UNITED STATES PA	TENT AND TRA	ADEMARK OFFICE
BEFORE THE PATE	ENT TRIAL AND	APPEAL BOARD

TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD,
TSMC NORTH AMERICA CORP.,
FUJITSU SEMICONDUCTOR LIMITED AND
FUJITSU SEMICONDUCTOR AMERICA, INC.

Petitioner

v.

ZOND, LLC Patent Owner

U.S. Patent No. 6,896,775

Inter Partes Review Case No. 2014-01482

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PATENT OWNER'S PRELIMINARY RESPONSE UNDER 37 CFR § 42.107(a)

Claims 1 - 29



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#### I. <u>Introduction</u>

The present petition for *inter partes* review of U.S. Patent No. 6,896,775 ("the '775 patent") is first of two petitions filed by the Gillette Company challenging the '775 patent. This petition challenges two of the patent's independent claims (1, 15) and all claims that depend from claims 1, 15.

The independent claims 1, 15 are directed to a method and apparatus for etching material from a substrate using a strongly-ionized plasma formed by a particular type of multi-stage ionization process in which neutral atoms in a weakly ionized gas are first excited from the ground state, and in which secondary electrons are formed from a cathode. The secondary electrons interact with the excited atoms to thereby ionize them to form a strongly ionized plasma. This is in contrast to a more conventional ionization process in which atoms are ionized directly from the ground state, without first achieving an excited state.

The Petition challenges claims 1 and 15 based on two prior art references, Mozgrin<sup>1</sup> and Wang,<sup>2</sup> combined with a prior art patent by

<sup>&</sup>lt;sup>2</sup> Ex. 1008, Wang patent No. 6,413,382 ("Wang").



<sup>&</sup>lt;sup>1</sup> Ex. 1002, Mozgrin.

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