

**PROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(c)
TRANSMITTAL FORM**

Docket Number: TI-37032PS

1497 U.S. PTO
60/500438
09/05/03

Mail Stop Provisional Patent Application
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<p>"EXPRESS MAIL"</p> <p>Express Mailing Label Number: <u>EV 333322956 US</u> Date of Deposit: <u>September 5, 2003</u></p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner For Patents as indicated.</p> <p align="center"><u>Cindy Dees</u></p>

Dear Sir:

Enclosed application parts are:

<input checked="" type="checkbox"/>	Spec w/Claims	Number of Pages
<input type="checkbox"/>	Spec w/o Claims	Number of Pages <u>5</u>
<input type="checkbox"/>	Formal drawings	Number of Sheets
<input type="checkbox"/>	Informal drawings	Number of Sheets
<input type="checkbox"/>	Other	Number of Pages

Inventors:

LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY & STATE OR CITY & FOREIGN COUNTRY)
<i>Gummadi</i>	<i>Srikanth</i>		<i>Rohnert Park, California</i>
<i>Hosur</i>	<i>Srinath</i>		<i>Plano, Texas</i>
<i>Murphy</i>	<i>Peter</i>		<i>Santa Rosa, California</i>

TITLE OF INVENTION: Scalable and Backwards Compatible Preamble for 11n

CORRESPONDENCE ADDRESS: *Wade James Brady III*
Customer Number 23494
Texas Instruments Incorporated
P. O. Box 655474, M/S 3999
Dallas, Texas 75265

PHONE: (972) 917-4371
FAX: (972) 917-4418/4417

Was this invention made under a Government contract? No Yes

Identify contract and the Government agency:

Please charge \$160 to the deposit account of Texas Instruments Incorporated, Account No. 20-0668.
An original and two copies are enclosed.

Respectfully submitted,

Wade James Brady III
Wade James Brady III
Reg. No. 32,080

9/15/03
Date

DRAFT

Scalable and Backwards Compatible Preamble for IEEE 802.11n

1 Introduction

The preamble for the IEEE 802.11n Standard involves a design that is backwards compatible, has low overhead and is scalable to multiple antennas. At the receiver, knowledge of the number of transmit antennas is not available ahead of time posing a unique problem for the design of the 802.11n preamble.

In this document we present a novel invention which solves the aforementioned problems allowing for backwards compatibility and scalability to multiple transmit antennas.

RECEIVED

2 Notation

SEP 02 2003

The following notation has been followed in the figures below.

PATENT DEPT

- **LS**: OFDM symbol generated by the 53 subcarriers given by $L_{-26, 26} = \{1, 1, -1, -1, 1, 1, -1, 1, -1, 1, 1, 1, 1, 1, -1, -1, 1, 1, -1, 1, -1, 1, 1, 1, 1, 0, 1, -1, -1, 1, 1, -1, 1, -1, 1, -1, 1, -1, -1, -1, -1, 1, 1, -1, -1, 1, -1, 1, 1, 1, 1\}$. (*identical to 11a*)
- **LS1**: OFDM symbol generated by the 53 subcarriers given by $L1_{-26, 26} = \{1, -1, -1, 1, 1, -1, -1, -1, -1, -1, 1, -1, 1, -1, 1, 1, -1, -1, 1, 1, 1, 1, 1, 1, -1, 1, -1, 0, 1, 1, -1, -1, 1, 1, 1, 1, 1, 1, -1, 1, -1\}$. (*odd tones of negative frequency of 11a are negated and even tones of positive frequency are negated*)
- **-LS**: OFDM symbol generated by the 53 subcarriers given by $L_{-26, 26} = -1x\{1, 1, -1, -1, 1, 1, -1, 1, -1, 1, 1, 1, 1, 1, -1, -1, 1, 1, -1, 1, -1, 1, 1, 1, 1, 0, 1, -1, -1, 1, 1, -1, 1, -1, 1, -1, -1, -1, -1, -1, 1, 1, -1, -1, 1, -1, 1, 1, 1, 1\}$. (*all tones of 11a are negated*)
- **-LS1**: OFDM symbol generated by the 53 subcarriers given by $L1_{-26, 26} = -1x\{1, -1, -1, 1, 1, -1, -1, -1, -1, -1, 1, -1, 1, -1, 1, 1, -1, -1, 1, 1, 1, 1, 1, 1, -1, 1, -1, 0, 1, 1, -1, -1, 1, 1, 1, 1, 1, 1, -1, 1, -1\}$. (*even tones of negative frequency of 11a are negated and odd tones of positive frequency are negated*)
- **SIG_L**: Legacy signal field (modulated as in 802.11n standard)
- **SIG_L1**: SIG_L with odd tones of negative frequencies and even tones of positive frequencies negated
- **SIG_N**: 11n signal field (modulated as in Texas Instrument's draft standard)
- **SIG_N1**: SIG_N with odd tones of negative frequencies and even tones of positive frequencies negated
- **GI2**: Twice the appropriate cyclic prefix before the long sequences in pre-SIGNAL preamble
- **GI**: Appropriate cyclic prefix before each symbol

