

EXHIBIT C.08
U.S. Patent No. 7,811,421

References cited herein:

- U.S. Pat. No. 7,811,421 (“’421 Patent”)
- D.V. Mozgrin, *et al*, High-Current Low-Pressure Quasi-Stationary Discharge in a Magnetic Field: Experimental Research, Plasma Physics Reports, Vol. 21, No. 5, 1995 (“Mozgrin”)
- U.S. Pat. No. 6,190,512 (“Lantsman”)
- D.V. Mozgrin, High-Current Low-Pressure Quasi-Stationary Discharge in a Magnetic Field: Experimental Research, Thesis at Moscow Engineering Physics Institute, 1994 (“Mozgrin Thesis”)
- Dennis M. Manos & Daniel L. Flamm, Plasma Etching: An Introduction, Academic Press 1989 (“Manos”)

‘421 Claim 26	Mozgrin in view of Lantsman and the Mozgrin Thesis
[17pre]. A sputtering source comprising:	The combination of Mozgrin and Lantsman discloses a sputtering source. Mozgrin 403, right col, ¶4 (“Regime 2 was characterized by intense cathode sputtering...”)
[17a] a) a cathode assembly comprising a sputtering target that is positioned adjacent to an anode;	The combination of Mozgrin and Lantsman discloses a cathode assembly comprising a sputtering target that is positioned adjacent to an anode. ‘421 Patent at 3:39-4:2 (“FIG. 1 illustrates a cross-sectional view of a known magnetron sputtering apparatus 100 having a pulsed power source 102. ... The magnetron sputtering apparatus 100 also includes a cathode assembly 114 having a target 116. ... An anode 130 is positioned in the vacuum chamber 104 proximate to the cathode assembly 114.”) Mozgrin at Fig. 1 Mozgrin at 403, right col., ¶4 (“Regime 2 was characterized by an intense cathode sputtering....”) Mozgrin at 403, right col, ¶ 4 (“...The pulsed deposition rate of the cathode material...”)
[17b] b) a power supply that generates a voltage pulse between the anode and the cathode assembly that	The combination of Mozgrin and Lantsman discloses a power supply that generates a voltage pulse between the anode and the cathode assembly that creates a

EXHIBIT C.08
U.S. Patent No. 7,811,421

‘421 Claim 26	Mozgrin in view of Lantsman and the Mozgrin Thesis
<p>creates a weakly-ionized plasma and then a strongly-ionized plasma from the weakly-ionized plasma without an occurrence of arcing between the anode and the cathode assembly, an amplitude and a rise time of the voltage pulse being chosen to increase a density of ions in the strongly-ionized plasma; and</p>	<p>weakly-ionized plasma and then a strongly-ionized plasma from the weakly-ionized plasma without an occurrence of arcing between the anode and the cathode assembly, an amplitude and a rise time of the voltage pulse being chosen to increase a density of ions in the strongly-ionized plasma.</p> <p>‘421 Patent at Fig. 6</p> <p>‘421 Patent at 8:22-23 (“The weakly-ionized plasma is also referred to as a pre-ionized plasma.”)</p> <p>Mozgrin at Figs. 2 and 3</p> <p>Mozgrin at 401, left col, ¶ 4 (“It was possible to form the high-current quasi-stationary regime by applying a square voltage pulse to the discharge gap which was filled up with either neutral or pre-ionized gas.”)</p> <p>Mozgrin at 402, right col, ¶2 (“Figure 3 shows typical voltage and current oscillograms.... Part I in the voltage oscillogram represents the voltage of the stationary discharge (pre-ionization stage).”)</p> <p>Mozgrin at 401, right col, ¶2 (“[f]or pre-ionization, we used a stationary magnetron discharge; ... provided the initial plasma density in the $10^9 - 10^{11} \text{ cm}^{-3}$ range.”)</p> <p>Mozgrin at 409, left col, ¶ 4 (“The implementation of the high-current magnetron discharge (regime 2) in sputtering ... plasma density (exceeding $2 \times 10^{13} \text{ cm}^{-3}$).”)</p> <p>Mozgrin at 400, left col, ¶ 3 (“Some experiments on magnetron systems of various geometry showed that discharge regimes which do not transit to arcs can be obtained even at high currents.”)</p> <p>Mozgrin at Fig. 7</p> <p>Mozgrin explicitly notes that arcs can be avoided. See Mozgrin at 400, left col, ¶ 3 (“Some experiments on magnetron systems of various geometry showed that discharge regimes which do not transit to arcs can be</p>

