IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

In re *Inter Partes* Review of: U.S. Patent No. 8,214,873

For: METHOD, SYSTEM, AND COMPUTER-READABLE MEDIUM FOR EMPLOYING A FIRST DEVICE TO DIRECT A NETWORKED AUDIO DEVICE TO RENDER A PLAYLIST

DECLARATION OF KEVIN C. ALMEROTH, PH.D.

Mail Stop PATENT BOARD

Patent Trial and Appeal Board US Patent and Trademark Office PO Box 1450 Alexandria, Virginia 22313-1450

I, Kevin C. Almeroth, hereby declare and state as follows:

I have been retained as a technical consultant on behalf of Samsung
Electronics Co., Ltd., the petitioner in the present proceeding, and I am being
compensated at my usual and customary hourly rate. The petition names
Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., and
Samsung Telecommunications America, LLC as real parties-in-interest. I have
no financial interest in, or affiliation with, the petitioner, real parties-in interest, or the patent owner, which I understand to be BLACK HILLS

MEDIA, LLC. My compensation is not dependent upon the outcome of, or my testimony in, the present *inter partes* review or any litigation proceedings.

- 2. I have reviewed each of the following:
 - a. U.S. Patent No. 8,214,873 ("the '873 Patent"), including the claims, description and prosecution history (which is identified in the Petition respectively as Exhibits 1001 and 1002);
 - b. The prosecution history of U.S. Patent No. 8,028,323 ("the '323 Patent") (which is identified in the Petition as Exhibit 1003);
 - c. U.S. Patent No. 7,454,511 to Weast (which is identified in the Petition as Exhibit 1004; hereinafter "Weast");
 - d. U.S. Patent No. 7,668,939 to Encarnacion *et al.* (which is identified in the Petition as Exhibit 1005; hereinafter "Encarnacion");
- 3. Upon reviewing the '873 Patent, I understand that the application leading to the '873 Patent was filed on August 10, 2011 (Appl. No. 13/207,113) as a continuation of the '323 Patent. The application leading to the '323 Patent was filed on May 5, 2004 (Appl. No. 10/840,109). For the purposes of my analysis, I assume the time of the purported invention to be May 5, 2003.
- 4. It is my opinion that a person of ordinary skill in the art at the time of the inventions claimed in the '873 Patent would have at least a B.S. degree in

Docket No. 032449.0032-US09

electrical engineering, computer engineering or computer science and approximately two years of professional experience with computer networking and multimedia technologies, or the equivalent. I was a person of skill in this art in May, 2003.

- My background, qualifications, and experience relevant to the issues in proceeding are summarized below. My *curriculum vitae* is submitted herewith as Exhibit 1009.
- 6. I am currently a Professor in the Department of Computer Science at the University of California, Santa Barbara. At UCSB, I also hold faculty appointments and am a founding member of the Computer Engineering (CE) Program, Media Arts and Technology (MAT) Program, and the Technology Management Program (TMP). I have been a faculty member at UCSB since July 1997.
- 7. I hold three degrees from the Georgia Institute of Technology: (1) a Bachelor of Science degree in Information and Computer Science (with minors in Economics, Technical Communication, and American Literature) earned in June, 1992; (2) a Master of Science degree in Computer Science (with specialization in Networking and Systems) earned in June, 1994; and (3) a Doctor of Philosophy (Ph.D.) degree in Computer Science (Dissertation Title: Networking and System Support for the Efficient, Scalable Delivery of

Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

Services in Interactive Multimedia System, minor in Telecommunications Public Policy) earned in June, 1997.

- 8. One of the major concentrations of my research to date has been the delivery of multimedia content and data between computing devices. In my research, I have studied large-scale content delivery systems, and the use of servers located in a variety of geographic locations to provide scalable delivery to hundreds, even thousands of users simultaneously. I have also studied smaller-scale content delivery systems in which content is exchanged between individual computers and portable devices. My work has emphasized the exchange of content more efficiently across computer networks, including the scalable delivery of content to many users, mobile computing, satellite networking, delivering content to mobile devices, and network support for data delivery in wireless networks.
- 9. In 1992, at the time I started graduate school, my research focused initially on interactive functions (*e.g.*, VCR-style functions like pause, rewind, and fast-forward) for near video-on-demand systems in cable systems. This included handling multiple requests using one audio/video stream broadcast to multiple receivers simultaneously. This research has developed into new techniques to deliver on-demand content, including audio, video, web documents, and other

types of data, through the Internet and over other types of networks, in a way that scales to a large number of users.

- 10. In 1994, I began to research issues associated with the development and deployment of multicast in the Internet. Multicast allows scalable transmission from a single source to an arbitrary number of receivers. Some of my more recent research endeavors have looked at how to use the scalability offered by multicast to provide streaming media support for complex applications like distance learning, distributed collaboration, distributed games, and large-scale wireless communication.
- 11. Starting in 1997, I worked on a project called the Interactive Multimedia Jukebox ("IMJ") to integrate the streaming media capabilities of the Internet together with the interactivity of the web. Users could select content to view from a website, which would then be scheduled for delivery using multicast on one of a number of logical content streams. Delivery would be scheduled according to available communication capacity: if idle capacity existed when a request was made, the requesting user would be able to watch its selection immediately. If the server was fully utilized in streaming previously selected content, the user's selection would be queued. In the meantime, the user would see what content was already playing, and because of the use of multicast, would be able to join one of the existing streams and watch the

Find authenticated court documents without watermarks at docketalarm.com.

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.