

## Transcript of MICHAEL S. TRIANTAFYLLOU, Sc.D

Date: May 22, 2015

Case: PETROLEUM GEO-SERVICES INC., ET AL v. WESTERNGECO LLC

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1	UNITED STATES PATENT AND TRADEMARK OFFICE	1	A P P E A R A N C E S
2	BEFORE THE PATENT TRIAL AND APPEAL BOARD	2	ON BEHALF OF PETITIONER:
3	X	3	DAVID I. BERL, ESQUIRE
4	PETROLEUM GEO-SERVICES INC. : Cases	4	THOMAS S. FLETCHER, ESQUIRE
5	and ION GEOPHYSICAL CORPORATION : IPR2014-00687	5	JESSAMYN BERNIKER, ESQUIRE
6	AND ION INTERNATIONAL S.A.R.L., : (U.S. Patent No. 7,162,967)	6	ALEC SWAFFORD, ESQUIRE
7	Petitioners,: IPR2014-00688	7	WILLIAMS & CONNOLLY LLP
8	v. : (U.S. Patent No. 7,080,607)	8	725 Twelfth Street, N.W.
9	WESTERNGECO, LLC, : IPR2014-00689	9	Washington, D.C. 20005
10	Patent Owner.: (U.S. Patent No. 7,293,520)	10	(202) 434-5000
11	X	11	
12		12	ON BEHALF OF THE PATENT OWNER:
13	Deposition of MICHAEL S. TRIANTAFYLLOU, Sc.D	13	MICHAEL L. KIKLIS, ESQUIRE
14	Alexandria, Virginia	14	CHRISTOPHER RICCIUTI, ESQUIRE
15	Friday, May 22, 2015	15	OBLON, SPIVAK, McCLELLAND, MAIER &
16	8:35 a.m.	16	NEUSTADT, LLP
17		17	1940 Duke Street
18		18	Sixth Floor
19	L I N. 02200	19	Alexandria, Virginia 22314
20 21	Job No.: 83209	20	(710) 413-3000
21	Pages: 1 - 422 Reported by: Leslie A. Todd	21	
		22	
	2		4
1	Deposition of MICHAEL S. TRIANTAFYLLOU, Sc.D, held	1	APPEARANCES CONTINUED
2	at the offices of:	2	ON BEHALF OF THE PATENT OWNER:
3		3	RYAN KANE, ESQUIRE
4		4	KIRKLAND & ELLIS LLP
5	OBLON, SPIVAK, McCLELLAND, MAIER &	5	601 Lexington Avenue
6	NEUSTADT, LLP	6	New York, New York 10022
7	1940 Duke Street	7	(212) 446-4800
8	Sixth Floor	8	
9	Alexandria, Virginia 22314	9	ALSO PRESENT:
10	(710) 413-3000	10	KEVIN M. HART, Petroleum Geo-Services, Inc.
11 12		11	TRISHA JHUNJHNUWALA
12		12 13	
		1	
14 15	Pursuant to Notice, before Leslie Anne Todd,	14 15	
16	Court Reporter and Notary Public in and for the	16	
17	Commonwealth of Virginia, who officiated in	17	
18	administering the oath to the witness.	18	
19	administering the out to the withess.	19	
20		20	
21		21	
22		22	

