AO 120 (Rev. 08/10)

Mail Stop 8

REPORT ON THE

Ĭ	S. Patent and Trademark (P.O. Box 1450 dria, VA 22313-1450	Office	FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
filed in the U.S. Distr		stern Dist	1116 you are hereby advised that a court action has been rict of Texas, Beaumont Division on the following s 35 U.S.C. § 292.):	
DOCKET NO.	DATE FILED	U.S. DI	STRICT COURT for the Eastern District of Texas, Beaumont Division	\neg
1:11-cv-00349 PLAINTIFF	7/26/2011		DEFENDANT	\dashv
Affinity Labs of Texas, LI	LC		Apple, Inc. AAMP of Florida, Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	_
US 7,634,228 B2	12/15/2009	Affir	nity Labs of Texas, LLC	_
2 US 7,778,595 B2	8/17/2010	Affir	nity Labs of Texas, LLC	_
3				\dashv
4				\dashv
5				
DATE INCLUDED	INCLUDED BY	he followin	g patent(s)/ trademark(s) have been included: Answer Cross Bill Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
2				
3				
4				
5				
In the abo	ove—entitled case, the following	ng decision	has been rendered or judgement issued:	
DECISION/JUDGEMENT	·			
CLERK	l i e	BY) DEPUT	TY CLERK DATE	
CLERK	(.	,		

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 1:11-cv-00036 Document 2 Filed 01/28/11 Page 1 of 1

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexa	ndria, VA 22313-1450	TRADEMARK	
filed in the U.S. Dist	rict Court for the	Eastern	1116 you are hereby advised that a court action has been District of Texas (Beaumont) on the following
☐ Trademarks or 🕟	Patents. (the patent action		
DOCKET NO. DATE FILED U.S. DI 1:11-cv-00036 1/28/2011			STRICT COURT for the Eastern District of Texas (Beaumont)
PLAINTIFF	•		DEFENDANT
Affinity Labs of Texas, L	LC	:	Volkswagen Group of America, Inc.; Volkswagen Group of America Chattanooga Operations, LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 7,324,833 B2	1/29/2008	Affin	ty Labs, LLC
2 7,634,228 B2	12/15/2009	Affin	ty Labs of Texas, LLC
3 7,778,595 B2	8/17/2010	Affin	ty Labs of Texas, LLC
4			
5			
	In the above—entitled case, the	following	patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY		☐ Answer ☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1			
2			
3			
4			
5			
In the abov	e—entitled case, the following d	fecision ha	s been rendered or judgement issued:
DECISION/JUDGEMENT			
P(4.0)			
CLERK	(BY)	DEPUTY	CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number		12015320	
	Filing Date		2008-01-16	
	First Named Inventor Russ		sell W. White	
(Not for submission under 37 CFR 1.99)	Art Unit		2617	
(1121)	Examiner Name Erika		a A. Gary	
	Attorney Docket Nun	nber	AFF.0004C5US	

					U.S.	PATENTS		-		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue [Date	Name of Pat of cited Docu	entee or Applicant ument	Relev	es,Columns,Lines where vant Passages or Relev es Appear	
	1									
If you wis	h to a	dd additional U.S. Pate	nt citatio	n inform	ation pl	ease click the	Add button.			
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition	Name of Patentee or Applicant of cited Document Name of Patentee or Applicant Relevant Passages or ReFigures Appear		ant Passages or Relev		
	1				į					
If you wish	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	please click the Add	butto	n.	
				FOREIC	SN PAT	ENT DOCUM	ENTS		,	
Examiner Initial*	Cite No	Foreign Document Number³	Country Code ² i	1	Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	i								
If you wish	n to ac	ld additional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	buttor	<u> </u>	
			NON	-PATEN	IT LITE	RATURE DO	CUMENTS			
Examiner Initials*	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item									

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	12015320		
Filing Date	2008-01-16		
First Named Inventor	Russell W. White		
Art Unit		2617	
Examiner Name	Erika A. Gary		
Attorney Docket Number		AFF.0004C5US	

	1		Patent and Tradem rol No. 95001266, 0				mination of Patent No. ges.	7440772, Ree	xamination .	
If you wisl	h to a	ıdd add	ditional non-paten	nt literature doc	ument cita	tion information	please click the Add	button		<u> </u>
				E	XAMINER	SIGNATURE				
Examiner	Examiner Signature Date Considered									
citation if o	not in	of USPT	rmance and not c	at www.USPTO.G	Clude copy	of this form with	ance with MPEP 609 next communication ce that issued the docume	to applicant.	etter code (WI	IPO ument.
4 Kind of doc	cument	t by the a	appropriate symbols a on is attached.	as indicated on the	document un	nder WIPO Standard	ST.16 if possible. ⁵ Appli	cant is to place	a check mark	here i

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	ed Inventor Russell W. White			
Art Unit		2617		
Examiner Name Erika A		A. Gary		
Attorney Docket Number		AFF.0004C5US		

		CERT	FICATION STATEMENT		
Ple	ase see 37 CFR 1	.97 and 1.98 to make the appropri	iate selection(s):		
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).				
OF	t				
\boxtimes	foreign patent of after making rea any individual d	ffice in a counterpart foreign appl sonable inquiry, no item of inform	ormation disclosure statement was ication, and, to the knowledge of th ation contained in the information d re than three months prior to the f	ne person signing the certification isclosure statement was known to	
	See attached ce	rtification statement.			
\boxtimes	Fee set forth in	37 CFR 1.17 (p) has been submitte	ed herewith.		
	None				
	ignature of the ap		SIGNATURE and in accordance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the	
Sig	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-08-16	
Nar	ne/Print	Mark J. Rozman	Registration Number	42117	
ı		, ,	7 and 1.98. The information is requi	red to obtain or retain a benefit by the	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Patent Application Fee Transmittal					
Application Number:	12015320				
Filing Date:	16-	Jan-2008			
Title of Invention:	МЕ	THOD FOR MANAG	ING MEDIA		
First Named Inventor/Applicant Name:	Ru	ssell W. White			
Filer:	Ma	rk J. Rozman/Nishi	Pasarya		
Attorney Docket Number:	AFF.0004C5US				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt					
EFS ID:	8226536				
Application Number:	12015320				
International Application Number:					
Confirmation Number:	2156				
Title of Invention:	METHOD FOR MANAGING MEDIA				
First Named Inventor/Applicant Name:	Russell W. White				
Customer Number:	21906				
Filer:	Mark J. Rozman/Nishi Pasarya				
Filer Authorized By:	Mark J. Rozman				
Attorney Docket Number:	AFF.0004C5US				
Receipt Date:	16-AUG-2010				
Filing Date:	16-JAN-2008				
Time Stamp:	15:55:04				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3507
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5_IDS.pdf	81256	no	3
ı	Filed (SB/08)	AFF004C5_ID5.pai	6f04a68f6b9ed4f9a2f11b8c2d5e1989805f1 a96	no	
Warnings:					
Information:					
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2 NPL Documents		AFF004C5_NPL.pdf	a078d1c8df6c91a5a68de2d6eb2eb2e4864 b450a	no	14
Warnings:				'	
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30372	no	2
3	ree worksheet (170 or 3)	rec into,par	6bf2f4e32ec03b6a51d2a0d49f1d902f5d66 91b3	110	_
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Information:					
		Total Files Size (in bytes)	43	35683	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0851-0031
formation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION BIOCK COURT	Application Number		12015320	
	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russe		el∥ W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission under 57 Of K 1.55)	Examiner Name Erika		a A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

					U,S,I	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	Pate	Name of Pat of cited Docu	entee or Applicant ument	Rele	es,Columns,Lines where vant Passages or Releves Pes Appear	e /ant
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Ò			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ation	Name of Pat of cited Docu	entee or Applicant ument	Rele	es,Columns,Lines where vant Passages or Relev res Appear	
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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code²i		Kind Code ⁴	Publication Date	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
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Examiner Initials*	Cite No	Include name of the a (book, magazine, jour publisher, city and/or	nal, seri	al, symp	osium,	catalog, etc),				Т5

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number	12015320		
Filing Date		2008-01-16	
First Named Inventor	Russe	ell W. White, et al.	
Art Unit		2617	
Examiner Name	Erika A. Gary		
Attorney Docket Numb	er	AFF.004C5US	

				<u> </u>			
						.,	
	U.S. Patent and Trademark Office, Office Action in Inter Partes Reexamination of Patent No. 7486926, Control No. 95/001,263, Office Action issued July 9, 2010, 20 pgs.						
If you wist	h to a	dd adc	litional non-patent literature	e document citation information	please click the Add	button	
				EXAMINER SIGNATURE		, , , , , , , , , , , , , , , , , , , ,	
Examiner	Sign	ature			Date Considered		
1			-	ether or not citation is in conforr d. Include copy of this form wit		-	
Standard ST 4 Kind of doo	F.3). ³ cument	For Japa by the a	anese patent documents, the indic	SPTO.GOV or MPEP 901.04. ² Enter of ication of the year of the reign of the End on the document under WIPO Standar	nperor must precede the se	rial number of the patent doc	cument.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	Application Number		
Filing Date		2008-01-16	
First Named Inventor	Russe	ell W. White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Number		AFF.004C5US	

		CERTIFICATION	I STATEMENT	
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):	
	from a foreign p	of information contained in the information patent office in a counterpart foreign applications statement. See 37 CFR 1.97(e)(1).		
OF	t			
\boxtimes	foreign patent o after making rea any individual d	information contained in the information d ffice in a counterpart foreign application, an isonable inquiry, no item of information conta esignated in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	id, to the knowledge of th ained in the information di	e person signing the certification sclosure statement was known to
	See attached ce	rtification statement.		
\boxtimes	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	٦.	
	None			
	ignature of the ap n of the signature.	SIGNA pplicant or representative is required in accord	–	18. Please see CFR 1.4(d) for the
Sigi	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-08-02
Nar	ne/Print	Mark J. Rozman	Registration Number	42117
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, inclu	on. Confidentiality is gover	rned by 35 U.S.C. 122 and 37 CFR

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,

EFS Web 2.1.16

VA 22313-1450.

Electronic Patent Application Fee Transmittal					
Application Number:	120	015320			
Filing Date:	16-	Jan-2008			
Title of Invention:	МЕ	THOD FOR MANAG	ING MEDIA		
First Named Inventor/Applicant Name:	Ru	ssell W. White			
Filer:	Ma	rk J. Rozman/Nishi	Pasarya		
Attorney Docket Number:	AF	F.0004C5US			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Ack	Electronic Acknowledgement Receipt			
EFS ID:	8139111			
Application Number:	12015320			
International Application Number:				
Confirmation Number:	2156			
Title of Invention:	METHOD FOR MANAGING MEDIA			
First Named Inventor/Applicant Name:	Russell W. White			
Customer Number:	21906			
Filer:	Mark J. Rozman/Nishi Pasarya			
Filer Authorized By:	Mark J. Rozman			
Attorney Docket Number:	AFF.0004C5US			
Receipt Date:	02-AUG-2010			
Filing Date:	16-JAN-2008			
Time Stamp:	18:06:26			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	5099
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5US_IDS.pdf	80729	no	3
'	Filed (SB/08)	Ai 1 004C303_ib3.pui	45b8332a83e9de7f9f1a83bd099387b1147 eb905	110	,
Warnings:					
Information:					
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2	NPL Documents	NPL_95001263OA.pdf	76f16452e93659817f9a8a5e49e54463c05f ac16	no	
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Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30372	no	2
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Information:					
		Total Files Size (in bytes)	: 60	00858	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

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New International Application Filed with the USPTO as a Receiving Office

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/015.320	08/17/2010	7778595	AFF.0004C5US	2156

21906 7590 07/28/2010

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Russell W. White, Austin, TX; Kevin R. Imes, Austin, TX;



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/015,320	01/16/2008	Russell W. White	AFF.0004C5US	2156	
²¹⁹⁰⁶ TROP, PRUNE	7590 07/16/201 ER & HU. P.C.	0	EXAM	IINER	
1616 S. VOSS	ROAD, SUITE 750		GARY, ERIKA A		
HOUSTON, TX 77057-2631			ART UNIT	PAPER NUMBER	
			2617		
			MAIL DATE	DELIVERY MODE	
			07/16/2010	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

CONTROL NO.		PATENT IN REEXAMINATION	
APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.

12015320 1/16/08 WHITE ET AL. AFF.0004C5US

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631 EXAMINER

Erika A.. Gary

ART UNIT PAPER

2617 20100713

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

Please see the attached signed IDS filed 6/21/10.

/Erika A. Gary/ Primary Examiner, Art Unit 2617

PTO-90C (Rev.04-03)

Receipt Date: 06/21/2010

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			Filing Date 2008-07-49			
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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (97-09)

Approved for use through 07/31/2012. OMB 0651-0031

Formation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320
INCORMATION DIGGLOOUDE	Filing Date		2008-01-16
INFORMATION DISCLOSURE	First Named Inventor	First Named Inventor Russell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617
(Not for outsinosion under or or it issue	Examiner Name	Erika	A. Gary
	Attorney Docket Number		AFF.004C5US

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue [)ate	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relev Figures Appear		
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(Not for submission under 37 CFR 1.99)

Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor	Russ	ell W. White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Numb	er	AFF.004C5US	

	1		Patent and Trademark Office, Office Action in Inter Partes Reexaminal 95/001,223.	tion dated June 14	, 2010 in U.S. application				
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(Not for submission under 37 CFR 1.99)

		<u> </u>
Application Number		12015320
Filing Date		2008-01-16
First Named Inventor	Russe	ell W. White, et al.
Art Unit		2617
Examiner Name Erika		A. Gary
Attorney Docket Numb	er	AFF.004C5US

		CE	ERTIFICATION	STATEMENT				
Plea	ase see 37 CFR '	1.97 and 1.98 to make the app	ropriate selecti	on(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
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	See attached ce	ertification statement.						
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Nar	ne/Print	Mark J. Rozman		Registration Number	42117			

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Electronic Patent Application Fee Transmittal						
Application Number:	120	015320				
Filing Date:	16-	Jan-2008				
Title of Invention:	METHOD FOR MANAGING MEDIA Russell W. White					
First Named Inventor/Applicant Name:	Ru	ssell W. White				
Filer:	rk J. Rozman/Steph	anie Petreas				
Attorney Docket Number:	AF	F.0004C5US				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Ack	Electronic Acknowledgement Receipt				
EFS ID:	7856484				
Application Number:	12015320				
International Application Number:					
Confirmation Number:	2156				
Title of Invention:	METHOD FOR MANAGING MEDIA				
First Named Inventor/Applicant Name:	Russell W. White				
Customer Number:	21906				
Filer:	Mark J. Rozman/Stephanie Petreas				
Filer Authorized By:	Mark J. Rozman				
Attorney Docket Number:	AFF.0004C5US				
Receipt Date:	21-JUN-2010				
Filing Date:	16-JAN-2008				
Time Stamp:	15:38:59				
Application Type:	Utility under 35 USC 111(a)				

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	2039
Deposit Account	201504
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3	NPL Documents	AFF004B2USTransmittal of Decision to Merger Reexam Proceed in	326447	no	12
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4	Fee Worksheet (PTO-875)	fee-info.pdf	30468	no	2
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New International Application Filed with the USPTO as a Receiving Office

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Receipt date: 04/24/2008

PTO/SB/08a (08-03)

P10/SB/08a (08-03)

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	Application Number	12/015,320
	Filing Date	·
INFORMATION DISCLOSURE	First Named Inventor	Russell W. White
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	
(NOT 101 Submission under 37 CFK 1.99)	Examiner Name	Unknown
,	Attorney Docket Numb	per 1111111.1111-5C

PTO/SB08/a filed 01/16/2008

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Not for Subi		Examiner Na					
····		Attorney Do	ncket Number 11111111.1111-5C				
	PTO/SB08/a filed 01/16/.	2008					
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•	e: 04/24/2008	Filing Date	
	NT BY APPLICANT	First Named	Inventor Russell W. White
	mission under 37 CFR 1.99)	Art Unit	
	,	Examiner Na	me Unknown
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	Application Number	15/0	15,320	
	Filing Date			
INFORMATION DISCLOSURE	First Named Inventor	Russell W. White		
STATEMENT BY APPLICANT	Art Unit			
(Not for submission under 37 CFR 1.99)	Examiner Name	Unknown		
·	Attorney Docket Num	r 1111111.11	11-5C	
DEC / (DOO) / (U.) 01/1/ (OO)				

PTO/SB08/a filed 01/16/2008

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Receipt date: 11/14/2008 Doc code:IDS

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PTO/SB/08a (10-08) Approved for use through 11/30/2008. OMB 0651-0031

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Application Number 12015320

Filing Date INFORMATION DISCLOSURE First Named Inventor STATEMENT BY APPLICANT Art Unit (Not for submission under 37 CFR 1.99)

Russell W. White, et al. 2618 **Examiner Name** Unknown AFF.004C5US Attorney Docket Number

2008-01-16

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99)

Application Number 12015320

Filing Date 2008-01-16

First Named Inventor Russell W. White, et al.

Art Unit 2617

Examiner Name Erika A. Gary

Attorney Docket Number

AFF.004C5US

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Receipt date: 05/27/2009 INFORMATION DISCLOSURE				Application I		12015320				
				Filing Date			2008-01-16			
				First Named	Inventor	Russe	ell W. White, et al.			
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(1401 101 ;	Subili	ission under 37 OFK I	.551	Examiner Na	ame	Erika	A. Gary		-	
				Attorney Do	cket Numbe	r	AFF.004C5US			
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Receipt date: 04/28/2009	Application Number	12015320		
	Filing Date	2008-01-16		
INFORMATION DISCLOSURE	First Named Inventor Russ	sell W. White, et al.		
STATEMENT BY APPLICANT	Art Unit	2618		
(Not for submission under 37 CFR 1.99)	Examiner Name			
	Attorney Docket Number	AFF.004C5US		

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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Application Number		12015320			
Filing Date		2008-01-16			
First Named Inventor	Russ	sell White, et al.			
Art Unit		2617			
Examiner Name	Erika	a A. Gary			
Attorney Docket Num	ber	AFF.004C5US			

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Art Unit	<u> </u>	2617		
Examiner Name Erika Attorney Docket Number		a A. Gary		
		AFF.004C5US		

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Examiner Name Erika		a A. Gary		
Attorney Docket Number		AFF.004C5US		

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/015,320	01/16/2008	Russell W. White	AFF.0004C5US	2156	
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,	ROAD, SUITE 750		GARY, ERIKA A		
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			2617		
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			06/16/2010	PAPER	

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12015320 1/16/08 WHITE ET AL. AFF.0004C5US

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631 EXAMINER

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ART UNIT PAPER

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/Erika A. Gary/ Primary Examiner, Art Unit 2617

PTO-90C (Rev.04-03)

Receipt date: 06/11/2010

Doc code: IDS

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		12015320	
INFORMATION DISCUSSION	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russe		sell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission under 57 of K 1.55)	Examiner Name Erika		a A. Gary	
	Attorney Docket Numb	ег	AFF.004C5US	

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Receipt date: 06/11/2010 **Application Number** 12015320 Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell W. White, et al. STATEMENT BY APPLICANT Art Unit 2617 (Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary Attorney Docket Number AFF.004C5US

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	U.S. Patent And Trademark Office, Office Action in Inter Partes Reexamination mailed on May 24, 2010, in U.S. application reexamination serial no. 95/001,262.							
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/015,320	01/16/2008	Russell W. White	AFF.0004C5US	2156	
21906 TROP, PRUNE	7590 06/14/201 CR & HU. P.C.	0	EXAM	INER	
,	ROAD, SUITE 750		GARY, ERIKA A		
100310N, 12	X //03/-2031		ART UNIT	PAPER NUMBER	
			2617		
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12015320 1/16/08 WHITE ET AL. AFF.0004C5US

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/Erika A. Gary/ Primary Examiner, Art Unit 2617

PTO-90C (Rev.04-03)

Receipt date: 05/25/2010

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Doc description: Information Disclosure Statement (IDS) Filed Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russe		sell W. White, et al.	
(Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for submission under 57 of K 1.55)	Examiner Name	Erika	A. Gary	
	Attorney Docket Number		AFF.004C5US	

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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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Application Number 12015320
Filing Date 2008-01-16
First Named Inventor Russell W. White, et al.

Art Unit 2617
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Attorney Docket Number

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Examiner Initial*	Cite No	Foreign Document Number³	Country Code²i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 12015320

Filing Date 2008-01-16

First Named Inventor Russell W. White, et al.

