RAID: High-Performance, Reliable Secondary Storage

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Abstract: Disk arrays were proposed in the 1980s as a way to use parallelism between multiple disks to improve aggregate I/O performance. Today they appear in the product lines of most major computer manufacturers. **This paper gives a comprehensive overview of disk arrays and provides a framework in which to organize current and future work.** The paper first introduces disk technology and reviews the driving forces that have popularized disk arrays: performance and reliability. It then discusses the two architectural techniques used in disk arrays: striping across multiple disks to improve performance and redundancy to improve reliability. Next, the paper describes seven disk array architectures, called RAID (Redundant Arrays of Inexpensive Disks) levels 0-6 and compares their performance, cost, and reliability. It goes on to discuss advanced research and implementation topics such as refining the basic RAID levels to improve performance and designing algorithms to maintain data consistency Last, the paper describes five disk array prototypes or products and discusses future opportunities for research. The paper includes an annotated bibliography of disk array-related literature.

Content indicators: disk array, RAID, parallel I/O, storage, striping, redundancy

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1	INTR	ODUCTION	1
2	BACI	KGROUND	3
	2.1	Disk Terminology	3
	2.2	Data Paths	5
	2.3	Technology Trends	7
3	DISK	ARRAY BASICS	8
	3.1	Data Striping and Redundancy	8
	3.2	Basic RAID Organizations	9
		3.2.1 Non-Redundant (RAID Level 0)	10
		3.2.2 Mirrored (RAID Level 1)	10
		3.2.3 Memory-Style ECC (RAID Level 2)	12
		3.2.4 Bit-Interleaved Parity (RAID Level 3)	12
		3.2.5 Block-Interleaved Parity (RAID Level 4)	13
		3.2.0 Block-Interleaved Distributed-Failty (KAID Level 5)	15
	33	Parformance and Cost Comparisons	15
	5.5	3.3.1 Ground Rules and Observations	15
		3.3.2 Comparisons	17
	3.4	Reliability	19
	011	3.4.1 Basic Reliability	19
		3.4.2 System Crashes and Parity Inconsistency	21
		3.4.3 Uncorrectable Bit-Errors	22
		3.4.4 Correlated Disk Failures	23
		3.4.5 Reliability Revisited	24
		3.4.6 Summary and Conclusions	27
	3.5	Implementation Considerations	27
		3.5.1 Avoiding Stale Data	28
		3.5.2 Regenerating Failed Disk	29
		3.5.4 Orthogonal RAID	31
4	ADV	ANCED TOPICS	32
•	4.1	Improving Small Write Derformance for DAID Level 5	32
	4.1	4.1.1 Buffering and Caching	
		4.1.2 Floating Parity	34
		4.1.3 Parity Logging	34
	4.2	Declustered Parity	35
	4.3	Exploiting On-Line Spare Disks	38
	4.4	Data Striping in Disk Arrays	40
	4.5	Performance and Reliability Modeling	42
5	CASE	E STUDIES	44
	5.1	Thinking Machines Corporation ScaleArray	45
	5.2	StorageTek Iceberg 9200 Disk Array Subsystem	46
	5.3	TickerTAIP/DataMesh	47
	5.4	The RAID-II Storage Server	49
	5.5	IBM Hagar Disk Array Controller	50
6	OPPC	DRTUNITIES FOR FUTURE RESEARCH	50
-	6.1	Experience with Disk Arrays.	
	6.2	Interaction among New Technologies	51
		C C C C C C C C C C C C C C C C C C C	

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	6.3	Scalability, Massively Parallel Computers, and Small Disks	
	6.4	Latency	52
7	CON	ICLUSIONS	53
8	ACK	NOWLEDGEMENTS	53
9	ANN	IOTATED BIBLIOGRAPHY	53

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1 INTRODUCTION

In recent years, interest in RAID, Redundant Arrays of Inexpensive Disks¹, has grown explosively. The driving force behind this phenomenon is the sustained exponential improvements in the performance and density of semiconductor technology. Improvements in semiconductor technology make possible faster microprocessors and larger primary memory systems which in turn require larger, higher-performance secondary storage systems. More specifically, these improvements on secondary storage systems have both quantitative and qualitative consequences.

On the quantitative side, Amdahl's Law [Amdahl67] predicts that large improvements in microprocessors will result in only marginal improvements in overall system performance unless accompanied by corresponding improvements in secondary storage systems. Unfortunately, while RISC microprocessor performance has been improving 50% or more per year [Patterson94, pg. 27], disk access times, which depend on improvements of mechanical systems, have been improving less than 10% per year. Disk transfer rates, which track improvements in both mechanical systems and magnetic media densities, have improved at the faster rate of approximately 20% per year. Assuming that semiconductor and disk technologies continue their current trends, we must conclude that the performance gap between microprocessors and magnetic disks will continue to widen.

In addition to the quantitative effect, a second, perhaps more important, qualitative effect is driving the need for higher-performance secondary storage systems. As microprocessors become faster, they make possible new applications and greatly expand the scope of existing applications. In particular, applications such as video, hypertext and multi-media are becoming common. Even in existing application areas such as computer-aided design and scientific computing, faster microprocessors make it possible to tackle new problems requiring larger datasets. This shift in applications along with a trend toward large, shared, high-performance, network-based storage systems is causing us to reevaluate the way we design and use secondary storage systems.

^{1.} Because of the restrictiveness of "Inexpensive", RAID is sometimes said to stand for "Redundant Arrays of Independent Disks". IPR2014-00901 Owner Ex. 2102

Disk arrays, which organize multiple independent disks into a large, high-performance logical disk, are a natural solution to the problem. Disk arrays stripe data across multiple disks and accessing them in parallel to achieve both higher data transfer rates on large data accesses and higher I/O rates on small data accesses. Data striping also results in uniform load balancing across all of the disks, eliminating hot spots that otherwise saturate a small number of disks while the majority of disks sit idle.

Large disk arrays, however, are highly vulnerable to disk failures; a disk array with a hundred disks is a hundred times more likely to fail than a single disk. An MTTF (mean-time-to-failure) of 200,000 hours, or approximately twenty-three years, for a single disk implies an MTTF of 2000 hours, or approximately three months, for a disk array with a hundred disks. The obvious solution is to employ redundancy in the form of error-correcting codes to tolerate disk failures. This allows a redundant disk array to avoid losing data for much longer than an unprotected single disk. Redundancy, however, has negative consequences. Since all write operations must update the redundant information, the performance of writes in redundant disk arrays can be significantly worse than the performance of writes in non-redundant disk arrays. Also, keeping the redundant information consistent in the face of concurrent I/O operations and system crashes can be difficult.

A number of different data striping and redundancy schemes have been developed. The combinations and arrangements of these schemes lead to a bewildering set of options for users and designers of disk arrays. Each option presents subtle tradeoffs between reliability, performance and cost that are difficult to evaluate without understanding the alternatives. To address this problem, this paper presents a systematic tutorial and survey of disk arrays. We describe seven basic disk-array organizations along with their advantages and disadvantages and compare their reliability, performance and cost. We draw attention to the general principles governing the design and configuration of disk arrays as well as practical issues that must be addressed in the implementation of disk arrays. A later section of the paper describes optimizations and variations to the seven basic disk-array organizations. Finally, we discuss existing research in the modeling of disk arrays and fruitful avenues for future research. This paper should be of value to anyone interested in disk arrays, including students, researchers, designers and users of disk arrays

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