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UNIVERSAL REMOTE CONTROL, INC.

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION

UNIVERSAL ELECTRONICS INC.,

Plaintiff and
Counterclaim Defendant,

vs.

UNIVERSAL REMOTE CONTROL,
INC.,

Defendant and
Counterclaimant.

§ Case No. SACV 12-00329 AG
§ (JPRx)

§ UNIVERSAL REMOTE
§ CONTROL, INC.'S INITIAL
§ DISCLOSURES

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Defendant and Counterclaimant, Universal Remote Control, Inc. (“URC”) hereby makes its initial disclosures under Rule 26(a)(1) of the Federal Rules of Civil Procedure. URC bases these disclosures on information currently available to it arising from its investigation to date. URC’s understanding of the subject matter of this action, as well as the scope of rights claimed by Plaintiff Universal Electronics Inc. (“UEI”), arises from UEI’s service of the Complaint in this action asserting infringement of U.S. Patent Nos. 5,414,426 (the “ ’426 patent”), 5,568,367 (the “ ’367 patent”), 5,614,906 (the “ ’906 patent”), and 6,587,067 (the “ ’067 patent”) (collectively, “the Asserted Patents”).

The disclosures set forth herein are based on information reasonably available to URC following a good faith inquiry upon UEI’s commencement of this suit. Investigation concerning this action is ongoing, and URC reserves the right under Rule 26(e) of the Federal Rules of Civil Procedure to modify, amend, or supplement these disclosures as it learns additional information.

A. 26(a)(1)(A)(i) - Persons Having Knowledge of Relevant Facts

At this time, URC is aware of the following persons having knowledge of relevant facts:

| Name and Contact Information (if known) | Connection with the Case | Substance of Known Information |
|--|---|--|
| Frank A. O'Donnell | Frank A. O'Donnell is a named inventor of the Asserted Patents. | Frank A. O'Donnell is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |
| Qiuju Luo | Qiuju Luo is a named inventor of the Asserted Patents. | Qiuju Luo is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |
| Kimthoa T. Nguyen | Kimthoa T. Nguyen is a named inventor of the Asserted Patents. | Kimthoa T. Nguyen is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |

| Name and Contact Information (if known) | Connection with the Case | Substance of Known Information |
|--|---|--|
| Young M. Park | Young M. Park is a named inventor of the Asserted Patents. | Young M. Park is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |
| Patrick H. Hayes | Patrick H. Hayes is a named inventor of the Asserted Patents. | Patrick H. Hayes is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |
| Paul V. Darbee | Paul V. Darbee is a named inventor of the Asserted Patents. | Paul V. Darbee is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |
| Richard E. Ellis | Richard E. Ellis is a named inventor of the Asserted Patents. | Richard E. Ellis is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |

| Name and Contact Information (if known) | Connection with the Case | Substance of Known Information |
|--|---|---|
| Louis Steven Jansky | Louis Steven Jansky is a named inventor of the Asserted Patents. | Louis Steven Jansky is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |
| Avram S. Grossman | Avram S. Grossman is a named inventor of the Asserted Patents. | Avram S. Grossman is likely to have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |
| Greenberg Traurig, LLP 77 West Wacker Drive Suite 3100 Chicago, IL 60601-1732 | Greenberg Traurig, LLP was involved in the prosecution of the Asserted Patents. | Greenberg Traurig, LLP may have information regarding the Asserted Patents, including prosecution history, prior art, invalidity, unenforceability, conception, reduction to practice, construction of the claims, and embodiments. |

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