Paper 6

Entered: June 18, 2014

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

MOSES LAKE INDUSTRIES, INC. Petitioner

v.

ENTHONE, INC. Patent Owner

Case IPR2014-00243 Patent 7,303,992 B2

Before KEVIN F. TURNER, SHERIDAN K. SNEDDEN, and JEREMY M. PLENZLER, *Administrative Patent Judges*.

TURNER, Administrative Patent Judge

DECISION
Denial of Institution of *Inter Partes* Review
37 C.F.R. § 42.108



I. INTRODUCTION

Moses Lake Industries, Inc. (hereinafter, "MLI") filed a petition (Paper 1, "Pet.") requesting *inter partes* review of claims 1-28 of U.S. Patent No. 7,303,992 B2 ("the '992 Patent"). Patent Owner, Enthone, Inc. ("Enthone"), filed a Preliminary Response (Paper 5, "Prelim. Resp."). We have jurisdiction under 35 U.S.C. § 314.

The standard for instituting an *inter partes* review is set forth in 35 U.S.C. § 314(a), which provides:

THRESHOLD.—The Director may not authorize an inter partes review to be instituted unless the Director determines that the information presented in the petition filed under section 311 and any response filed under section 313 shows that there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition.

We conclude that the information presented in the Petition does not demonstrate that there is a reasonable likelihood that MLI will prevail in challenging claims 1-28 as unpatentable under 35 U.S.C. §§ 102 or 103. For the reasons that follow, the Petition is *denied*.



A. Related Matters

MLI indicates that the '992 Patent was asserted in *Enthone, Inc. v. Moses Lake Industries, Inc.*, Case No. 1:13-cv-1054, in the U.S. District
Court for the Northern District of New York. Pet. 1. U.S. Patent
No. 7,815,786 B2, which issued from a divisional application based on the patent application that issued as the '992 Patent, was also asserted in that civil action. U.S. Patent No. 7,815,786 is also the subject of a petition requesting *inter partes* review in Case IPR2014-00246, which is being decided concurrently.

B. The '992 Patent (Ex. 1001)

The '992 Patent relates to a method for electrolytically plating copper onto a substrate having submicron-sized interconnect features using a source of copper ions and suppressor compound having polyether groups. Ex. 1001, Abstract. The '992 Patent discusses known systems that rely on so-called "superfilling" or "bottom-up growth" to deposit copper into high aspect ratio features, where the superfilling involves filling a feature from the bottom up, rather than at an equal rate on all its surfaces, to avoid seams and pinching off that can result in voiding. *Id.* at 2:6-11. The '992 Patent discloses a suppressor compound formed from a combination of propylene oxide (PO) repeat units and ethylene oxide (EO) repeat units present in a PO:EO ratio between about 1:9 and about 9:1 and bonded to a nitrogencontaining species, wherein the molecular weight of the suppressor compound is between about 1000 and about 30,000. *Id.* at 3:25-30.



C. Challenged Claims

Independent claims 1, 2, and 17, as well as dependent claims 3-16 and 18-28, are challenged by MLI in its Petition. Claims 1 and 17 are illustrative and are reproduced below, with emphasis added:

1. A method for electroplating a copper deposit onto a semiconductor integrated circuit device substrate with electrical interconnect features including submicron-sized features having bottoms, sidewalls, and top openings, the method comprising:

immersing the semiconductor integrated circuit device substrate including submicron-sized features having bottoms, sidewalls, and top openings wherein said submicron-sized features include high aspect ratio features having dimensions such that the high aspect ratio features have aspect ratios of at least about 3:1 into an electrolytic plating composition comprising a source of Cu ions in an amount sufficient to electrolytically deposit Cu onto the substrate and into the electrical interconnect features and a polyether suppressor compound comprising a combination of propylene oxide (PO) repeat units and ethylene oxide (EO) repeat units present in a PO:EO ratio between about 1:9 and about 9:1 and bonded to a nitrogen-containing species, wherein the molecular weight of the suppressor compound is between about 1000 and about 30,000; and

supplying electrical current to the electrolytic composition to deposit Cu onto the substrate and superfill the submicron-sized features by rapid bottom-up deposition at a rate of growth in the vertical direction which is greater than a rate of growth in the horizontal direction.



17. A method for electroplating a copper deposit onto a semiconductor integrated circuit device substrate with electrical interconnect features including submicron-sized features having bottoms, sidewalls, and top openings, the method comprising:

immersing the semiconductor integrated circuit device substrate into the electrolytic plating composition comprising a source of Cu ions in an amount sufficient to electrolytically deposit Cu onto the substrate and into the electrical interconnect features, an accelerator, and a suppressor; and

supplying electrical current to the electrolytic composition to deposit Cu onto the substrate and superfill the submicron-sized features by rapid bottom-up deposition at a vertical Cu deposition growth rate in features from the bottoms of the features to the top openings of the features which is greater than 15 times faster than a field deposition growth rate on substrate surfaces outside the features.

D. Prior Art Relied Upon

MLI relies upon the following prior art references:

Hagiwara US 6,800,188 B2 Oct. 5, 2004 (Ex	x. 1006)
Wang US 7,128,822 B2 Oct. 31, 2006 (Ex	x. 1007)
Mikkola US 6,649,038 B2 Nov. 18, 2003 (Ex	x. 1008)
Martyak US 2004/0045832 A1 Mar. 11, 2004 (Ex	x. 1009)
Ishikawa US 6,518,182 B1 Feb. 11, 2003 (Ex	x. 1010)
Dubin US 6,491,806 B1 Dec. 10, 2002 (Ex	x. 1011)
Mikkola US 2004/0217009 A1 Nov. 4, 2004 (Ex	x. 1013)
Brown US 2004/0138075 A1 Jul. 15, 2004 (Ex	x. 1016)
Stridde US 6,420, 311 B1 Jul. 16, 2002 (Ex	x. 1017)
Nakada US 2008/0264798 A1 Oct. 30, 2008 (Ex	x. 1018)
Eckles US 4,384,930 May 24, 1983 (Ex	x. 1019)
Willis US 4,347,108 Aug. 31, 1982 (Ex	x. 1020)



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