In 1998, a clinical classification of pulmonary hypertension (PH) was established, categorizing PH into groups which share similar pathological and hemodynamic characteristics and therapeutic approaches. During the 5th World Symposium held in Nice, France, in 2013, the consensus was reached to maintain the general scheme of previous clinical classifications. However, modifications and updates especially for Group 1 patients (pulmonary arterial hypertension [PAH]) were proposed. The main change was to withdraw persistent pulmonary hypertension of the newborn (PPHN) from Group 1 because this entity carries more differences than similarities with other PAH subgroups. In the current classification, PPHN is now designated number 1. Pulmonary hypertension associated with chronic hemolytic anemia has been moved from Group 1 PAH to Group 5, unclear/multifactorial mechanism. In addition, it was decided to add specific items related to pediatric pulmonary hypertension in order to create a comprehensive, common classification for both adults and children. Therefore, congenital or acquired left-heart inflow/outflow obstructive lesions and congenital cardiomyopathies have been added to Group 2, and segmental pulmonary hypertension has been added to Group 5. Last, there were no changes for Groups 2, 3, and 4.
(J Am Coll Cardiol 2013;62:D34–41) © 2013 by the American College of Cardiology Foundation

Pulmonary hypertension (PH) was previously classified into 2 categories: 1) primary pulmonary hypertension; or 2) secondary pulmonary hypertension according to the presence of identified causes or risk factors (1).

Since the second World Symposium on pulmonary hypertension held in Evian, in 1998 (2), a clinical

classification was established in order to individualize different categories of PH sharing similar pathological findings, similar hemodynamic characteristics and, similar management. Five groups of disorders that cause PH were identified: pulmonary arterial hypertension (Group 1); pulmonary hypertension due to left heart disease (Group 2);

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pulmonary hypertension due to chronic lung disease and/or hypoxia (Group 3); chronic thromboembolic pulmonary hypertension (Group 4); and pulmonary hypertension due to unclear multifactorial mechanisms (Group 5). During the successive world meetings, a series of changes were carried out, reflecting some progresses in the understanding of the disease. However, the general architecture and the philosophy of the clinical classification were unchanged. The current clinical classification of pulmonary hypertension (3) is now well accepted and, widely used in the daily practice of pulmonary hypertension experts. It has been adopted by the Guidelines Committee of the Societies of Cardiology and, Pneumology (4,5). Moreover, this classification is currently used by the U.S. Food and Drug Administration and the European Agency for Drug Evaluation for the labelling of new drugs approved for pulmonary hypertension.

During the Fifth World Symposium held in 2013 in Nice, France, the consensus was to maintain the general disposition of previous clinical classification. Some modifications and updates, especially for Group 1, were proposed according to new data published in the last years. It was also decided in agreement with the Task Force on Pediatric PH to add some specific items related to pediatric pulmonary hypertension in order to have a comprehensive classification common for adults and children (Table 1).

Group 1: Pulmonary Arterial Hypertension (PAH)

Since the second World Symposium in 1998, the nomenclature of the different subcategories of Group 1 have markedly evolved and, additional modification were made in the Nice classification.

Heritable Pulmonary Hypertension

In 80% of families with multiple cases of pulmonary arterial hypertension (PAH), mutations of the bone morphogenic protein receptor type 2 (BMP2), a member of the tumor growth factor (TGF)-beta super family, can be identified (6). In addition, 5% of patients have rare mutations in other genes belonging to the TGFβ super family: activin-like receptor kinase-1 (ALK₁) (7), endoglin (ENG) (8), and mothers against decapentaplegic 9 (Smad 9) (9). Approximately 20% of families have no detectable mutations in currently known disease-associated genes. Recently two

new gene mutations have been identified: a mutation in caveolin-1 (CAV1) which encodes a membrane protein of caveolae, abundant in the endothelial cells of the lung (10), and KCNK3, a gene encoding potassium channel super family K member-3 (11). The identification of these new genes not intimately related to TGFβ signaling may provide new insights into the pathogenesis of PAH.

