

PATENT OWNER'S EXHIBIT LIST
IPR2014-01207

Exhibit No.	Description
2001	U.S. Patent No. 5,941,972 (the “972 patent”)
2002	District Court Order (denying motion for summary judgment of invalidity based on Kikuchi), <i>Crossroads Systems, (Texas), Inc. v. Chaparral Network Storage, Inc.</i> , C.A. No. 00-cv-00217-SS (W.D. Tex. Aug. 30, 2001)
2003	Final Judgment, <i>Crossroads Systems, (Texas), Inc. v. Chaparral Network Storage, Inc.</i> , C.A. No. 00-cv-00217-SS (W.D. Tex. Oct. 11, 2001)
2004	Markman Order, <i>Crossroads Systems, (Texas), Inc. v. Chaparral Network Storage, Inc.</i> , C.A. No. 00-cv-00217-SS (W.D. Tex. Jul. 27, 2000) & <i>Crossroads Systems, (Texas), Inc. v. Pathlight Technology, Inc.</i> , C.A. No. 00-cv-00248-SS (W.D. Tex. Jul. 27, 2000)
2005	Markman Order, <i>Crossroads Systems (Texas), Inc. v. Dot Hill Systems Corp.</i> , C.A. No. 03-cv-00754-SS (W.D. Tex. Nov. 4, 2005)
2006	Report and Recommendation of Special Master re: Claim Construction, <i>Crossroads Systems (Texas), Inc. v. Dot Hill Systems Corp.</i> , C.A. No. 03-cv-00754-SS (W.D. Tex. Jan. 21, 2005)
2007	Markman Order, <i>Crossroads Systems, Inc. v. 3Par, Inc.</i> , C.A. No. 10-cv-00652-SS (W.D. Tex. Nov. 8, 2011)
2008	Report and Recommendation of Special Master re: Claim Construction, <i>Crossroads Systems, Inc. v. 3Par, Inc.</i> , C.A. No. 10-cv-00652-SS (W.D. Tex. Aug. 10, 2011)
2009	Joint Claim Construction Chart submitted in Pending Litigation in Western District of Texas by Crossroads and Petitioners

Exhibit No.	Description
2010	Declaration of Janice Pampell
2011	CRD-5500, RAID DISK ARRAY CONTROLLER Product Insert, pp. 1-5
2012	Excerpt from File History of Reexamination Control No. 90/001,125 (U.S. Patent No. 6,425,035)
2013	Claim Chart Comparing MaxStrat Gen5 S-Series XL to '035 Patent Claims, Exhibit 10 to July 19, 2004 Ex Parte Reexamination Request in Reexamination Control No. 90/001,125
2014	Claim Chart Comparing U.S. Patent No. 6,219,771 (<i>Kikuchi</i>) to '035 Patent Claims, excerpted from Exhibit 22 to July 19, 2004 Ex Parte Reexamination Request in Reexamination Control No. 90/001,125
2015	Claim Chart Comparing U.S. Patent No. 6,073,209 (<i>Bergsten</i>) to '035 Patent Claims, excerpted from Exhibit 22 to July 19, 2004 Ex Parte Reexamination Request in Reexamination Control No. 90/001,125
2016	Hewlett Packard, TACHYON HPFC-5000 User's Manual, May 1996
2017	CMD Technology, CRD-5500 RAID Controller Brochure, May 1999
2018-2028	[Reserved]
2029	October 6, 2014 Markman Hearing Transcript Crossroads Sys., Inc. v. Multiple Defendants (co-pending litigation in W.D. Tex.)
2030-31	[Reserved]

