UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ERICSSON INC. AND TELEFONAKTIEBOLAGET LM ERICSSON, Petitioner

v.

INTELLECTUAL VENTURES II LLC, Patent Owner

Patent 7,787,431

Inter Partes Review No. IPR2014-01195

PETITIONER'S UPDATED EXHIBIT LIST

Exhibit	Description			
ERIC-1001	U.S. Patent No. 7,787,431 (the '431 patent)			
ERIC-1002	U.S. Patent No. 6,904,283 ("Li")			
ERIC-1003	U.S. Patent No. 7,782,750 ("Yamaura")			
ERIC-1004	U.S. Patent No. 7,426,175 ("Zhuang")			
ERIC-1005	U.S. Publication No. 2002/0181509 ("Mody")			
ERIC-1006	S. Nobilet, et al., "Spreading Sequences for Uplink and Downlink MC-			
	CDMA Systems: PAPR and MAI Minimization", European			
	Transactions on Communications, pp. 465-473, vol. 13, no. 5,			
	September-October 2002 ("Nobilet")			
ERIC-1007	TR101146v3.0.0, "Universal Mobile Telecommunications System			
	(UMTS); UMTS Terrestrial Radio Access (UTRA); Concept evaluation			
	(UMTS 30.06 version 3.0.0)", December 1997 ("Beta")			
ERIC-1008	B. Popovic, "Spreading Sequences for Multicarrier CDMA Systems",			
	IEEE Trans. Comm., pp. 918-926, vol. 47, no. 6, June 1999			
	("Popovic")			
ERIC-1009	R. van Nee and R. Prasad, OFDM for Wireless Multimedia			
	Communications, Artech House, pp. 119-154, 2000			
ERIC-1010	Prosecution History of the '431 Patent ("File History")			
ERIC-1011	Curriculum Vitae of Expert			
ERIC-1012	Declaration of Dr. Zygmunt Haas ("Haas Decl.")			
ERIC-1013	IEEE 100, The Authoritative Dictionary of IEEE Standards Terms,			
	Seventh Edition, pp. 84 (2000)			
ERIC-1014	ETSI/SMG (97) 5 Part A, Executive Summary SMG #24, Madrid,			
(served but	December 15-19, 1997 ("SMG Summary")			
not filed)				
ERIC-1015	TR 101 146 V3.0.0 (1997-12), Universal Mobile Telecommunications			
(served but	System (UMTS); UMTS Terrestrial Radio Access (UTRA); Concept			
not filed)	evaluation (UMTS 30.06 version 3.0.0) ("TR 101 146")			
ERIC-1016	Document or web page that results from searching			
(served but	http://www.etsi.org/standards-search using search term "UMTS			
not filed)	30.06," and opening resulting hyperlink labeled "ETSI TR 101 146			
EDIC 1017	V3.0.0 (1997-12)"			
ERIC-1017	Document or web page that results from searching			
(served but	http://www.etsi.org/standards-search using search term "UMTS			
not filed)	30.06," next opening hyperlink labeled ETSI TR 101 146 V3.0.0 (1997-			
	12) and finally opening resulting hyperlink labeled as <i>Publication</i>			
	(1997-12-16)			

