## UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

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# INTERNATIONAL BUSINESS MACHINES CORPORATION AND ORACLE AMERICA, INC. Petitioners

v.

### ELECTRONICS AND TELECOMMUNICATIONS RESEARCH INSTITUTE Patent Owner

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Case No. IPR2014-00949 Patent No. 6,978,346

PATENT OWNER'S EXHIBIT LIST



Pursuant to 37 C.F.R. § 42.63(e), the Patent Owner hereby provides its initial exhibit list:

Exhibit No.	Description
2001	Prosecution History, U.S. Pat. Appl. No. 09/753,245
2002	RESERVED
2003	Declaration of Dr. Thomas M. Conte in Support of Patent Owner's Response to Petition for <i>Inter Partes</i> Review (June 20, 2014)
2004	Webster's Computer Dictionary (9th ed. 2001)
2005	Microsoft Computer Dictionary (5th ed. 2002)
2006	RESERVED
2007	Transcript of Deposition of Dr. Thomas M. Conte (Aug. 7, 2014)
2008-2100	RESERVED
2201	IPR2014-00976, Paper 1 (Petition for <i>Inter Partes</i> Review), filed June 18, 2014
2202	IPR2014-00976, Exhibit 1001 (Decl. of Dr. Randy Katz), executed June 18, 2014
2203	IPR2014-00976, Exhibit 1002 (Dr. Randy Katz CV)
2204	2014 ETRI Technology Report



Respectfully submitted on behalf of the Patent Owner,

Date: <u>2014 Sept. 17</u> By: <u>/ M.C. Phillips/</u>

Alexander C.D. Giza Reg. No. 51,740 Backup Counsel for Patent Owner

Russ August & Kabat 12424 Wilshire Blvd., 12th Floor Los Angeles, California 90025 Telephone: (310) 826-7474 Facsimile: (310) 826-6991

agiza @raklaw.com

Matthew C. Phillips Reg. No. 43,403 Lead Counsel for Patent Owner

Renaissance IP Law Group LLP 9600 SW Oak Street, Suite 560 Portland, Oregon 97223 Telephone: (503) 964-1129

Telephone: (503) 964-1129 Facsimile: (503) 517-9919

matthew.phillips@renaissanceiplaw.com

Derek Meeker Reg. No. 53,313 Backup Counsel for Patent Owner

Renaissance IP Law Group LLP 9600 SW Oak Street, Suite 560

Portland, Oregon 97223 Telephone: (360) 606-8922 Facsimile: (503) 517-9919

derek.meeker@renaissanceiplaw.com



#### **CERTIFICATE OF SERVICE**

I certify that on September 17, 2014, the foregoing PATENT OWNER'S EXHIBIT LIST, including all attached papers referenced therein, have been served on the Petitioners' counsel of record via email, as agreed to by counsel, at the following email addresses:

Todd M. Friedman: IBM-Safe-Storage-KEService@kirkland.com

Gregory S. Arovas: IBM-Safe-Storage-KEService@kirkland.com

/ M.C. Phillips / Matthew C. Phillips Reg. No. 43,403

