



<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/162,701
		Filing Date	June 6, 2002
		First Named Inventor	Xin WANG, et al.
		Group Art Unit	2122
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	111325-113 (290100)
		Confirmation Number	6475

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt <input type="checkbox"/> Other Enclosure(s) (please identify below):
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Firm or Individual name	Carlos R. Villamar Registration No.: 43,224 <b>NIXON PEABODY LLP</b> 401 9 <sup>th</sup> Street, N.W., Suite 900 Washington, D.C. 20004-2128
Signature	
Date	July 15, 2004

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# FEE TRANSMITTAL FOR FY 2004

Patent fees are subject to annual revision.  
 Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **\$54.00**

Complete if Known	
Application Number	10/162,701
Filing Date	June 6, 2002
First Named Inventor	Xin WANG, et al.
Examiner Name	Unassigned
Art Unit	2122
Attorney Docket No.	111325-113 (290100)

**METHOD OF PAYMENT** (check all that apply)

Check  
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  None

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Deposit Account Name: Nixon Peabody LLP

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**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid                      **SUBTOTAL (3)**  

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	<span style="border: 1px solid black; padding: 2px;">27</span>	-24** =	<span style="border: 1px solid black; padding: 2px;">3</span>	X	<span style="border: 1px solid black; padding: 2px;">54.00</span>	=	<span style="border: 1px solid black; padding: 2px;">54.00</span>
Independent Claims	<span style="border: 1px solid black; padding: 2px;">3</span>	-3** =	<span style="border: 1px solid black; padding: 2px;">0</span>	X	<span style="border: 1px solid black; padding: 2px;"> </span>	=	<span style="border: 1px solid black; padding: 2px;">0</span>
Multiple Dependent				X	<span style="border: 1px solid black; padding: 2px;"> </span>	=	<span style="border: 1px solid black; padding: 2px;">0</span>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b> <span style="border: 1px solid black; padding: 2px;">\$54.00</span>				

\*\*or number previously paid, if greater, For Reissues, see above

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Signature		Telephone	(202) 585-8000
		Date	July 15, 2004

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Application No. 10/162,701  
Docket No. 111325-113 (290100)

2122  
\$  
JFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: Xin WANG, <i>et al.</i>	) Examiner: Unassigned
Application No. 10/162,701	)
Filed: June 6, 2002	) Group Art Unit: 2122
For: METHOD AND APPARATUS MANAGING	)
THE TRANSFER OF RIGHTS	) Confirmation No.: 6475
	)

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**PRELIMINARY AMENDMENT**

Sir:

Prior to examination on the merits, please amend the above-identified patent application as follows.

07/16/2004 SSITHIB1 00000135 192380 10162701  
01 FC:1202 54.00 DA



**Amendments to the Claims:**

1. (Currently amended) A method for transferring rights adapted to be associated with items from a rights supplier to a rights consumer, said method comprising:

obtaining a set of rights associated with an item, said set of rights including meta-rights specifying derivable rights that can be derived ~~therefrom~~ from the meta-rights by the rights consumer; and

determining whether the rights consumer is entitled to derive the derivable rights specified by the meta-rights, and at least one of deriving the derivable rights, and generating a license including the derived rights ~~with the rights consumer designated as a principal~~ if the rights consumer is entitled to derive the derivable rights specified by the meta-rights.

2. (Original) A method recited in claim 1, further comprising, transmitting the set of rights, in the form of a license to the item, from the rights supplier to the rights consumer.

3. (Original) A method as recited in claim 1, wherein the derived rights are rights disposal rights.

4. (Original) A method as recited in claim 1, wherein the items are content.

5. (Original) A method as recited in claim 1, wherein the derived rights include usage rights.

6. (Original) A method as recited in claim 1, wherein the derived rights include meta-rights that the rights consumer may transfer to another rights consumer in the form of a license.

7. (Original) A method as recited in claim 4, wherein the consumer is a content distributor.

8. (Original) A method as recited in claim 4, wherein the consumer is a content retailer.

9. (Original) A method as recited in claim 4, wherein the consumer is a content publisher.

10. (Currently amended) A license associated with an item and adapted to be used within a system for managing the transfer of rights to the item from a rights supplier to a rights consumer, said license comprising:

a set of rights including meta-rights specifying derivable rights that can be derived ~~therefrom~~ from the meta-rights by the rights consumer;

a principal designating at least one rights consumer who is authorized to derive the derivable rights; and

a mechanism for providing access to the item in accordance with the set of rights.

11. (Original) A license as recited in claim 10, wherein the derived rights are rights disposal rights.

12. (Original) A license as recited in claim 10, wherein the items are content.

13. (Original) A license as recited in claim 10, wherein the derived rights include usage rights.

14. (Original) A license as recited in claim 10, wherein the derived rights include meta-rights that the rights consumer may transfer to another rights consumer in the form of a license.

15. (Original) A license as recited in claim 12, wherein the consumer is a content distributor.

16. (Original) A license as recited in claim 12, wherein the consumer is a content retailer.

17. (Original) A license as recited in claim 12, wherein the consumer is a content publisher.

18. (Original) A license as recited in claim 10, further comprising a digital signature corresponding to a party issuing the license.

19. (Currently amended) A license as recited in claim 10, further comprising at least one condition that must be satisfied to exercise at least ~~on~~ one of said meta-rights.

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