Drug- and Toxin-Induced Pulmonary Hypertension

A number of drugs and toxins have been identified as risk factors for the development of PAH and were included in the previous classification (3). Risk factors were categorized according to the strength of evidence, as definite, likely, possible, or unlikely (Table 2).

A definite association is defined as an epidemic or large multicenter epidemiologic studies demonstrating an association between a drug and PAH. A likely association is defined as a single case-control study demonstrating an association or a multiple-case series. Possible is defined as drugs with similar mechanisms of action as those in the definite or likely category but which have not yet been studied. Last, an unlikely association is defined as one in which a drug has been studied in epidemiologic studies and an association with PAH has not been demonstrated.

Over the last 5 years, new drugs have been identified or suspected as potential risk factors for PAH.

Since 1976, Benfluorex (MEDIATOR, Laboratories Servier, Neuilly-Sur-Seine, France) has been approved in Europe as a hypolipidemic and hypoglycemic drug. This drug is in fact a fenfluramine derivative, and its main metabolite is norfenfluramine, similar to Isomeride. Benfluorex, due to its pharmacological properties, was withdrawn from the market in all European countries after 1998 (date of the worldwide withdrawal of fenfluramine derivatives), except in France where the drug was marketed until 2009 and was frequently used between 1998 and 2009 as a replacement for Isomeride. The first case series reporting benfluorex-associated PAH was published in 2009. In addition to 5 cases of severe PAH, 1 case of valvular disease was also reported (12). Recently, Savale *et al.* (13) reported 85 cases of PAH associated with benfluorex exposure, identified in the French national registry from 1999 to 2011.

Abbreviations and Acronyms

CHD = congenital heart disease

HAART = highly active antiretroviral therapy

HIV = human immunodeficiency virus

IFN = interferon

PAH = pulmonary arterial hypertension

PAP = pulmonary arterial pressure

PH = pulmonary hypertension

POPH = portopulmonary hypertension

PPHN = persistent pulmonary hypertension of the newborn

PVR = pulmonary vascular resistance

SCD = sickle cell disease

Sch-PAH = schistosomiasis-associated PAH

TGF = tumor growth factor

TKI = tyrosine kinase inhibitor

Actelion and United Therapeutics; and has served on advisory boards of Gilead and United Therapeutics. Dr. Olschewski has received consultancy and lecture fees from Actelion, Bayer, Lilly, Gilead, GlaxoSmithKline, Pfizer, and Unither; and consultancy fees from NebuTec. Dr. Robbins has received honoraria from United Therapeutics, Gilead, and Actelion for attending advisory board meetings; has received honoraria from Actelion, Gilead, United Therapeutics, and Bayer; and he has been the primary investigator on industry-sponsored studies from Actelion, Gilead, United Therapeutics, GeNO, Novartis, and Aires in which payment was made to Vanderbilt University. Dr. Souza has received consultancy/lecture fees from Bayer. All other authors report that they have no relationships relevant to the contents of this paper to disclose.