DRAFT

3 Backwards Compatible Preamble

3.1 1 TX Antenna

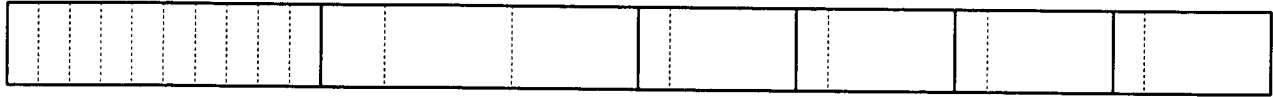


Figure 1: Preamble for 1 transmit antenna

- Packet Detection, AGC, coarse frequency offset, and boundary detection are done during the short sequence.
- FFT placement, fine frequency offset, and Channel estimation are done during the long sequence.
- The legacy Signal field sets the reserved bit to say that it is a HT mode.
- The new signal field gives more information (like new coding, new pilots, num Tx antennas, etc).

3.2 2 TX Antennas

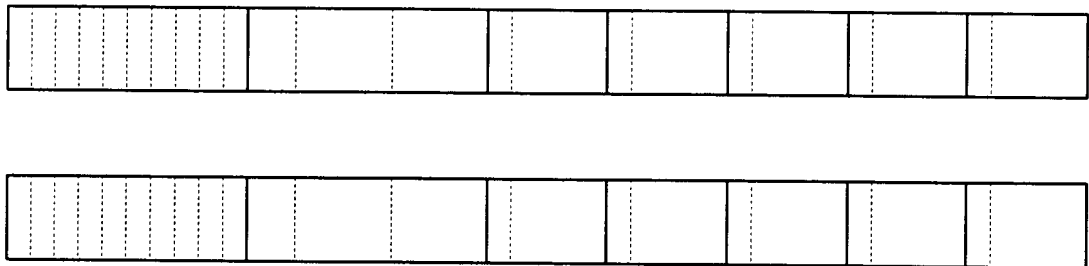


Figure 2: Preamble for 2 transmit antennas

- Channel estimation is done during the long sequence.
- Channel Estimation is performed independently on the even and odd tones.
 - For the even tones, the channel estimated is $(H1+H2)$
 - For the odd tones it is $(H1-H2)$.
 - Assuming that the rank of channel is small $(H1+H2)$ and $(H1-H2)$ are estimated on all the tones and hence $H1$ and $H2$.

