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JUL 1 5 2004										
TRANSMITTAL	Application Number		10/162,701							
		Filing Date		June 6, 2002						
FORM (to be used for all correspondence after initial filing)		First Named Inventor		Xin WANG, et al.						
(to be used for all correspondence after initial filling)		Group Art Unit	-	2122						
		Examiner Name		Unassigned						
Total Number of Pages in This Submission		Attorney Docket Number	7	111325-113 (290100)						
		Confirmation Number		6475						
	ENCLOSU	RES (check all that apply)								
Fee Transmittal Form  Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignmus for an Assign		o o o o o o o o o o o o o o o o o o o	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt with Enclosures A self-addressed prepaid postcard for acknowledging receipt Other Enclosure(s) (please identify below):						
	DD 00 + DDI	above identified docket nun		ODDAY.						
Firm  or Individual name  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Carlos R. Villamar Registration No.: 43,224  NIXON PEABODY LLP 401 9 <sup>th</sup> Street, N.W., Suite 90  Washington, D.C. 20004-2129										
Signature July 15, 2	004	UUU V								
Date July 13, 2				<u> </u>						
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]  I hereby certify that this correspondence is being:  deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450  transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)										
Date Signature  Typed or printed name										



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	FÉE TRANSMITTAL
JUL 1	FOR FY 2004
<sup>3</sup> / <sub>2</sub>	Apple and the same subject to annual revision.  Apple and claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

 Application Number
 10/162,701

 Filing Date
 June 6, 2002

 First Named Inventor
 Xin WANG, et al.

 Examiner Name
 Unassigned

 Art Unit
 2122

111325-113 (290100)

Complete if Known

METHOD OF PAYMENT (check all that apply)	_		_	F	TEE CALCULATION (continued)		
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Deposit Account 19-2380	Fee Code	Fee (S)	Fee Code	Fee (\$)	Fee Description		
Number		130	2051	65	Surcharge – late filing fee or oath		
	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Deposit Account Name Nixon Peabody LLP		130	1053	130	Non-English specification		
		2,520	1812	2,520	For filing a request for ex parte reexamination		
		920*	1804	920*	Requesting publication of SIR prior to Examiner		
The Commissioner is authorized to: (check all that apply)		1,840*	1805	1,840*	action Requesting publication of SIR after Examiner		
Charge fee(s) indicated below Credit any overpayments		25		70	action		
Charge any additional fee(s)		110	2251	55	Extension for reply within first month		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1252	420	2252	210	Extension for reply within second month		
	1253	950	2253	475	Extension for reply within third month		
FEE CALCULATION	1254	1,480	2254	740	Extension for reply within fourth month		
1. BASIC FILING FEE Large Entity Small Entity	1255	2,010	2255	1,005	Extension for reply within fifth month  Notice of Appeal		
Fee Fee Fee Fee Description	5.785.09F101		2402		Filing a brief in support of an appeal		
Code (\$) Code (\$) Fee Paid	1402	330	200,000,00	165	The state of the s		
	1403	290 1,510	2403 1451	145 1,510	Request for oral hearing Petition to institute a public use proceeding		
1001 770 2001 385 Utility filing fee	1452	110	2452	55	Petition to revive – unavoidable		
1002 340 2002 170 Design filing fee	1453	1,330	2453	665	Petition to revive – unintentional		
1003 530 2003 265 Plant filing fee	1501	1,330	2501	665	Utility issue fee (or reissue)		
1004 770 2004 385 Reissue filing fee	1502	480	2502	240	Design issue fee		
1005 160 2005 80 Provisional filing fee	1503	640	2503	320	Plant issue fee		
SUBTOTAL (1)	1460	130	1460	130	Petitions to the Commissioner		
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1807 1806	50 180	1807	50 180	Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure Stmt		
Fee from	8021	40	8021	40	Recording each patent assignment per property		
Extra Claims below Fee Paid					(times number of properties)		
Total Claims 27 -24** = 3 X 54.00 = 54.00	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		
Independent 3 -3** = 0 X = 0	1810	770	2810	385	For each additional invention to be examined		
Claims Multiple Dependent  X = 0	1801	770	2801	385	(37 CFR 1.129(b)) Request for Continued Examination (RCE)		
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Large Entity Small Entity Fee Fee Fee Fee Fee Description	1802	900	1802	900	Request for expedited examination of a design application		
Code (\$) Code (\$)	Other	fee (speci	ifv)				
1202 18 2202 9 Claims in excess of 20	1						
1201 86 2201 43 Independent claims in excess of 3	*Redu	iced by Ba	asic Filir	ng Fee Paid	SUBTOTAL (3)		
1203 290 2203 145 Multiple dependent claim, if not paid							
1204 86 2204 43 ** Reissue independent claims over			CERT	TIFICATE	OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]		
original patent 1205 18 2205 9 ** Reissue claims in excess of 20 and	I he	reby certif			ondence is being:		
over original patent	50000		deposite	d with the	United States Postal Service on the date shown below with sufficient		
SUBTOTAL (2) \$54.00					ss mail in an envelope addressed to: Mail Stop, Patents, P. O. Box 1450, Alexandria, VA 22313-1450		
**or number previously paid, if greater, For Reissues, see above		transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)					
	v.——			ark Office a	-		
		E	Date		Signature		
Typed or printed name							
SUBMITTED BY		- 10		0.00	Complete (if applicable)		