Table 1 Updated Classification of Pulmonary Hypertension*

1. Pulmonary arterial hypertension
 - 1.1 Idiopathic PAH
 - 1.2 Heritable PAH
 - 1.2.1 BMPR2
 - 1.2.2 ALK-1, ENG, SMAD9, CAV1, KCNK3
 - 1.2.3 Unknown
 - 1.3 Drug and toxin induced
 - 1.4 Associated with:
 - 1.4.1 Connective tissue disease
 - 1.4.2 HIV infection
 - 1.4.3 Portal hypertension
 - 1.4.4 Congenital heart diseases
 - 1.4.5 Schistosomiasis
- 1' Pulmonary veno-occlusive disease and/or pulmonary capillary hemangiomatosis
- 1''. **Persistent pulmonary hypertension of the newborn (PPHN)**
2. Pulmonary hypertension due to left heart disease
 - 2.1 Left ventricular systolic dysfunction
 - 2.2 Left ventricular diastolic dysfunction
 - 2.3 Valvular disease
 - 2.4 **Congenital/acquired left heart inflow/outflow tract obstruction and congenital cardiomyopathies**
3. Pulmonary hypertension due to lung diseases and/or hypoxia
 - 3.1 Chronic obstructive pulmonary disease
 - 3.2 Interstitial lung disease
 - 3.3 Other pulmonary diseases with mixed restrictive and obstructive pattern
 - 3.4 Sleep-disordered breathing
 - 3.5 Alveolar hypoventilation disorders
 - 3.6 Chronic exposure to high altitude
 - 3.7 Developmental lung diseases
4. Chronic thromboembolic pulmonary hypertension (CTEPH)
5. Pulmonary hypertension with unclear multifactorial mechanisms
 - 5.1 Hematologic disorders: **chronic hemolytic anemia**, myeloproliferative disorders, splenectomy
 - 5.2 Systemic disorders: sarcoidosis, pulmonary histiocytosis, lymphangioleiomyomatosis
 - 5.3 Metabolic disorders: glycogen storage disease, Gaucher disease, thyroid disorders
 - 5.4 Others: tumoral obstruction, fibrosing mediastinitis, chronic renal failure, **segmental PH**

*5th WSPH Nice 2013. Main modifications to the previous Dana Point classification are in bold. BMPR = bone morphogenic protein receptor type II; CAV1 = caveolin-1; ENG = endoglin; HIV = human immunodeficiency virus; PAH = pulmonary arterial hypertension.

pulmonary hypertension (PH) with a median ingestion duration of 30 months and a median delay between start of exposure and diagnosis of 108 months. One-quarter of patients in these series showed coexisting PH and mild to moderate valvular heart diseases (14).

Chronic myeloproliferative (CML) disorders are a rare cause of PH, involving various potential mechanisms (Group 5) including high cardiac output, splenectomy, direct obstruction of pulmonary arteries, chronic thromboembolism, portal hypertension, and congestive heart failure. The prognosis of CML has been transformed by tyrosine kinase inhibitors (TKIs) such as imatinib, dasatinib, and nilotinib. Although, TKIs are usually well tolerated, these agents are associated nevertheless with certain systemic side effects (edema, musculoskeletal pain, diarrhea, rash, pancytopenia, elevation of liver enzymes). It is also well established that imatinib may induce cardiac toxicity. Pulmonary complications and specifically pleural effusions have been reported more frequently with dasatinib. In addition, case reports suggested that PH may be a potential complication of

Table 2 Updated Classification for Drug- and Toxin-Induced PAH*

Definite	Possible
Aminorex	Cocaine
Fenfluramine	Phenylpropanolamine
Dexfenfluramine	St. John's wort
Toxic rapeseed oil	Chemotherapeutic agents
Benfluorex	Interferon α and β
SSRIs[†]	Amphetamine-like drugs
Likely	Unlikely
Amphetamines	Oral contraceptives
L-Tryptophan	Estrogen
Methamphetamines	Cigarette smoking
Dasatinib	

*Nice 2013. [†]Selective serotonin reuptake inhibitor (SSRIs) have been demonstrated as a risk factor for the development of persistent pulmonary hypertension in the newborn (PPHN) in pregnant women exposed to SSRIs (especially after 20 weeks of gestation). PPHN does not strictly belong to Group 1 (pulmonary arterial hypertension [PAH]) but to a separated Group 1. Main modification to the previous Dana Point classification are in bold.

