# BEFORE THE PATENT TRIAL AND APPEAL BOARD IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

- **Trial No.:** IPR 2014-00978
- **In re:** U.S. Patent No. 7,802,310
- Patent Owners: PersonalWeb Technologies, LLC & Level 3 Communications
- **Petitioner:** Google Inc. and YouTube, LLC
- Inventors: David A. Farber and Ronald D. Lachman

For: CONTROLLING ACCESS TO DATA IN A DATA PROCESSING SYSTEM

\* \* \* \* \* \* \* \* \* \*

July 1, 2014

# PATENT OWNER'S MANDATORY NOTICES

UNDER 37 C.F.R. § 42.8

Pursuant to 37 C.F.R. § 42.8(a)(2), Patent owner (PersonalWeb

Technologies, LLC) hereby makes the following Mandatory Notices.

# I. 37 C.F.R. § 42.8(b)(1) – REAL PARTY-IN-INTEREST

The real parties-in-interest from the side of patent owner are:

PersonalWeb Technologies, LLC

112 E Line Street

Suite 204

DOCKET

Tyler, Texas 75702

Level 3 Communications, LLC

1025 Eldorado Blvd.

Broomfield, CO 80021

# II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

The following are related matters in federal court:

- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Google, Inc. and YouTube, LLC; In the United States District Court, Northern District of California, Case No. 5:13-cv-1317 (EJD).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Facebook, Inc.; In the United States District Court, Northern District of California, Case No. 5:13-cv-1356 (EJD).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Apple Inc.; In the United States District Court, Northern District of California, Case No. 4:14-cv-1683 (LED).
- 4. PersonalWeb Technologies, LLC and Level 3 Communications, LLC v.
  Yahoo! Inc.; In the United States District Court, Eastern District of Texas,
  Case No. 6:12-cv-658 (LED).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Microsoft Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:12-cv-663 (LED).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. NEC Corporation of America, Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:11-cv-655 (LED).

- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. NetApp, Inc.; In the United States District Court, Northern District of California, Case No. 5:13-cv-1359 (EJD).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Amazon.com Inc., Amazon Web Services LLC, and Dropbox, Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:11-cv-658 (LED).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v.
   EMC Corporation and VMWare, Inc.; In the United States District Court, Northern District of California, Case No. 5:13-cv-1358 (EJD).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. Autonomy, Inc., Hewlett-Packard Company, and HP Enterprise Services, LLC; In the United States District Court, Eastern District of Texas, Case No. 6:11-cv-683 (LED).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v.
   International Business Machines Corporation; In the United States District
   Court, Eastern District of Texas, Case No. 6:12-cv-661 (LED).
- PersonalWeb Technologies, LLC and Level 3 Communications, LLC v. RackSpace US, Inc. and RackSpace Hosting, Inc.; In the United States District Court, Eastern District of Texas, Case No. 6:12-cv-659 (LED).

DOCKET

Α

The following are related matters in the United States Patent and Trademark Office:

U.S. Patent Application No.	Filing or 371(c) Date
13/352,169	01-17-2012
13/351,433	01-17-2012
13/109,208	05-17-2011
13/102,337	05-06-2011
13/091,380	04-21-2011

## PENDING U.S. PATENT APPLICATIONS

## PENDING U.S. PATENT REEXAMINATION

U.S. Patent No.	U.S. Control No.
5,978,791	90/012,931

# **INTER PARTES REVIEWS**

Case No.	U.S. Patent No.
IPR2013-00082	5,978,791
IPR2013-00083	6,415,280
IPR2013-00084	7,945,544
IPR2013-00085	7,945,539

# DOCKET A L A R M



# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

# **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

# **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

# **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

# API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

### LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

### FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.