| | | | UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov | Trademark Office OR PATENTS |
|---|--|----------------------|--|--------------------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
| 90/011,982 | 10/26/2011 | 7634228 | AFF.0004B10US | 4356 |
| | 7590 02/14/2013 D & HULD C | EXAMINER | | |
| TROP, PRUNER & HU, P.C. 1616 S. VOSS ROAD, SUITE 750 | | | LAROSE, COLIN M | |
| HOUSTON, TX | X 77057-2631 | | ART UNIT PAPER NUMBER | |
| | | | 3992 | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 02/14/2013 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

DT/OI 00 A /D ... 04/07)



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspro.gov

DO NOT USE IN PALM PRINTER

(THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS)

KENYON & KENYON LLP

ONE BROADWAY

NEW YORK, NY 10004

EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM

REEXAMINATION CONTROL NO. 90/011,982.

PATENT NO. 7634228.

ART UNIT <u>3992</u>.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified *ex parte* reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535, or the time for filing a reply has passed, no submission on behalf of the *ex parte* reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).

PTOL-465 (Rev.07-04)

DOCKE

ARM

Δ

Find authenticated court documents without watermarks at docketalarm.com.

| | Control No. 90/011,982 | Patent Under Reexamination 7634228 | | | | |
|--|---------------------------|------------------------------------|--|--|--|--|
| Office Action in Ex Parte Reexamination | | Art Unit | | | | |
| | | 3992 | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address | | | | | | |
| a⊠ Responsive to the communication(s) filed on <u>02 August 2012</u> . b⊠ This action is made FINAL. c□ A statement under 37 CFR 1.530 has not been received from the patent owner. | | | | | | |
| A shortened statutory period for response to this action is set to expire <u>1</u> month(s) from the mailing date of this letter. Failure to respond within the period for response will result in termination of the proceeding and issuance of an <i>ex parte</i> reexamination certificate in accordance with this action. 37 CFR 1.550(d). EXTENSIONS OF TIME ARE GOVERNED BY 37 CFR 1.550(c). If the period for response specified above is less than thirty (30) days, a response within the statutory minimum of thirty (30) days will be considered timely. | | | | | | |
| Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF | THIS ACTION: | | | | | |
| 1. Interview Summary, PTO-474. | | | | | | |
| 2. Information Disclosure Statement, PTO/SB/08. 4. | | | | | | |
| Part II SUMMARY OF ACTION | | | | | | |
| 1a. 🛛 Claims <u>1-40</u> are subject to reexamination. | | | | | | |
| 1b. 🔲 Claims are not subject to reexamination. | | | | | | |
| 2. 🔲 Claims have been canceled in the present reexamination proceeding. | | | | | | |
| 3. 🔀 Claims <u>9-15 and 37-40</u> are patentable and/or confirmed. | | | | | | |
| 4. 🔀 Claims <u>1-8 and 16-35</u> are rejected. | | | | | | |
| 5. 🔀 Claims <u>36</u> are objected to. | | | | | | |
| 6. 🔲 The drawings, filed on are acceptable. | | | | | | |
| 7. 🔲 The proposed drawing correction, filed on has been (7a) 🗌 approved (7b) 🗌 disapproved. | | | | | | |
| 8. 🔲 Acknowledgment is made of the priority claim under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | |
| a) All b) Some* c) None of the certified copies have | | | | | | |
| 1 been received. | | | | | | |
| 2 not been received. | | | | | | |
| 3 been filed in Application No | | | | | | |
| 4 been filed in reexamination Control No | | | | | | |
| 5 been received by the International Bureau in PCT application No | | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| 9. Since the proceeding appears to be in condition for issuance of an <i>ex parte</i> reexamination certificate except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte</i> Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| 10. 🔲 Other: | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| cc: Requester (if third party requester) | | | | | | |
| U.S. Patent and Trademark Office | | | | | | |
| PTOL-466 (Rev. 08-06) Office Action in Ex Parte Reexamination Part of Paper No. 20130103 | | | | | | |

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>. Application/Control Number: 90/011,982 Art Unit: 3992

REEXAMINATION OF U.S. PATENT 7,634,228

Receipt of Arguments and Amendments

Patent Owner's arguments and amendments submitted 8/2/2012, have been entered and

made of record. Original patent claims 1-30 have not been amended. New claims 31-40 have

been added.