Montani et al. (16) recently published incidental cases of dasatinib-associated PAH reported in the French registry. Between November 2006 and September 2010, 9 cases treated with dasatinib at the time of PH diagnosis were identified. At diagnosis, patients had moderate to severe pre-capillary PH confirmed by heart right catheterization. No other PH cases were reported with other TKIs at the time of PH diagnosis. Interestingly, clinical, functional, and hemodynamic improvements were observed within 4 months of dasatinib discontinuation in all but 1 patient. However, after a median follow-up of 9 months, most patients did not demonstrate complete recovery, and 2 patients died. Today, more than 13 cases have been observed in France among 2,900 patients treated with dasatinib for CML during the same period, giving the lowest estimate incidence of dasatinib-associated PAH of 0.45%. Finally, notifications of almost 100 cases of PH have been submitted for European pharmaceutical vigilance. Dasatinib is considered a likely risk factor for PH (Table 2).

Few cases of PAH associated with the use of interferon (IFN)- α or - β (17,18) have been published so far. Recently, all cases of PAH patients with a history of IFN therapy notified in the French PH registry were analyzed (19). Fifty-three patients with PAH and a history of IFN use were identified between 1998 and 2012. Forty-eight patients were treated with IFN- α for chronic hepatitis C, most of them had an associated risk factor for PH such as human immunodeficiency virus (HIV) infection and/or portal hypertension. Five other cases were treated with IFN β for multiple sclerosis; those patients did not have any associated risks factor for PAH. The mean delay between initiation of IFN therapy and PAH diagnosis was approximately 3 years. Sixteen additional patients with previously documented PAH were treated with IFN- α for hepatitis C and showed a significant increase in pulmonary vascular resistance (PVR) within a few months of therapy initiation; in half of them,

improvement. Regarding a potential mechanism, several experimental studies have found that IFN- α and INF- β induced the release of endothelin-1 by pulmonary vascular cells (20).

In summary, this retrospective analysis of the French registry together with experimental data suggested that IFN therapy may be a trigger for PAH. However, most of the patients exposed to IFN also had some other risk factors for PAH, and a prospective case control study is mandatory to definitively establish a link between IFN exposure and development of PAH. At this time, IFN- α and - β are considered possible risks factors of PH.

Persistent PH of the newborn (PPHN) is a life-threatening condition that occurs in up to 2 per 1,000 live-born infants. During the past 15 years, many studies have specifically assessed the associations between use of serotonin reuptake inhibitors (SSRIs) during pregnancy and the risk of PPHN with discordant results from no association to 6-fold increased risk (21–26).

A recent study involving nearly 30,000 women who had used SSRIs during pregnancy found that every use in late pregnancy increased the risk of PPHN by more than 2-fold. Based on this large study, SSRIs can be considered a definite risk factor for PPHN (27). Whether exposure to SSRIs is associated with an increased risk of PAH in adults is unclear.

Although presently there is no demonstrated association with PAH, several drugs with mechanisms of actions similar to amphetamines, used to treat a variety of conditions including obesity (fentermine/topiramate [Qsiva]), attention deficit disorder (methylphenidate) (28), Parkinson's disease (ropinirole), and narcolepsy (mazindol), need to be monitored closely for an increase in cases of PAH.

In summary, several new drugs have recently been identified as definite, likely, or possible risk factors for PAH. In order to improve detection of potential drugs that induce PAH, it is important to outline the critical importance of obtaining a detailed history of current and prior exposure in every PAH patient. The proliferation of national and international registries should provide the unique opportunity to collect these data prospectively. In addition, one must emphasize the need to report all side effects of drugs to local pharmaceutical agencies and pharmaceutical companies.

PAH Associated With Connective Tissue Diseases

The prevalence of PAH is well established only in scleroderma, and rate of occurrence is estimated between 7% and 12% (29,30). The prognosis for patients with PAH associated with scleroderma remains poor and worse compared to other PAH subgroups. The 1-year mortality rate in patients with idiopathic PAH is approximately 15% (31) versus 30% in PAH-associated with scleroderma (32). Recent data suggest that in scleroderma, early diagnosis and early intervention may improve long-term outcome (33). Inter-

patients with a mean pulmonary artery pressure (PAP) between 21 and 24 mm Hg are at high risk for the development of overt PH within 3 years and should be closely followed (34).