3.3 3 TX Antennas

RECEIVED

SEP 02 2003

DRAFT

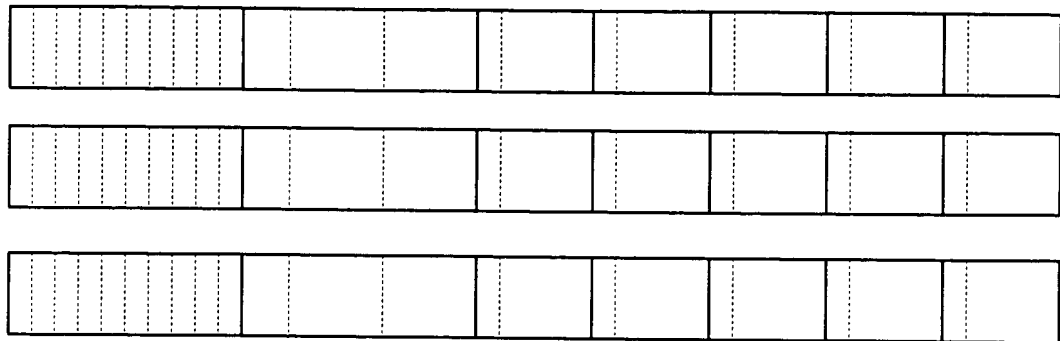


Figure 3: Preamble for 3 transmit antennas

- Channel estimation is done during the long sequence and new long seq.
- Channel Estimation is performed as follows
 - During the long seq
 - For the even tones, the channel estimated is $(H1 + H2 + H3)$
 - For the odd tones it is $(H1 + H2 - H3)$.
 - Assuming that the rank of channel is small $(H1 + H2 + H3)$ and $(H1 + H2 - H3)$ are estimated on all the tones and hence $(H1 + H2)$ and $H3$
 - During the new long seq
 - For the even tones, the channel estimated is $(H1 - H2 + H3)$
 - For the odd tones it is $(H1 - H2 - H3)$.
 - Assuming that the rank of channel is small $(H1 - H2 + H3)$ and $(H1 - H2 - H3)$ are estimated on all the tones and hence $(H1 - H2)$ and $H3$
 - From both the above steps we could estimate $H1, H2,$ and $H3$

3.4 4 TX Antennas

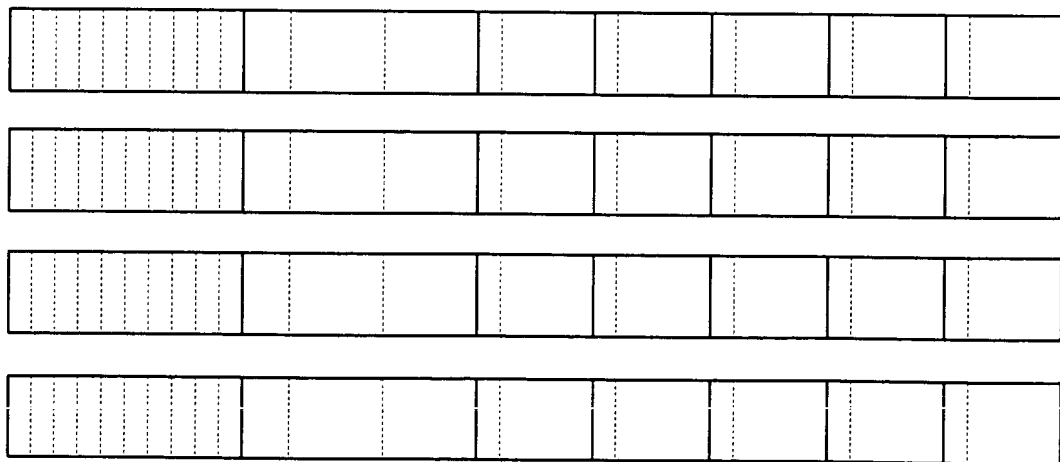


Figure 4: Preamble for 4 transmit antennas

RECEIVED

SEP 09 2003

DRAFT

- Channel Estimation is performed as follows
 - During the long seq
 - For the even tones, the channel estimated is $((H1 + H2) + (H3 + H4))$
 - For the odd tones it is $((H1 + H2) - (H3 + H4))$.
 - Assuming that the rank of channel is small $((H1 + H2) + (H3 + H4))$ and $((H1 + H2) - (H3 + H4))$ are estimated on all the tones and hence $(H1 + H2)$ and $(H3 + H4)$
 - During the new long seq
 - For the even tones, the channel estimated is $((H1 - H2) + (H3 - H4))$
 - For the odd tones it is $((H1 - H2) - (H3 - H4))$.
 - Assuming that the rank of channel is small $((H1 - H2) + (H3 - H4))$ and $((H1 - H2) - (H3 - H4))$ are estimated on all the tones and hence $(H1 - H2)$ and $(H3 - H4)$
 - From both the above steps we could estimate $H1$, $H2$, $H3$, and $H4$

3.5 Some Fine Points

- The above preamble provides a nice upgrade to a 2x2 system with minimal overhead. The overhead for going to 3x3 and 4x4 is an addition of an extra long sequence (channel estimation sequence). In some sense it says that 2x2 is better than the rest and is clean.
- The new long seq is not the same as 11a, it is structured more like a couple of OFDM symbols. This helps in accommodating some of the delay of decoding SIGNAL fields. It is not clear if we need two repeats.
- The receiver always processes the odd and even tones of channel estimation sequence separately. If it so happens to be a SISO system, one could just average the two individual estimates.
- No issues with AGC or radio as all the TX chains are always on.

RECEIVED
SEP 02 2003
PATENT DEPT

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.