

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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FUJITSU NETWORK COMMUNICATIONS, INC.  
Petitioner

v.

THOMAS SWAN & CO. LTD.  
Patent Owner

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Case IPR2014-01384  
Patent 7,664,395

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**PATENT OWNER THOMAS SWAN & CO. LTD.'S  
MANDATORY NOTICES**

**PATENT OWNER'S MANDATORY NOTICES  
UNDER 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, the patent owner, Thomas Swan & Co. Ltd. (“Patent Owner”), hereby submits the following Mandatory Notices in response to the Petition for *Inter Partes* Review of U.S. Patent No. 7,664,395.

**1. Real Party-In-Interest under 37 CFR § 42.8(b)(1)**

Thomas Swan & Co. Ltd. is the Patent Owner and the Real Party-In-Interest in this proceeding.

**2. Related Matters under 37 CFR § 42.8(b)(2)**

The following judicial or administrative matters may affect or be affected by a decision in this proceeding:

*Thomas Swan & Co. Ltd. v. Finisar Corp., et al.*, No. 2:13-cv-178 (E.D. Texas), and *Inter Partes* Review Proceeding No. IPR2014-00461.

**3. Lead and Back-Up Counsel, under 37 CFR § 42.8(b)(3)**

The Patent Owner designates the following lead and back-up counsel:

LEAD COUNSEL	BACK-UP COUNSEL
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**4. Service Information under 37 CFR § 42.8(b)(4)(i) *et seq.***

Please address all correspondence and service to counsel as listed above.

Patent Owner consents to service by email at [IPR28733-0003IP2@fr.com](mailto:IPR28733-0003IP2@fr.com) (ref.:

Docket No. 28733-0003IP2).

Respectfully submitted,

Date: September 16, 2014

/Marc M. Wefers Reg. No. 56,842/

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**CERTIFICATE OF SERVICE**

Pursuant to 37 CFR §§ 42.6(e)(4) and 42.6(e)(4)(iii), the undersigned certifies that on September 16, 2014, a complete and entire copy of this Patent Owner Thomas Swan & Co. Ltd.'s Mandatory Notices and Power of Attorney were provided via email, to the Petitioner by serving the correspondence email addresses of record as follows:

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