1	BRIAN K. BROOKEY, CA Bar No. 1495	522
2	brian.brookey@cph.com CHRISTIE, PARKER & HALE, LLP	
3	655 N. Central Avenue, Suite 2300	
4	Post Office Box 29001	
5	Glendale, California 91209-9001 Telephone: (626) 795-9900	
6	Facsimile: (626) 577-8800	
7	MARTIN PFEFFER (admitted pro hac vi	ce)
8	mpfeffer@ostrolenk.com	
9	DOUGLAS A. MIRO (<i>admitted pro hac v</i> dmiro@ostrolenk.com	ice)
10	MICHAEL F. HURLEY (admitted pro ha	ıc vice)
11	mhurley@ostrolenk.com OSTROLENK FABER LLP	
12	1180 Avenue of the Americas	
13	New York, NY 10036 Telephone: (212) 596-0500	
14	Facsimile: (212) 382-0888	
15	Attorneys for Defendant,	
16	Universal Remote Control, Inc.	
17	UNITED STATES DI	
18	CENTRAL DISTRICT SOUTHERN I	
19		
20	UNIVERSAL ELECTRONICS INC.,	
21	Plaintiff,	Case No. SACV 12-00329 AG (JPRx)
22	VS.	UNIVERSAL REMOTE
23		CONTROL, INC.'S AMENDED INITIAL
24	UNIVERSAL REMOTE CONTROL, INC.,	DISCLOSURES
25		
26	Defendant.	
27		
28		
	ET M Find authenticated court documents without w	atermarks at <u>docketalarm.com</u> .

1	Defendant and Counterclaimant, Universal Remote Control, Inc. ("URC")
2	hereby makes its initial disclosures under Rule 26(a)(1) of the Federal Rules of
3	Civil Procedure. URC bases these disclosures on information currently available
4	to it arising from its investigation to date. URC's understanding of the subject
5	matter of this action, as well as the scope of rights claimed by Plaintiff Universal
6	Electronics Inc. ("UEI"), arises from UEI's service of the Complaint in this action
7	asserting infringement of U.S. Patent Nos. 5,414,426 (the "'426 patent"),
8	5,568,367 (the "'367 patent"), 5,614,906 (the "'906 patent"), and 6,587,067 (the
9	"' '067 patent") (collectively, "the Asserted Patents").
10	The disclosures set forth herein are based on information reasonably
11	available to URC following a good faith inquiry upon UEI's commencement of
12	this suit. Investigation concerning this action is ongoing, and URC reserves the
13	right under Rule 26(e) of the Federal Rules of Civil Procedure to modify, amend,
14	or supplement these disclosures as it learns additional information.
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
DOCK	ET
ALAR	Find authenticated court documents without watermarks at <u>docketalarm.com</u> .

1 2	A. 26(a)(1)(A)(i) - Persons Having Knowledge of Relevant Facts			
3 4 5	At this time, URC is aware of the following persons having knowledge of relevant facts:			
6 7 8	Name and Contact Information (if known) Frank A. O'Donnell	Connection with the Case Frank A. O'Donnell is	Substance of Known Information Frank A. O'Donnell is likely	
9 10		a named inventor of the Asserted Patents.	to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity,	
11 12 13			unenforceability, conception, reduction to practice, construction of the claims, and embodiments.	
14 15 16	Qiuju Luo	Qiuju Luo is a named inventor of the Asserted Patents.	Qiuju Luo is likely to have information regarding the Asserted Patents, including prosecution history, prior art,	
17 18			invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments.	
19 20 21	Kimthoa T. Nguyen	Kimthoa T. Nguyen is a named inventor of the Asserted Patents.	Kimthoa T. Nguyen is likely to have information regarding the Asserted Patents, including prosecution history,	
22 23 24			prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims,	
25 26			and embodiments.	
27 28	T			
ALAR		t documents without watermarks	at <u>docketalarm.com</u> .	

Name and Contact Information (if known)	Connection with the Case	Substance of Known Information
Young M. Park	Young M. Park is a named inventor of the Asserted Patents.	Young M. Park is likely to have information regarding the Asserted Patents, including prosecution history prior art, invalidity, unenforceability, conception reduction to practice, construction of the claims, and embodiments.
Patrick H. Hayes	Patrick H. Hayes is a named inventor of the Asserted Patents.	Patrick H. Hayes is likely to have information regarding the Asserted Patents, including prosecution history prior art, invalidity, unenforceability, conception reduction to practice, construction of the claims, and embodiments.
Paul V. Darbee	Paul V. Darbee is a named inventor of the Asserted Patents.	 Paul V. Darbee is likely to have information regarding the Asserted Patents, including prosecution history prior art, invalidity, unenforceability, conception reduction to practice, construction of the claims, and embodiments.
Richard E. Ellis	Richard E. Ellis is a named inventor of the Asserted Patents.	Richard E. Ellis is likely to have information regarding the Asserted Patents, including prosecution history prior art, invalidity, unenforceability, conception reduction to practice, construction of the claims, and embodiments.

1

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

Name and Contact Information (if known)	Connection with the Case	Substance of Known Information
Louis Steven Jansky	Louis Steven Jansky is a named inventor of the Asserted Patents.	Louis Steven Jansky is likely to have information regarding the Asserted Patents, including prosecution history prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments.
Avram S. Grossman	Avram S. Grossman is a named inventor of the	Avram S. Grossman is likely to have information regarding
	Asserted Patents.	the Asserted Patents, including prosecution history prior art, invalidity,
		unenforceability, conception reduction to practice,
		construction of the claims, and embodiments.
Greenberg Traurig, LLP 77 West Wacker Drive Suite 3100	Greenberg Traurig, LLP was involved in the prosecution of the	Greenberg Traurig, LLP may have information regarding the Asserted Patents,
Chicago, IL 60601-1732	Asserted Patents.	including prosecution history prior art, invalidity,
		unenforceability, conception reduction to practice,
		construction of the claims, and embodiments.

DOCKET A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.