

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

INTERNATIONAL BUSINESS MACHINES CORPORATION and
ORACLE AMERICA, INC.,
Petitioners,

v.

ELECTRONICS AND TELECOMMUNICATIONS
RESEARCH INSTITUTE,
Patent Owner.

Case IPR2014-00976
Patent 6,978,346

**PETITIONERS INTERNATIONAL BUSINESS MACHINES
CORPORATION AND ORACLE AMERICA, INC.'S UPDATED
MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(3)**

Pursuant to 37 C.F.R. § 42.8(a)(3), Petitioners hereby submit the following updated Mandatory Notices in connection with the Petition for *Inter Partes* Review of U.S. Patent No. 6,978,346 (the "'346 patent"), Case No. IPR2014-00976.

MANDATORY NOTICES UNDER 37 C.F.R. § 42.8 (a)(3)

A. Real Parties-In-Interest under 37 C.F.R. § 42.8(b)(1)

The real parties-in-interest are International Business Machines Corporation and Oracle America, Inc.

B. Related Matters under 37 C.F.R. § 42.8(b)(2)

The '346 patent is the subject of a number of civil actions in the District Court for Delaware: Civil Action Nos. 1-13-cv-01152; 1-13-cv-01151; 1-13-cv-01150; 1-13-cv-01088; 1-13-cv-01089; 1-13-cv-01090; 1-13-cv-00928; 1-13-cv-00927; 1-13-cv-00931; 1-13-cv-00932; 1-13-cv-00930; 1-13-cv-00929; 1-13-cv-00926; 1-12-cv-01629; 1-12-cv-01625; 1-12-cv-01627; 1-12-cv-01624; 1-12-cv-01628; and 1-12-cv-01626.

The '346 patent is also the subject of IPR Case Nos. IPR2013-00635, IPR2014-00901, and IPR2014-00949.

C. Lead and Back-up Counsel Under 37 C.F.R. § 42.8(b)(3)

Petitioners provide the following designation of counsel.

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D. Service Information Under 37 C.F.R. § 42.8(b)(4)

Please address all correspondence to lead and back-up counsel.

Petitioners also consent to electronic service by email at IBM-Safe-Storage-KEService@kirkland.com.

Case IPR2014-00976
Patent 6,978,346

Dated: October 9, 2014

Respectfully submitted,

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CERTIFICATE OF SERVICE

Pursuant to 37 CFR §§ 42.6(e)(4)(i) *et seq.* and 42.105(b), the undersigned certifies that on October 9, 2014, a complete copy of this UPDATED MANDATORY NOTICES was provided by email, as consented to by counsel, to the Patent Owner by serving the counsel of record as follows:

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