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1	CONTENTS	1	P R O C E E D I N G S
2	EXAMINATION OF MICHAEL S. TRIANTAFYLLOU, Sc.D PAGE	2	
3	By Mr. Berl 7	3	MICHAEL S. TRIANTAFYLLOU, Sc.D,
4		4	having been duly sworn, was examined
5		5	and testified as follows:
6		6	MR. BERL: Let's do, for the record,
7	EXHIBITS	7	appearances.
8	(Attached to transcript)	8	David Berl, Williams & Connolly. With me
9	DEPOSITION EXHIBIT PAGE	9	is Tom Fletcher, also from Williams & Connolly. And
10	Exhibit 1076 Simon Bittleston Curriculum Vitae 51	10	Trisha Jhunjhnuwala, a summer associate with us at
11	Exhibit 1077 SEG Application for Active	11	Williams & Connolly, and also Kevin Hart from
12	Membership 72	12	Petroleum Geo-Services, Inc.
13	Exhibit 1078 WesternGeco's Opening Claim	13	MR. KIKLIS: Mike Kiklis from Oblon for
14	Construction Brief 138	14	the patent owner. With me is Ryan Kane from Kirkland
15	Exhibit 1079 Claim Construction Expert Report	15	and Chris Ricciuti from Oblon as well.
16	of Peter H. Canter 152	16	EXAMINATION BY COUNSEL FOR PETITIONER
17	Exhibit 1080 Patent Office Action 255	17	BY MR. BERL:
18	Exhibit 1081 Great Britain Application 9821277 269	18	Q Good morning, Doctor.
19	Exhibit 1082 Statement by the European Patent	19	A Good morning.
20	Office 272	20	Q You've done this before, Doctor, right,
21		21	given a deposition?
22		22	A Yes.
	6		8
1	EXHIBITS CONTINUED	1	Q So you understand I'm going to ask you a
2	DEPOSITION EXHIBIT PAGE	2	series of questions over the next two days, and you
3	Exhibit 1083 Document in ION case entitled	3	will provide answers to those questions.
4	"Opening Expert Report of	4	A Exactly.
5	Michael S. Triantafyllou" 338	5	Q If you don't understand my questions, you
6		6	can just tell me, and I will try to explain it or ask
7		7	it a different way. Okay?
8	OTHER EXHIBITS REFERRED TO AND ATTACHED:	8	A Okay.
9	Exhibit 1001 U.S. Patent No. US 7,162,967	9	Q And is there any reason you can't give
10	U.S. Patent No. US 7,080,607	10	truthful testimony today?
11	U.S. Patent No. US 7,293,520 91	11	A There is no reason.
12	Exhibit 1002 Declaration of Dr. Brian Evans,	12	Q Doctor, I would like to hand you the
13	PhD. 55	13	declaration that you submitted in these three cases
14		14	that's been marked as Exhibit 2042, I believe in each
15		15	of the three cases.
16		16	Is this in fact the declaration that you
17		17	submitted in connection with the three IPRs?
18		18	A It's a long declaration, but, yes, it
19		19	appears to be.
20		20	Q And at the end of it after page 88, your
21		21	signature appears; is that right?
22		22	A Correct.

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research interests, right?

9 11 Q And you signed that on or about 1 A Yes. 2 March 20th, 2015? Q And it says that you pioneered the 3 A Yes. development of science-driven biomimetic robots to 4 study the basic mechanisms of flow control that lead Q In Singapore? 5 A In Singapore. to the outstanding agility of fish and cetaceans; is Q And you reviewed the declaration before 6 that right? 7 you signed it? A Yes. 8 Yes. Q That's one of your research interests? Α 9 Q You believed it to be truthful before you Α It is. signed it? 10 Q And it says further down that you're A Yes, I did. 11 currently studying the physics of flow sensing in 12 Q And if you reviewed it and believed it to fish and marine mammals to achieve contain errors, you would have changed those errors 13 supermaneuverability in ocean vehicles through flow before you signed it, correct? 14 feedback control; is that right? 15 A Correct. A Right. 16 Q Are you aware of any errors in your That's another one of your research Q declaration as you sit here today? 17 interests? 18 A Not as I sit today. A Yes. 19 Q I notice you brought with you a binder. 0 There's nothing in the summary of your 20 research interests about marine seismic surveys, What's in that binder? 21 right? A In the binder there is a copy of what you 22 just handed me, and there are four principal This is implied because the whole area I Α 10 12 references that were used in the declaration. 1 developed on fish hydrodynamics and the like sprang 2 out of my work on cables, towed cables and the like. Q Okay. Now, appended to your declaration was Exhibit A to 2042. I suppose we can mark this 3 Q But with respect to marine seismic as -- let's keep this as part of 2042 since that's 4 surveys, there is nothing in the summary of your 5 how it was submitted in connection with your research interests that talks about that, right? 6 A It is implied, as I told you, and if you declaration. 7 And for the record, I'm also handing you look back in my references you can find plenty of 8 what was marked as Exhibit B to your declaration, such references. So this is -- we're looking at the 2042, as well as Exhibit C to your declaration, 2042. 9 cutting edge of the moment which we will advertise in 10 Do you have those documents, sir? this caption. This is for various reasons. A Yes, I do. 11 Q It's for various what? Q Exhibit A is a copy of your curriculum 12 A We do this for reasons of promoting the vitae; is that right? 13 students and the like. But this whole research area came as a result of my cable mechanics, which is my A Yes, it is. 14 15 Q And that was current as of March 2015? sort of bread and butter. That's how I got tenure at 16 MIT. A Yes. 17 Q And your curriculum vitae provides some Q Okay. And my question, though, is a simple one. In this summary of your research of your research and experience, right? 18 19 interests, it does not say anything about marine A Correct. 20 seismic surveys, right? Q And on the first page it has a paragraph, the second paragraph about your journal articles and 21 MR. KIKLIS: Objection. Form.