DOCKET

Documents Cited Herein

- A. Alpine Electronics of America, Inc., "Interface Adapter for iPod KCA-420i" Owner's Manual ("Alpine KCA-420i Owner's Manual"), a copy of which is annexed hereto as Exhibit 7. The Alpine KCA-420i Owner's Manual was published in 2004 and therefore constitutes prior art to the '228 patent under 35 U.S.C. § 102(b).
- B. Alpine Electronics of America, Inc., "Alpine Debuts World's First Audio Head Units That Allow True Integration with iPod" Press Release ("Alpine Press Release"), a copy of which is annexed hereto as Exhibit 8. The Alpine Press Release published on January 8, 2004 and therefore constitutes prior art to the '228 patent under 35 U.S.C. § 102(b).
- C. Apple Computer, Inc., "iPod User's Guide" ("iPod User's Guide"), which is annexed hereto as Exhibit 9. The iPod User's Guide was published in 2004 and therefore constitutes prior art to the '228 patent under 35 U.S.C. § 102(b).
- D. U.S. Patent No. 6,697,470 ("McDonough"), which is annexed hereto as Exhibit 10. McDonough issued on February 24, 2004 and therefore constitutes prior art to the "228 patent under 35 U.S.C. § 102(b).
- E. U.S. Patent Application Publication No. 2004/0203608 ("Osann, Jr."), which is annexed hereto as Exhibit 11. Osann, Jr. was published on October 14, 2004 and therefore constitutes prior art to the "228 patent under 35 U.S.C. § 102(b).
- F. U.S. Patent No. 6,493,429 ("Cannon et al."), which is annexed hereto as Exhibit 12. Cannon et al. issued on December 10, 2002 and therefore constitutes prior art to the "228 patent under 35 U.S.C. § 102(b).

DOCKET

- G. U.S. Patent Application Publication No. 2005/0143047 ("Kwon et al."), which is annexed hereto as Exhibit 13. Kwon et al. was published on June 30, 2005 and therefore constitutes prior art to the '228 patent under 35 U.S.C. § 102(b).
- H. U.S. Patent Application Publication No. 2004/0151327 ("Marlow"), which is annexed hereto as Exhibit 14. Marlow was published on August 4, 2004 and therefore constitutes prior art to the '228 patent under 35 U.S.C. § 102(b).
- BMW of North America, LLC, "Owner's Manual for iPod Interface" ("BMW iPod Interface Manual"), which is annexed hereto as Exhibit 15. The BMW iPod Interface Manual was published 2004 and therefore constitutes prior art to the '228 patent under 35 U.S.C. § 102(b).
- J. Audi AG, "Infotainment/MMI Operating Instructions" ("Audi MMI Manual"), which is annexed hereto as Exhibit 16. The Audi MMI Manual was published in 2006 and therefore constitutes prior art to the "228 patent at least under 35 U.S.C. § 102(a).
- K. U.S. Patent No. 5,991,640 ('Lilja et al.'), which is annexed hereto as Exhibit 17. Lilja et al. issued on November 23, 1999 and therefore constitutes prior art to the '228 patent under 35 U.S.C. § 102(b).
- L. Empeg Limited, "Empeg Car User Guide" ("Empeg Car User Guide 1999"), which is annexed hereto as Exhibit 18. The Empeg Car User Guide 1999 was published in 1999 and therefore constitutes prior art to the "228 patent at least under 35 U.S.C. § 102(b).
- M. Empeg Limited, "Empeg-Car Software Beta-10a Release" ("Empeg Car Release Notes"), which is annexed hereto as Exhibit 19. The Empeg Car User Guide Release Notes were published on March 25, 2000⁷ and therefore constitutes prior art to the '228 patent at least under 35 U.S.C. § 102(b).
- N. The Media LLC, "The Free iPod Book 2.2 from iLounge.com" ("Free iPod Book 2.2"), which is annexed hereto as Exhibit 21. The Free iPod Book was published on July 17, 2006³ and therefore constitutes prior art to the "228 patent at least under 35 U.S.C. § 102(a).

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.