Art Unit 2617

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Examiner Name Erika A. Gary

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(Not for Submission ander 57 STR 1.55)	Examiner Name	Erika	A. Gary	
	Attorney Docket Number		AFF.004C5US	

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Examiner Initials*	Cite No	Include name of the ar (book, magazine, jour publisher, city and/or of	nal, seria	al, symp	osium,	catalog, etc), o	the article (when a date, pages(s), volu	ppropi ume-is	riate), title of the item sue number(s),	T ⁵

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russe	ell W. White, et al.		
Art Unit		2617		
Examiner Name Erika		A. Gary		
Attorney Docket Number		AFF.004C5US		

			<u></u>			
	U.S. Patent And Trademark Office, Office Action in Inter Partes Reexamination mailed on May 24, 2010, in U.S. application reexamination serial no. 95/001,262.					
If you wis	h to ac	dd add	ditional non-patent literature document citation information please click the	Add	button	
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Examiner Signature		ture	Date Conside	red		
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Standard S ⁻ 4 Kind of do	T.3). ³ F cument	or Japa by the a	FO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the danese patent documents, the indication of the year of the reign of the Emperor must precede appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, on is attached.	the se	rial number of the patent doc	ument.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320			
Filing Date		2008-01-16			
First Named Inventor	Russe	ell W. White, et al.			
Art Unit		2617			
Examiner Name	Erika	A. Gary			
Attorney Docket Number		AFF.004C5US			

	CERTIFICATION STATEMENT										
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):										
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).										
OR	ł										
\boxtimes	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filling of the information disclosure statement. See 37 CFR 1.97(e)(2).										
	See attached ce	rtification statement.									
\boxtimes	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	ı .								
	None										
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.											
Sigi	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-06-11							
Nar	Name/Print Mark J. Rozman Registration Number 42117										

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Patent Application Fee Transmittal									
Application Number:	120	12015320							
Filing Date:	16-	Jan-2008							
Title of Invention:	METHOD FOR MANAGING MEDIA								
First Named Inventor/Applicant Name:	Ru	ssell W. White							
Filer:	Ma	rk J. Rozman/Steph	anie Petreas						
Attorney Docket Number:	AF	F.0004C5US							
Filed as Large Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Miscellaneous-Filing:									
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									
Extension-of-Time:									

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Miscellaneous:							
Submission- Information Disclosure Stmt	1806	1	180	180			
Total in USD (\$)							

Electronic Acknowledgement Receipt								
EFS ID:	7800092							
Application Number:	12015320							
International Application Number:								
Confirmation Number:	2156							
Title of Invention:	METHOD FOR MANAGING MEDIA							
First Named Inventor/Applicant Name:	Russell W. White							
Customer Number:	21906							
Filer:	Mark J. Rozman/Stephanie Petreas							
Filer Authorized By:	Mark J. Rozman							
Attorney Docket Number:	AFF.0004C5US							
Receipt Date:	11-JUN-2010							
Filing Date:	16-JAN-2008							
Time Stamp:	17:31:50							
Application Type:	Utility under 35 USC 111(a)							

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3904
Deposit Account	201504
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Information Disclosure Statement (IDS)	AFF004C5USIDS.pdf	80464	no	_
'	Filed (SB/08)	Arrou4C3U3LD3.pui	41ea1ce4f4306a97a48812ae7b21e4830d6 3ea30	110	3
Warnings:	<u> </u>				
Information:					
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_	NPL Documents	AFF004C5USNPLDoc04B3OA.	533916		22
2	NPL Documents	pdf	ed47ecdcdbbcb4cf9ee9413966bf5ec508e 2b59d	no	22
Warnings:	<u>.</u>				
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30468	no	2
	ree worksneed (170 or s)	ree illioipai	c71b24c7f6803863405195f8f8a1c0aa8934 4d8e	110	-
Warnings:				<u>'</u>	
Information:					
		Total Files Size (in bytes)	: 64	14848	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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ceipt d	ate:	06/04/2009		Applic	ation N	lumber		12015320]
				Filing	Date			2008-01-16	<u> </u>		1
		TION DISCLOS		First N	Named	Inventor	Russ	ell W. White, et al.			1
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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i		Kind Code⁴	Publication Date	on /	Name of Patentee o Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	Т5	
	1	WO 00/38340	wo	. •		2000-06-2	:9 I	Kim, Joehan			
	2	WO 98/19480	wo	•		1998-07-0)5	Ericsson, Inc.	-		
	3	WO 99/43136	wo			1999-08-2	:6	Ericsson, Inc.			
	4	8-79814	JP			1996-03-2	2				
	5	9-74580	JP			1997-03-1	8				
	6	10-149182	FF J.	þ		1998-06-0)2				9
	7	3056721	FF J	P		1998-12-0)2				
	8	WO 99/06910	wo			1999-02-1	1	Ludtke, Harold A.			

EFS Web 2.1.13

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.G./

Beceipt date: 05/27/2009

PTO/SB/08a (04-09) Approved for use through 05/31/2009. OMB 0651-0031

Doc description: Information Disclosure Statement (IDS) Filed

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell W. White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

				U.S	.PATENTS	Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
,	1	6144358		2000-11-07	Narayanaswamy , et al.			
	7, 0/3, 15/ 2 70132151 2006-03		2006-03-14	Hirokawa				
	3	6175789		2001-01-16	Beckert, et al.			
	4	6201540		2001-03-13	Gallup, et al.			
	5	6202008		2001-03-13	Beckert, et al.			
	6	6363240		2002-03-26	Ito	·		
	7	6434459		2002-08-13	Wong , et al.			
	8	6449541		2002-09-10	Goldberg, et al.			

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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Approved for use through 07/31/2012. OMB 0851-0031

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	Application Number		12015320		
	Filing Date		2008-01-16		
INFORMATION DISCLOSURE	First Named Inventor	Russ	ussell White, et al.		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	2617			
(Not lot Submission under 57 Of it 1.55)	Examiner Name	Erika	A. Gary		
	Attorney Docket Number		AFF.004C5US		

				U.S	S.PATENTS	
Examiner Initial*	Cite No Patent Number		atent Number Kind Code¹		Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5974333		1999-10-26	Chen	
	2	6418330		2002-07-09	Samsung	
	3	6587127		2003-07-01	Leeke, et al.	
	4	7123936		2006-10-17	Rydbeck, et al.	
	5	6177950		2001-01-23	Robb	
	6	6510325		2003-01-21	Mack, II, et al.	
	7 .	6 2 7888 4 62788842		2001-08-21	Kim	6,
1	8	7339993		2008-03-04	Brooks	

EFS Web 2.1.16

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.G./



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 2156

	SERIAL NUMBER FILING or DATE 12/015,320 01/16/20		E `´		CLASS 455		GROUP ART UNIT 2617		ATTORNEY DOCKE NO. AFF.0004C5US			
		RUL	E									
APPLICANTS Russell W. White, Austin, TX; Kevin R. Imes, Austin, TX;												
** CONTINUING DATA ****************************** This application is a CON of 10/947,755 09/23/2004 PAT 7,324,833 and is a CON of 09/537,812 03/28/2000 PAT 7,187,947												
** FOREIGN A	PPLICA	ATIONS *****	******	*****	*							
** IF REQUIRE 02/04/20		REIGN FILING	LICENS	E GRA	ANTED **							
Foreign Priority claim		Yes No			STATE OR		SHEETS TOT		AL INDEPENDENT			
35 USC 119(a-d) con Verified and	ditions met		☐ Met aff Allowa	nce	COUNTRY	DRAWINGS		CLAIMS		CLAIMS		
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		ROAD, SUITE 77057-2631	750									
UNITED	STATE	S										
TITLE												
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FILING FEE		Authority has	•		aper EPOSIT ACCOUN	NT.	☐ 1.17 F	ees (Pro	ocessi	ng Ext. of time)		
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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Typed or printed name

Electronic Patent Application Fee Transmittal							
Application Number:	120	015320					
Filing Date:	16-	Jan-2008					
Title of Invention:	METHOD FOR MANAGING MEDIA						
First Named Inventor/Applicant Name:	Russell W. White						
Filer:	Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number: AFF.0004C5US							
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Utility Appl issue fee		1501	1	1510	1510		
Publ. Fee- early, voluntary, or normal		1504	1	300	300		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1810

Electronic Acknowledgement Receipt						
EFS ID:	7692220					
Application Number:	12015320					
International Application Number:						
Confirmation Number:	2156					
Title of Invention:	METHOD FOR MANAGING MEDIA					
First Named Inventor/Applicant Name:	Russell W. White					
Customer Number:	21906					
Filer:	Mark J. Rozman/Stephanie Petreas					
Filer Authorized By:	Mark J. Rozman					
Attorney Docket Number:	AFF.0004C5US					
Receipt Date:	26-MAY-2010					
Filing Date:	16-JAN-2008					
Time Stamp:	15:09:25					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1810
RAM confirmation Number	1341
Deposit Account	201504
Authorized User	

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File Listing:	•				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Jecus F	Issue Fee Payment (PTO-85B)	AFF004C5IssueFeeTransmittal.	60678	no	1
'	I Issue ree rayment (F10-63b)	pdf	5e966a851757326868caee925789be03782 8fd27	110	,
Warnings:					
Information:					
2	Fac Worldhoot (DTO 975)	foo info ndf	31951		2
2	Fee Worksheet (PTO-875)	fee-info.pdf	57db30bd0ecc20156348872291a0d73a8e 603824	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	9	2629	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Russell W. White, et al.

§ Group Art Unit:

2617

Serial No.:

12/015,320

§

Examiner:

Erika A. Gary

Filed:

January 16, 2008

8

For:

Method For Managing Media

Atty. Dkt. No.:

AFF.004C5US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The attached Information Disclosure Statement includes references that were identified in various charts and contentions prepared by defendants in a lawsuit. The charts and contentions themselves are not included with this Information Disclosure Statement. Based on previous discussions and as set forth in the Reply to Office Action filed March 15, 2010, Applicants are not providing such contentions and claim charts, as it is understood that the Examiner does not wish to receive them.

The references also include several orders issued by the district courts in the pending litigations. In addition, several amended answers and replies to these answers are provided. However, other documents filed by the parties in such litigations are not being provided. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully respect the Examiner to address this issue.

Date of Deposit: May 25, 2010

I hereby certify under 37 CFR § 1.8 this correspondence is being deposited **via EFS** on the date indicated above.

/Stephanie Petreas/

Stephanie Petreas

The Commissioner is authorized to charge any fees or credit any overpayment to Deposit Account No. 20-1504.

Respectfully submitted,

Date: May 25, 2010

/Mark J. Rozman/

Mark J. Rozman Registration No. 42,117 TROP, PRUNER & HU, P.C. 1616 S. Voss Road, Suite 750 Houston, Texas 77057-2631 (512) 418-9944 [Phone] (713) 468-8883 [Fax] Customer No: 21906 Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012, OMB 0651-0031
formation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320
	Filing Date		2008-01-16
INFORMATION DISCLOSURE	First Named Inventor Russ		ell W. White, et al.
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617
(NOTION SUBMISSION UNDER 37 OF (1.55)	Examiner Name Erika		A. Gary
	Attorney Docket Numb	er	AFF.004C5US

				U.S.I	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6009363		1999-12-28	Beckert	
	2	6023232		2000-02-08	Eitzenberger	
	3	6151634		2000-11-21	Glaser	
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Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20010042107		2001-11-15	Palm	
If you wis	h to a	dd additional U.S. Publis	hed Ap	plication citatio	n information please click the Ad	d button.
				FOREIGN PAT	TENT DOCUMENTS	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320
Filing Date		2008-01-16
First Named Inventor Russe		ell W. White, et al.
Art Unit		2617
Examiner Name Erika		A. Gary
Attorney Docket Number		AFF.004C5US

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T5
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	1	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Civil Action No. 9:08CV164, AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., ALPINE ELECTRONICS OF AMERICA, INC., et al., Civil Action No. 9:08CV171, Order Construing Claim Terms of Unites States Patent No. 7,634,228, Filed on May 10, 2010, Pages 1-27.						
	2	D. PETERS, et al., "Car	Multimedia - Mob	ile Multir	nedia for the 21	st Century," October 5-6, 20	000, Pages 1-58.	
	3	STEPHAN HARTWIG, et al., "Mobile Multimedia - Challenges and Opportunities Invited Paper," June 19, 2000, Pages 1-12.						
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	5	BUSINESS WIRE, "Lob August 4, 1999, Pages		New Digi	tal Audio Player	Technology for the Next-G	eneration Auto PC,"	
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	7	BUSINESS WIRE, ""HU 24, 1999, Pages 1-2.	IM" MP3 Software	Turns W	/indows CE Har	ndheld Computers Into Port	able Music Players," May	

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8	CHRIS De HERRERA, "Windows CE 2.0 Auto PC Pictures," Chris De Herrera's Windows CE Website, Revised January 11, 1999, Pages 1-3.	
9	JOHN MURRAY, "Inside Microsoft Windows CE," Microsoft Press, 1998, Pages 1-20.	
10	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Device Class Definition for Audio Devices," Release 1.0, March 18, 1998, Pages 1-130.	
11	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Specification," Revision 1.1, September 23, 1998, Pages 1-327.	
12	VESA, VIDEO ELECTRONICS STANDARDS ASSOCIATION, "VESA Plug and Display (P&D) Standard," Version 1, Revision 0, June 11, 1997, Pages 1-109.	
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14	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Defendants, Case No. 9:08-cv-00164-RC, Amended Answer And Counterclaim Of Defendants Hyundai Motor America, Hyundai Motor Manufacturing Alabama, LLC, And KIA Motors America, Inc. To Plaintiff Affinity Labs Of Texas, LLC's Third Amended Complaint, Filed on April 9, 2010, Pages 1-22.	
15	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Defendants, Case No. 9:08-cv-00164-RC, Plaintiff's Reply To Amended Answer And Counterclaim Of Defendants Hyundai Motor America, Hyundai Motor Manufacturing Alabama, LLC And KIA Motors America, Inc. To Plaintiff Affinity Labs of Texas, LLC's Third Amended Complaint, Filed on April279, 2010, Pages 1-7.	
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If you wis	h to a	dd add	ditional non-patent literature document citation information please	e click the Add b	outton			
			EXAMINER SIGNATURE					
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First Named Inventor	Russe	ell W. White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary		
Attorney Docket Numb	er	AFF.004C5US	-	

	CERTIFICATION STATEMENT							
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
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	foreign patent of after making rea any individual de	information contained in the information dis ffice in a counterpart foreign application, and sonable inquiry, no item of information conta esignated in 37 CFR 1.56(c) more than thre 37 CFR 1.97(e)(2).	d, to the knowledge of the ined in the information dis	person signing the certification closure statement was known to				
П	See attached cer	rtification statement.						
\boxtimes	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith						
	None							
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	n of the signature.	plicant or representative is required in accord	lance with GFR 1.33, 10.18	5. Please see CFR 1.4(d) for the				
Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-05-25				
Nar	ne/Print	Mark J. Rozman	Registration Number	42117				
pub 1.14 app requ Pate	lic which is to file and the state of the st	rmation is required by 37 CFR 1.97 and 1.98. (and by the USPTO to process) an application is estimated to take 1 hour to complete, include USPTO. Time will vary depending upon the his form and/or suggestions for reducing this k Office, U.S. Department of Commerce, P.O. FD FORMS TO THIS ADDRESS. SEND TO	n. Confidentiality is govern ding gathering, preparing a e individual case. Any com ourden, should be sent to the Box 1450, Alexandria, VA	ned by 35 U.S.C. 122 and 37 CFR and submitting the completed aments on the amount of time you he Chief Information Officer, U.S. A 22313-1450. DO NOT SEND				

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Electronic Patent Application Fee Transmittal						
Application Number:	120	015320				
Filing Date:	16-	Jan-2008				
Title of Invention:	METHOD FOR MANAGING MEDIA					
First Named Inventor/Applicant Name: Russell W. White						
Filer:	Mark J. Rozman/Stephanie Petreas					
Attorney Docket Number:	Attorney Docket Number: AFF.0004C5US					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt			
EFS ID:	7685599		
Application Number:	12015320		
International Application Number:			
Confirmation Number:	2156		
Title of Invention:	METHOD FOR MANAGING MEDIA		
First Named Inventor/Applicant Name:	Russell W. White		
Customer Number:	21906		
Filer:	Mark J. Rozman/Stephanie Petreas		
Filer Authorized By:	Mark J. Rozman		
Attorney Docket Number:	AFF.0004C5US		
Receipt Date:	25-MAY-2010		
Filing Date:	16-JAN-2008		
Time Stamp:	17:09:21		
Application Type:	Utility under 35 USC 111(a)		

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National Stage of an International Application under 35 U.S.C. 371

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Russell W. White, et al.

§ Group Art Unit:

2617

Serial No.:

12/015,320

§

Examiner:

Erika A. Gary

Filed:

January 16, 2008

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For:

Method For Managing Media

Atty. Dkt. No.:

AFF.004C5US

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The attached Information Disclosure Statement includes references that were identified in various charts and contentions prepared by defendants in a lawsuit. The charts and contentions themselves are not included with this Information Disclosure Statement. Based on previous discussions and as set forth in the Reply to Office Action filed March 15, 2010, Applicants are not providing such contentions and claim charts, as it is understood that the Examiner does not wish to receive them.

The references also include several orders issued by the district courts in the pending litigations. In addition, several amended answers and replies to these answers are provided. However, other documents filed by the parties in such litigations are not being provided. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully respect the Examiner to address this issue.

Date of Deposit: May 25, 2010

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/Stephanie Petreas/

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Respectfully submitted,

Date: May 25, 2010

/Mark J. Rozman/

Mark J. Rozman Registration No. 42,117 TROP, PRUNER & HU, P.C. 1616 S. Voss Road, Suite 750 Houston, Texas 77057-2631 (512) 418-9944 [Phone] (713) 468-8883 [Fax]

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12015320 **Application Number** 2008-01-16 Filing Date INFORMATION DISCLOSURE First Named Inventor Russell W. White, et al. STATEMENT BY APPLICANT Art Unit 2617 (Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary AFF.004C5US Attorney Docket Number

				U.S.I	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6009363		1999-12-28	Beckert	
	2	6023232		2000-02-08	Eitzenberger	
	3	6151634	*	2000-11-21	Glaser	
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Examiner Initial*	Cite No	Foreign Document Number³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T5
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	1	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Civil Action No. 9:08CV164, AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., ALPINE ELECTRONICS OF AMERICA, INC., et al., Civil Action No. 9:08CV171, Order Construing Claim Terms of Unites States Patent No. 7,634,228, Filed on May 10, 2010, Pages 1-27.						
	2	D. PETERS, et al., "Car	Multimedia - Mob	ile Multin	nedia for the 21	st Century," October 5-6, 2	000, Pages 1-58.	
	3 STEPHAN HARTWIG, et al., "Mobile Multimedia - Challenges and Opportunities Invited Paper," June 19, 2000, Pages 1-12.							
	4	JOHN HANAN, "Car Au 1999, Pages 1-2.	dio Has Come Far	r since th	ie 8-Track," Kni	ght Ridder/Tribune Busines	s News, December 17,	
	5	BUSINESS WIRE, "Lob August 4, 1999, Pages		New Digi	tal Audio Player	Technology for the Next-G	eneration Auto PC,"	
	6	JASON MESERVE, "Wi Network World, March 6	*		available for Wir	nCe, (from Microsoft) (Prod	uct Announcement),"	
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Attorney Docket Number		AFF.004C5US			

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9	JOHN MURRAY, "Inside Microsoft Windows CE," Microsoft Press, 1998, Pages 1-20.	
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18	CLARION Car Audio and Beyond, "1998 Car Audio & Security Product Catalog," 1998, Pages 1-24.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell W. White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

	19	CLARION Car Audio and Beyond, "1999 Car Audio & Security Product," 1999, Pages 1-60.	
	20	JAMIE ANDERSON, "Driving our way soon: the e-car," The Times, November 9, 2000, Pages 1-4.	
-	21	CLARION Auto PC, "Clarion Auto PC Owner's Manual," 1998, Pages 1-177.	
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	28	KAMI BUCHHOLZ, "Diversified Software launches IVIS," Automotive Engineering Online, 2009, one page.	
	29	EMPEG Car webpage, http://web.archive.org/web/19990430033318/www.empeg.com/main.html, April 30, 1999, one page.	

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Attorney Docket Number		AFF.004C5US	

	30	CLAF	RION AutoPC, "Frequently Asked Questions," 1998, Pages 1-3.					
	31	CLAF	RION AutoPC, "Frequently Asked Questions," 1999, Pages 1-9.	DN AutoPC, "Frequently Asked Questions," 1999, Pages 1-9.				
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	33 STEVE WHALLEY, "Peripherals To Go: USB In AutoPC," Pages 1-2.							
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Application Number		12015320	
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First Named Inventor Russe		ell W. White, et al.	
Art Unit		2617	
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Attorney Docket Number		AFF.004C5US	

	CERTIFICATION STATEMENT					
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):			
	from a foreign p	of information contained in the information of eatent office in a counterpart foreign applications osure statement. See 37 CFR 1.97(e)(1).				
OR	:					
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).					
	See attached ce	rtification statement.				
\boxtimes	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith				
	None					
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Sigr	ignature /Mark J. Rozman/ Date (YYYY-MM-DD) 2010-05-25					
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Electronic Patent Application Fee Transmittal					
Application Number:	12015320				
Filing Date:	16-	Jan-2008			
Title of Invention:	METHOD FOR MANAGING MEDIA				
First Named Inventor/Applicant Name:	Ru	ssell W. White			
Filer: Mark J. Rozman/Stephanie Petreas					
Attorney Docket Number:	AF	F.0004C5US			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Ack	knowledgement Receipt
EFS ID:	7685852
Application Number:	12015320
International Application Number:	
Confirmation Number:	2156
Title of Invention:	METHOD FOR MANAGING MEDIA
First Named Inventor/Applicant Name:	Russell W. White
Customer Number:	21906
Filer:	Mark J. Rozman/Stephanie Petreas
Filer Authorized By:	Mark J. Rozman
Attorney Docket Number:	AFF.0004C5US
Receipt Date:	25-MAY-2010
Filing Date:	16-JAN-2008
Time Stamp:	17:25:21
Application Type:	Utility under 35 USC 111(a)

Payment information:

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Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Russell W. White, et al.

Group Art Unit:

2617

Serial No.:

12/015,320

§

Examiner:

Erika A. Gary

Filed:

January 16, 2008

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For:

Method For Managing Media

Atty. Dkt. No.:

AFF.004C5US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The attached Information Disclosure Statement includes references that were identified in various charts and contentions prepared by defendants in a lawsuit. The charts and contentions themselves are not included with this Information Disclosure Statement. Based on previous discussions and as set forth in the Reply to Office Action filed March 15, 2010, Applicants are not providing such contentions and claim charts, as it is understood that the Examiner does not wish to receive them.

The references also include several orders issued by the district courts in the pending litigations. In addition, several amended answers and replies to these answers are provided. However, other documents filed by the parties in such litigations are not being provided. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully respect the Examiner to address this issue.