Attorney Docket No.

\$54.00

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(Attorney/Agent)

43,224

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July 15, 2004

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Signature

Carlos R. Villamar

Application No. 10/162,701 Docket No. 111325-113 (290100) 122 \$

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>2</b>		
In re Patent Application of: Xin WANG, et al.	)	Examiner: Unassigned
Application No. 10/162,701	)	
Filed: June 6, 2002	)	Group Art Unit: 2122
For: METHOD AND APPARATUS MANAGING	)	
THE TRANSFER OF RIGHTS	)	Confirmation No.: 64
	)	

Commissioner of Patents
U.S. Patent and Trademark Office
220 20<sup>th</sup> Street S.
Customer Window, Mail Stop Non-Fee Amendment
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

#### PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please amend the above-identified patent application as follows.

07/16/2004 SSITHIB1 00000135 192380 10162701 01 FC:1202 54.00 DA



#### Amendments to the Claims:

1. (Currently amended) A method for transferring rights adapted to be associated with items from a rights supplier to a rights consumer, said method comprising:

obtaining a set of rights associated with an item, said set of rights including meta-rights specifying derivable rights that can be derived therefrom from the meta-rights by the rights consumer; and

determining whether the rights consumer is entitled to derive the derivable rights specified by the meta-rights, and at least one of deriving the derivable rights, and generating a license including the derived rights with the rights consumer designated as a principal if the rights consumer is entitled to derive the derivable rights specified by the meta-rights.

- 2. (Original) A method recited in claim 1, further comprising, transmitting the set of rights, in the form of a license to the item, from the rights supplier to the rights consumer.
- 3. (Original) A method as recited in claim 1, wherein the derived rights are rights disposal rights.
  - 4. (Original) A method as recited in claim 1, wherein the items are content.
- (Original) A method as recited in claim 1, wherein the derived rights include usage rights.
- 6. (Original) A method as recited in claim 1, wherein the derived rights include metarights that the rights consumer may transfer to another rights consumer in the form of a license.
- 7. (Original) A method as recited in claim 4, wherein the consumer is a content distributor.
  - 8. (Original) A method as recited in claim 4, wherein the consumer is a content retailer.
  - 9. (Original) A method as recited in claim 4, wherein the consumer is a content publisher.



- 10. (Currently amended) A license associated with an item and adapted to be used within a system for managing the transfer of rights to the item from a rights supplier to a rights consumer, said license comprising:
- a set of rights including meta-rights specifying derivable rights that can be derived therefrom from the meta-rights by the rights consumer;
- a principal designating at least one rights consumer who is authorized to derive the derivable rights; and
  - a mechanism for providing access to the item in accordance with the set of rights.
- 11. (Original) A license as recited in claim 10, wherein the derived rights are rights disposal rights.
  - 12. (Original) A license as recited in claim 10, wherein the items are content.
- 13. (Original) A license as recited in claim 10, wherein the derived rights include usage rights.
- 14. (Original) A license as recited in claim 10, wherein the derived rights include metarights that the rights consumer may transfer to another rights consumer in the form of a license.
- 15. (Original) A license as recited in claim 12, wherein the consumer is a content distributor.
  - 16. (Original) A license as recited in claim 12, wherein the consumer is a content retailer.
- 17. (Original) A license as recited in claim 12, wherein the consumer is a content publisher.
- 18. (Original) A license as recited in claim 10, further comprising a digital signature corresponding to a party issuing the license.
- 19. (Currently amended) A license as recited in claim 10, further comprising at least one condition that must be satisfied to exercise at least on one of said meta-rights.



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