UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

GLOBAL FOUNDRIES U.S., INC., GLOBALFOUNDRIES DRESDEN MODULE ONE LLC & CO. KG, GLOBALFOUNDRIES DRESDEN MODULE TWO LLC & CO. KG, and THE GILLETTE COMPANY

Petitioners

v.

ZOND, LLC Patent Owner

Case IPR2014-01087¹ Patent 7,147,759 B2

ZOND LLC'S PATENT OWNER RESPONSE

¹ Case IPR2014-00984 has been joined with the instant proceeding.



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| | | a. Kudryavtsev – A. A. Kudryavtsev and V.N. Skerbov, Ionization relaxation in a plasma produced by a pulsed inert-gas discharge, Sov. Phys. Tech. Phys. 28(1), pp. 30-35, January 1983 (Ex. 1004), | 19 |
| | | b. Mozgrin (Ex. 1103) | 22 |
| | | c. Wang – U.S. Patent No. 6,413,382 (Exhibit 1005) | 25 |
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| 1. | The cited references do not teach generating "the voltage pulse with an amplitude and a rise time that increases an excitation rate of ground state atoms that are present in the weakly-ionized plasma to create a multi-step ionization process that generates a strongly-ionized plasma," as recited in independent claim 1, or and as required by challenged claims 3, 5-9, 13-16, 19, 41-43, and 45 dependent therefrom. | 39 |
|-----------|---|----|
| 2. | The cited references do not teach a "multi-step ionization process comprising exciting the ground state atoms to generate excited atoms, and then ionizing the excited atoms within the weakly-ionized plasma without forming an arc discharge," as recited in claim 1 and as required by challenged claims 3, 5-9, 13-16, 19, 41-43, and 45 dependent therefrom | 46 |
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| VI. CONCI | LUSION | 60 |



Exhibit List

| Exhibit | Description |
|----------|---|
| No. | |
| Ex. 2004 | U.S. Patent 6,398,929 to Chiang |
| Ex. 2005 | Declaration of Dr. Hartsough, Patent Owner's expert. |
| Ex. 2006 | Sinha, Naresh, K., Control Systems, Holt, Rinehart and Winston, 1986. |
| Ex. 2007 | Eronini Umez-Eronini, System Dynamics and Control, Brooks Cole Publishing Co., CA, 1999, pp. 10-13. |
| Ex. 2008 | Excerpts from Weyrick, Fundamentals of Automatic Control, McGraw-Hill Book Company, 1975. |
| Ex. 2009 | Excerpts from Kua, Automatic Control, Prentice Hall Inc., 1987. |
| Ex. 2010 | Transcript of deposition of Dr. Kortshagen, Petitioners' expert, for the '759 patent |
| Ex. 2011 | Transcript of deposition of Dr. Kortshagen, Petitioners' expert, for the '142 patent |



I. INTRODUCTION

The Petitioners' arguments hinge on fanciful misreadings of the prior art by their proffered expert, Dr. Uwe Kortshagen. As will be shown below, neither Wang nor Kudryavtsev teaches choosing the amplitude and rise time of a voltage pulse in order to increase the "excitation rate of ground state atoms . . . to create a multi-step ionization process that generates a strongly-ionized plasma. . . the multi-step ionization process comprising exciting the ground state atoms to generate excited atoms and then ionizing the excited atoms within the weakly-ionized plasma without forming an arc discharge," as required by the claims of the '759 patent. Once the Board recognizes that Dr. Kortshagen essentially invented some of the alleged "teachings" in Wang and Kudryavtsev to suit the Petitioners' objectives, the Board should agree to confirm the challenged claims.

Neither Wang nor Kudryavtsev teaches the claimed voltage pulse. The '759 patent discloses carefully designing the amplitude and rise time of a voltage pulse. The patent shows that, with proper control of the voltage amplitude and rise time, the inventor, Dr. Chistyakov, was able to ignite a plasma *without arcing*, rapidly grow that plasma to a high density, and sustain



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