PAH Associated With HIV Infection

The prevalence of PAH associated with HIV infection has remained stable within the last decade, estimated to be 0.5% (35). Before the era of highly active antiretroviral therapy (HAART) and the development of specific PAH drugs, the prognosis for HIV-PAH was extremely poor, with a mortality rate of 50% in 1 year (36). The advent of HAART and the wide use of PAH therapies in HIV patients have dramatically improved their prognosis, and the current survival rate at 5 years in the French cohort is more than 70% (37). Interestingly, approximately 20% of these cases experience a normalization of hemodynamic parameters after several years of treatment (38).

PAH Associated With Portal Hypertension

Hemodynamic studies have shown that PAH is confirmed in 2% to 6% of patients with portal hypertension, so called portopulmonary hypertension (POPH) (39,40). The risk of developing POPH is independent of the severity of the liver disease (41). Long-term prognosis is related to the severity of cirrhosis and to cardiac function (41). There is wide discrepancy in the published survival estimates of patients with POPH. In the U.S. REVEAL registry (42) patients with POPH had a poor prognosis, even worse than those with idiopathic PAH with a 3-year survival rate of 40% versus 64%, respectively. In the French registry, the 3-year survival rate of POPH was 68%, slightly better than that of idiopathic PAH (43). These discordant results are likely explained by important differences with respect to the severity of liver disease. In the U.S. REVEAL registry, most of these patients were referred from liver transplantation centers, whereas in the French cohort, most patients had mild cirrhosis (39–43).

PAH Associated With Congenital Heart Disease in Adults

Increasing numbers of children with congenital heart disease (CHD) now survive to adulthood. This reflects improvement in CHD management in recent decades, and both the number and complexity of adults with CHD continue to increase. It is estimated that 10% of adults with CHD may also have PAH (44). The presence of PAH in CHD has an adverse impact on quality of life and outcome (45,46).

A well-recognized clinical phenotype of patients with volume and pressure overload (i.e., with large ventricular or arterial shunts) are at much higher risk of developing early PAH than patients with volume overload only (i.e., with

we speculate that a permissive genotype might place some patients with CHD at higher risk of developing PAH. Given the prevalence of PAH among adults with CHD, we suggest that every patient with CHD merits an appropriate assessment in a tertiary setting to determine whether PAH is present. While it is anticipated that the number of patients with Eisenmenger syndrome, the extreme end of the PAH/CHD spectrum, complicated also by chronic cyanosis, will decrease in the coming years and there will be an increasing number of patients with complex and/or repaired CHD surviving to adulthood with concomitant PH (47). The present clinical subclassification of PAH associated with CHDs has evolved sensibly from 2008. It remains clinical and simple, thus widely applicable. Importantly, it is now aligned with the Nice Pediatric classification, as PAH in association with CHD is a lifelong disease (Table 3). We have proposed criteria for shunt closure in patients with net left to right shunting who may represent a management dilemma (Table 4). Other types of PH in association with CHD who do not belong to Group 1 (PAH) are included in different groups of the general clinical classification (i.e., congenital or acquired left heart inflow/outflow obstructive lesions and congenital cardiomyopathies in Group 2). Segmental PH (PH in one or more lobes of one or both lungs) is included in Group 5. In addition, some patients with PH associated with CHD are difficult to classify, such as patients with transposition of great arteries and those with PH following atrial redirection surgery or following neonatal arterial switch operation. This reinforces the need to delineate the underlying cardiac anatomy/physiology and severity of PAH/PVR in every single patient. Here we make specific reference to patients with the Fontan circulation (atrio- or cavopulmonary connections as palliation for “single

