### UNITED STATES PATENT AND TRADEMARK OFFICE

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### BEFORE THE PATENT TRIAL AND APPEAL BOARD

ERICSSON INC. and TELEFONAKTIEBOLAGET LM ERICSSON, Petitioner,

v.

INTELLECTUAL VENTURES II LLC, Patent Owner.

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Case IPR2014-01195 Patent 7,787,431 B2

Held: September 10, 2015

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BEFORE: JAMESON LEE, JUSTIN BUSCH, and J. JOHN LEE, Administrative Patent Judges.

The above-entitled matter came on for hearing on Thursday, September 10, 2015, commencing at 2:00 p.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia.



### **APPEARANCES:**

### ON BEHALF OF THE PETITIONER:

J. ANDREW LOWES, ESQ. CLINT WILKINS, ESQ. Haynes and Boone, LLP 2505 North Plano Road, Suite 4000 Richardson, Texas 75082-4101 and

BEN WESTOVER, ESQ. Ericsson 6300 Legacy Drive Plano, Texas 75024

### ON BEHALF OF PATENT OWNER:

HERBERT D. HART, III, ESQ. SHARON A. HWANG, ESQ. RAJENDRA A. CHIPLUNKAR, ESQ. McAndrews Held & Malloy LTD 500 West Madison Street, 34th Floor Chicago, Illinois 60661

and

JAMES R. HIETALA, ESQ. Intellectual Ventures 3150 139th Avenue, S.E. Bellevue, Washington 98005

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PROCEEDINGS



1	
2	JUDGE BUSCH: Please be seated. Good afternoon,
3	everyone, and welcome. This afternoon, we have the oral
4	argument for IPR2014-01195, captioned Ericsson, Incorporated
5	and Telefonaktiebolaget LM Ericsson versus Intellectual
6	Ventures II LLC. I'm Judge Justin Busch, joining you remotely
7	from Detroit, and in the room with you are Judges Jameson Lee
8	and John Lee.
9	Let's start with the parties' appearances, please. Who is
10	here for Petitioner?
11	MR. LOWES: I'm Andrew Lowes, lead counsel for
12	Petitioner, Ericsson.
13	MR. WILKINS: Clint Wilkins, backup counsel for
14	Ericsson.
15	JUDGE BUSCH: And please speak into the
16	microphone.
17	MR. LOWES: Sorry about that, Your Honor, I'm
18	Andrew Lowes, lead counsel for Petitioner, Ericsson, and with
19	me at counsel table is Clint Wilkins, backup counsel for Ericsson
20	Then also joining us today is in-house counsel for Ericsson, Ben
21	Westover.
22	JUDGE BUSCH: Thank you.
23	And for Patent Owner?
24	MR. HART: Good afternoon, Your Honors, my name
25	is Herb Hart lead counsel for Patent Owner, and with me today



1	are my backup counsel, Sharon Hwang, who will be presenting
2	our argument, and our backup counsel Rajendra Chiplunkar, who
3	will be assisting.
4	JUDGE BUSCH: Thank you, and welcome both, again.
5	You're aware of the procedure for today's hearing that we set out
6	in our trial order, but just to remind you of a couple of issues.
7	Each party will have 45 minutes of total time to present your
8	arguments, and please keep in mind, as already occurred, that I
9	won't be able to hear you or see you if you're not at the podium. I
10	also won't be able to see what you're presenting on screen if
11	you're using one, so when you refer to an exhibit, on the screen,
12	please state for the record the exhibit and page number or for
13	demonstratives the slide number you're referring to. It's also
14	important for clarity in the transcript.
15	And as I said, with the microphones, please make sure
16	you're pretty close to them if you are trying to make any sort of
17	statement.
18	As you also know, the Petitioner has the burden and
19	goes first, and may reserve time for rebuttal at the start of your
20	argument, and then Patent Owner will have the opportunity to
21	present its response.
22	Are there any questions from Petitioner's counsel?
23	MR. LOWES: No, Your Honor.
24	JUDGE BUSCH: And Patent Owner?
25	MR HART: No Your Honor



1	JUDGE BUSCH: Okay, thank you.
2	Mr. Lowes, would you like to reserve any time for
3	rebuttal?
4	MR. LOWES: Yes, Your Honor, I would like to
5	reserve 10 minutes for rebuttal after Patent Owner's presentation
6	JUDGE BUSCH: Great, thank you, and you may begin
7	when you're ready.
8	MR. LOWES: All right. Well, thank you, and good
9	afternoon. As I already said, I'm Andrew Lowes, I represent the
10	Petitioner, Ericsson, in this matter, and for my presentation today
11	I would like to start with just a brief overview of claim 1 of the
12	'431 patent, followed by a short summary of the primary
13	references, then I will go through additional evidence and
14	arguments from the record, some of which has occurred since the
15	institution decision that further supports and confirms the Board'
16	decision that claims 1 and 2 are unpatentable, and that that
17	decision should remain unchanged.
18	If we could, please, move to slide 5 of Exhibit 1040.
19	This is claim 1, reproduced on the left, and as you can see, claim
20	1 describes a method of establishing a downlink communication
21	session from a base station to a mobile. The claim in the
22	preamble sets forth that it's a variable bandwidth wireless
23	communication system communicating under different
24	communication schemes that each have a different bandwidth.



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