Page 1 1 2 UNITED STATES PATENT AND TRADEMARK OFFICE 3 _ _ BEFORE THE PATENT TRIAL AND APPEAL BOARD 4 5 _ _ _ CISCO SYSTEMS, INC. 6 Petitioner 7 vs. 8 CAPELLA PHOTONICS, INC. 9 Patent Owner 10 _ _ 11 Case: IPR2014-01166 12- - -13 March 5, 2015 14 _ _ _ 15 TELECONFERENCE IN THE ABOVE MATTER 16 _ _ _ 17 Before: Josiah Cocks Kalyan Deshpande 18 James Tartal Administrative Law Judges 19 20 21 VERITEXT LEGAL SOLUTIONS 2.2 MID-ATLANTIC REGION 1250 Eye Street NW - Suite 1201 23 Washington, D.C. 20005 24 25

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Page 2 A P P E A R A N C E S : 1 2 3 For PETITIONER CISCO: 4 COOLEY LLP BY: WAYNE O. STACY, ESQUIRE 5 and MATTHEW J. LEARY, ESQUIRE 6 380 Interlocken Crescent Suite 900 7 Broomfield, Colorado 80021-8023 720.566.4000 8 wstacy@cooley.com mleary@cooley.com 9 10 For PATENT OWNER CAPELLA: 11 STERNE, KESSLER, GOLDSTEIN & FOX, 12 PLLC BY: ROBERT GREENE STERNE, ESQUIRE 13 JASON D. EISENBERG, ESQUIRE JON E. WRIGHT, ESQUIRE 14 NICHOLAS NOWAK, ESQUIRE 1100 New York Ave. NW Suite 600 15 Washington, DC 20005 rsterne@skgf.com 16 jasone@skqf.com jwright@skqf.com 17 nnowak@skgf.com 18 19 20 21 22 23 24 25

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Page 3 JUDGE TARTAL: Good morning. 1 2 This is Judge Tartal, and with me on the 3 line is Judge Cocks and Judge Deshpande. This call is in IPR Proceeding 4 5 2014-01166, Cisco Systems, Incorporated versus Capella Photonics, Incorporated. 6 7 Can I have counsel for petitioner Cisco identify themselves? 8 9 MR. LEARY: Good morning, 10 Your Honor. This is Matt Leary. I'm 11 patent counsel for petitioner Cisco, and 12with me on the line is lead counsel 13 Wayne Stacy. 14 JUDGE TARTAL: Can I have counsel for patent owner Capella 15 16 identify themselves, please. 17 MR. STERNE: Good morning, 18 Judge. This is Robert Sterne from 19 Sterne Kessler, lead counsel for patent 20 owner Capella. On the line I have with 21 me Jason Eisenberg, Jon Wright and Nick 2.2 Nowak. 23 JUDGE TARTAL: Is there also 24 a court reporter present today? COURT REPORTER: Yes, Your 2.5

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Page 4 Honor. My name is Linda Rossi. 1 2 JUDGE TARTAL: Petitioner, 3 was that through you or patent owner? 4 MR. LEARY: That was set up 5 by the patent owner and we, of course, 6 are sharing costs for the court reporter 7 today. 8 JUDGE TARTAL: I would just 9 ask that either of you, you can work it 10 out as to who it is, when they have a 11 completed transcript, to file that as an 12exhibit in the IPR proceeding, please. 13 MR. LEARY: We will, Your 14 Honor. 15 JUDGE TARTAL: I think 16 petitioner started -- initiated the 17 request for the call, and I think first 18 thing on the agenda would be the petitioner's request for authorization 19 20 to file a motion to submit supplemental 21 information. I wanted to give the 2.2 petitioner an opportunity at this point 23 not to get into the merits of the motion 24 itself but we're solely dealing with the 2.5 request for authorization and to explain

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1	the context of why they are seeking to
2	submit additional information at this
3	point in time, how it's intended to be
4	used and just the general nature of that
5	information, how many pages, how many
6	documents, that type of thing.
7	MR. LEARY: The information
8	that we are requesting authorization to
9	submit breaks down into two categories;
10	one is priority date evidence and the
11	other is evidence relevant to claim
12	construction. And the priority date
13	evidence is also related to some of our
14	proposed motions. If it's okay with
15	Your Honor, I would like to give you a
16	bit of background behind the reason for
17	why we're looking for this information.
18	JUDGE TARTAL: Sure. That's
19	fine. Just a brief background.
20	MR. LEARY: The patent owner
21	has indicated that they plan to swear
22	behind the Smith patent. They served
23	some 'rog responses to that effect in
24	district court. So we know this issue
25	is in play. The problem at this point

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