Date of Deposit: May 25, 2010

I hereby certify under 37 CFR § 1.8 this correspondence is being deposited **via EFS** on the date indicated above.

/Stephanie Petreas/

Stephanie Petreas

The Commissioner is authorized to charge any fees or credit any overpayment to Deposit Account No. 20-1504.

Respectfully submitted,

Date: May 25, 2010

/Mark J. Rozman/

Mark J. Rozman Registration No. 42,117 TROP, PRUNER & HU, P.C. 1616 S. Voss Road, Suite 750 Houston, Texas 77057-2631 (512) 418-9944 [Phone] (713) 468-8883 [Fax] Customer No: 21906

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	Application Number		12015320
	Filing Date		2008-01-16
INFORMATION DISCLOSURE	First Named Inventor	Russe	ell W. White, et al.
(Not for submission under 37 CFR 1.99)	Art Unit	•	2617
(Not for submission under 37 GFK 1.55)	Examiner Name	Erika	A. Gary
	Attorney Docket Numb	er	AFF.004C5US

				U.S.I	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6009363		1999-12-28	Beckert	
	2	6023232		2000-02-08	Eitzenberger	
	3	6151634		2000-11-21	Glaser	
	4	6453281		2002-09-17	Walters	
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	1	AFFINITY LABS OF TE	AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., BMW NORTH AMERICA, LLC, et al., Civil Action No. 9:08CV164, AFFINITY LABS OF TEXAS, LLC, Plaintiff, v., ALPINE ELECTRONICS OF AMERICA, INC., et al., Civil Action No. 9:08CV171, Order Construing Claim Terms of Unites States Patent No. 7,634,228, Filed on May 10, 2010, Pages 1-27.						
	2	D. PETERS, et al., "Ca	r Multimedia - M	obile Multir	nedia for the 21	st Century," October 5-6, 2	000, Pages 1-58.		
	3	STEPHAN HARTWIG, et al., "Mobile Multimedia - Challenges and Opportunities Invited Paper," June 19, 2000, Pages 1-12.							
	4	JOHN HANAN, "Car Audio Has Come Far since the 8-Track," Knight Ridder/Tribune Business News, December 17, 1999, Pages 1-2.							
	5	BUSINESS WIRE, "Lobjects Announces New Digital Audio Player Technology for the Next-Generation Auto PC," August 4, 1999, Pages 1-2.							
	6	JASON MESERVE, "Windows Media Player now available for WinCe, (from Microsoft) (Product Announcement)," Network World, March 6, 2000, Pages 1-2.							
	7	BUSINESS WIRE, ""HI 24, 1999, Pages 1-2.	JM" MP3 Softwa	are Turns V	/indows CE Ha	ndheld Computers into Port	able Music Players," May		

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8	CHRIS De HERRERA, "Windows CE 2.0 Auto PC Pictures," Chris De Herrera's Windows CE Website, Revised January 11, 1999, Pages 1-3.	
9	JOHN MURRAY, "Inside Microsoft Windows CE," Microsoft Press, 1998, Pages 1-20.	
10	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Device Class Definition for Audio Devices," Release 1.0, March 18, 1998, Pages 1-130.	
11	COMPAQ, INTEL, MICROSOFT, NEC, "Universal Serial Bus Specification," Revision 1.1, September 23, 1998, Pages 1-327.	
12	VESA, VIDEO ELECTRONICS STANDARDS ASSOCIATION, "VESA Plug and Display (P&D) Standard," Version 1, Revision 0, June 11, 1997, Pages 1-109.	
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	29	EMPEG Car webpage, http://web.archive.org/web/19990430033318/www.empeg.com/main.html, April 30, 1999, one page.	

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Application Number	_	12015320	
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First Named Inventor Russe		ell W. White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Number		AFF.004C5US	

	CERTIFICATION STATEMENT								
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selec	ition(s):						
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	t								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached ce	rtification statement.							
\boxtimes	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewi	th.						
	None								
	ignature of the ap	oplicant or representative is required in acco	ATURE ordance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the					
Sigr	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-05-25					
Nan	ne/Print	Mark J. Rozman	Registration Number	42117					
pub 1.14 app requ Pate	lic which is to file 4. This collection lication form to th uire to complete the ent and Trademan	rmation is required by 37 CFR 1.97 and 1.9 (and by the USPTO to process) an applicat is estimated to take 1 hour to complete, ince USPTO. Time will vary depending upon this form and/or suggestions for reducing this k Office, U.S. Department of Commerce, PTED FORMS TO THIS ADDRESS. SEND 1	ion. Confidentiality is gove luding gathering, preparing he individual case. Any co s burden, should be sent to .O. Box 1450, Alexandria, \	rned by 35 U.S.C. 122 and 37 CFR and submitting the completed mments on the amount of time you the Chief Information Officer, U.S. /A 22313-1450. DO NOT SEND					

VA 22313-1450.

Electronic Patent Application Fee Transmittal						
Application Number:	12015320					
Filing Date:	16-	16-Jan-2008				
Title of Invention:	METHOD FOR MANAGING MEDIA					
First Named Inventor/Applicant Name:	Russell W. White					
Filer:	Mark J. Rozman/Stephanie Petreas					
Attorney Docket Number:	AF	F.0004C5US				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code Quantity Am		Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Ack	knowledgement Receipt
EFS ID:	7686027
Application Number:	12015320
International Application Number:	
Confirmation Number:	2156
Title of Invention:	METHOD FOR MANAGING MEDIA
First Named Inventor/Applicant Name:	Russell W. White
Customer Number:	21906
Filer:	Mark J. Rozman/Stephanie Petreas
Filer Authorized By:	Mark J. Rozman
Attorney Docket Number:	AFF.0004C5US
Receipt Date:	25-MAY-2010
Filing Date:	16-JAN-2008
Time Stamp:	17:38:10
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3858
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS)	AFF004C5USIDSCoverLetteran	196479	no	8
'	Filed (SB/08)	d1449.pdf	a491774a9601d532667d1f1443a2c9eb6ed 432dd	no	0
Warnings:					
Information:					
This is not an US	SPTO supplied IDS fillable form				
_		AFF004C5USNPLDocUSBRev11	3001078		
2	NPL Documents	PT1.pdf	276232370c7fe2f6efe0a0bcc3fc9ab5ea131	no	102
Warnings:	I			l	
Information:					
3	NPL Documents	AFF004C5USNPLDocUSBRev11	2465412	no	94
	W E Documents	PT2.pdf	8bb6c5911cec98de26405a0e92d8fce5767 26cae	110	94
Warnings:					
Information:					
4	NPL Documents	AFF004C5USNPLDocUSBRev11	2154305	no	73
7	NF L DOCUMENTS	PT3.pdf	31bce9015725fda02fb4475a31052a0d691 30a15	110	
Warnings:	<u>.</u>				
Information:					
5	NPL Documents	AFF004C5USNPLDocUSBRev11	1912650	no	58
	W E Documents	PT4.pdf	3b244a985ac9a8866ea8446efd9e5a6d0b2 43d5a	110	
Warnings:	<u>.</u>			•	
Information:					
6	NPL Documents	AFF004C5USNPLDocVesaPT1.	1259122	no	51
	NEL DOCUMENTS	pdf	ce0d5f18cc99f84734deec56c675fba3d81d e233	110	
Warnings:				'	
Information:					
7	NPL Documents	AFF004C5USNPLDocVesaPT2.	1237671	20	5 0
/	NPL Documents	pdf	0a85a546618e658cc945cd494410f1abbd7f d42a	no	58
Warnings:			,	'	
Information:					
	NDI Daguaranta	AFF004C5USNPLDocClarion199	1326948		22
8	NPL Documents	8.pdf	772413decbc54819fe237f11be5ad950738 893ec	no	23
Warnings:			<u> </u>	l	
Information:					

9	Fee Worksheet (PTO-875)	fee-info.pdf	30468	no	2				
	ree worksheet (170 or 3)	·	bd8e432863259f84a970ba98ec5e256ab00 b8676	110	_				
Warnings:	Warnings:								
Information:									
		Total Files Size (in bytes):	13.	584133					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

21906

7590

05/04/2010

TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 HOUSTON, TX 77057-2631

EXAMINER

GARY, ERIKA A

ART UNIT PAPER NUMBER

2617

DATE MAILED: 05/04/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/015 320	01/16/2008	Russell W White	AFF 0004C5US	2156

TITLE OF INVENTION: METHOD FOR MANAGING MEDIA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	08/04/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DIE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

indicated unless correcte maintenance fee notificat	d below or directed oth	nerwise in Block 1, by (a	a) specifying a new corres	spondence address; a	nd/or (b) indicating a sepa	arate "FEE ADDRESS" for				
		lock 1 for any change of address)	Not Fee pape baye	e: A certificate of ma (s) Transmittal. This c ers. Each additional p	ailing can only be used for certificate cannot be used to paper, such as an assignment for mailing or transmission	or domestic mailings of the for any other accompanying ent or formal drawing, must				
21906	7590 05/04	W2010	navv	Certificate of Mailing or Transmission						
TROP, PRUNE 1616 S. VOSS R HOUSTON, TX	OAD, SUITE 750		I he Stat addı tran	reby certify that this es Postal Service with ressed to the Mail S smitted to the USPTC	Fee(s) Transmittal is being n sufficient postage for fir top ISSUE FEE address 0 (571) 273-2885, on the d	imission g deposited with the United st class mail in an envelope above, or being facsimile late indicated below.				
						(Depositor's name)				
						(Signature)				
						(Date)				
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	. A	TTORNEY DOCKET NO.	CONFIRMATION NO.				
12/015,320	01/16/2008	I	Russell W. White		AFF.0004C5US	2156				
TITLE OF INVENTION:	METHOD FOR MAN	AGING MEDIA								
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE F	TOTAL FEE(S) DUE	DATE DUE				
nonprovisional	YES	\$755	\$300	\$0	\$1055	08/04/2010				
EXAM	INER	ART UNIT	CLASS-SUBCLASS]						
GARY, E	RIKA A	2617	455-410000	,						
☐ "Fee Address" indi	ondence address (or Cha 1/122) attached. cation (or "Fee Address	ange of Correspondence	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.							
	ess an assignee is ident a in 37 CFR 3.11. Com GNEE	ified below, no assignee pletion of this form is NO	(B) RESIDENCE: (CITY	atent. If an assignee assignment. and STATE OR CO	UNTRY)	ocument has been filed for oup entity Government				
	o small entity discount p	permitted)	b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo	d. Form PTO-2038 is	s attached. the required fee(s), any de					
	SMALL ENTITY state	us. See 37 CFR 1.27.			ENTITY status. See 37 C					
NOTE: The Issue Fee and interest as shown by the r	l Publication Fee (if req ecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than to Office.	he applicant; a registe	ered attorney or agent; or the	he assignee or other party in				
Authorized Signature				Date						
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Alexandria, Virginia 223	13-1450.		on is required to obtain or 1 1.14. This collection is edepending upon the indiv depending upon the indiv e Chief Information Office COMPLETED FORMS To spond to a collection of inf			d by the USPTO to process) ng gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, I number.				



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspbo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
12/015,320	01/16/2008	Russell W. White	AFF.0004C5US	2156			
21906 7:	590 05/04/2010		EXAMINER				
TROP, PRUNER	R & HU, P.C.		GARY, E	RIKA A			
1616 S. VOSS RO	,		ART UNIT	PAPER NUMBER			
HOUSTON, TX 7	7057-2631		2617				
			DATE MAILED: 05/04/201	0			

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)							
Notice of Allowability	12/015,320	WHITE ET AL.							
Notice of Allowability	Examiner	Art Unit							
	Erika A. Gary	2617							
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS							
1. This communication is responsive to <u>3/12/10</u> .									
2. \boxtimes The allowed claim(s) is/are <u>39-45, 48-65</u> .									
3. ☐ Acknowledgment is made of a claim for foreign priority una) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received:	been received. been received in Application No								
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements							
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give									
 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must (a) ☐ including changes required by the Notice of Draftspers 1) ☐ hereto or 2) ☐ to Paper No./Mail Date (b) ☐ including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the context of the post attached Examiner's comment regarding REQUIREMENT in the comment requirement in the comment regarding requirement in the comment requirement requirem	on's Patent Drawing Review (PTO- s Amendment / Comment or in the C .84(c)) should be written on the drawir he header according to 37 CFR 1.121(c sit of BIOLOGICAL MATERIAL n	office action of the back) of the back) of the submitted. Note the							
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 11/16/09, 3/2/10, 3/16/10 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material Mail Date 1									

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20100429

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
Formation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number	-	12015320
	Filing Date	1	2008-01-16
	First Named Inventor	Russell	White, et al.
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617
(Not for Submission under 57 of K 1.55)	Examiner Name Erika		. Gary
	Attorney Docket Numb	er /	AFF.004C5US

				U.S.	PATENTS	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6636242		2003-10-21	Bowman-Amuah	
	2	6615253		2003-09-02	Bowman-Amuah	
	3	6615199		2003-09-02	Bowman-Amuah	
	4	6606744		2003-08-12	Mikurak	
	5	6606660		2003-08-12	Bowman-Amuah	
	6	6601234		2003-07-29	Bowman-Amuah	
	7	6601192		2003-07-29	Bowman-Amuah	
	8	6578068		2003-07-10	Bowman-Amuah	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320			
Filing Date		2008-01-16			
First Named Inventor Russe		ell White, et al.			
Art Unit		2617			
Examiner Name Erika		A. Gary			
Attorney Docket Number		AFF.004C5US			

	9	6587835	2003-07-01	Treyz, et al.	
	10	6510210	2003-01-21	Baughan	
	11	6509716	2003-01-21	Yi	
	12	6496692	2002-12-17	Shanahan	
	13	6396769	2002-05-28	Polany	
	14	6240297	2001-05-29	Jadoul	
	15	6061306	2000-05-09	Buchheim	
:	16	5953659	1999-09-14	Ghisler .	
_	17	5940767	1999-08-17	Bourgeois	
	18	5870680	1999-02-09	Guerlin, et al.	
	19	5774793	1998-06-30	Cooper, et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320
Filing Date		2008-01-16
First Named Inventor	Russe	ell White, et al.
Art Unit		2617
Examiner Name	Erika	A. Gary
Attorney Docket Numb	per	AFF.004C5US

				•		
	20	5587560		1996-12-24	Crooks, et al.	
	21	5586090		1996-12-17	Otte	
	22	5450471		1995-09-12	Hanawa, et al.	
	23	7020704		2006-03-28	Lipscomb, et al.	
	24	7343414		2008-03-11	Lipscomb, et al.	
	25	6728531		2004-04-27	Lee	
	26	6526335		2003-02-25	Treyz	
	27	6160551		2000-12-12	Naughton	
	28	6192340		2001-02-20	Abecassis	
If you wish	n to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	
			U.S.P	ATENT APPLI	CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

Receipt date: 03/02/2010 12015320 **Application Number** Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 (Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary AFF.004C5US Attorney Docket Number If you wish to add additional U.S. Published Application citation information please click the Add button. FOREIGN PATENT DOCUMENTS Pages, Columns, Lines Name of Patentee or Examiner Cite Foreign Document Country Kind Publication where Relevant **T**5 Applicant of cited Initial* No Number³ Code2i Code4 Date Passages or Relevant Document Figures Appear JΡ H08-79814 1996-03-22 Konishi If you wish to add additional Foreign Patent Document citation information please click the Add button NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite **T**5 (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), Initials* No publisher, city and/or country where published. AFFINITY LABS OF TEXAS, LLC (Plaintiff) v. BMW NORTH AMERICA, LLC, ET AL. (Defendants), Civil Action No. 9:08CV164 and AFFINITY LABS OF TEXAS, LLC (Plaintiff) v. ALPINE ELECTRONICS OF AMERICA, INC., ET AL., Civil Action No. 9:08CV171, "Order Construing Claim Terms of United States Patent No. 7,324,833, issued on December 18, 2009, Pages 1-31. 2 REAL NETWORKS, Inc., RealJukebox Plus Manual, 1999, Pages 1-90. П If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature** /Erika Gary/ (04/12/2010) **Date Considered** 04/12/2010 *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Beceipt date: 11/16/2009

EFS Web 2.1.10

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 02/28/2009. OMB 06851-0031

Formation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
NIEGOMATION DIGGI GOVERN	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor R		Russell W. White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission ander or of it not)	Examiner Name Erika		a A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

					U.S.I	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D)ate	Name of Pate of cited Docu	Pages,Columns,Lines where Relevant Passages or Relevar Figures Appear				
	1	5539658		1996-07	7-23	McCullough, T	imothy L.				
	2	6675233		2004-01	I-26	Du					
If you wish to add additional U.S. Patent citation information please click the Add button.											
U.S.PATENT APPLICATION PUBLICATIONS											
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition	Name of Patentee or Applicant of cited Document				ines where es or Relev	
	1	20030126335		2003-07	'- 03	Silvester, Kela	n C.				
If you wisl	h to ac	⊔ dd additional U.S. Publi	⊥ shed Ap	plication	n citation	ı n information p	olease click the Add	button	Add		
				FOREIG	3N PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	e or V F	here Rele	or Relevant	T 5
	1	DE 19 651 308 A1	DE			1996-10-12	Becker GmbH				
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Receipt date: 11/16/2009 Application Number 12015320 Filing Date 2008-01-16 **INFORMATION DISCLOSURE** Russell W. White, et al. First Named Inventor STATEMENT BY APPLICANT Art Unit 2617 (Not for submission under 37 CFR 1.99) Erika A. Gary **Examiner Name** Attorney Docket Number AFF.004C5US

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.										T 5			
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	4		nited Stat no. 10/94		And Tra	idemark	Office, (Office Ad	ion Mailed	l November	9, 2007 in ro	elated pat	ent appl	ication	
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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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	Application Number		12015320
	Filing Date		2008-01-16
INFORMATION DISCLOSURE	First Named Inventor	Russe	ell White, et al.
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617
(Not for Submission under 57 Of K 1.35)	Examiner Name	Érika	A. Gary
	Attorney Docket Numb	er	AFF.004C5US

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Art Unit	•	2617				
Examiner Name	Erika	a A. Gary				
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Examiner Name	Erika	a A. Gary			
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Doc description: Information Disclosure Statement (IDS) Filed

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(Not for Submission under 37 GFK 1.55)	Examiner Name Erik		A. Gary
	Attorney Docket Numb	er	AFF.004C5US

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Application Number 12015320
Filing Date 2008-01-16
First Named Inventor Russell White, et al.
Art Unit 2617
Examiner Name Erika A. Gary

Attorney Docket Number

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			FORE	IGN PAT	ENT DOCUM	MENTS			
Examiner Initial*	Cite No	Foreign Document Number³	Country Code ² i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	of cited Document		Pages, Columns, Lines where Relevant Passages or Relev Figures Appear		
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First Named Inventor Russell White, et al.

Art Unit 2617

Examiner Name Erika A. Gary

Attorney Docket Number

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	1	Request for Inter Partes Reexamination of U.S. Patent No. 7,187,947, filed on November 13, 2009, with accompanying Claim Charts.									
	2		Request for Inter Partes Reexamination of U.S. Patent No. 7,440,772, filed on November 13, 2009, with accompanying Claim Charts.								
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		12015320			
Filing Date		2008-01-16			
First Named Inventor Russe		ell White, et al.			
Art Unit		2617			
Examiner Name	Erika	rika A. Gary			
Attorney Docket Number		AFF.004C5US			

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5				
	1	WO 00/70523	wo		2000-11-23	Digimarc Corporation						
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Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.												
	1	Nokia 9110 Communicator User Manual, Copyright 1999.										
	2	Sony, "Sony Notebook	Computer User	Guide PCG	3-717/719," Use	r Guide, 1997.						
	3	AirCard, "Sierra Wireles	ss Announces Fi	rst Cellular	Network Interfa	ace Card for Notebook PCs	," June 21, 1999.					
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	5	Bluetooth, "Specification of the Bluetooth System, Profiles," December 1, 1999.										
	6	Bluetooth, "Specification of the Bluetooth System, Profiles," December 1, 1999.										
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	8	Nokia Suomi, "Range of suspension GSM products unveiled: Nok communication," March 13, 1996, 1 page.	ia's innovations offer a new	dimension to mobile					
	9	Nokia 9000i User's Manual, Copyright 1995-1997.							
	10	FCC Website, "Broadband PCS," available at http://wireless.fcc.gov/services/index.htm? job=service_home&id=broadband_pcs (accessed November 9, 2009).							
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	12	DAVID POGUE, "SoundJam MP Digital Audio System Manual," 1999.							
	13	iTunes Wikipedia Page, http://en.wikipedia.org/wiki/lTunes, accessed July 31, 2009.							
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	R. LIND, et al., "The Network Vehicle - A Glimpse into the Future of Mobile Multi-Media," 17th AIAA/IEEE/SAE Digital Avionics Sys. Conference Proceedings, October 31 to November 7, 1998, at I21-1 to I21-8.								
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09) Approved for use through 07/31/2012, OMB 0651-0031

ormation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

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First Named Inventor | Russell White, et al. |
Art Unit | 2617 |
Examiner Name | Erika A. Gary

Attorney Docket Number

AFF.004C5US

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	1	Request for Claim Cha	or Inter Partes Res arts.	examination of U	J.S. Patent No.	7,187,947,	filed on Novemb	er 13, 2009	9, with accompa	nying	
	2		Request for Inter Partes Reexamination of U.S. Patent No. 7,440,772, filed on November 13, 2009, with accompanying Claim Charts.								
	3	Request for Claim Cha	or Inter Partes Ree arts.	xamination of U	J.S. Patent No.	7,324,833,	filed on Novemb	er 13, 2009), with accompa	nying	
	4	Request for Claim Cha	or Inter Partes Ree arts.	xamination of U	J.S. Patent No.	7,486,926,	filed on Novemb	er 13, 2009	9, with accompar	nying	
	5	Request for Claim Cha	or Inter Partes Ree arts.	xamination of U	J.S. Patent No.	7,634,228,	filed on February	/ 3, 20 10, v	vith accompanyl	ng	
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Receipt date: 03/16/2010

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
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(Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission under 37 Of K 1.33)	Examiner Name	Erika	A. Gary	
	Attorney Docket Number		AFF.004C5US	

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Examiner Initial*	Cite No	Foreign Document Number³	Countr Code ² i		Kind Code4	Publication Date	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5			
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Receipt date: 03/16/2010 **Application Number** 12015320 Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 (Not for submission under 37 CFR 1.99) Erika A. Gary **Examiner Name** AFF.004C5US Attorney Docket Number Affinity Labs of Texas, LLC (Plaintiff) v. BMW North America, LLC, et al. (Defendants), Case No. 9:08-cv-00164-RC, Answer and Counterclaim of Defendant Volkswagen Group of America, Inc., to Third Amended Complaint, Pages 1-48, filed on January 15, 2010. Affinity Labs of Texas, LLC (Plaintiff) v. Alpine Electronics of America, Inc., et al. (Defendants), Civil Action No. 9:08-2 cv-171, Order Denying Without Prejudice Defendants' Motion for Summary Judgment, one page, filed on February 25, If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE** 04/12/2010 **Examiner Signature** /Erika Gary/ (04/12/2010) **Date Considered** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if

English language translation is attached.