Table 3	Updated Clinical Classification of Pulmonary Arterial Hypertension Associated With Congenital Heart Disease*
1. Eisenmenger syndrome	Includes all large intra- and extra-cardiac defects which begin as systemic-to-pulmonary shunts and progress with time to severe elevation of pulmonary vascular resistance (PVR) and to reversal (pulmonary-to-systemic) or bidirectional shunting; cyanosis, secondary erythrocytosis and multiple organ involvement are usually present.
2. Left-to-right shunts	<ul style="list-style-type: none"> • Correctable† • Noncorrectable Include moderate to large defects; PVR is mildly to moderately increased systemic-to-pulmonary shunting is still prevalent, whereas cyanosis is not a feature.
3. Pulmonary arterial hypertension (PAH) with coincidental congenital heart disease	Marked elevation in PVR in the presence of small cardiac defects, which themselves do not account for the development of elevated PVR; the clinical picture is very similar to idiopathic PAH. To close the defects is contraindicated.
4. Post-operative PAH	Congenital heart disease is repaired but PAH either persists immediately after surgery or recurs/develops months or years after surgery in the absence of significant postoperative hemodynamic lesions. The clinical phenotype is often aggressive.

Table 4			Criteria for Closing Cardiac Shunts in PAH Patients Associated With Congenital Heart Defects*
PVRI, Wood units/m ²	PVR, Wood units	Correctable†	
<4	<2.3	Yes	
>8	>4.6	No	
4-8	2.3-4.6	Individual patient evaluation in tertiary centers	

*Criteria: the long-term impact of defect closure in the presence of pulmonary arterial hypertension (PAH) with increased PVR is largely unknown. There are a lack of data in this controversial area, and caution must be exercised. †Correctable with surgery or intravascular nonsurgical procedure. PVR = pulmonary vascular resistance; PVRI = pulmonary vascular resistance index.

ventricle” type hearts), who do not fulfill standard criteria for PH but may have an increased PVR. There are very limited surgical alternatives for this group of patients with complex anatomy/physiology. There has been some recent evidence of potential clinical response to specific PAH therapies in Fontan patients, which needs further exploration before therapeutic recommendations can be made (48,49).

PAH Associated With Schistosomiasis

Schistosomiasis-associated PAH (Sch-PAH) was included in Group 1 in 2008. Previously it was in Group 4 (chronic thromboembolism disease). Today, Sch-PAH is potentially the most prevalent cause of PAH worldwide. Schistosomiasis affects over 200 million people, of whom 10% develop hepatosplenic schistosomiasis (50). PAH occurs almost exclusively in this population, and 5% of patients with hepatosplenic schistosomiasis may develop PAH (51). The hemodynamic profile of Sch-PAH is similar to that of POPH (52). Its mortality rate may reach up to 15% at 3 years (52). Recent uncontrolled data indicate that PAH therapies may benefit patients with Sch-PAH (53).

Chronic Hemolytic Anemia

Chronic hemolytic anemia such as sickle cell disease, thalassemia, spherocytosis, and stomatocytosis are associated with an increased risk of PH. The cause of PH is unclear and often multifactorial, including chronic thromboembolism, splenectomy, high cardiac output, left-heart disease, and hyperviscosity; the role of an inactivation of nitric oxide by free plasma hemoglobin due to chronic hemolysis is controversial (54,55).

The prevalence and characteristic of PH in chronic hemolytic anemia has been extensively studied only in sickle cell disease (SCD). In SCD, PH confirmed by right-heart catheterization and defined as a mean PAP ≥25 mm Hg occurs in 6.2% (56) to 10% of patient (57). Post-capillary PH due to left-heart disease represents the most frequent cause, with a prevalence of 3.3% (56) to 6.3% (57). The prevalence of pre-capillary PH is lower but not rare: 2.9% (56) to 3.7% (57). The classification of pre-capillary PH associated with SCD has evolved during the successive

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