UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CISCO SYSTEMS, INC., CIENA CORPORATION, CORIANT OPERATIONS, INC., CORIANT (USA) INC., AND FUJITSU NETWORK COMMUNICATIONS, INC. Petitioner

v.

CAPELLA PHOTONICS, INC. Patent Owner

> Case IPR2014-01166<sup>1</sup> Patent RE42,368

## TRANSMITTAL ACCOMPANYING PATENT OWNER'S DEMONSTRATIVES FOR ORAL ARGUMENT

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<sup>1</sup> Case IPR2015-00816 has been joined with this proceeding.

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### EXHIBIT LIST

Ex. No.	Description	
2001	Provisional Patent Application No. 60/267,285. ("'285 Provisional")	
2002	Transcript of Patent Trial and Appeal Board Teleconference in Case IPR2014-01166, Thursday, March 5, 2015.	
2003	Affidavit of Nicholas J. Nowak in Support of <i>Pro Hac Vice</i> Admission.	
2004	Declaration of Dr. Alexander V. Sergienko in Support of the Patent Owner Response. ("Sergienko Dec.")	
2005	Transcript of Deposition of Dan M. Marom, Ph.D. ("Marom Depo. Tr.")	
2006	Capella Photonics Launches Dynamically Reconfigurable Wavelength Routing Subsystems, Offering Unprecedented Operating Cost Savings and Flexibility for Telecom Service Providers, BUSINESS WIRE (June 2, 2003, 8:16 AM), http://www.businesswire.com/news/home/20030602005554/en/Cap ella-Photonics-Launches-Dynamically-Reconfigurable-Wavelength- Routing. ("Business Wire")	
2007	<ul> <li>Benjamin B. Dingel &amp; Achyut Dutta, <i>Photonic Add-Drop</i></li> <li><i>Multiplexing Perspective for Next Generation Optical Networks</i>,</li> <li>4532 SPIE 394 (2001). ("Dingel")</li> </ul>	
2008	Tze-Wei Yeow, K. L. Eddie Law, & Andrew Goldenberg, <i>MEMS</i> <i>Optical Switches</i> , 39 IEEE Comm'n Mag. no. 11, 158 (2001). ("Yeow")	
2009	Clifford Holliday, <i>Components for R-OADMs '05</i> (B & C Consulting Services & IGI Consulting Inc. 2005). ("Holliday R- OADMs")	
2010	Patrick B. Chu et al., MEMS: the Path to Large Optical Crossconnects, 40 IEEE COMM'N MAG. no. 3, 80 (2002). ("Chu")	
2011	Clifford Holliday, <i>Switching the Lightwave: OXC's – The</i> <i>Centerpiece of All Optical Network</i> (IGI Consulting Inc. & B & C Consulting Services 2001). ("Holliday OXC")	
2012	An Vu Tran et al., Reconfigurable Multichannel Optical Add-Drop Multiplexers Incorporating Eight-Port Optical Circulators and	

Ex. No.	Description	
	<i>Fiber Bragg Gratings</i> , 13 Photonics Tech. Letters, IEEE, no. 10, 1100 (2001). ("Tran")	
2013	Jungho Kim & Byoungho Lee, <i>Bidirectional Wavelength Add-Drop</i> <i>Multiplexer Using Multiport Optical Circulators and Fiber Bragg</i> <i>Gratings</i> , 12 IEEE Photonics Tech. Letters no. 5, 561 (2000). ("Kim")	
2014	U.S. Patent No. 6,984,917 (filed Jun. 6, 2002). ("Marom '917")	
2015	U.S. Patent No. 6,657,770 (filed Aug. 31, 2001). ("Marom '770")	
2016	Max Born & Emil Wolf, <i>Principles of Optics</i> (Cambridge Univ. Press, 7th ed. 1999). ("Born")	
2017	U.S. Patent No. 6,543,286 (filed Jun. 19, 2001). ("Garverick")	
2018	WavePath 4500 Product Brief, Capella, http://www.capellainc.com/downloads/WavePath%204500%20Prod uct%20Brief%20030206B.pdf. ("WavePath")	
2019	A. Fraine, D.S. Simon, O. Minaeva, R. Egorov, and A.V. Sergienko, <i>Precise Evaluation of Polarization Mode Dispersion by Separation</i> <i>of Even- and Odd-Order Effects in Quantum Interferometry</i> , Optics Express v. 19, no. 21, 22820 (2011).	
2020	Abdul Al-Azzawi, <i>Fiber Optics: Principles and Practices</i> (CRC Press 2006). ("Al-Azzawi")	
2021	Curriculum Vitae of Dr. Alexander V. Sergienko. ("Sergienko CV")	
2022	U.S. Patent No. 5,629,790 (filed Oct. 18, 1993). ("Neukermans")	
2023	Dan M. Marom et al., Wavelength-Selective 1 x K Switches Using Free-Space Optics and MEMS Micromirrors: Theory, Design, and Implementation, 23 J. Lightwave Tech. 4, 1620 (2005). ("Marom")	
2024	<i>Metallic Coatings</i> , Exsma Optics, available at http://eksmaoptics.com/optical-components/coatings/metallic- coatings/. ("Exsma")	
2025	Defendant's Motion to Transfer Venue, Capella Photonics, Inc. v. Cisco Systems, Inc., Case Number: 1:14-cv-20529-PAS, Docket No. 19, April 4, 2014.	
2026	Transcript of Patent Trial and Appeal Board Conference Call for Cases IPR2014-01166 (merged with IPR2015-00816), IPR2014-	

Ex. No.	Description
	01276 (merged with IPR2015-00894), IPR2015-00726, and IPR2015-00727, dated September 23, 2015.
2027	Patent Owner Demonstratives for Cases IPR2014-01166 (merged with IPR2015-00816) and IPR2014-01276 (merged with IPR2015-00894)

Pursuant to 37 C.F.R. § 42.70(b) and the October 8, 2015 Order (Paper 36),

Patent Owner Capella Photonics, Inc. hereby files its demonstrative exhibit as

Exhibit 2027.

Date: November 4, 2015	Respectfully submitted STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
	/Jason D. Eisenberg/
1100 New York Avenue, N.W. Washington, D.C. 20005 (202) 371-2600	Jason D. Eisenberg Registration No. 43,447 Attorney for Patent Owner – Capella Photonics, Inc.

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