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	474	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:41
<u></u>	187	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:42

L3	6	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and physical adj interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:42
L4	O	((((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and physical adj interface).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:44

L7	151	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OO	2010/04/29 14:45
L8	O	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call)). ab. and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:45
L9	12	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4))).ab. and (recharg\$5) and (local adj	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR		2010/04/29 14:45

		broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface				
L11	12	9 not 3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:45
L12	41	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system)).ab. and (broadcast\$3 or transmit\$4) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29
L13	29	12 not 11	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:48
L15	50	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system)).ab. and (broadcast\$3 or transmit\$4) and (recharg\$5) and (local adj broadcast or radio	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:51

		adj station) and ((telephone or phone or incoming) adj call) and interface	annonnonnon de la constanta de		· manamanamanamanamanamanamanamanamanaman	
L16	9	15 not 12	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:51
L17	17	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4))).ab. and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and interface	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:52
L18	5	17 not 9	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:52
L19	6	((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:53

		((telephone or phone or incoming) adj call) and physical adj interface				
L20	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and physical adj interface).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/04/29 14:53

EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L5	0	(((multimedia or mp3 or music or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and physical adj interface).clm.	USPAT; UPAD	OR		2010/04/29 14:44

L6	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telphone or phone or incoming) adj call) and interface).clm.	USPAT; UPAD	OR	ON	2010/04/29 14:44
L14	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and interface).clm.	USPAT; UPAD	OR		2010/04/29 14:51
L21	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (receiv\$3 or receiver or radio or stereo or sound adj system) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or	USPAT; UPAD	OR		2010/04/29 14:53

		phone or incoming) adj call) and (port or interface or jack)). clm.				
L22	50 0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (broadcast\$3 or transmit\$4)) and (recharg\$5) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and (port or interface or jack)).	USPAT; UPAD	OR	ON	2010/04/29 14:56
L23	0	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (broadcast\$3 or transmit\$4)) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj call) and (port or interface or jack)).	USPAT; UPAD	OR	ON	2010/04/29 14:57
L24	,	(((multimedia or mp3 or music or media adj player or audio adj file or audio aj stream) with (broadcast\$3 or transmit\$4)) and (local adj broadcast or radio adj station) and ((telephone or phone or incoming) adj2 call) and (port or interface or jack)). clm.	USPAT; UPAD	OR	ON	2010/04/29 14:57

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Doc code: IDS

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First Named Inventor Russell White, et al.

Art Unit 2617
Examiner Name Erika A. Gary

Attorney Docket Number

AFF.004C5US

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	Request for Inter Partes Reexamination of U.S. Patent No. 7,324,833, filed on November 13, 2009, with accompanying Claim Charts.										
	Request for Inter Partes Reexamination of U.S. Patent No. 7,486,926, filed on November 13, 2009, with accompanying Claim Charts.										
	5		est for Inter Partes Reexamination of U.S. Patent No. 7,634,228, filed on February 3, 2 n Charts.	2010, with accompanying							
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Issue Classification	12015320	WHITE ET AL.
	Examiner	Art Unit
	Erika A Gary	2617

	ORIGINAL						INTERNATIONAL CLASSIFICATION							NC	
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-	2	-	18	-	34	11	50								
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-	11	-	27	5	43	20	59								
-	12	-	28	6	44	21	60								
-	13	-	29	7	45	22	61								
-	14	-	30	-	46	23	62								
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NONE		Total Clain	ns Allowed:
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/Erika A Gary/ Primary Examiner.Art Unit 2617	4/29/10	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	7

U.S. Patent and Trademark Office Part of Paper No. 20100429

Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
12015320	WHITE ET AL.
Examiner	Art Unit
Erika A Gary	2617

	SEARCHED					
Class	Subclass	Date	Examiner			
	see EAST search attached	1/30/09	EAG			
	see EAST search attached	11/7/09	EAG			
	see EAST search attached	4/29/10	EAG			

SEARCH NOTES		
Search Notes	Date	Examiner
searched parent case for current claim terms - see EAST search attached	1/30/09	EAG
see EAST search attached	11/7/09	EAG
see EAST search attached	4/29/10	EAG

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
	see EAST search attached	4/29/10	EAG

Doc code: IDS

PTO/SB/08a (07-09)

Doc description: Information Disclosure Statement (IDS) Filed

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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12015320 Application Number Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 (Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary Attorney Docket Number AFF.004C5US

	U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number			12015320	
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First Named Inventor Russe			ell White, et al.	
Art Unit			2617	
Examiner Name Erika			A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

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Attorney Docket Numb	er	AFF.004C5US	

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.G./

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First Named Inventor Russe		ell White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
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Examiner	Signa	ture /Erika Gary/ (04/12/2010) D	ate Considered	04/12/2010					
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Art Unit		2617
Examiner Name	Erika	A. Gary
Attorney Docket Numb	er	AFF.004C5US

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)

Approved for use through 07/31/2012. OMB 0651-0031

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STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission under 37 GFK 1.99)	Examiner Name Erik		ka A. Gary	
	Attorney Docket Number		AFF.004C5US	
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Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor	Russe	ell White, et al.	 •
Art Unit		2617	
Examiner Name Erika A. Gai		A. Gary	
Attorney Docket Numb	er	AFF.004C5US	

	Affinity Labs of Texas, LLC (Plaintiff) v. BMW North America, LLC, et al. (Defendants), Case No. 9:08-cv-00164-RC, Answer and Counterclaim of Defendant Volkswagen Group of America, Inc., to Third Amended Complaint, Pages 1-48, filed on January 15, 2010.					
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Filing Date		2008-01-16	
First Named Inventor	Russell White, et al.		
Art Unit		2617	
Examiner Name	Erika	Erika A. Gary	
Attorney Docket Number		AFF.004C5US	

	CERTIFICATION STATEMENT						
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	t						
⊠	foreign patent of after making rea any individual d	information contained in the information dis ffice in a counterpart foreign application, and sonable inquiry, no item of information conta esignated in 37 CFR 1.56(c) more than thre 37 CFR 1.97(e)(2).	d, to the knowledge of the ined in the information dis	person signing the certification closure statement was known to			
П	See attached ce	rtification statement.					
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith					
	None						
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Sigi	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-03-16			
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Electronic Acknowledgement Receipt				
EFS ID:	7216132			
Application Number:	12015320			
International Application Number:				
Confirmation Number:	2156			
Title of Invention:	Method for Managing Media			
First Named Inventor/Applicant Name:	Russell W. White			
Customer Number:	21906			
Filer:	Mark J. Rozman/Stephanie Petreas			
Filer Authorized By:	Mark J. Rozman			
Attorney Docket Number:	AFF.0004C5US			
Receipt Date:	16-MAR-2010			
Filing Date:	16-JAN-2008			
Time Stamp:	12:43:55			
Application Type:	Utility under 35 USC 111(a)			

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Russell W. White, et al. § Conf. No.: 2156

§

Serial No.: 12/015,320 § Examiner: Erika A. Gary

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Filed: January 16, 2008 § Group Art Unit: 2617

§

For: Method For Managing Media § Atty. Dkt. No.: AFF.004C5US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REPLY TO OFFICE ACTION MAILED NOVEMBER 12, 2009

Sir:

In response to the Office Action mailed November 12, 2009, please amend the abovereferenced patent application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.

Date of Deposit: March 12, 2010

I hereby certify under 37 CFR \S 1.8 this correspondence is being deposited **via EFS** on the date indicated above.

/Khristine McNeil/

Khristine McNeil

Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in the application:

Listing of Claims:

Claims 1 - 38 (canceled)

Claim 39 (currently amended): An audio system comprising:

a portable device having a <u>single</u> physical interface configured to releasably engage a contacting portion of an interconnection system to form at least a portion of a communication path between the portable device and a separate electronic device, wherein the physical interface has a generally rectangular shape and is configured to couple a first conductive element of the interconnection system with a component of the portable device that outputs data and couple a second conductive element of the interconnection system with a recharging circuit of the portable device, wherein the portable device is configured to accept an over the air download of an application that allows the portable device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the portable device;

the portable device having a wireless communication module operable to receive an incoming telephone call and the audio stream; and

the portable device having an audio output engine configured to output information representing a played audio file to the separate electronic device via the physical interface and to alter an outputting of the played audio file in connection with a receipt of the incoming telephone call and configured to output the audio stream.

Claim 40 (previously presented): The system of claim 39, further comprising an engine configured to communicate data from the portable device to the separate electronic device to allow the separate electronic device to present an icon on a display of the separate electronic device such that the icon: (a) is a soft button that is linked to a particular audio file saved at the portable device; (b) is selectable by a user via the separate electronic device; and (c) is configured to respond to a user selection by causing the separate electronic device to request the portable device to begin playing the particular audio file.

Claim 41 (previously presented): The system of claim 39, further comprising the interconnection system, wherein the interconnection system is operable to couple the portable device to a power supply of an automobile.

Claim 42 (previously presented): The system of claim 39, wherein the interconnection system further comprises an FM modulator.

Claim 43 (previously presented): The system of claim 39, further comprising the separate electronic device, wherein the separate electronic device wireless receiver.

Claim 44 (previously presented): The system of claim 43, further comprising a housing component of the portable device that at least partially defines an enclosure, wherein the wireless communication module and the audio output engine are secured within the enclosure.

Claim 45 (currently amended): The system of claim 39, wherein the portable device is configured to accept an over the air download of an application that allows the portable device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the portable device and a different over the air download of a media, further wherein the media is selected from a group consisting of an audio file, a video file, a piece of software, a book, and a message.

Claim 46 (canceled)

Claim 47 (canceled)

Claim 48 (previously presented): The system of claim 39, further comprising a housing component of the portable device including a front surface comprising a display, wherein the display represents more than half of a total width of the front surface and more than half of a total length of the front surface.

Claim 49 (previously presented) The system of claim 39, the portable device comprising a front surface having a length and a width, wherein the length is longer than the width, and further wherein the physical interface is located on a lengthwise side of the portable device.

Claim 50 (currently amended): A wireless device comprising:

a <u>single</u> physical interface configured to releasably engage a contacting portion of an interconnection system to form at least a portion of a communication path between the wireless device and a separate electronic device, wherein the physical interface has a generally rectangular shape and is configured to couple a first conductive element of the interconnection system with a component of the wireless device that communicates data and couple a second conductive element of the interconnection system with a recharging circuit of the wireless device, <u>wherein</u> the wireless device includes an application stored thereon that allows the wireless device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the wireless device;

a wireless communication module operable to receive an incoming telephone call <u>and the</u> audio stream; and

an audio output engine configured to output information representing a played audio file to the separate electronic device via the physical interface and to alter an outputting of the played audio file in connection with a receipt of the incoming telephone call, and configured to output the audio stream.

Claim 51 (previously presented): The wireless device of claim 50, further comprising an engine configured to communicate data from the wireless device to the separate electronic device to allow the separate electronic device to present an icon on a display of the separate electronic device such that the icon: (a) is a soft button that is linked to a particular audio file saved at the wireless device; (b) is selectable by a user via the separate electronic device; and (c) is configured to respond to a user selection by causing the separate electronic device to request the wireless device to begin playing the particular audio file.

Claim 52 (previously presented): The wireless device of claim 50, further comprising the interconnection system, wherein the interconnection system is operable to couple the wireless device to a power supply of another device.

Claim 53 (previously presented): The wireless device of claim 51, wherein the interconnection system further comprises an FM modulator.

Claim 54 (previously presented) The wireless device of claim 50, wherein the wireless device is configured in a housing having a front surface having a length and a width, wherein the length is longer than the width, and further wherein the physical interface is located on a lengthwise side of the housing.

Claim 55 (previously presented): The wireless device of claim 50, further comprising: a housing component of the wireless device that at least partially defines an enclosure, wherein the wireless communication module and the audio output engine are secured within the enclosure:

a front surface of the wireless device that comprises a display, wherein the display represents more than half of a total width of the front surface and more than half of a total length of the front surface; and

an interface programming engine located within the enclosure and configured to allow a user to alter a displayed selectable icon.

Claim 56 (currently amended): The wireless device of claim 50, wherein the wireless device is configured to accept an over the air download of [[an]] the application-that allows the wireless device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the wireless device and a different over the air download of a media, further wherein the media is selected from a group consisting of an audio file, a video file, a piece of software, a book, and a message.

Claim 57 (previously presented): The wireless device of claim 50, wherein the wireless device comprises a generally rectangular housing having a display that substantially

predominates a face of the housing.

Claim 58 (currently amended): A method comprising:

receiving a streaming media signal in a portable wireless device corresponding to a regional broadcasting channel, responsive to a request for the regional broadcasting channel from the portable wireless device via a user selection of the regional broadcasting channel, wherein the portable wireless device is outside of a broadcast region of the regional broadcasting channel;

communicating information representing a played audio file the streaming media signal from [[a]] the portable wireless device to a separate electronic device coupled to the portable wireless device via a single physical interface of the portable wireless device configured to releasably engage a contacting portion of an interconnection system to form at least a portion of a communication path between the portable wireless device and the separate electronic device, the physical interface having a generally rectangular shape and to couple a first conductive element of the interconnection system with a component of the portable wireless device that communicates data and to couple a second conductive element of the interconnection system with a recharging circuit of the portable wireless device;

receiving an incoming telephone call in the portable wireless device; and altering the communication representing the played audio file streaming media signal in connection with receipt of the incoming telephone call.

Claim 59 (previously presented): The method of claim 58, further comprising communicating data from the portable wireless device to the separate electronic device to allow the separate electronic device to present an icon on a display thereof such that the icon: (a) is a soft button that is linked to a particular audio file saved at the portable wireless device; (b) is selectable by a user via the separate electronic device; and (c) is configured to respond to a user selection by causing the separate electronic device to request the portable wireless device to begin playing the particular audio file.

Claim 60 (currently amended): The method of claim 58, further comprising receiving an over the air download of an application that allows the portable wireless device to request an audio stream representing a local broadcast signal for a channel located remote from a

then current location of the portable wireless device the streaming media signal.

Claim 61 (new): The method of claim 58, further comprising receiving an over the air download of a software update at the portable wireless device.

Claim 62 (new): The method of claim 61, wherein the software update is an update for an application that includes instructions for receiving the streaming media signal in the portable wireless device corresponding to the regional broadcasting channel.

Claim 63 (new): The method of claim 58, wherein the separate electronic device includes an in-band on-channel receiver, further comprising:

receiving a collection of data via the in-band on-channel receiver; identifying a song for subsequent downloading; and receiving data representing the song.

Claim 64 (new): The method of claim 63, wherein the data representing the song is received by a computer of a user, further comprising:

storing an audio file representing the song; and communicating a copy of the audio file to the portable wireless device.

Claim 65 (new): The system of claim 39, further comprising:

a network based engine that maintains data comprising information associated with files that are executable by the portable device; and

a communications engine that is operably associated with the network based engine and configured to facilitate a communication of data to the portable device.

REMARKS/ARGUMENTS

The pending claims stand rejected under 35 U.S.C. §103(a) over U.S. Patent No. 6,622,083 (Knockeart) in view of U.S. Patent No. 6,681,120 (Kim). Applicants respectfully traverse the rejection and respectfully request reconsideration of the claims.

Claim 39 has been amended to include subject matter from dependent claim 45 that a portable device be configured to accept an over the air download of an application that allows the portable device to request an audio stream representing a local broadcast signal for a channel located remote from a then current location of the portable device. Still further, claim 39 has been amended to recite that the wireless communication module be operable to receive this audio stream and that the audio output engine be configured to output this audio stream.

Written description support for these amendments to claim 39 (and similar amendments to independent claims 50 and 58) can be found in the specification as filed. While the identified specification portions provide exemplary support for the amended subject matter of the claims, it is noted that this identified specification support is not exhaustive and additional specification support for the claim amendments may be present. As to the subject matter of claim 39 regarding a single physical interface, support can be found, for example, in paragraphs 91-93 and FIG. 9. As to the subject matter of claim 39 regarding a local broadcast signal for a channel located remote from a portable device location, and an over the air download of an application for this operation, support can be found, for example, in paragraphs 26-28, 56-62, 83 and FIGS. 1 and 4. These passages provide similar support for the amendments made to independent claims 50 and 58.

The cited art including both Knockeart and Kim alone or in combination fail to teach or suggest at least this subject matter of claim 39.

Here, neither reference teaches an application that allows a portable device to request an audio stream representing a local broadcast signal for a remotely located channel. This is so, as neither reference anywhere teaches or suggests the ability of requesting an audio stream, and certainly not an audio stream that represents a local broadcast signal for a channel located remote from a then current location of the portable device.

The cited art also nowhere teaches the additional subject matter that a wireless

communication module be operable to receive an audio stream and that an audio output interface be configured to output this audio stream. Instead, Kim simply teaches that previously downloaded songs stored on a memory card can be played.

Still further, in combination with the primary reference Knockeart, there remains a failure of any teaching or suggestion of outputting of audio information to a separate electronic device. Here, the Office Action relies on the primary reference Knockeart for such teaching. However, Knockeart does not teach that information representing a played audio file be output to a separate electronic device. In general, Knockeart is directed to a system in which navigation or contact data of a PDA can be provided to an in-car system. Nonetheless, there is no teaching or suggestion of playing audio files in a portable device for output to a separate electronic device. In relevant part, the only portion of Knockeart cited by the Office Action is with regard to FIG. 7, which indicates that an on-board computer can be coupled to a voice output device that provides output in the form of prerecorded or synthesized spoken words and phrases. Knockeart, column 7, lines 63-67. However nowhere here nor anywhere else does Knockeart teach or suggest that such information be output from an audio output engine of a portable device. Instead, it appears that this discussion is solely with regard to information present in the on-board computer of Knockeart, and thus has nothing to do with the removable personal device of Knockeart.

Still further, it is noted that much of the information present in Knockeart, including FIG. 7 and its discussion in column 7 identified in the Office Action, is not prior art to the present application. That is, Knockeart has a filing date of June 1, 2000, which is later than the effective filing date of the present application (March 28, 2000). The provisional applications for which priority is claimed in Knockeart do not include this subject matter of FIG. 7. Instead, these provisional applications appear to be minimal portions of inventor notebooks, invention disclosures, and internal presentations, none of which include the subject matter of FIG. 7 described above. Accordingly, for this further reason, the rejection is overcome.

The rejection of claim 39 is further overcome, as the art alone or in combination fails to teach or suggest that a single physical interface having a generally rectangular shape be configured to couple a conductive element of an interconnection system with a recharging circuit of a portable device. Here the Office Action concedes that Knockeart fails to teach this subject

matter. Instead, reliance is on the secondary reference Kim. However, all that Kim teaches is that a cell phone can be powered by a rechargeable battery. Nevertheless, there is no teaching or suggestion that this rechargeable battery be recharged through a conductive element of an interconnection system coupled through a physical interface of the device that is generally rectangular and further couples to another conductive element of the interconnection system to output data. Instead the battery device of Kim is entirely separate from any other connections such as an ear phone jack and a computer jack. It should be noted for clarity's sake that the independent claims use the term "single physical interface" to indicate that one single interface location is capable of: (1) facilitating communication with a component of the portable device that outputs data; and (2) facilitating connection with a recharging circuit of the portable device. The term "single physical interface" does not mean that the portable device is limited to having only one interface. A portable device covered by the claims could have additional physical interfaces if a device designer so wishes. Independent claims 50 and 58 are patentable for at least similar reasons.

STATEMENT OF THE SUBSTANCE OF THE INTERVIEW

The undersigned and Applicants gratefully acknowledge and appreciate the Examiner's time and consideration extended during a telephonic interview which occurred on March 4, 2010. Taking part in the interview were Examiner Gary, Russell White, and the undersigned. While agreement was not explicitly reached, in light of the above amendments and remarks it is respectfully submitted that all pending claims are in condition for allowance.

Discussed during the interview were various topics, including claims in accordance with the above amendment, the sufficiency of written description support under § 112, the prior art, and IDS-type disclosure issues. Specifically, as to the amended claims, discussed was the § 112 support in the original specification and drawings for the subject matter of the claims, including the subject matter added by the above amendment. As requested by Examiner Gary, provided in the above remarks are exemplary indications of portions of the specification that support the subject matter added to the claims.