Exhibit No.	Description
2032	Defendants' Joint Claim Construction Brief (co-pending litigation, W. D. Tex.)
2033	July 31, 2014 Declaration of Randy Katz regarding Claim Construction (including exhibits) (co-pending litigation, W.D. Tex.).
2034	Special Master's Recommended Constructions (co-pending litigation, W.D. Tex.)
2035	Crossroads Industry Awards
2036	Sept. 7, 2012 Strongbox Engineering Excellence Award Announcement
2037	Small Computer System Interface-2, ANSI X3.131-1994
2038	Randy H. Katz, <i>High Performance Network and Channel-Based Storage</i> , Proceedings of the IEEE, Vol. 80, No. 8, August 1992
2039	[Reserved]
2040	Tree Illustration with annotations from Jeffrey Chase, Ph.D. (unfiled, referenced in April 3, 2015 Chase Deposition)
2041	Table Illustration, hand drawn by Scott Crocker (unfiled, referenced in April 3, 2015 Chase Deposition)
2042	Figure 2 of Hirai (Ex. 1008 at 6) with annotations by Jeffrey Chase, Ph.D. (unfiled, referenced in April 4, 2015 Chase Deposition)
2043	Declaration of Brian Bianchi (April 20, 2015)
2044	Exhibit A to Declaration of Brian Bianchi <i>Confidential Protective Order Material</i>

Exhibit No.	Description
2045	Exhibit B to Declaration of Brian Bianchi <i>Confidential Protective Order Material</i>
2046	Exhibit C to Declaration of Brian Bianchi
2047	SCSI 3 Architecture Model, ANSI X3.270-1996
2048	<i>NFS: Network File System Version 3 Protocol Specification</i> , Sun Microsystems, February 16, 1994
2049	Declaration of Jennifer Ray Crane (April 16, 2015)
2050	Exhibit A to Declaration of Jennifer Ray Crane <i>Confidential Protective Order Material</i>
2051	Appendix B to Declaration of Jennifer Ray Crane
2052	Appendix C to Declaration of Jennifer Ray Crane <i>Confidential Protective Order Material</i>
2053	Declaration of John Levy, Ph.D.
2054	Deposition of Jeffrey S. Chase, Ph.D., <i>Cisco Sys., Inc. v. Crossroads Sys., Inc.</i> , IPR2014-01197, -01207, -01209, Vol. I, pp. 1-225 (PTAB April 3, 2015)
2055	Deposition Jeffrey S. Chase, Ph.D., <i>Cisco Sys., Inc. v. Crossroads Sys., Inc.</i> , IPR2014-01197, -01207, -01209, Vol. II, pp. 226-432 (PTAB April 4, 2015)
2056	Andrew S. Tanenbaum, <i>Modern Operating Systems</i> (3rd ed. 2008) Chaps 4-5
2057	Andrew S. Tanenbaum, <i>Modern Operating Systems</i> (1st ed. 1992), Chaps 1, 4, and 7

Exhibit No.	Description
2058	M. Satyanarayanan, <i>Integrating Security in Large Distributed System</i> , ACM Transactions on Computer Systems, Vol. 7, No. 3, August 1989, at 247
2059	The Open Group, <i>Protocols for Interworking: XNFS, Version 3W</i> (1998)
2060	Deposition of Randy Katz, Ph.D., <i>Crossroads Sys., Inc. v. Multiple Defendants</i> (co-pending litigation in W.D. Tex. August 9, 2014)
2061	SCSI-3 Block Commands (SBC), ANSI NCITS 306-1998
2062	Fibre Channel Protocol for SCSI (FCP), ANSI X3.269-1996
2063	SCSI-3 Primary Commands (SPC), ANSI X3.301-1997
2064	Fibre Channel Physical and Signaling Interface (FC-PH) revision 4.3, ANSI working draft, proposed June 1, 1994
2065	September 5, 2014 Stipulated Definitions of Claim Terms (co-pending litigation, W.D. Tex.)
2066 -2299	[Reserved]
2300	May 28, 1997 Fax from Geoffrey Hoese to Anthony Peterman (Plaintiff's Exhibit 7 in Trial of <i>Crossroads Systems, (Texas), Inc. v. Chaparral Network Storage, Inc.</i> , Docket No. A 00-CA-217 SS (W.D. Tex. 2001)
2301	Excerpts from Transcript of Trial in <i>Crossroads Systems, (Texas), Inc. v. Chaparral Network Storage, Inc.</i> , Docket No. A 00-CA-217 SS (W.D. Tex. 2001)

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