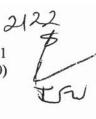
TRADEWART			Application Number		10/162,701
			Filing Date		June 6, 2002
<b>FORM</b> (to be used for all correspondent		filing)	First Named Inventor		Xin WANG, et al.
			Group Art Unit		2122
			Examiner Name		Unassigned
Total Number of Pages in This Sub	omission	25.2	Attorney Docket Number	21 21	111325-113 (290100)
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<ul> <li>Fee Transmittal Form</li> <li>Fee Attached</li> <li>Amendment / Reply</li> <li>After Final</li> <li>Affidavits/declaration(s)</li> <li>Extension of Time Request</li> <li>Express Abandonment Request</li> <li>Information Disclosure Statement</li> <li>Certified Copy of Priority Document(s)</li> <li>Response to Missing Parts/ Incomplete Application</li> <li>Response to Missing Parts under 37 CFR 1.52 or 1.53</li> </ul>	nt	(for an A Drawing Declarat Licensin Petition Petition Applicat Power o Change Request	ion and Power of Attorney g-related Papers to Convert to a Provisional		After Allowance Communication to Grou Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt wit Enclosures A self-addressed prepaid postcard for acknowledging receipt Other Enclosure(s) ( <i>please identify below</i>
	-	Remarks	The Commissioner is required or credit any overpabove identified docket nur	bayme	y authorized to charge any additional fees nts to Deposit Account No. 19-2380 for th
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or Individual name	Carlos R. Vi Registration NIXON PEA 401 9 <sup>th</sup> Stree Washington	No.: 43,22 BODY LLP et, N.W., Su			
Signature			CMV		
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I hereby certify that this corres deposited with the U class mail in an enve Alexandria, VA 223	spondence i Jnited States elope addres 13-1450 mile on the o	s being: Postal Ser ssed to: Ma	il Stop, Co	belo mmis ates l	CFR 1.8(a)] w with sufficient postage as first ssioner for Patents, P. O. Box 145 Patent and Trademark Office at
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FOR FY 2004   For fees are subject to annual revision.   Antecant claims small entity status. See 37 CFR 1.27   TOTAL AMOUNT OF PAYMENT   \$54.00     METHOD OF PAYMENT   \$54.00     METHOD OF PAYMENT (check all that apply)   Check   Credit Card   Merrie   Deposit   Account   Deposit   Account   Number   Deposit   Account   Number   Deposit   Account   Name   Nixon Peabody LLP   Charge fee(s) indicated below   Charge fee(s) indicated below   Charge fee(s) indicated below   Charge fee(s) indicated below, except for the filling fee   to the above-identified deposit account.   FEE CALCULATION   Fee Fee Fee Fee Description   Code (5)   Code (5)   Code (5)   Code (5)   Code (5)   Code (5)   Pei Fee   Ioo1 770   2001 385   Utility filing fee   1001 770   2003 265   1001 770   2004 385   Reisue filing fee   1005 160   2005 80   Provisional filing fee   1005 160	Exami Art Ur Attorn 3. A	amed In ner Nam hit ey Dock Entity Fee (S) 130 2,520 920* 1,840* 110 420 950 1,480 2,010 330 290 1,510 110 1,330 1,330 480	et No. et No. Small Fee Code 2051 2052 1053 1812 1804	X U 2 1	Surcharge – late filli Surcharge - late pro- sheet Non-English specifi For filing a request of Requesting publicati action Requesting publicati action Extension for reply Extension for reply Extension for reply Extension for reply Extension for reply Notice of Appeal Filing a brief in supp Request for oral hea	Description ing fee or oath visional filing fee or cover ication for <i>ex parte</i> reexamination tion of SIR after Examiner within first month within first month within second month within first month within fifth month within fifth month unithin fifth month a public use proceeding unavoidable unintentional	
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	1807	50	1807	50	Processing fee unde	er 37 CFR 1.17(a)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1806	180	1806	180	7/	mation Disclosure Stmt	
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Application No. 10/162,701 Docket No. 111325-113 (290100)



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TRANE W In re Patent Application of: Xin WANG, et al.
 Application No. 10/162,701
 Filed: June 6, 2002
 For: METHOD AND APPARATUS MANAGING THE TRANSFER OF RIGHTS

) Examiner: Unassigned

Group Art Unit: 2122

Confirmation No.: 6475

Commissioner of Patents

U.S. Patent and Trademark Office

220 20<sup>th</sup> Street S.

Customer Window, Mail Stop Non-Fee Amendment

Crystal Plaza Two, Lobby, Room 1B03

Arlington, VA 22202

#### PRELIMINARY AMENDMENT

Sir:

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Prior to examination on the merits, please amend the above-identified patent application as follows.

07/16/2004 SSITHIB1 00000135 192380 10162701 01 FC:1202 54.00 DA

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#### Amendments to the Claims:

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1. (Currently amended) A method for transferring rights adapted to be associated with items from a rights supplier to a rights consumer, said method comprising:

obtaining a set of rights associated with an item, said set of rights including meta-rights specifying derivable rights that can be derived therefrom from the meta-rights by the rights consumer; and

determining whether the rights consumer is entitled to derive the derivable rights specified by the meta-rights, and at least one of deriving the derivable rights, and generating a license including the derived rights with the rights consumer designated as a principal if the rights consumer is entitled to derive the derivable rights specified by the meta-rights.

2. (Original) A method recited in claim 1, further comprising, transmitting the set of rights, in the form of a license to the item, from the rights supplier to the rights consumer.

3. (Original) A method as recited in claim 1, wherein the derived rights are rights disposal rights.

4. (Original) A method as recited in claim 1, wherein the items are content.

5. (Original) A method as recited in claim 1, wherein the derived rights include usage rights.

6. (Original) A method as recited in claim 1, wherein the derived rights include metarights that the rights consumer may transfer to another rights consumer in the form of a license.

7. (Original) A method as recited in claim 4, wherein the consumer is a content distributor.

8. (Original) A method as recited in claim 4, wherein the consumer is a content retailer.

9. (Original) A method as recited in claim 4, wherein the consumer is a content publisher.

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10. (Currently amended) A license associated with an item and adapted to be used within a system for managing the transfer of rights to the item from a rights supplier to a rights consumer, said license comprising:

a set of rights including meta-rights specifying derivable rights that can be derived therefrom from the meta-rights by the rights consumer;

a principal designating at least one rights consumer who is authorized to derive the derivable rights; and

a mechanism for providing access to the item in accordance with the set of rights.

11. (Original) A license as recited in claim 10, wherein the derived rights are rights disposal rights.

12. (Original) A license as recited in claim 10, wherein the items are content.

13. (Original) A license as recited in claim 10, wherein the derived rights include usage rights.

14. (Original) A license as recited in claim 10, wherein the derived rights include metarights that the rights consumer may transfer to another rights consumer in the form of a license.

15. (Original) A license as recited in claim 12, wherein the consumer is a content distributor.

16. (Original) A license as recited in claim 12, wherein the consumer is a content retailer.

17. (Original) A license as recited in claim 12, wherein the consumer is a content publisher.

18. (Original) A license as recited in claim 10, further comprising a digital signature corresponding to a party issuing the license.

19. (Currently amended) A license as recited in claim 10, further comprising at least one condition that must be satisfied to exercise at least on one of said meta-rights.

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