Regarding the prior art, the primary reference Knockeart was discussed, and more specifically that the provisional applications on which Knockeart claims priority do not fully provide written support for all of the subject matter identified in the Office Action. Since Knockeart itself has a filing date after the effective filing date of the present application, the rejection is overcome. In this regard, Applicants noted that this issue had been discussed with the Examiner panel in pending reexamination 90/010,333 regarding related U.S. Patent No. 7,324,833, and in that case the Examiner panel agreed in an interview that the provisional applications did not provide support for some of the subject matter of Knockeart identified by the Examiner in an Office Action.

Regarding IDS-type disclosure issues, discussed were pending litigations and reexaminations, and Information Disclosure Statements recently filed by Applicants. Generally, these Information Disclosure Statements include printed publications and patents including art identified in reexamination requests filed as to related patents, the reexamination requests themselves including claim charts, and a Markman ruling from a pending litigation. Applicants also indicated to the Examiner that a number of these reexamination requests have now been granted by the Patent Office, but no Office Actions have been mailed yet.

Regarding future disclosure materials, the Examiner indicated that she did not want to receive the grants of the reexamination requests. Applicants appreciate the Examiner's concern regarding the volume of disclosure materials, and Applicants will provide, going forward, additional prior art documents discovered, along with substantive non-discovery rulings of courts in litigations involving related patents, Office Actions, and Responses from reexaminations involving related patents and related applications. But, at the Examiner's request, papers filed by the parties with the courts in such litigations and papers granting reexamination will not be provided to the Examiner. Applicants discussed that during the prosecution of U.S. Patent No. 7,634,228, Examiner Gelin indicated that he did not want to receive papers from re-examinations and pending litigations other than substantive rulings of court and Office Actions/Responses. If Applicants have misunderstood the Examiner's wishes regarding disclosure materials, Applicants respectfully request the Examiner to address the same in the next paper.

In view of these remarks, the application is now in condition for allowance and the

Examiner's prompt action in accordance therewith is respectfully requested. The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 20-1504 (AFF.004C5US).

Respectfully submitted,

Date: March 12, 2010 /Mark J. Rozman/

Mark J. Rozman Registration No. 42,117 TROP, PRUNER & HU, P.C. 1616 S. Voss Road, Suite 750 Houston, Texas 77057-2631 (512) 418-9944 [Phone] (713) 468-8883 [Fax]

Customer No: 21906

Electronic Patent <i>I</i>	Electronic Patent Application Fee Transmittal					
Application Number:	120	12015320				
Filing Date:	16-Jan-2008					
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Ru	ssell W. White				
Filer:	Mark J. Rozman/Khristine McNeil					
Attorney Docket Number:	AF	F.0004C5US				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Extension - 1 month with \$0 paid		1251	1	130	130	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	130

Electronic Acknowledgement Receipt				
EFS ID:	7197218			
Application Number:	12015320			
International Application Number:				
Confirmation Number:	2156			
Title of Invention:	Method for Managing Media			
First Named Inventor/Applicant Name:	Russell W. White			
Customer Number:	21906			
Filer:	Mark J. Rozman/Khristine McNeil			
Filer Authorized By:	Mark J. Rozman			
Attorney Docket Number:	AFF.0004C5US			
Receipt Date:	12-MAR-2010			
Filing Date:	16-JAN-2008			
Time Stamp:	12:22:12			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				
Submitted with Payment	yes			

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$130
RAM confirmation Number	10989
Deposit Account	201504
Authorized User	

File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Name	Message Digest	Part /.zip	(if appl.)

1		AFF_004C5US_ROA_11-12-09.	76146	1/05	12	
'		pdf	d7b7a90834f1e4f496a2b09c7a6205fec6a8 1f06	yes	12	
	Multi	part Description/PDF files in .	zip description			
	Document De	Start	Start End			
	Amendment/Req. Reconsideration-After Non-Final Reject 1					
	Claim	2	7			
	Applicant Arguments/Remarks	8	8 12			
Warnings:						
Information:						
2	Fee Worksheet (PTO-875) fee-info.pdf		30161	no	2	
			14ff189b5a428ce21beb6050dd869c6d544 d1031			
Warnings:			-	'		
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		Total Files Size (in bytes)	10	6307		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						_	Application or Docket Number 12/015,320			ing Date 16/2008	To be Mailed
APPLICATION AS FILED – PART I (Column 1) (Column 2)							OTHER THAN SMALL ENTITY OR SMALL ENTITY				
FOR			, JMBER FIL		NUMBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A	i	N/A	(1)	1	N/A	
	SEARCH FEE		N/A		N/A	1	N/A		1	N/A	
	(37 CFR 1.16(k), (i), (i), (ii), (iii), (iiii), (iiii), (iii), (iiii), (iiii), (iii), (iii), (iii),	Ε	N/A		N/A		N/A		1	N/A	
	TAL CLAIMS	or (q))	min	us 20 = *	*		x \$ =		OR	x \$ =	
IND	CFR 1.16(i)) EPENDENT CLAIM	S	mi	inus 3 = *		1	x \$ =		1	x \$ =	
(37 CFR 1.16(h)) APPLICATION SIZE FEE (37 CFR 1.16(s))		sheet is \$25 additi	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Ш	MULTIPLE DEPEN	IDENT CLAIM PRI	ESENT (3	7 CFR 1.16(j))							
* If t	he difference in col	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APP	(Column 1)	AMEND	DED — PART II (Column 2)	(Column 3)		OTHER THAN SMALL ENTITY OR SMALL ENTITY				
AMENDMENT	03/12/2010	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 25	Minus	** 25	= 0		X \$26 =	0	OR	x \$ =	
Ϊ	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		X \$110 =	0	OR	x \$ =	
٨ME	Application Size Fee (37 CFR 1.16(s))										
`	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
_		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
MENT	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
AMEND	Application Size Fee (37 CFR 1.16(s))								1		
ΑN	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
TOTAL ADD'L FEE									OR	TOTAL ADD'L FEE	
** If	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
Ormation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE	Application Number		12015320	
	Filing Date		2008-01-16	
	First Named Inventor	Russ	sell White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for submission under 57 Of K 1.33)	Examiner Name	Erika	A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

	U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Name of Patentee or Application of cited Document		Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
	1	4807292		1989-02-21	Sorscher		
	2	6975835		2005-12-13	Lake, et al.		
	3	6956833		2005-10-18	Yukie, et al.		
	4	6915272		2005-07-05	Zilliacus		
	5	6907112		2005-06-14	Guedalia, et al.		
	6	6792615		2004-09-14	Rowe, et al.		
	7	6792263		2004-09-14	Kite		
	8	6788528		2004-09-07	Enners, et al.		

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

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13	6591085	2003-07-08	Grady	
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 15	6516466	2003-02-04	Jackson	
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17	6509716	2003-01-21	Yi	
18	6496692	2002-12-17	Shanahan	
19	6496205	2002-12-17	White, et al.	

(Not for submission under 37 CFR 1.99)

Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor	Russe	ell White, et al.	
Art Unit		2617	
Examiner Name Erika		A. Gary	
Attorney Docket Number		AFF.004C5US	

20	6420975	2002-07-16	DeLine, et al.	
21	6418138	2002-07-09	Cerf, et al.	
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30	6232539	2001-05-15	Looney, et al.	

(Not for submission under 37 CFR 1.99)

Application Number		12015320		
Filing Date		2008-01-16		
First Named Inventor	Russ	sell White, et al.		
Art Unit	•	2617		
Examiner Name Erika		a A. Gary		
Attorney Docket Number		AFF.004C5US		

31	6199076	2001-03-06	Logan, et al.	
32	6167253	2000-12-26	Farris, et al.	
33	6144848	2000-11-07	Walsh, et al.	
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	Application Number		12015320	
	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russe		ssell White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for addingsion under 37 of 1.33)	Examiner Name Erika		A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

	42	5870680		1999-02-09	Guerlin, et al.	
	43	5774793		1998-06-30	Cooper, et al.	
	44	5694120		1997-12-02	Indekeu, et al.	
	45	5594779		1997-01-14	Goodman	
If you wisl	h to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS	
Examiner Initial*	Cite N o	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20050010633		2005-01-03	Shanahan	
	2	20040078274		2004-04-22	Aamio	
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	4	20020046084		2002-04-18	Steele, et al.	
	5	20020023028		2002-02-01	Quarendon	
If you wis	h to a	dd additional U.S. Publis	hed Ap	plication citation	n information please click the Ad	d button.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number | 12015320 Filing Date | 2008-01-16 First Named Inventor | Russell White, et al. Art Unit | 2617 Examiner Name | Erika A. Gary Attorney Docket Number | AFF.004C5US

	FOREIGN PATENT DOCUMENTS									
Examiner Initial*	Cite No	Foreign Number	Document 3	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5	
	1									
If you wisl	n to ac	dd additio	nal Foreign P	atent Document	citation	information pl	ease click the Add buttor	n		
				NON-PATEN	NT LITE	RATURE DO	CUMENTS			
Examiner Initials*	Cite No	(book, m	nagazine, jour		osium,	catalog, etc), o	the article (when approp late, pages(s), volume-is		T 5	
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Examiner	Signa	iture					Date Considered			
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Standard ST	See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.									

(Not for submission under 37 CFR 1.99)

Application Number		12015320	
Filing Date		2008-01-16	
First Named Inventor	Russe	ell White, et al.	-
Art Unit		2617	
Examiner Name	Erika	A. Gary	
Attorney Docket Numb	er	AFF.004C5US	

	CERTIFICATION STATEMENT								
Ple	ease see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OF	t		•						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached cer	rtification statement.							
\boxtimes	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith	١.						
	None								
	SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sigi	nature	/Mark J. Rozman/	Date (YYYY-MM-DD)	2010-03-02					
Nar	ne/Print	Mark J. Rozman	Registration Number	42117					
		mation is required by 37 CFR 1.97 and 1.98							

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VA 22313-1450.

Electronic Patent A	App	olication Fee	e Transmi	ttal		
Application Number:	120	015320				
Filing Date:	16-	Jan-2008				
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Russell W. White					
Filer:	Ma	rk J. Rozman/Steph	anie Petreas			
Attorney Docket Number:	AF	F.0004C5US				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	180			

Electronic Ack	knowledgement Receipt
EFS ID:	7119493
Application Number:	12015320
International Application Number:	
Confirmation Number:	2156
Title of Invention:	Method for Managing Media
First Named Inventor/Applicant Name:	Russell W. White
Customer Number:	21906
Filer:	Mark J. Rozman/Stephanie Petreas
Filer Authorized By:	Mark J. Rozman
Attorney Docket Number:	AFF.0004C5US
Receipt Date:	02-MAR-2010
Filing Date:	16-JAN-2008
Time Stamp:	11:18:39
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	10046
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Information Disclosure Statement (IDS)	AFF004C5USIDS1.pdf	142059	no	7
	Filed (SB/08)		9720b70b1feb42b7ee74be399f0e669abd5 0e120		,
Warnings:					
Information:					
This is not an U	SPTO supplied IDS fillable form				
_	5 W L . (070)	6 . 6 . 16	30162		2
2	Fee Worksheet (PTO-875)	fee-info.pdf	a70b106019dd86e5021dc65c447176bbdb b52aa6	no	
Warnings:					
Information:					
		Total Files Size (in bytes)	: 17	72221	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
Formation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
INCORPORAÇÃO DE CARACTERIO	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russe		ussell White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(Not for Submission under 57 51 K 1.35)	Examiner Name	Erika	A. Gary	
	Attorney Docket Numb	er	AFF.004C5US	

				U.S.	PATENTS	
Examiner Initial*	Cite No			Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5587560		1996-12-24	Crooks, et al.	
	2	5589090		1996-12-17	Otte	
	3	5450471		1995-09-12	Hanawa, et al.	
	4	6571282		2003-05-27	Bowman-Amuah	
	5	6550057		2003-04-15	Bowman-Amuah	
:	6	6549949		2003-04-15	Bowman-Amuah	
	7	6539396		2003-03-25	Bowman-Amuah	
	8	6529948		2003-03-04	Bowman-Amuah	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

	9	6529909	2003-03-04	Bowman-Amuah	
	10	6502213	2002-12-31	Bowman-Amuah	
	11	6501832	 2002-12-31	Bowman-Amuah	
	12	6496850	2002-12-17	Bowman-Amuah	
	13	6477665	2002-11-05	Bowman-Amuah	
	14	6477580	 2002-11-05	Bowman-Amuah	
	15	6442748	2002-08-27	Bowman-Amuah	
	16	6438594	2002-08-20	Bowman-Amuah	
	17	6434628	2002-08-13	Bowman-Amuah	
	18	6434568	2002-08-13	Bowman-Amuah	
_	19	6339832	2002-01-15	Bowman-Amuah	

(Not for submission under 37 CFR 1.99)

Application Number		12015320				
Filing Date		2008-01-16				
First Named Inventor Russ		sell White, et al.				
Art Unit		2617				
Examiner Name	Erik	a A. Gary				
Attorney Docket Number		AFF.004C5US				

20	6335927	2002-01-01	Elliott, et al.	
21	6332163	2001-12-18	Bowman-Amuah	
22	6289382	2001-09-11	Bowman-Amuah	
23	6253061	2001-06-26	Helferich	
24	6233430	2001-05-15	Helferich	
25	6122403	2000-09-19	Rhoads	
26	5999525	1999-12-07	Krishnaswamy, et al.	
27	5867494	1999-02-02	Krishnaswamy, et al.	
28	5732216	1998-03-24	Logan, et al.	
29	5721827	1998-02-24	Logan, et al.	
30	7149772	2006-12-12	Kalavade	

(Not for submission under 37 CFR 1.99)

Application Number		12015320				
Filing Date		2008-01-16				
First Named Inventor Russe		ll White, et al.				
Art Unit		2617				
Examiner Name Erika		A. Gary				
Attorney Docket Numb	er	AFF.004C5US				

	31	7145898	2006-12-05	Elliott	
	32	7130807	2006-10-31	Mikurak	
	33	7124101	2006-10-17	Mikurak	
	34	6978127	2005-10-20	Bulthuis, et al.	
	35	6909708	2005-06-21	Krishnaswamy, et al.	
	36	6904449	2005-06-07	Quinones	
:	37	6091067	2005-05-31	Kalavade	
	38	6888929	2005-05-03	Saylor, et al.	
	39	6842906	2005-01-11	Bowman-Amuah	
	40	6792086	2005-04-04	Saylor, et al.	
	41	6754181	2004-09-04	Elliott, et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12015320 Filing Date 2008-01-16 First Named Inventor Russell White, et al. Art Unit 2617 Examiner Name Erika A. Gary Attorney Docket Number AFF.004C5US

	42	6742015		2004-05-25	Bowman-Amuah	
	43	6731625		2004-05-04	Eastep, et al.	
	44	6715145		2004-03-30	Bowman-Amuah	
	45	6707889		2004-03-16	Saylor, et al.	
	46	6697824		2004-02-24	Bowman-Amuah	
	47	6671818		2003-12-20	Mikurak	
	48	6640249		2003-10-28	Bowman-Amuah	
	49	6640244		2003-10-28	Bowman-Amuah	
	50	6640238		2003-10-28	Bowman-Amuah	
If you wis	h to ac	ld additional U.S. Paten	t citatio	n information pl	ease click the Add button.	
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS	
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

					1, ,65,	Janon 14	umber		12015320			
INFORMATION DISCLOSURE				Filing	Date			2008-01-16			•	
			First N	Named I	Inventor	Russ	sell White, et al.					
STATEMENT BY APPLICANT		Art Ur	nit		'	2617						
(Not for submission under 37 CFR 1.99)			Exam	iner Na	me	Erika	A. Gary					
					Attorn	ney Doc	ket Numb	er	AFF.004C5US			
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Examiner			Country		Kind			Name of Patent Applicant of cite		where Relevant	T5	
Initial*	No	Numi	oer ₃	Code2i		Code4	Date I		Document		Passages or Relevant Figures Appear	t
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			•	NON-	PATE	NT LITE	RATURE	DOC	UMENTS			
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

(Not for submission under 37 CFR 1.99)

Application Number		12015320	-	
Filing Date		2008-01-16		
First Named Inventor	Russe	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	А. Gary		
Attorney Docket Numb	er	AFF.004C5US		

CERTIFICATION STATEMENT									
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR	t								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).								
	See attached ce	ertification statement.							
\boxtimes	Fee set forth in	37 CFR 1.17 (p) has been subr	mitted herewith	ı .					
	None								
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Sigr	nature	/Mark J. Rozman/		Date (YYYY-MM-DD)	2010-03-02				
Nan	ne/Print	Mark J. Rozman		Registration Number	42117				
		-							

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Electronic Patent Application Fee Transmittal							
Application Number:	12015320						
Filing Date:	16-	Jan-2008					
Title of Invention:	Method for Managing Media						
First Named Inventor/Applicant Name:	r/Applicant Name: Russell W. White						
Filer:	er: Mark J. Rozman/Stephanie Petreas						
Attorney Docket Number: AFF.0004C5US							
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt						
EFS ID:	7119513					
Application Number:	12015320					
International Application Number:						
Confirmation Number:	2156					
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Russell W. White					
Customer Number:	21906					
Filer:	Mark J. Rozman/Stephanie Petreas					
Filer Authorized By:	Mark J. Rozman					
Attorney Docket Number:	AFF.0004C5US					
Receipt Date:	02-MAR-2010					
Filing Date:	16-JAN-2008					
Time Stamp:	11:20:36					
Application Type:	Utility under 35 USC 111(a)					

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	10071
Deposit Account	201504
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Information Disclosure Statement (IDS)	AFF004C5USIDS2.pdf	145855	no	7			
·	Filed (SB/08)		baf946c8275a0592d42f2de793b0ea3ab83 19033		,			
Warnings:								
Information:								
This is not an U	SPTO supplied IDS fillable form							
2	For Workshoot (PTO 075)	£ :	30162					
2	Fee Worksheet (PTO-875)	fee-info.pdf	96b28f293a89841b101598c62c1b2c5f47c7 4620	no	2			
Warnings:	<u>.</u>			<u>.</u>				
Information:								
		Total Files Size (in bytes)	17	76017				

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)

Approved for use through 07/31/2012. OMB 0851-0031

formation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		12015320	
INTERPRETATION PLACE COLUMN	Filing Date		2008-01-16	
INFORMATION DISCLOSURE	First Named Inventor Russell		sell White, et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2617	
(NOCIOI Subiliasion under 57 Of K 1.33)	Examiner Name	Erika A	A. Gary	
	Attorney Docket Numb	er /	AFF.004C5US	

	U.S.PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1	6636242		2003-10-21	Bowman-Amuah				
	2	6615253		2003-09-02	Bowman-Amuah				
	3	6615199		2003-09-02	Bowman-Amuah				
	4	6606744		2003-08-12	Mikurak				
	5	6606660		2003-08-12	Bowman-Amuah				
	6	6601234		2003-07-29	Bowman-Amuah				
	7	6601192		2003-07-29	Bowman-Amuah				
	8	6578068		2003-07-10	Bowman-Amuah				

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number | 12015320 Filing Date | 2008-01-16 First Named Inventor | Russell White, et al. Art Unit | 2617 Examiner Name | Erika A. Gary Attorney Docket Number | AFF.004C5US

	9	6587835	2003-07-01	Treyz, et al.	
	10	6510210	2003-01-21	Baughan	
	11	6509716	2003-01-21	Yi	
	12	6496692	2002-12-17	Shanahan	
	13	6396769	2002-05-28	Polany	
	14	6240297	2001-05-29	Jadoul	
:	15	6061306	2000-05-09	Buchheim	
	16	5953659	 1999-09-14	Ghisler .	
	17	5940767	1999-08-17	Bourgeois	
	18	5870680	1999-02-09	Guerlin, et al.	
	19	5774793	1998-06-30	Cooper, et al.	

(Not for submission under 37 CFR 1.99)

Application Number		12015320			
Filing Date		2008-01-16			
First Named Inventor	Russe	ell White, et al.			
Art Unit		2617			
Examiner Name	Erika	A. Gary			
Attorney Docket Numb	er	AFF.004C5US			

	20	5587560		1996-12-24	Crooks, et al.			
	21	5586090		1996-12-17	Otte			
	22	5450471		1995-09-12	Hanawa, et al.			
	23	7020704		2006-03-28	Lipscomb, et al.			
	24	7343414		2008-03-11	Lipscomb, et al.			
	25	6728531		2004-04-27	Lee			
	26	6526335		2003-02-25	Treyz			
	27	6160551		2000-12-12	Naughton			
	28	6192340		2001-02-20	Abecassis			
If you wish	If you wish to add additional U.S. Patent citation information please click the Add button.							
	U.S.PATENT APPLICATION PUBLICATIONS							
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		

12015320 Application Number Filing Date 2008-01-16 INFORMATION DISCLOSURE First Named Inventor Russell White, et al. STATEMENT BY APPLICANT Art Unit 2617 (Not for submission under 37 CFR 1.99) **Examiner Name** Erika A. Gary AFF.004C5US Attorney Docket Number If you wish to add additional U.S. Published Application citation information please click the Add button. **FOREIGN PATENT DOCUMENTS** Pages, Columns, Lines Name of Patentee or Examiner Cite Foreign Document Country Kind Publication where Relevant **T**5 Applicant of cited Passages or Relevant Initial* No Number³ Code2i Code4 Date Document Figures Appear JΡ 1 H08-79814 1996-03-22 Konishi If you wish to add additional Foreign Patent Document citation information please click the Add button NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), **T**5 Initials* Nο publisher, city and/or country where published. AFFINITY LABS OF TEXAS, LLC (Plaintiff) v. BMW NORTH AMERICA, LLC, ET AL. (Defendants), Civil Action No. 9:08CV164 and AFFINITY LABS OF TEXAS, LLC (Plaintiff) v. ALPINE ELECTRONICS OF AMERICA, INC., ET AL., Civil Action No. 9:08CV171, "Order Construing Claim Terms of United States Patent No. 7,324,833, issued on December 18, 2009, Pages 1-31. 2 REAL NETWORKS, Inc., RealJukebox Plus Manual, 1999, Pages 1-90. If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature Date Considered** *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (MPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if

English language translation is attached.

