

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CISCO SYSTEMS, INC. and
QUANTUM CORPORATION,
Petitioners

v.

CROSSROADS SYSTEMS, INC.,
Patent Owner

Case IPR2014-01463
Patent 7,934,041

REVISED MANDATORY NOTICES

Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owner provides the following revised mandatory notices.

A. Real Party-In-Interest – Unchanged

Patent Owner certifies that the real party in interest is Crossroads Systems, Inc.

B. Related Matters – Revised

Patent Owner identifies the following active litigation and IPRs as relating to U.S. Patent No. 7,934,041:

- *Crossroads Systems, Inc. v. Oracle Corporation*
Case No. 1:13-CV-00895-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. Huawei Technologies Co. Ltd. et al.*
Case No. 1:13-CV-01025-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. Cisco Systems, Inc.*,
Case No. 1:14-CV-00148-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. NetApp, Inc.*,
Case No. 1:14-CV-00149-SS (W.D. Tex.)
- *Crossroads Systems, Inc. v. Quantum Corporation*,
Case No. 1:14-CV-00150-SS (W.D. Tex.)

Petitions for *inter partes* review have been filed by Defendants in the above-captioned cases as follows:

- IPR2014-01177 (United States Patent No. 7,934,041) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd. (not instituted)
- IPR2015-00776 (United States Patent No. 7,934,041) by NetApp, Inc.
- IPR2015-00854 (United States Patent No. 7,934,041) by Oracle Corporation
- IPR2015-01066 (United States Patent No. 7,934,041) by Oracle Corporation
- IPR2014-01197 (United States Patent No. 6,425,035) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.
- IPR2014-01207 (United States Patent No. 7,051,147) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.
- IPR2014-01209 (United States Patent No. 7,051,147) by Oracle Corporation, NetApp, Inc. and Huawei Technologies Co. Ltd.
- IPR2014-01226 (United States Patent No. 6,425,035) by Cisco Systems, Inc. and Quantum Corporation

- IPR2014-01233 (United States Patent No. 7,987,311) by NetApp, Inc.
(not instituted)
- IPR2014-01544 (United States Patent No. 7,051,147) by Cisco
Systems, Inc. and Quantum Corporation
- IPR2015-00772 (United States Patent No. 7,987,311) by NetApp, Inc.
- IPR2015-00773 (United States Patent No. 7,051,147) by NetApp, Inc.
- IPR2015-00777 (United States Patent No. 6,425,035) by NetApp, Inc.
- IPR2015-00822 (United States Patent No. 6,425,035) by Dot Hill
Systems Corp.
- IPR2015-00825 (United States Patent No. 6,425,035) by Oracle
Corporation and Dot Hill Systems Corp.
- IPR2015-00852 (United States Patent No. 7,051,147) by Oracle
Corporation
- IPR2015-01063 (United States Patent No. 6,425,035) by Oracle
Corporation
- IPR2015-01064 (United States Patent No. 7,051,147) by Oracle
Corporation

United States Patent Nos. 6,425,035; 7,051,147; 7,934,041; and 7,987,311

referenced above claim priority to United States Patent No. 5,941,972 filed Dec. 31, 1997. The following patents and patent applications also claim priority to United States Patent No. 5,941,972: 6,421,753; 6,425,036; 6,789,152; 6,738,854; 6,763,419; 7,340,549; 7,689,754; 7,552,266; 7,694,058; 7,984,221; US RE42,761; 7,937,517; 7,934,040; 8,015,339; 7,984,224; 8,028,117; 8,046,515; 8,402,193; 8,402,194; 13/766,301.

C. Lead and back-up counsel – Unchanged

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