

THE GILLETTE COMPANY

Petitioner

v.

ZOND, LLC Patent Owner

Case IPR2014-01014 Patent 6,853,142

ZOND LLC'S PATENT OWNER PRELIMINARY RESPONSE PURSUANT TO 37 C.F.R. § 42.107(a)



TABLE OF CONTENTS

I.	INTRO	DUCTION	1
II.	TECH	NOLOGY BACKGROUND	7
	A.	Overview Of Magnetron Sputtering Systems.	7
	В.	The '142 patent: Dr. Chistyakov invents a new apparatus containing an anode; a cathode positioned adjacent to the anode to form a gap there between; an ionization source for generating weakly-ionized plasma, and a pulsed power supply that produces an electric field across the gap to generate excited atoms in the weakly-ionized plasma and secondary electrons from the cathode, the secondary electrons ionizing the excited atoms, thereby creating the strongly ionized plasma.	9
	C.	The Petitioner Mischaracterized The File History	12
III.	SUMI	MARY OF THE PETITIONER'S PROPOSED GROUNDS FOR REVIEW	16
IV	. PATE	ENT OWNER'S CLAIM CONSTRUCTIONS	17
	A.	The construction of "weakly ionized plasma" and "strongly ionized plasma."	18
V.		E IS NO REASONABLE LIKELIHOOD OF PETITIONER PREVAILING O A CHALLENGED CLAIM OF THE '142 PATENT	19
	A.	The Petition failed to demonstrate any motivation to combine.	21
	1.	Scope and content of prior art.	23
		a. Kudryavtsev – A. A. Kudryavtsev and V.N. Skerbov, Ionization relaxation in a plasma produced by a pulsed inert-gas discharge, Sov. Phys. Tech. Phys. 28(1), pp. 30-35, January 1983 (Ex. 1204),	23
		b. Mozgrin – D.V. Mozgrin, et al, High-Current Low-Pressure Quasi-Stationary Discharge in a Magnetic Field: Experimental Research, Plasma Physics Reports, Vol. 21, No. 5, pp. 400-409, 1995 (Exhibit 1203).	26
		c. Wang – U.S. Patent No. 6,413,382 (Exhibit 1205)	28
	2.	The Petitioner Fails To Show That It Would Have Been Obvious To Combine The Cylindrical Tube System Without A Magnet Of Kudryavtsev With Either The Mozgrin or Wang Magnetron System	30
	B.	The Petition fails to demonstrate how the alleged combinations teach every element of the challenged claims.	36



IPR2014-01014 U.S. Patent No. 6,853,142

1	The cited references do not teach that an electric field across the gap is "a quasi-static electric field," as recited in dependent claims 24 and 32	36
2	The cited references do not teach that "a rise time of the electric field is chosen to increase an ionization rate of the excited atoms in the weakly-ionized plasma," as recited in claim 26.	39
3	The cited references do not teach that "the strongly ionized plasma is substantially uniform proximate to the cathode," as recited in claims 27 and 38 and "selecting at least one of a pulse amplitude and a pulse width of the electrical pulse in order to cause the strongly-ionized plasma to be substantially uniform in an area adjacent to a surface of the cathode," as required by dependent claim 37.	41
4	The cited references do not teach that "a dimension of the gap between the anode and the cathode is chosen to increase an ionization rate of the excited atoms in the weakly-ionized plasma," as required by dependent claim 28.	44
C.	The Petition Failed to Identify Any Compelling Rationale for Adopting Redundant Grounds of Rejection Under Both Mozgrin and Wang	47
D.	The Petitioner Failed To Establish That The Mozgrin Thesis Is Prior Art	51
VI COI	JCI LISION	53



I. INTRODUCTION

The Petitioner has represented in a motion for joinder that this petition "is identical to the Intel IPR2014-00496 in all substantive respects, includes identical exhibits, and relies upon the same expert declarant." Accordingly, based upon that representation, the Patent Owner opposes review on the same basis presented in opposition to Intel's request no. IPR2014-00496, which is repeated below:

The Board should deny the present request for *inter partes* review of U.S. Patent No. 6,853,142 ("the '142 patent") because there is not a reasonable likelihood that the Petitioner will prevail at trial with respect to at least one claim of the '142 patent.¹

Indeed, there are six different and independent groups of reasons why the Petitioner cannot prevail. First, the references that are primarily relied upon by the Petitioner (*i.e.*, Mozgrin and Wang) were already considered by the Examiner and overcome during the prosecution of the application that led to the issuance of the '142 patent. These references were considered by 6



¹ 35 U.S.C. § 314(a).

different examiners and overcome during the prosecution of 9 other patents that are related to the '142 patent over nearly a 10 year period.²

Second, all of the Petitioner's obviousness rejections are predicated on the false assumption that a skilled artisan could have achieved the combination of i) an anode; ii) a cathode that is positioned adjacent to the anode and forming a gap there between; iii) an ionization source generating a weakly-ionized plasma proximate to the cathode, and iv) a power supply that generates an electric field across the gap to produce a highly-ionized plasma, as required by independent claim 21 and as similarly required by independent claim 31 of the '142 patent by combining the teachings of Kudryavtsev with either Mozgrin or Wang.³



² Examiners Douglas Owens, Tung X. Le, Rodney McDonald, Wilson Lee, Don Wong, and Tuyet T. Vo allowed U.S. Patents 7,147,759, 7,808,184, 7,811,421, 8,125,155, 6,853,142, 7,604,716, 6,896,775, 6,896,773, 6,805,779, and 6,806,652 over Mozgrin and Wang over nearly a decade from the time that the application for the '759 patent was filed on 9/30/2002 to the time that the '155 patent issued on 2/28/2012.

³ Petition at pp. 14-60.

DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