(Not for submission under 37 CFR 1.99)

Application Number		12015320	-	
Filing Date		2008-01-16		
First Named Inventor	Russ	ell White, et al.		
Art Unit		2617		
Examiner Name	Erika	A. Gary	***	
Attorney Docket Number		AFF.004C5US		_

	CERTIFICATION STATEMENT						
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR							
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
See attached certification statement.							
Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
None							
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
ure /M	flark J. Rozman/	Date (YYYY-MM-DD)	2010-03-02				
Print Ma	ark J. Rozman	Registration Number	42117				
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



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TRANSLATION CERTIFICATE

I, Takeo Ohashi, President, Ohashi High Technology Corp., the Empire State Building, 350 Fifth Avenue, Suite 3304 #97, New York, NY 10118-0069, hereby declare that I have competent knowledge of the Japanese and English languages, and that I have reviewed the accompanying English translation of Laid-Open Japanese Patent Application Publication No. H08-79814. I certify that the translation is an accurate representation of Laid-Open Japanese Patent Application Publication No. H08-79814.

I declare under penalty of perjury that the foregoing is true and correct. Executed on May 22, 2009.

CERTIFIED by the said Takeo Ohashi.

at 350 Fifth Avenue, Suite 3304 #97, New York, NY 10118 this 22^{nd} day of May, 2009.

Jakeo Chashi
Takeo Ohashi

President

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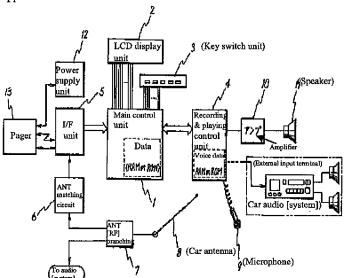
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(54) [Title of Invention] Calling Signal Receiving Apparatus for Use in Vehicles

(57) [Abstract]

[Purpose] To display to a driver and others a receipt of a call and contents of its message without fail, even while [he/she] is driving.

[Constitution] [The present invention is] equipped with a beeper 13 which serves as a portable receiver for receiving calling signals; an I/F unit 5 to which the beeper 13 is attached and which controls the data input and output with said beeper 13; and a car antenna 8 for acquiring calling signals and transmitting them to the beeper 13. Moreover, [the present invention is] equipped with a control unit (main control unit) 1 which, based on the calling signals from the I/F unit 5, edits and outputs display data corresponding to said calling signals; as well as an LCD display unit 2 for displaying the display data such as received messages and received codes.



[What is Claimed is:]

[Claim 1] A calling signal receiving apparatus for use in vehicles, wherein the apparatus is equipped with a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; and a car antenna for acquiring the aforementioned calling signals and transmitting them to the aforementioned portable receiver.

[Claim 2] A calling signal receiving apparatus for use in vehicles, wherein the apparatus is equipped with a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; a control unit which, based on the aforementioned calling signals from this I/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the aforementioned display data such as received messages and received codes.

[Claim 3] A calling signal receiving apparatus for use in vehicles, wherein the apparatus is equipped with a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; a car antenna for acquiring the aforementioned calling signals and transmitting them to the aforementioned portable receiver; a control unit which, based on the aforementioned calling signals from the aforementioned I/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the aforementioned display data such as received messages and received codes.

[Claim 4] The calling signal receiving apparatus for use in vehicles set forth in Claim 2 or 3, wherein the aforementioned control unit is equipped with a voice signal display function which, based on the aforementioned calling signals, outputs voice data corresponding to said calling signals; and in addition to the aforementioned LCD display unit, there provided is a speaker for generating [voice from] said voice signals.

[Claim 5] The calling signal receiving apparatus for use in vehicles set forth in Claim 2 or 3, wherein the aforementioned control unit is provided with a received[-data] buffer for storing the display data and the time of receipt; as well as a key switch unit for receiving display selection commands for display data stored in this received[-data] buffer; and

the aforementioned control unit is equipped with a display data selection function for, based on the commands from this key switch unit, searching the aforementioned received[-data] buffer for display data corresponding to said commands and outputting the display data for display on the aforementioned LCD display unit. [Detailed Explanation of the Inventions]

[0001]

[Field of Industrial Application] The present inventions pertain to a calling signal receiving apparatus for use in vehicles, and specifically to a calling signal receiving apparatus for use in vehicles suitable to give notice of paging information without fail. [0002]

[Prior Art] In recent years, the use of portable receivers employing telephones (pagers: generally called beepers) have been widely employed [sic].

[0003] As illustrated in Figure 9, portable receivers can be roughly classified into three types.

[0004] (1) Call-receiving-only type

[0005] Receipt of a call is notified by means such as a beeping sound or vibration. [A user] cannot know the contents of a message.

[0006] (2) Number display type

[0007] Numbers which have been input via telephone are displayed on a display unit. By giving meaning to the numbers beforehand, a message may be communicated.

[0008] (3) Fixed phrase display type

[0009] With the number which has been input via telephone, predetermined texts are shown on a display unit and thereby a message may be communicated.

[0010] Moreover, the shape can take that of a card, a pen, etc. [0011]

[Issues to Be Resolved By the Inventions] In the conventional examples mentioned above, however, there has been an inconvenience in that the reception becomes poorer while [a user is] inside a vehicle because portable receivers employ a built-in antenna.

[0012] Moreover, there has been a problem in that small display units of portable receivers make it difficult to recognize the displayed contents while [the user is] driving.

[0013] Further, there has been a problem in that because receipt of a call is notified by way of a beeping sound or vibration, recognizing receipt of a call while [a user is] inside a vehicle is difficult due to noise and vibration.

[0014] In addition, there has been a problem in that because received messages cannot be saved, the contents of a received call cannot be checked when [he/she] cannot keep his/her eyes off something, such as while [he/she is] driving.

[Purpose of the Inventions] The purpose of the present inventions is to make improvements with respect to such inconveniences associated with conventional examples, and specifically to provide a calling signal receiving apparatus for use in vehicles with which a driver and others can recognize receipt of a call as well as the contents of its message without fail even while they are inside a vehicle.

[0016]

[Means to Resolve the Issues] Accordingly, the present invention is constituted in such a way that [a calling signal receiving apparatus for use in vehicles] is equipped with, as a first means, a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver; and a car antenna for acquiring calling signals and transmitting them to the portable receiver.

[0017] Moreover, [the present invention] is constituted in such a way that [a calling signal receiving apparatus for use in vehicles] is equipped with, as a second means, a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver, a control unit which, based on the calling signals from this I/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the display data such as received messages and received codes.

[0018] Further, [the present invention] is constituted in such a way that [a calling signal receiving apparatus for use in vehicles] is equipped with, as a third means, a portable receiver for receiving calling signals; an I/F unit to which this portable receiver is attached and which controls the data input and output with said portable receiver;

a car antenna for acquiring calling signals and transmitting them to the portable receiver; a control unit which, based on the calling signals from the I/F unit, edits and outputs display data corresponding to said calling signals; and an LCD display unit for displaying the display data such as received messages and received codes.

[0019] Here, it is desirable in the third means to have a constitution such that the control unit is equipped with a voice signal display function which, based on calling signals, outputs voice data corresponding to said calling signals; and in addition to the LCD display unit, there provided is a speaker for generating [voice from] said voice signals.

[0020] Further, it is desirable in the third means to have a constitution such that the control unit is provided with a received[data] buffer for storing the display data and the time of receipt; as well as a key switch unit for receiving display selection commands for display data stored in this received[data] buffer; and the control unit is equipped with a display data selection function for, based on the commands from this key switch unit, searching the received[data] buffer for display data corresponding to said commands and outputting the display data for display on the LCD display unit. [0021] The present inventions intend to, by way of these first through third means which share the same field of industrial application as well as the issues that they attempt to resolve, resolve the issues described above and thereby achieve the purpose described before.

[0022]

[Operation] When a portable receiver receives a calling signal via a car antenna, it outputs received data.

[0023] A control unit separates the data received via an I/F unit into codes and a message. Then, it converts the codes into display data and displays the data along with the message on an LCD display unit.

[0024] Morcover, the control unit converts the codes into voice data and instructs a recording and playing control unit to generate voice.

[0025] This way, a driver can recognize calling signals and messages without fail even while [its user is] driving.

[Embodiment of the Inventions] Herein below, one example of the present inventions will be explained based on Figures 1 through 8. Figure 1 is a block diagram which shows the constitution of the present example. A calling signal receiving apparatus for use in vehicles is equipped with a beeper 13 which serves as a portable receiver for receiving calling signals; an I/F unit 5 to which the beeper 13 is attached and which controls the data input and output with said beeper 13; and a car antenna 8 for acquiring calling signals and transmitting them to the beeper 13. Connected in between the car antenna 8 and the I/F unit 5 are an ANT matching circuit 6 which matches [the impedances of] a builtin antenna of the portable receiver 13 and the car antenna 8; and an ANT [RF] branching unit 7 by which the input from the car antenna 8 is branched from [sic] a car audio [system] and is output to the ANT matching circuit 6.

[0027] On the other hand, in addition to the I/F unit 5, there provided are a control unit (main control unit) 1 which, based on calling signals from the I/F unit 5, edits and outputs display data corresponding to said calling signals; as well as an LCD display unit 2 for displaying the display data such as received messages and received codes.

[0028] Further, the main control unit 1 is equipped with a voice signal display function which, based on calling signals, outputs voice data corresponding to said calling signals. In the present example, the main control unit 1 controls a recording and playing control unit 4 provided therewith, in which voice data are stored, and thereby achieves said voice signal display function. Furthermore, in addition to the LCD display unit 2, there provided is a speaker 11 generating [voice from] said voice signals. Connected in between the speaker 11 and the main control unit 1 are the recording and playing control unit 4 and an amplifier 10 for amplifying the voice signals from this recording and playing control unit 4 is provided with a microphone 9 for inputting the voice data into the recording and playing control unit 4.

[0029] Moreover, the main control unit 1 is provided with a received[-data] buffer for storing the display data and the time of receipt, as well as a key switch unit 3 for receiving display selection commands for display data stored in this received[-data] buffer. Furthermore, the main control unit 1 is equipped with a display data selection function for, based on the commands from this key switch unit 3, searching the received[-data] buffer for display data corresponding to said commands and outputting the display data to and displaying the data on the LCD display unit 2. The received[-data] buffer is realized, in the present example, by allocating a portion of the RAM that the main control unit 1 has. [0030] Further, in the present example, [the apparatus] is equipped with a power supply unit 12 for supplying power to the portable receiver 13.

[0031] The main control unit 1 is equipped with a ROM, and stored in this ROM are the micro programs to operate the CPU of the main control unit 1, and tables of registered codes and display data.

[0032] In the table of registered codes, as illustrated in Figure 2 (1), voice data pointers and display data pointers corresponding to received data are stored.

[0033] In the table of display data, as illustrated in Figure 2 (2), various types of display data are stored. Display data pointers indicate the initial addresses of the display data stored in the table of display data.

[0034] Stored in the RAM are, as illustrated in Figure 2 (4), received data and the time of receipt of said received data.

[0035] The recording and playing control unit 4 is equipped with a ROM and a RAM.

[0036] In the ROM, as illustrated in Figure 2 (3), voice data are stored.

[0037] In the RAM, the voice data which have been input via the microphone 9 are stored.

[0038] The voice data pointer shows the initial address (or voice number) of the voice data stored in the ROM or RAM of the recording and playing control unit 4.

[0039] The first concrete example of the present inventions is illustrated in Figure 3. Here, a card-shaped portable receiver is used, and the speaker on the cassette deck is used as the speaker 11. [0040] The second concrete example of the present inventions is illustrated in Figure 4. Here, a pen-shaped portable receiver is used. [0041] As illustrated in Figure 8, the portable receiver 13 is equipped with a built-in antenna for receiving calling signals, a RF AMP unit for amplifying received signals from the built-in antenna, a MiX unit for mixing signals from the RF AMP unit with prescribed frequency signals, an IF amplifier and detector unit for amplifying and detecting signals from the MiX unit, a demodulator unit for demodulating signals from the IF amplifier and detector unit, and a CPU for outputting signals from the demodulator unit to the exterior.

[0042] Moreover, the built-in antenna and the external antenna can be switched.

[0043] Next, the operations of the present inventions will be explained using the flowcharts in Figures 5 through 6.

[0044] (I). Processing of Data Reception

[0045] (1). The main control unit 1 checks with the I/F unit 5 whether the portable receiver 13 has received a calling signal (step S1 of Figure 5).

[0046] (1)-1. If there is no receipt, the main control unit 1 waits, doing nothing, until there is receipt.

[0047] (1)-2. If there is receipt, the main control unit 1 reads out the message from the portable receiver 13 via the I/F unit 5 (step S2 of Figure 5).

[0048] (2). The main control unit 1 checks whether the received message is the same as a previously received message (step S3 of Figure 5).

[0049] (2)-1. If the received message is the same as a previously received message, the main control unit 1 does nothing and returns to the abovementioned process (1) (step S1 of Figure 5). [0050] (2)-2. If the received message is not the same as the previously received message, the main control unit 1 reads the current time (step S4 of Figure 5).

[0051] (3). The main control unit 1 stores the received message and time of receipt in the received[-data] buffer (the RAM of the main control unit 1), as illustrated in (4) of Figure 2 (step S5 of Figure 5).

[0052] (4). The main control unit 1 extracts a code from the received message, and using said code as a keyword, searches the table of registered codes (step S6 of Figure 5).

[0053] (5). The main control unit 1 checks whether there is a code that coincides with the keyword (step S7 of Figure 5).

[0054] (5)-1. If there is a coinciding code, the main control unit 1 reads out the voice data pointer and display data pointer for said code from the table of registered codes.

[0055] Then, the main control unit 1 instructs the recording and playing control unit 4 to play the voice data (step S8 of Figure 5) specified by the voice data pointer.

[0056] Moreover, as illustrated in Figure 7, [the main control unit 1] picks up the display data specified by the display data pointer from the table of display data, and displays it along with the message other than the code on the LCD display unit 2 (step S9 of Figure 5).

[0057] For example, in the case that the message "#00#, 440-1234" is received, {the main control unit 1] would search the table of registered codes with the code "#00#" as a keyword, obtain the voice data pointer and display data pointer corresponding to said code, and both instruct the recording and playing control unit 4 to output the voice data specified by said voice data pointer (in the example in Figure 2, "Please contact immediately"), as well as display the display data from the table of display data as specified by said data pointer (in the example in Figure 2, "Call," and "440-1234") on the LCD display unit 2.

[0058] At this point, if the output of the recording and playing control unit 4 is connected to the external input terminal of the car audio[system], a voice message can be heard even if the call comes in while [a user] is listening to music.

[0059] (5)-2. If there is no matching code, the main control unit 1 outputs a beeping sound (step S10 of Figure 5) as well as displays the received data as is on the LCD display unit 2 (step S11 of Figure 5).

[0060] (II). Processing of Display of Received Data

[0061] (1). The main control unit 1 executes a keyscanning process in order to determine the presence or absence of a key input from the key switch unit 3 (step S1 of Figure 6).

[0062] (2). The main control unit 1 checks whether an arrow key has been pressed (step S2 of Figure 6).

[0063] (2)-1. If the "up arrow" key has been pressed, the main control unit 1 checks whether the data currently displayed are the most recent, using the received-data buffer as its reference (step S3 of Figure 6).

[0064] If the data currently displayed are not the most recent data, main control unit I reads the data received immediately following the data currently displayed from the received-data buffer and extracts the code from those received data.

[0065] Next, [the main control unit 1] uses the code as a keyword and searches the table of registered codes and, on the basis of the display data pointer obtained, reads the display data (for example, "Call")

from the table of display data, and displays it on the LCD display unit 2 along with any message (for example, "440-1234") contained in the received data (step S5 of Figure 6). Next, [main control unit 1] proceeds to the process described in (3).

[0066] If the most recent data are already displayed, the main control unit 1 proceeds to the process described in (3) without making changes to the displayed content.

[0067] (2)-2. If the "down arrow" key has been pressed, the main control unit 1 checks whether the data currently displayed are the oldest, using the received-data buffer as its reference (step S4 of Figure 6).

[0068] If they are not the oldest data, the main control unit 1 reads from the buffer the data received immediately before the data currently displayed, as illustrated in Figure 7, and extracts a code from those data.

[0069] Next, [the main control unit 1] uses the code as a keyword and searches the table of registered codes and, on the basis of the display data pointer obtained, reads the display data (for example, "Call") from the table of display data, and displays it on the LCD display unit 2 along with any message (for example, "440-1234") contained in the received data (step S5 of Figure 6). Next, [the main control unit 1] proceeds to the process described in (3).

[0070] If the oldest data are already displayed, the main control unit 1 proceeds to the process described in (3) without making changes to the displayed content.

[0071] (2)-3. If any key besides the "up arrow" key or "down arrow" key has been pressed, the main control unit 1 proceeds to the process described in (4).

[0072] (3). The main control unit 1 resets the timer to 0 (step S6 of Figure 6).

[0073] (4). The main control unit 1 checks whether the designated period of time has elapsed (step S7 of Figure 6). [0074] (4)-1. If the designated period of time has elapsed, the main control unit 1, using the received-data buffer as its reference, displays on the LCD display unit 2 the most recent display data, requested from both the table of registered codes and the table of received data in the aforementioned fashion, and any message contained in the received data (step S8 of Figure 6).

[0075] (4)-2. If the designated period of time has not elapsed, the main control unit 1 terminates processing without taking any action.

[0076] It is also possible for the aforementioned processing of data reception and processing of display of received data to be executed [as] an interruption.

[0077] As described above, according to the present example, if a call is received, notification can be delivered by voice concurrently with display of the content received and the time of receipt in large type on the display device installed in the vehicle; and for that reason, it is capable of recognizing receipt of a call content reliably.

[0078] Additionally, if [the apparatus] is utilized outside the vehicle, the portable receiver unit alone may be detached and used as a standard portable receiver; thus, there is no requirement for a new agreement.

[0079] Furthermore, because the antenna and power supply with which the vehicle is equipped can be used, there is no risk of poor reception or power interruption.

[0080] Additionally, because received data can be recorded, it is possible to access content received even if one is not in the vehicle at the time of receipt. Furthermore, because correspondence between received codes and their meanings can be freely assigned, it is not possible for that content to be understood by a third party even in the case of eavesdropping. That is to say, it provides superior privacy. [0081]

[Advantages of the Inventions] The present inventions are constituted and function in such a fashion as described above. Therefore, in the present invention set forth in Claim 1, a portable receiver is attached to an L/F unit and the L/F unit controls the data input and output with said portable receiver, and hence a car antenna can acquire calling signals and transmit them to the portable receiver. As a result, deterioration of the [signal] reception inside a vehicle may be affectively prevented. For this reason, a driver and others may use portable receivers effectively even while they are inside a vehicle. In this way, [the present invention] can provide a non-conventional and excellent calling signal receiving apparatus for use in vehicles which enables a driver and others to recognize [receipt of] a call and contents of its message without fail, even while they are inside a vehicle. [0082] In the present invention set forth in Claim 2, a portable receiver is attached to an I/F unit and the I/F unit controls the data input and output with said portable receiver, and a control unit edits and outputs, based on calling signals from this I/F unit, display data corresponding to said calling signals, and display them on an LCD display unit. Therefore, a driver and others may, while they are inside a vehicle, check the contents received by a portable receiver not on a small display unit of the portable receiver, but rather on a large LCD

[Brief Explanation of Figures]

a vehicle.

[Figure 1] Figure 1 is a diagram of constitution illustrating one example of the present inventions.

display unit. In this way, [the present invention] can provide a non-

conventional and excellent calling signal receiving apparatus for use in

call and contents of its message without fail, even while they are inside

vehicles which enables a driver and others to recognize [receipt of] a

[Figure 2] Figure 2 is an explanatory [sic] diagram for explaining the data stored in the ROM and RAM in Figure 1.

[Figure 3] Figure 3 is a schematic drawing illustrating the first concrete example of the present inventions.

[Figure 4] Figure 4 is a schematic drawing illustrating the second concrete example of the present inventions.

[Figure 5] Figure 5 is a flow chart for explaining the

operations of data reception processing in the present inventions.

[Figure 6] Figure 6 is a flow chart for explaining the

operations of data display processing in the present inventions.

[Figure 7] Figure 7 is an explanatory diagram for explaining

the data display processing in the present inventions.

[Figure 8] Figure 8 is a diagram of constitution illustrating

one example of portable receivers.

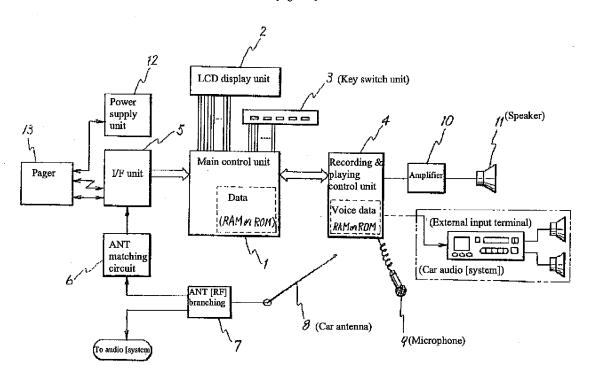
[Figure 9] Figure 9 is a diagram of constitution illustrating product types of commercially available portable receivers.

