UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

FUJITSU NETWORK COMMUNICATIONS, INC. Petitioner

v.

THOMAS SWAN & CO. LTD. Patent Owner

> Case IPR2014-01381 Patent 8,335,033

PATENT OWNER THOMAS SWAN & CO. LTD.'S MANDATORY NOTICES

DOCKET

PATENT OWNER'S MANDATORY NOTICES UNDER 37 C.F.R. § 42.8

Pursuant to 37 C.F.R. § 42.8, the patent owner, Thomas Swan & Co. Ltd.

("Patent Owner"), hereby submits the following Mandatory Notices in response to

the Petition for Inter Partes Review of U.S. Patent No. 8,335,033.

1. Real Party-In-Interest under 37 CFR § 42.8(b)(1)

Thomas Swan & Co. Ltd. is the Patent Owner and the Real Party-In-Interest

in this proceeding.

2. Related Matters under 37 CFR § 42.8(b)(2)

The following judicial or administrative matters may affect or be affected by

a decision in this proceeding:

Thomas Swan & Co. Ltd. v. Finisar Corp., et al., No. 2:13-cv-178 (E.D.

Texas) and Inter Partes Review Proceeding No. IPR2014-00465.

3. Lead and Back-Up Counsel, under 37 CFR § 42.8(b)(3)

The Patent Owner designates the following lead and back-up counsel:

LEAD COUNSEL	BACK-UP COUNSEL
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Find authenticated court documents without watermarks at docketalarm.com.

4. Service Information under 37 CFR § 42.8(b)(4)(i) *et seq.*

Please address all correspondence and service to counsel as listed above.

Patent Owner consents to service by email at <u>IPR28733-0005IP2@fr.com</u> (ref.:

Docket No. 28733-0005IP2).

Respectfully submitted,

Date: September 16, 2014

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CERTIFICATE OF SERVICE

Pursuant to 37 CFR §§ 42.6(e)(4) and 42.6(e)(4)(iii), the undersigned certifies that on September 16, 2014, a complete and entire copy of this Patent Owner Thomas Swan & Co. Ltd.'s Mandatory Notices and Power of Attorney were provided via email, to the Petitioner by serving the correspondence email addresses of record as follows:

/Edward G. Faeth/

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