EXHIBIT C.08
U.S. Patent No. 7,811,421

‘421 Claim 26	Mozgrin in view of Lantsman and the Mozgrin Thesis
	<p>obtained even at high currents.”)</p> <p>Mozgrin at 400, right col, ¶ 1 (“A further increase in the discharge currents caused the discharges to transit to the arc regimes...”)</p> <p>Mozgrin at 404, left col, ¶ 4 (“The parameters of the shaped-electrode discharge transit to regime 3, as well as the condition of its transit to arc regime 4, could be well determined for every given set of the discharge parameters.”)</p> <p>Mozgrin at 406, right col, ¶ 3 (“Moreover, pre-ionization was not necessary; however, in this case, the probability of discharge transferring to the arc mode increased.”)</p> <p>Mozgrin at 404, left col, ¶ 2 (“[t]he density turned out to be about $3 \times 10^{12} \text{ cm}^{-3}$ in the regime of $I_d = 60\text{A}$ and $U_d = 900 \text{ V}$.”)</p> <p>Mozgrin at 403 left col, ¶ 4 (“[t]ransferring to regime 3, the discharge occupied a significantly larger cathode surface than in the stationary regime.”)</p> <p>Mozgrin at 404, right col, ¶ 2 (“The density ranged from $(2 - 2.5) \times 10^{14} \text{ cm}^{-3}$ at 360 - 540A current up to $(1-1.5) \times 10^{15} \text{ cm}^{-3}$ at 1100-1400 A current.”)</p> <p><u>Background:</u></p> <p>Manos at 231 (“...arcs... are a problem...”)</p>
<p>[17c] c) a substrate support that is positioned adjacent to the sputtering target; and</p>	<p>The combination of Mozgrin and Lantsman discloses a substrate support that is positioned adjacent to the sputtering target.</p> <p>Lantsman at Fig. 1</p> <p>Lantsman at 1:12-14 (“The semiconductor substrate 16 (also known as the wafer) rests on a back plane 18...”)</p> <p>One of ordinary skill would have been motivated to use Lantsman’s substrate support in Mozgrin’s</p>

EXHIBIT C.08
U.S. Patent No. 7,811,421

‘421 Claim 26	Mozgrin in view of Lantsman and the Mozgrin Thesis
	<p>sputtering systems. First, both Mozgrin and Lantsman are directed to sputtering using plasma. <i>See</i> Mozgrin at 409, left col, ¶ 4 (“The implementation of the high-current magnetron discharge (regime 2) in sputtering or layer-deposition technologies provides an enhancement in the flux of deposited materials and plasma density...”); <i>see also</i> Lantsman at 1:6-8 (“This invention relates to reduction of device damage in plasma processes, including DC (magnetron or non-magnetron) sputtering, and RF sputtering.”). Accordingly, rather than using a “probecollector” described in Mozgrin, one of ordinary skill in the art would have been motivated to use a substrate support that can support a substrate to allow deposition onto a substrate, such as wafer 16. <i>See</i> Mozgrin at 403, right col. ¶ 4.</p> <p>Also, both references relate to sputtering systems that use two power supplies, one for pre-ionization and one for deposition. <i>See</i> Mozgrin at Fig. 2; <i>see also</i> Lantsman at 4:45-47 (“...the secondary [power] supply 32 is used to pre-ignite the plasma, whereas the primary [power] supply 10 is used to generate deposition.”)</p> <p>Moreover, both Mozgrin and Lantsman are concerned with generating plasma while avoiding arcing. <i>See</i> Mozgrin at 400, right col, ¶ 3 (“The main purpose of this work was to study experimentally a high-power noncontracted quasi-stationary discharge in crossed fields of various geometry and to determine their parameter ranges.”); <i>see also</i> Lantsman 1:51-59 (“Furthermore, arcing which can be produced by overvoltages can cause local overheating of the target, leading to evaporation or flaking of target material into the processing chamber and causing substrate particle contamination and device damage... Thus, it is advantageous to avoid voltage spikes during processing whenever possible.”)</p> <p>Summarizing, Mozgrin and Lantsman relate to the same application. Further, incorporating Lantsman’s substrate support into Mozgrin would have been a combination of old elements according to known</p>

EXHIBIT C.08
U.S. Patent No. 7,811,421

‘421 Claim 26	Mozgrin in view of Lantsman and the Mozgrin Thesis
	methods to yield predictable results.
[17d] d) a bias voltage source having an output that is electrically plasma. coupled to the substrate support.	<p>The combination of Mozgrin and Lantsman discloses a bias voltage source having an output that is electrically plasma. coupled to the substrate support.</p> <p>Lantsman at Fig. 5</p> <p>Lantsman at 1:14-17 (“The back plane may be driven by radio frequency (RF) AC voltage signals, produced by an RF power supply 20, which drives the back plane through a compensating network 22.”)</p>
26. The sputtering source of claim 17 wherein the rise time of the voltage pulse is in the range of approximately 0.01V/μsec to 1000V/μsec.	<p>The combination of Mozgrin, Lantsman, and the Mozgrin Thesis discloses the rise time of the voltage pulse is in the range of approximately 0.01V/μsec to 1000V/μsec.</p> <p><i>See evidence cited in claim 17</i></p> <p>Mozgrin at 402, Fig. 3 caption (“Oscillograms of (a) current and (h) voltage of the quasi-stationary discharge (50 μs per div., 180 A per div., 180 V per div.)”)</p> <p>Mozgrin at 401, right col, ¶ 1 (“...the supply unit was made providing square voltage and current pulses with [rise] times (leading edge) of 5 – 60 μs...”)</p> <p>Mozgrin Thesis at 63, Fig. 3.2</p> <p>It would have been obvious for one of ordinary skill to combine Mozgrin with the Mozgrin Thesis. Both Mozgrin and Mozgrin Thesis are written by the same author, address similar subject matter, and describe the same research. The Mozgrin Thesis merely provides additional detail for the material already disclosed in Mozgrin. Thus, a person of ordinary skill reading Mozgrin would have looked to the Mozgrin Thesis to determine additional details not present in Mozgrin such as those shown in Fig. 3.2.</p> <p>As explained with respect to claim 17, it would have been obvious to combine Mozgrin and Lantsman. In particular, one of ordinary skill would have been</p>

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.