[Explanation of Numeral Designators]

(6)

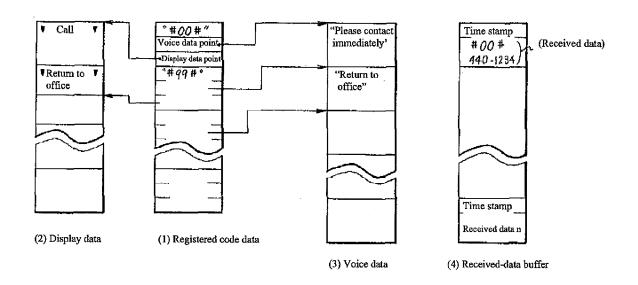
1	Main control unit (control unit)	8	Çar antenna
2	Display unit (LCD)	9	Microphone
3	Key switch unit	10	Amplifier
4	Recording and playing control unit	11	Speaker
5	I/F unit	12	Power supply unit
6	ANT matching circuit	13	Portable receiver
7	ANT [RF] branching unit		

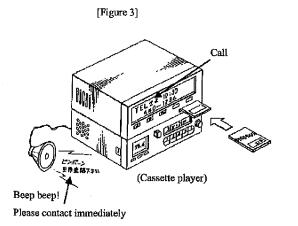
[Figure 1]

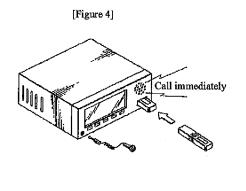


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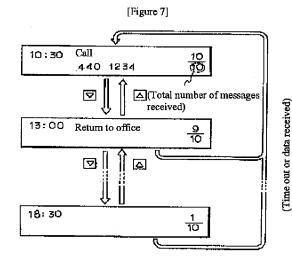
[Figure 2]

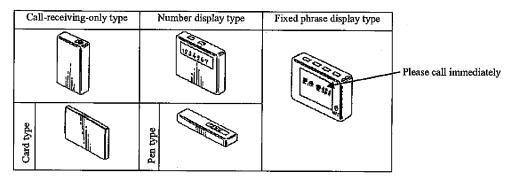




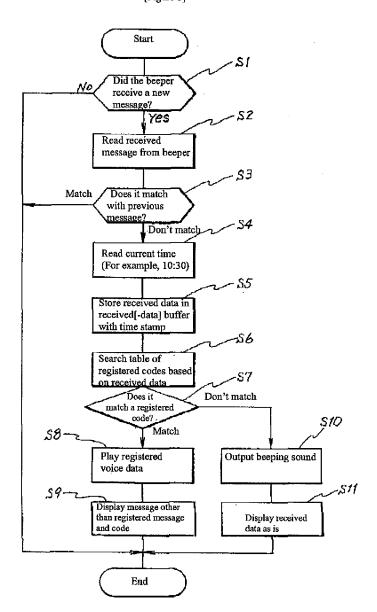


[Figure 9]



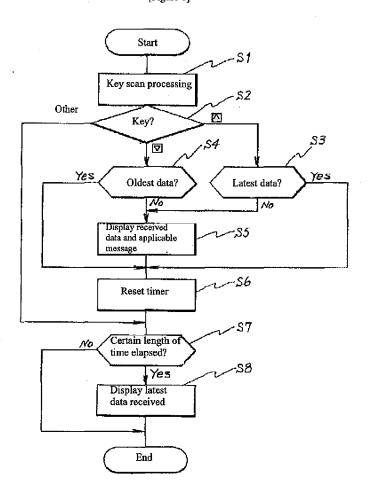


[Figure 5]



(10)

[Figure 6]



(11)

[Figure 8]

(Becper)

Built-in antenna

(Power supply)

(Antenna switch signal)

(External antenna)

Electronic Patent Application Fee Transmittal						
Application Number:	120	015320				
Filing Date:	16-	Jan-2008				
Title of Invention:	Method for Managing Media					
First Named Inventor/Applicant Name:	Ru	ssell W. White				
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Petition:						
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Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

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Submission- Information Disclosure Stmt	1806	1	180	180
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Doc description: Information Disclosure Statement (IDS) Filed

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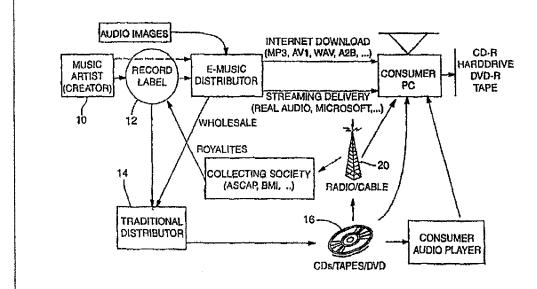
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(21) International Application Number: PCT/US (22) International Filing Date: 18 May 2000 ((30) Priority Data: 60/134,782 19 May 1999 (19.05.99) 09/337,590 21 June 1999 (21.06.99) (71) Applicant (for all designated States except US): Did CORPORATION [-/US]; 19801 S.W. 72nd Aven 250, Tualatin, OR 97062 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): RHOADS. Get [-/US]; 304 S.W. Tualatin Leop. West Linn, O (US). DAVIS, Bruce, L. [-/US]; 15599 Village Dr Oswego, OR 97034 (US). CARR, J., Scott [-/US]. W. 189th Avenue, Beaverton, OR 97007 (US). (74) Agent: MEYER, Joel, R.; Digimare Corporation, 19 72nd Avenue, Sulte 250, Tualatin, OR 97062 (US)	GIMAR oue, Su offrey, OK 970 rive, La IS]; 78	BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI SS, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL SZ, TZ, UG, ZW), Eurosian patent (AM, AZ, BY, KG, KZ MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE) OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML MR, NE, SN, TD, TG). Published With international search report.				

(54) Title: METHODS AND SYSTEMS EMPLOYING DIGITAL WATERMARKING IN MUSIC AND OTHER MEDIA



(57) Abstract

The disclosure details a variety of steganographic encoding and decoding of auxiliary information in physical and media objects (16) in traditional distribution (14) to music media outlets (20). In particular, digital watermarks embedde in media content are used to initiate automated transactions associated with the content and to link creative content with information or transactions.

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METHODS AND SYSTEMS EMPLOYING DIGITAL WATERMARKING IN MUSIC AND OTHER MEDIA

Related Application Data

This application claims priority to copending application 60/134,782, filed May 19, 1999, incorporated herein by reference.

The subject matter of the present application is related to that disclosed in applications 09/234,780, filed January 20, 1999; 09/314,648, filed May 19, 1999; 09/337,590, filed June 21, 1999; 09/433,104, filed November 3, 1999; 09/441,819, filed November 17, 1999; 09/441,821, filed November 17, 1999; 09/442,441, filed November 17, 1999; 09/464,307, filed December 15, 1999; 09/473,075, filed December 28, 1999; 09/476,686, filed December 30, 1999; 09/482,752, filed January 13, 2000; 09/484,742, filed January 18, 2000; 09/498,223, filed February 3, 2000; 60/180,364, filed February 4, 2000; 09/520,406, filed March 8, 2000; 09/563,664, filed May 2, 2000, and 09/09/562,517, filed May 1, 2000.

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Field of the Invention

The present invention relates to applications of digital watermarking in conjunction with audio, video, imagery, and other media content.

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Background and Summary

Watermarking (or "digital watermarking") is a quickly growing field of endeavor, with several different approaches. The present assignee's work is reflected in U.S. Patent 5,862,260; in copending applications 09/503,881 and 09/452,023; and in published specifications WO 9953428 and WO0007356 (corresponding to US 09/074,034 and 09/127,502). A great many other approaches are familiar to those skilled in the art. The artisan is presumed to be familiar with the full range of watermarking literature.

In the present disclosure it should be understood that references to watermarking encompass not only the assignee's watermarking technology, but can likewise be practiced with any other watermarking technology. In the context of this document, steganographic processes such as steganographic encoding and decoding of auxiliary data from physical and electronic objects encompasses digital watermarking and other methods for data hiding in media objects.

Watermarking has various uses, but the present specification details several new uses that provide functionality and features not previously available. The invention provides various

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methods, devices and systems for employing digital watermarking in music and other media objects, such as visual and audiovisual works (e.g., motion pictures, images, video, etc.).

One aspect of the invention is a method for crediting payment for a digital content using information steganographically encoded into the content. The method encodes digital source material to steganographically convey plural-bit auxiliary data. The encoded source material passes to a destination through at least one intervening computer. At the intervening computer, the method detects the encoded source material. It then credits a payment in response to the detection of the encoded source material, in accordance with the plural-bit auxiliary data steganographically conveyed by the encoded source material. The method may also report the detection (e.g., to a location remote from detection via a network or other communication link), and credit royalties based on the detection.

In some implementations, the method decodes the auxiliary data only from source material that has first been tested to indicate the likely presence of such data. One way to test source material is by reference to an encoding attribute that is supplemental to the encoded plural-bit auxiliary data. This encoding attribute may comprise a synchronization signal, a marker signal, a calibration signal, a universal code signal, etc. The attribute may be a characteristic signature such as a repetitive noise burst signal or other form of embedded signal.

Another aspect of the invention is an application of steganographic encoding of audio source material. This method decodes audio source material that is presented to the consumer to extract plural-bit auxiliary data steganographically encoded into the material. It uses the plural-bit auxiliary data to retrieve information about the source material from a remote location or database. The encoded auxiliary data may be used for other applications. For example, data indicating the source material presented to the consumer can be stored and used to generate a report.

Another aspect of the invention is a method for making payments to the proprietor of content based on steganographic data embedded in the content. The method receives an object steganographically encoded with plural-bit auxiliary data, and decodes this auxiliary data from the object. The method consults a registry to determine a proprietor of the object, by reference to said decoded plural-bit auxiliary data, and makes a payment to the proprietor.

Another aspect of the invention is a method of encoding a digital object with a watermark signal. This method encodes the object with a first information signal having relatively small information content, but permitting rapid decoding. The method also encodes the object with a second information signal, having more information content, requiring

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relatively more time to decode. The first and second information signals comprise at least one watermark embedded in the digital object.

Another aspect of the invention is a method of processing an object that has been steganographically encoded with first and second information signals. The first information signal has relatively small information content, and the second information signal has relatively larger information content. The method decodes from the object the first information signal. The relatively small information content of the first information signal permits relatively rapid decoding. The method controls an operation of an apparatus in accordance with the decoded first information signal. Further, the method decodes from the object the second information signal, which requires relatively more time to decode. The second information signal conveys a master global address. The master global address has many applications as detailed below.

Another aspect of the invention is a method of encoding audio with a marker signal indicating a master global address used to link to a web site, wherein the marker signal is characterized by being in-band and repetitive.

Another aspect of the invention is a method for facilitating commercial transactions through a watermark in a physical object. The method reads payload data from a watermark on physical object using a device. It uses the payload data read by the device in connection with a commercial transaction involving music related to said object.

Another aspect of the invention is a method of altering music data to steganographically insert plural bits of watermark data therein. The method is characterized by inserting a first group of bits for benefit of an end-user of the music data, inserting a second group of bits different than the first for benefit of an artist whose music is encoded by said music data, and inserting a third group of bits different than the first two for benefit of a distributor of the music data.

Another aspect of the invention is a media object clearinghouse system. The system includes a media object clearinghouse operable to transfer a media object electronically. It also includes a watermark decoder in communication with a media object receiver to receive a media object signal and operable to decode a watermark from the media object signal identifying the media object. Additionally, the system includes a transmitter in communication with the decoder for receiving a media object identifier derived from the watermark and for transmitting the media object identifier and a user identifier to the clearinghouse. The media object clearinghouse is operable to identify the media object based on the media object identifier and electronically transfer a copy of the media object to a predetermined location associated with the user.

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Another aspect of the invention is a media object clearinghouse method. The method receives a media object from a broadcast or electronic transfer. It decodes a watermark from the media object. It then derives a media object identifier from the watermark. The method transmits the media object identifier and a user identifier to a clearinghouse. In the clearinghouse, it identifies the media object based on the media object identifier and the user based on the user identifier and electronically transfers a copy of the media object to a predetermined location associated with the user.

Another aspect of the invention is a method for linking an audio object with additional information or actions related to the audio object. The method decodes a watermark from the media object. It then derives a master global address from the watermark. It connects to a remote device and retrieves additional information associated with the audio object based on the master global address. For example, in one application, the method retrieves information from a web server linked to the audio object through the master global address. The web server may return information about the audio object as well as customized menu options. The information may include instructions governing use of the object, a request for payment authorization, etc.

The master global address may be used to query a server, which in turn looks up an address of another remote device to which the query is to be routed. The remote device may return information, such as a web page, instructions governing use of the audio object, etc.

Another aspect of the invention is a method for reconfiguring a watermark detector. The method decodes a watermark embedded in a signal of a given media type, such as an image or audio signal (e.g., still images, motion pictures, audio, video, etc.). The watermark includes a command signal used to trigger a change in operation of the watermark detector. Based on the command signal, the method changes the operation of the watermark detector. This change may include changing how the watermark detector decodes or interprets a watermark in a signal of the media type.

The scope of this method encompasses a variety of implementations. The command signal may be represented as one or more bits of a watermark payload carried by the watermark. Changing the operation of the detector may include re-programming it or altering how it interprets watermark data embedded in a media object. For example, the method may transfer firmware instructions to the detector to replace instructions stored earlier. As another example, the command may change the operation of the detector according to a preprogrammed rule. The rule may define a change in watermark key, for instance. Yet another example is changing the operation of the detector by changing how a device responds

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to the watermark signal extracted from a media object. The behavior of a hardware or software media player, for instance, may be updated to respond differently to the watermark signal, and specifically, to the message carried in a watermark payload.

The method may use watermark payload data to change the operation of the detector. For example, the payload may specify instructions or watermark key data. In response to this payload data, the detector may install and execute new instructions or use the new watermark key to decode watermarks in media objects.

Another aspect of the invention is an alternative method for reconfiguring a watermark detector. This method receives a media object and a command associated with the media object signaling that the watermark detector requires an upgrade to decode a watermark from the media object. In response to the command, the method updates the watermark detector to create an updated watermark detector. It decodes the watermark from the media object with the updated watermark detector. The command may be encoded in a watermark in the media object, or may be conveyed in a channel different than the watermark channel yet transmitted along with the media object (e.g., out-of-band channels like a file header or footer, sub-titling data channel, SCA channel, etc.). The scope of this method encompasses a variety of implementations. In addition, aspects of this method may be used in combination with aspects of the method summarized earlier.

Yet another aspect of the invention is a re-programmable watermark detector. The detector comprises a watermark decoder for detecting a command to upgrade the detector. It also includes instructions that are replaceable in response to detecting the command to upgrade the detector. The instructions may be conveyed to the watermark detector along with a media object, either in a watermark payload, or a channel other than the watermark channel.

Another aspect of the invention is a method of an encoding an upgrade trigger in a watermark. The method receives a media object of a given media type, and encodes a watermark into the media object. The watermark includes a command signal used to trigger a change in operation of a watermark detector. When received in a detector, this change operates to alter how the watermark detector decodes or interprets a watermark in a signal of the media type.

Further features will become apparent with reference to the following detailed description and accompanying drawings.

Brief Description of the Drawings

Fig. 1 is a diagram showing the participants, and channels, involved in the distribution of music.

Fig. 2 shows a conceptual model of how music artists, record labels, and E-Music distributors can all interact with a Media Asset Management System, of which several are detailed in the following specification.

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Detailed Description

For expository convenience, much of the following discussion focuses on music, but the same principles and techniques are largely or wholly applicable to other source data, whether non-music audio, video, still imagery, printed materials, etc.

10 Music Asset Management

Referring to the figures, the music distribution process begins with a creative artist 10. The artist's music has traditionally been distributed by a record label 12. (While the following discussion refers to distribution through such a label, it should be understood that such distribution can just as well be effected directed under the artist's control, without a record label intermediary.)

In traditional distribution 14, the record label produces tangible media, such as records, tapes, videos (e.g. music videos), and CDs 16. These media are physically distributed to end-consumers 18. Additionally, the label 12 distributes the music media to outlets 20, such as radio and TV stations, cable and satellite systems, etc., which broadcast (or narrowcast) the artist's work to an audience. Distribution through such media outlets may be monitored by playout tracking services. Playout tracking data, collected by firms including Arbitron, Nielsen, ASCAP, BMI, etc., can be used to compute royalty payments, to verify broadcast (e.g. for advertising), etc.

Increasingly, the distribution of the music to the media outlets is performed electronically. Such distribution first took the form of analog audio over highquality landlines or satellite channels. Digital audio quickly supplanted analog audio in such distribution channels due to higher fidelity.

More recently, distribution of the music from the record labels to the media outlets has occurred over secure links, now including the internet. Such security was first provided simply by scrambling the audio signal or data. More sophisticated "container"-based systems are now coming into vogue, in which the audio is "packaged" (often in encrypted form) with ancillary data.

Electronic distribution of music to the consumer is also gaining popularity, presently in the MP3 format primarily. The music providers may deal directly with the public, but more WO 00/70523 PCT/US00/13798

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commonly effect such consumer distribution through a newly emerging tier of digital media outlets, such as internet sites that specialize in music. From such sites, consumers can download digital audio files into personal digital audio players. (The Diamond Rio, and the Audible MobilePlayer devices are some of the first of what will doubtless be a large number of entrants into this personal internet audio appliance market.) Or the downloaded data can be stored by the consumer-recipient onto any other writeable media (e.g. hard disk, CD, DVD, tape, videotape, etc.). Typically a personal computer is used for such downloading, but this intermediary may be dispensed with by coupling next generation of personal audio appliances to an internet-like link.

The data downloaded by the consumer can be stored either in the native digital format, translated into another digital format (which translation may include decryption), converted into analog and recorded in analog form, etc.

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Unauthorized copying or use of the music can occur anywhere in the foregoing channels. However, one of the greatest risks occurs once the music has been delivered to the consumer (whether by tangible media, by traditional broadcast media outlets, by emerging digital distribution, or otherwise).

The general idea of embedding auxiliary data into music (i.e. watermarking) has been widely proposed, but so far has been of limited applicability.

For example, GoodNoise is planning to embed a digital signature -- termed a multimedia identifier, or MMI-- in its MP3 music. MMI will register the song and its author with a licensing number. In addition to providing information about the songwriter and distributor, this digital encoding may also include lyrics, liner notes, and other information. But all of the proposed uses serve only to convey information from the distributor to the consumer; use for "tracking" is actively disclaimed. (Wired News, "GoodNoise Tags MP3 Files," February 3, 1999.)

The Genuine Music Coalition – a partnership of various companies in the music distribution business – likewise has announced plans to employ watermarking of MP3 music. The watermarking technology, to be provided by Liquid Audio, will convey data specifying the artist or producer contact, copyright data, and a number to track ownership. The Coalition hopes that the provision of this embedded information will help thwart piracy. Industry observers believe Liquid Audio will next introduce playback technology only plays audio in which its watermark is detected. (Wired News, "Liquefying MP3," January 23, 1999.)

A similar initiative has been announced by the Recording Industry Association of America (RIAA). Termed the Secure Digital Music Initiative (SDMI), the program seeks to

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define a voluntary specification that will assure proper compensation to those who produce and distribute music. One element of the system will likely be a watermarking component. (Dow Jones Newswire, "Spurred By Maverick Technology, Music Industry Eyes Web," December 31, 1998.)

Yet another initiative has been announced by Solana and ASCAP. Other companies promoting watermarking for music include Aris Technology, MCY.com, and AudioSoft.

The watermark payload can represent various types of data. An exemplary payload includes data relating to the artist, distribution entity, title, and copyright date/proprietor.

Additionally, the payload can include a digital object identifier – an ISBN-like number issued by a central organization (e.g. a rights management organization) to uniquely identify the work.

Such payload data can be encoded literally (e.g. the title by a series of ASCII characters, etc.). In other embodiments, codes or abbreviations can be employed—with each code having a known meaning. In still other embodiments, the data can be meaningless by itself, but may serve as a key (e.g., a Unique Identifier, or UID) into a remote data database or repository. An example of such a remote data repository is a web site at a Master Global Address (MGA) associated with content, as detailed below.

An exemplary data payload may, for example, have the following format:

											
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Where A is a six-byte (8-bits to a byte) ASCII string serving as a digital object identifier (which may serve as a link to a Master Global Address through a default name server, as discussed below), B is a two-byte ASCII field serving as a key into an "artist" field of the remote database, C is a three-byte ASCII field serving as a key into a "title" field of the remote database; D is a 14-bit field serving as a key into a "label" field of the remote database, E is an 8-bit integer representing the work's year of first publication (with 0 representing the year 2000); F is a 10-bit field serving as a key into a "price" field of the remote database, G is a two-byte usage control string (detailed below), H is a streaming data channel, and I is a string of bits serving as a cyclic redundancy checksum for the foregoing. (More sophisticated error correcting checksums can, of course, be employed.) This payload format totals 136 bits,

This payload is encoded repeatedly, or redundantly through the music, so that the full payload can be decoded from partial excerpts of the music.

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The encoding is also desirably perceptually adaptive, so that higher energy encoding is employed where the listener is less likely to perceive the additional "noise" introduced by the encoding, and vice versa. Various techniques for perceptually adaptive encoding are known. For example, some tie the amplitude of the encoded signal to the instantaneous amplitude of the music. Others exploit psychoacoustic "masking" of one signal by a spectrally-or temporally-adjoining signal of higher energy. Still other approaches fill gaps in the music's spectrum with watermark energy.

In other embodiments, perceptually adaptive encoding is not used. In some such embodiments, no tailoring of the temporal or spectral characteristics of the watermark signal is employed. In others, the watermark signal is spectrally filtered to emphasize low frequency audio components (e.g. less than 500 hz), high frequency audio components (e.g. higher than 2500 hz), or mid-frequency audio components (500-2500 hz).