ERIC-1018	ETSI Publications Download Area,
(served but	https://web.archive.org/web/19990202171432/http://webapp.etsi.fr/pub
not filed)	licationssearch/
ERIC-1019	How to Retrieve an ETSI Publication? Tips for Searching,
(served but	https://web.archive.org/web/19990202201535/http://webapp.etsi.fr/pub
not filed)	licationssearch/tipshelp.htm
ERIC-1020	Complete List of SMG Contact Persons and Participants to SMG#24,
(served but	Annex 1-Part B
not filed)	
ERIC-1021	Status List of Change Requests presented to
(served but	SMG#24, Annex 2
not filed)	
ERIC-1022	B. Popovic, "Spreading Sequences for Multicarrier CDMA Systems",
(served but	<i>IEEE Trans. Comm.</i> , pp. 918-926, vol. 47, no. 6, June 1999 ("Popovic
not filed)	П")
ERIC-1023	Receipt and copy of S. Nobilet, et al., "Spreading Sequences for Uplink
(served but	and Downlink MC-CDMA Systems: PAPR and MAI Minimization",
not filed)	European Transactions on Communications, pp. 465-473, vol. 13, no.
	5, September-October 2002 ("Nobilet") from Documents Delivered
ERIC-1024	Receipt and copy of B. Popovic, "Spreading Sequences for Multicarrier
(served but	CDMA Systems", IEEE Trans. Comm., pp. 918-926, vol. 47, no. 6,
not filed)	June 1999 ordered from Documents Delivered
ERIC-1025	S. Nobilet, et al., "Spreading Sequences for Uplink and Downlink MC-
(served but	CDMA Systems: PAPR and MAI Minimization", European
not filed)	Transactions on Communications, pp. 465-473, vol. 13, no. 5,
	September-October 2002 ("Nobilet II")
ERIC-1026	U.S. Patent Pub. 2001/0030995, A. Gesret et al.
(served but	
not filed)	
ERIC-1027	U.S. Patent Pub. 2003/0053522, L. Hayoun et al.
(served but	
not filed)	
ERIC-1028	U.S. Patent Pub. 2003/0142733, M. Boloorian
(served but	
not filed)	
ERIC-1029	F. Hillebrand, GSM and UMTS, The Creation of Global Mobile
(served but	Communication, John Wiley & Sons, Ltd. (2002)
not filed)	

ERIC-1030	H. Newton, <i>Newton's Telecom Dictionary</i> , 16 th Edition, CMP Books
	(2000)
ERIC-1031	Dr. Kenneth Zeger Deposition Transcript for U.S. 7,787,431 ("Zeger
	Depo")
ERIC-1032	H. Holma and A. Toskala, WCDMA for UMTS, Radio Access for Third
	Generation Mobile Communications, John Wiley and Sons, Ltd. (2001)
ERIC-1033	U.S. Publication No. 2004/0141522 ("Texerman")
ERIC-1034	Supplemental Declaration of Dr. Zygmunt Haas ("Supp. Haas Decl.")
ERIC-1035	Provisional Application 60/567,233 (priority document for '431 patent)
ERIC-1036	Affidavit of Christopher Butler and Attached Exhibit A
(served but	(formerly labeled as ERIC-1030)
not filed)	
ERIC-1037	Declaration of Zygmunt J. Haas
(served but	(formerly labeled as ERIC-1031)
not filed)	
ERIC-1038	Declaration of Stephen Hayes
(served but	(formerly labeled as ERIC-1032)
not filed)	
ERIC-1039	H. Holma and A. Toskala, WCDMA for UMTS, Radio Access for Third
(served but	Generation Mobile Communications, John Wiley and Sons, Ltd. (2001)
not filed)	(TOC, Chapter 6 and Index; previously served excerpt of Chapt. 6 as
	ERIC-1032)

Dated: August 4, 2015

 Respectfully submitted,

<u>/J. Andrew Lowes/</u> J. Andrew Lowes Counsel for Petitioner Registration No. 40,706 HAYNES AND BOONE, LLP Telephone: 972/680-7557

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of: Li	§	Petition for Inter Partes Review
-	§	
U.S. Patent No. 7,787,431	§	Attorney Docket No.: 26069.26
	§	
Issued: August 31, 2010	§	Customer No.: 27683
	§	
Title:	§	Real Party in Interest:
METHODS AND APPARATUS FOR	§	Ericsson Inc. and Telefonaktiebolaget
MULTI-CARRIER	§	LM Ericsson
COMMUNICATIONS WITH	§	
VARIABLE CHANNEL	§	
BANDWIDTH	§	

CERTIFICATE OF SERVICE

The undersigned certifies that service was made on the Patent Owner as

detailed below.

Δ

Date of service August 4, 2015

Manner of service	Email:	hhart@mcandrews-ip.com; shwang@mcandrews-	
		ip.com; jhietala@intven.com; and tim@intven.com	

Herbert D. Hart III Persons served: Sharon A. Hwang McAndrews, Held & Malloy, Ltd. 500 West Madison Street, 34th Floor Chicago, IL 60661

> James R. Hietala Tim R. Seeley **Intellectual Ventures** 3150 139th Avenue S.E. Bellevue, WA 98005

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