The streaming data field channel (H) is a medium by which data can be conveyed from a distribution site (or other site) to the end user. Such data may be entirely unrelated to the underlying work. For example, it may serve a utilitarian purpose, such as conveying data to a memory in the consumer device to replace previously-stored data that is out-of-date. It may be a commercial channel on which bandwidth is sold for access to the consumer or the consumer's device. Essentially any purpose can be served by this streaming data field. Unlike most of the other fields, the streaming data field may not endlessly repeat the same data, but can convey data that changes with time.

Desirably, the encoding is performed in a manner permitting recovery of the watermark data even if the audio is corrupted, e.g. by format conversion, re-sampling, tape wow and flutter, compression, coding, or various forms of audio processing (e.g. filtering, pre-emphasis, re-scaling, etc.). One way to provide for such robustness is to encode a signal of known character that can be recognized through all such corruption. By identifying such known signal, the watermark signal can then be decoded. (The known signal can take various forms, e.g. a synchronization signal, a marker signal, calibration signal, a universal code signal as described in applicant's patents, etc.)

In some embodiments, a watermark "dial-tone" signal is provided. This dial-tone signal is a low amplitude, relatively wideband, repetitive signal that commonly conveys only limited information (e.g. a single bit of information). Its presence in an audio signal can serve as a "do not record," or similar instruction signal. Alternatively, or in addition, the dial-tone signal can serve as an aid in "locking" to a plural-bit digital watermark signal that is also encoded in the audio. For example, the cyclical repetition of the signal can serve to identify the

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start of the plural-bit digital watermark signal. Or the spectrum or repetition rate of the signal can identify any temporal corruption of the audio. An exemplary such signal is detailed as a "simple universal code" in Patent 5,636,292.

A track of music can be pre-authorized for specified types of use. For example, the usage control string of the watermark payload may include a six-bit field detailing the classes of devices for which the audio is authorized. Each bit would correspond to a different class of device. Class 1 devices may be personal playback devices with only analog audio output. Class 2 devices may be personal entertainment devices capable of outputting music in digital (e.g. MP3, redbook, *.WAV) format, as well as analog audio. Class 3 devices may be personal computer systems (i.e. with essentially unlimited ability for processing and outputting digital audio). Etc., etc. A device to which such MP3 audio is provided would check the usage control string data to determine whether it is authorized to utilize the audio. A personal playback device with analog-only output, for example, would examine the first bit of the usage control string. If it was "1," the device would be authorized to use (i.e. playback) the MP3 data; if it was a "0," the device would refuse to play the music.

In addition to pre-authorization for certain classes of devices, the usage control string can also include bits indicating the number of permitted playbacks. This data can be encoded in bits seven through nine, representing eight possibilities:

- 0 no playback permitted
- 20 1 single playback permitted

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- 2 ~ two playbacks permitted
- 3 three playbacks permitted
- 4 four playbacks permitted
- 5 five playbacks permitted
- 25 6-10 playbacks permitted
 - 7 unlimited playbacks permitted
 - 8 refer to associated data (within the watermark, or stored at a remote site) which specifies number of permitted playbacks.

The playback device may include a non-volatile store in which the number of permitted playbacks is stored for each track of music. The device would decrement this number at the beginning of each playback.

The usage control string can also include a two-bit field (bits ten and eleven) indicating recording permissions. A value of 0 means that data corresponding to the MP3 audio (regardless of digital format) should never be made available to another digital device. A value

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of 1 means that the data corresponding to the MP3 data may be made available once to another digital device. A value of 2 means that the data may be made available an unlimited number of times to other digital devices. (Value 3 is reserved.)

Another data field that can be included in an audio watermark is a rating that indicates age-appropriateness. Music with violence or sexual themes might be given a rating akin to the MPAA "PG-13" or "R" rating. Audio appliances may be programmed to recognize the rating of incoming music, and to interrupt playback if the rating exceeds a certain threshold setting. Various known techniques can be employed to assure that such settings cannot readily be changed, e.g., by juvenile listeners.

Another data field that can be included in an audio watermark is a date field. This field can indicate either the date the music was watermarked, or a date in the future on which certain rights associated with the music should change. Some consumers, for example may not wish to purchase perpetual playback rights to certain musical selections. The right to play a selection for 6 months may suffice for many consumers, especially if the price is discounted in view of the limited term. Such an arrangement would not be wholly disadvantageous to music distributors, since some consumers may end up purchasing music twice if their initial assessment of a musical selection's appeal was too short-sighted. (Naturally, the playback equipment would require a source of real-time clock data against which the date field in the watermark can be checked to ensure that the playback rights have not yet expired.)

Another of the data fields that can be included in an audio watermark specifies technical playback parameters. For example, the parameter can cause the playback appliance to apply a spectral equalization that favors bass frequencies, or treble frequencies, or mid-range frequencies, etc. Other pre-configured equalization arrangements can similarly be invoked responsive to watermark data. Likewise, the parameter can invoke special-effects provided by the playback appliance, e.g., echo effects, reverb, etc. (Again, such parameters are usually represented in an abbreviated, coded form, and are interpreted in accordance with instructions stored in a memory (either in the playback appliance, or linked thereto).

The same data fields and principles can be applied to non-audio content. In video, for example, watermarked data can adaptively control the display monitor or playback parameters (e.g., color space) to enhance the viewing experience.

Music Asset Management/Commerce

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The majority of domestic music piracy is not organized. Rather, it is a crime of opportunity and convenience. If the crime were made more difficult, the alternative of

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obtaining a copy through legitimate channels would be less onerous. Similarly, if the procedure for obtaining a copy through legitimate channels were simplified, the incentive for piracy would be reduced. Watermarking facilitates both – making the crime more difficult, and making legitimate music acquisition easier.

Consider, for example, the pricing of music in conventional record stores. A CD (compact disk) may cost \$15, but its sale may be driven by just one or two popular songs on the disk. To obtain these songs, the consumers must purchase the entire disk, with perhaps a dozen songs of no particular interest. This, in essence, is a tying arrangement that benefits the record labels while prejudicing the consumers. Given these circumstances, and a ready opportunity to make copies, it is not surprising that customers sometimes make illicit copies.

One classic technique of avoiding purchase of a complete collection of music, when only one or two songs is desired, is to record the music off the radio. While of dubious legality, this technique was popular in the era of combined cassette/radio players. However, the desired music was sometimes difficult to encounter in a radio broadcast, and the quality was less than superb.

The combined cassette/radio player has now evolved into a general purpose computer with wide-ranging functionality, and other sophisticated devices. Music can be acquired off the web, and can be recorded in various forms (e.g. in a personal MP3 player, stored on a hard disk, stored on a writeable CD-ROM, played back and recorded on analog cassette, etc., etc.). The quality can be quite high, and the erratic broadcast time problems of radio broadcasts have been overcome by the web's on-demand delivery mechanisms. (Moreover, the music can be downloaded in faster-than-realtime, a further benefit over recording-off-the-air techniques.)

One hybrid between the new and old is a novel radio (e.g., for use in a car) that has a "capture" button on the front panel (or other form of user interface, e.g., a Capture icon on a GUI). If a user hears a song they want to record and keep, they press the Capture button while the song is playing. In response, the radio device decodes a watermark embedded in the music, and thereby knows the identity of the music. The radio then makes a wireless transmission identifying the user and the desired song. A local repeater network picks up the wireless signal and relays it (e.g. by wireless rebroadcast, by modem, or other communication medium) to a music clearinghouse. The clearinghouse charges the user a nominal fee (e.g. via a pre-arranged credit card), and queues the music for download to a predetermined location associated with the user.

In one embodiment, the predetermined location is the user's own computer. If a "live" IP address is known for the user's computer, the music can be transferred immediately. If the

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user's computer is only occasionally connected to the internet, the music can be stored at a web site (e.g. protected with a user-set password), and can be downloaded to the user's computer whenever it is convenient.

In other embodiments, the predetermined location is a personal music library maintained by the user. The library can take the form, e.g., of a hard-disk or semiconductor memory array in which the user customarily stores music. This storage device is adapted to provide music data to one or more playback units employed by the user (e.g. a personal MP3 player, a home stereo system, a car stereo system, etc.). In most installations, the library is physically located at the user's residence, but could be remotely sited, e.g. consolidated with the music libraries of many other users at a central location.

The personal music library can have its own internet connection. Or it can be equipped with wireless capabilities, permitting it to receive digital music from wireless broadcasts (e.g. from the clearinghouse). In either case, the library can provide music to the user's playback devices by short-range wireless broadcast.

By such arrangement, a user can conveniently compile an archive of favorite music – even while away from home.

Many variants of the foregoing are of course possible. The radio can be a portable unit (e.g. a boombox, a Walkman radio, etc.), rather than an automotive unit. The UI feature employed by the user to initiate capture a musical selection need not be a button (physical or on-screen). For example, in some embodiments it can be a voice-recognition system that responds to spoken commands, such as "capture" or "record." Or it can be a form of gesture interface.

Instead of decoding the watermark only in response to the user's "capture" command, the radio can decode watermarks from all received programs, and keep the most recent in a small FIFO memory. By such arrangement, the user need not issue the capture instruction while the song is playing, but can do so even after the song is finished.

In some embodiments, data corresponding to the watermark can be made available to the user in various forms. For example, it can be presented to the user on an LCD screen, identifying the artist and song currently playing. If a corresponding UI button is activated, the device can so-identify the last several selections. Moreover, the data need not be presented to the user in displayed form; it can be annunciated by known computer-speech technologies instead.

In embodiments in which the watermark does not convey ASCII text data, but instead conveys UIDs, or coded abbreviations, the device must generally interpret this data before

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presenting it to the user. In an illustrative embodiment, the device is a pocket-sized FM radio and is equipped with a 1 megabyte semiconductor non-volative RAM memory. The memory includes a data structure that serves as a look-up table, matching code numbers to artist names and song titles. When the user queries the device to learn the identify of a song, the memory is indexed in accordance with one or more fields from the decoded watermark, and the resulting textual data from the memory (e.g. song title and artist) is annunciated or displayed to the user.

In most applications, such memory will require frequent updating. The RF receiver provides a ready mechanism for providing such updated data. In one embodiment, the radio "awakens" briefly at otherwise idle moments and tunes to a predetermined frequency at which updated data for the memory is broadcast, either in a baseband broadcast channel, or in an ancillary (e.g. SCA) channel.

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In variants of the foregoing, internet delivery of updated memory data can be substituted for wireless delivery. For example, the artist/song title memory in the personal player can be updated by placing the player in a "nest" every evening. The nest (which may be integrated with a battery charger for the appliance) can have an internet connection, and can exchange data with the personal device by infrared, inductive, or other proximity-coupling technologies, or through metal contacts. Each evening, the nest can receive an updated collection of artists/song titles, and can re-write the memory in the personal device accordingly. By such arrangement, the watermark data can always be properly intepreted for presentation to the user.

The "Capture" concepts noted above can be extended to other functions as well. One is akin to forwarding of email. If a consumer hears a song that another friend would enjoy, the listener can send a copy of the song to the friend. This instruction can be issued by pressing a "Send" button, or by invoking a similar function on a graphical (or voice- or gesture-responsive) user interface. In response, the appliance so-instructed can query the person as to the recipient. The person can designate the desired recipient(s) by typing in a name, or a portion thereof sufficient to uniquely identify the recipient. Or more typically, the person can speak the recipient's name. As is conventional with hands-free vehicle cell phones, a voice recognition unit can listen to the spoken instructions and identify the desired recipient. An "address book"-like feature has the requisite information for the recipient (e.g., the web site, IP address, or other data identifying the location to which music for that recipient should stored or queued, the format in which the music should be delivered, etc.) stored therein. In response to such command, the appliance dispatches instructions to the clearinghouse, including an

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authorization to debit the sender's credit card for the music charge. Again, the clearinghouse attends to delivery of the music in a desired manner to the specified recipient.

Still further, a listener may query the appliance (by voice, GUI or physical button, textual, gesture, or other input) to identify CDs on which the then-playing selection is recorded. Or the listener may query the appliance for the then-playing artist's concert schedule. Again, the appliance can contact a remote database, relay the query, and forward data from the watermark payload identifying the artist and/or song title to which the query relates. The database locates the requested data, and relays same back to the appliance for presentation (via a display, by machine speech, or other output) to the user. If desired, the user can continue the dialog with a further instruction, e.g., to buy one of the CDs on which the then-playing song is included. Again, this instruction may be entered by voice, GUI, etc., and dispatched from the applicance to the clearinghouse, which can then complete the transaction in accordance with pre-stored information (e.g. credit card account number, mailing address, etc.). A confirming message is relayed to the appliance for presentation to the user.

While the foregoing transactions require a link to a remote site or database, other watermark-based consumer services can be provided without such a link. For example, a user can query the appliance as to the artist or song-title of the selection currently playing. The appliance can consult the embedded watermark data (and optionally consult a memory to

determine the textual names associated with coded watermark data), and provide the requested information to the user (e.g., by a display, annunciation, or other output).

The foregoing concepts (e.g. Capture, Send, etc.) can also be employed in connection with internet- rather than radio-delivery of music. (The following discussion is illustrated with reference to the "Capture" function, but it will be recognized that the other earlier-discussed

features can be similarly implemented.)

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There are many commercial web sites that sell audio (in CD form or otherwise), and offer limited free music downloads, (or music clips) as an enticement to lure consumers. But there are also a great number of music web sites that have no commercial pretense. They are hosted by music lovers strictly for the enjoyment of other music lovers. When music is downloaded from such a web site, the end-user's computer can analyze the digital data to decode watermark data therefrom. Again, the user can be presented with a "Capture" button that initiates a commercial transaction, by which a complete copy of the then-downloaded audio is sent to a prearranged storage location, and the user's credit card is debited accordingly. This transaction can occur independently of the site from which the music is downloaded (e.g. through the clearinghouse referenced above).

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While the "Capture" button can be presented on the web-site, this would generally not be in keeping with the non-commercial nature of such web sites. Instead, in an exemplary embodiment, the Capture feature is a software program resident at the user's computer. When this software program is invoked by the user, a socket channel is instantiated between the user's computer and the clearinghouse over the then-existing internet connection. The decoded watermark data and user ID is transmitted to the clearinghouse over this channel, without interrupting the user's other activity (e.g. downloading music from the non-commercial web site). In response, the clearinghouse transmits the music to the prearranged location and attends to billing.

In some embodiments, a watermark detector is included as part of the operating system, and constantly monitors all TCP/IP, or other internet, data received by the user's computer, for the presence of watermarks. In such case, when the Capture feature is invoked, the program examines a memory location in which the operating system stores the mostrecently received watermark data. In another embodiment, the computer does not monitor all internet traffic for embedded watermark data, but includes an API that can be called by the Capture program to decode a watermark from the data then being received. The API returns the decoded watermark data to the Capture program, which relays same to the clearinghouse, as above. In still another embodiment, the watermark decoder forms part of the Capture program, which both decodes the watermark and relays it to the clearinghouse when the Capture program is invoked by the user.

There are various techniques by which the Capture program can be selectively invoked. One is by a keyboard macro (e.g. by a combination of keyboard keys). Another is by a program icon that is always presented on the screen, and can be double-clicked to activate. (Again, confirmation processes may be called for, depending on the likelihood of inadvertent invocation.) Many other techniques are likewise possible.

In the just-contemplated scenario, the Capture operation is invoked while the user is downloading music from a non-commercial web site. This seems somewhat redundant, since the downloading – itself -- is transferring music to the user's computer. However, the Capture operation provides added value.

In the case of streaming audio, the audio is not typically stored in a location in which it can be re-used by the consumer. It can be listened-to as delivered, but is then gone. Capturing the audio provides the user a copy that can be played repeatedly.

In the case of downloaded music files, the music may have been encoded to prevent its recordal on other devices. Thus, while the user may download the music onto a desktop

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computer, copy-prevention mechanisms may prevent use of that file anywhere else, e.g. on a portable music appliance. Again, Capturing the audio provides the user a copy that can be transferred to another device. (The music file provided by the clearinghouse can have copy-prevention limits of its own – e.g., the file can be copied, but only once, or the file can be copied only onto devices owned by the user.)

(Confirmation of device ownership can be implemented in various ways. One is to identify to the clearinghouse all music devices owned by a user at the time the user registers with the clearinghouse (supplemented as necessary by later equipment acquisitions). Device IDs associated with a user can be stored in a database at the clearinghouse, and these can be encoded into the downloaded music as permitted devices to which the file can be copied, or on which it can be played.)

The commerce opportunity presented by non-commercial music web-sites is but one enabled by digital watermarks. There are many others.

To take one example, consider the media by which music and artists are presently promoted. In addition to radio airtime, these include music videos (a la MTV), fan magazines, web advertisements, graphical icons (e.g. the Grateful Dead dancing bears), posters, live events, movies, etc. Watermarked data can be used in all such media as a link in a commercial transaction.

A poster, for example, typically includes a photo of the artist, and may comprise cover art from a CD. The photo/art can be digitally watermarked with various types of data, e.g., the artist's identify, the record label that distributes the artist's work, the music project being particularly promoted by the poster (e.g. a CD, or a concert tour), a fan web-site related to the artist, a web-site hosted by the record label for selling audio in CD or electronic form, a web-site from which free music by the artist can be downloaded, data identifying the poster itself, etc.

A user, equipped with a portable appliance that merges the functions of palmtop computer and digital camera, can snap an image of the poster. The processor can decode the watermarked data, and initiate any of various links based on the decoded data.

In an exemplary embodiment, after snapping the picture, the user invokes a software program on the device that exposes the various links gleaned from the snapped image data. Such a program can, for example, present the option of linking to the artist's fan web site, or downloading free streaming audio or music clips, or ordering the promoted CD, or requesting the above-noted clearinghouse to download a personal copy of selected song(s) by the artist to the user's personal music library, etc. (The device is presumed to have a wireless internet link.

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In devices not having this capability, the requested actions can be queued and automatically executed when a link to the internet is available.)

Still more complex transactions can be realized with the use of a remote database indexed by digital watermark fields decoded from the poster. For example, the poster may promote a concert tour. Fields of the digital watermark may identify the artist (by a code or full text), and a web site or IP address. The user appliance establishes a link to the specified site, and provides the artist identifier. In response, the site downloads the tour schedule for that artist, for display on the device. Additionally, the downloaded/displayed information can include a telephone number that can be used to order tickets or, more directly, can indicate the class of seats still available at each (or a selected) venue, and solicit a ticket order from the user over the device. The user can supply requested information (e.g. mailing address and charge card number) over the return channel link (wireless or wired, as the case may be), and the ticket(s) will be dispatched to the user. In the case of a wireless link, all of this can occur while the user is standing in front of the movie poster.

Similar systems can be implemented based on watermark data encoded in any other promotional media. Consider music videos. Using known TV/computer appliances, watermark data added to such videos can readily be decoded, and used to establish links to audio download, CD-sales, fan club, concert ticket outlet web sites, etc., as above.

Even live events offer such watermark-based opportunities. The analog audio fed to public address or concert speakers can be watermarked (typically before amplification) to encode plural-bit digital data therein. A next generation personal music appliance (e.g. one with a wireless interface to the internet) can include analog record capability (e.g. a built-in microphone, analog-to-digital converter, MP3 encoder, coupled to the unit's semiconductor memory). A user who attends a live event may record an excerpt of the music. The watermark can then be decoded, and the extracted data used to access the links and commerce opportunities reviewed above.

Cinema movies offer both audio and visual opportunities for watermark-based commerce opportunities. Either medium can be encoded to convey information of the types reviewed above. A personal appliance with image- or audio-capture capabilities can capture an excerpt of the audio or imagery, decode the watermark data therefrom, and perform any of the linking, etc., functions reviewed above.

The consumer-interest watermarks reviewed above are only exemplary. Many others will be recognized as useful. For example, promotional clips presented before a feature film presentation can include watermark data that point (either by a literally encoded web address

link, or by an ID code that indexes a literal link in a remote link database) to reviewer critiques of the previewed movies. Watermark data in a featured film presentation can lead to web sites with information about the movie stars, the director, the producer, and can list other movies by each of these persons. Other watermark-conveyed web links can present opportunities to buy the movie on videotape, to purchase the movie soundtrack, to buy movie-related toys and games, etc.

More on Device Control

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Much of the foregoing has focused on watermark encoding to provide enhanced customer experiences or opportunities. Naturally, watermarks data can alternatively, or additionally, serve the interests of the media owner.

To illustrate, consider watermarked music. The media owner would be best served if the watermark serves dual purposes; permissive and restrictive. Permissively, music appliances can be designed to play (or record) only music that includes an embedded watermark signaling that such activity is authorized. By this arrangement, if music is obtained from an unauthorized source and does not include the necessary watermark, the appliance will recognize that it does not have permission to use the music, so will refuse requests to play (or record).

As noted, music appliances can respond restrictively to the embedded watermark data to set limits on use of the music. Fields in the watermark can specify any or all of (or others in addition to) (a) the types of devices on which the music can be played (b) the types of devices on which the music can be recorded; (c) the number of times the music can be played; (d) the number of times the music can be recorded, etc.

The device restrictions (a) and (b) can be of various types. In some embodiments, the restrictions can identify particular units (e.g. by serial number, registered owner, etc.) that are authorized to play/record the encoded music. Or the restrictions can identify particular classes of units (e.g., battery-powered portable players with music memories of less than 50 megabytes, disk-based dedicated music appliances, general purpose personal computers, etc.) Or the restrictions can identify particular performance quality criteria (e.g., two channel, 16-bit audio at 44.1KHz sample rate, or lower quality).

The use restrictions (c) and (d) can likewise be of various types. Examples include "do not copy," "copy once only," "unrestricted copying permitted," "play once," "play N times" (where N is a parameter specified elsewhere in the watermarked data, or by reference to a database indexed by a watermark data field), "unrestricted playing permitted," etc.