THE WITNESS: To a layperson, maybe no.

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13 15 But to those who can read through the words, it says 1 1 A I discuss the basics on which the towed 2 2 arrays are based. If you don't know the basics, you plenty. 3 3 BY MR. BERL: cannot do any progress in the area. 4 4 Q It may say plenty, but it doesn't say Q I understand that, but my question is 5 5 "marine seismic surveys," right? very simple. You don't discuss in that paper marine 6 MR. KIKLIS: Objection. Form. 6 seismic survey. 7 7 THE WITNESS: It comes together with all MR. KIKLIS: Objection. Form. 8 8 THE WITNESS: The fundamental -the publications here. 9 BY MR. BERL: 9 MR. KIKLIS: Michael, let me get a chance 10 10 Q Okay. So let's look at the publications. to object. 11 You have a 21-page curriculum vitae, correct? 11 Objection. Form. 12 12 THE WITNESS: The fundamentals for the A Yes. 13 Q And the term "marine seismic survey" does 13 paper are very pertinent, whereas you can find from 14 not appear in the 21-page curriculum vitae, correct? 14 my declaration, that's where I base the whole 15 15 A It is an application of all the things discussion on the towed arrays. If you don't know 16 16 those principles that I explained in those papers, that I have published on the dynamics of translating 17 cables. For example, number 8 on dynamics of --17 you cannot do towed arrays. 18 THE REPORTER: Excuse me? 18 BY MR. BERL: 19 19 THE WITNESS: Number 7 and 8 of my Q That may be true, but my question is 20 20 different. publications. 21 Let me point you out to another one which 21 You don't actually discuss marine seismic 22 will be more relevant. The review papers. 22 surveying in that paper, do you? 14 16 1 BY MR. BERL: 1 MR. KIKLIS: Objection to form. 2 2 Q Those are on page 11? THE WITNESS: I have answered the 3 A Page 11, number 2. "Dynamics of cables, 3 question more than once. 4 Towing Cables and Mooring Systems." The Shock and 4 BY MR. BERL: 5 Vibrations Digest is a journal where people review 5 Q Well, you've answered about whether you 6 the literature to find out what is the state of the 6 think it has applicability to marine seismic surveys. 7 7 art, what is the most advanced, what is missing from I understand that answer. 8 8 the area. Whereas, you can see I reviewed the My question is a different question, and 9 relevant area which is where all the work on towed 9 I'm entitled to an answer to my question, which is 10 arrays is based on. 10 that paper does not discuss marine seismic surveys, 11 Q Let's take a look at reference number 7 11 correct? 12 that you identified. That's on page 3. That's the 12 MR. KIKLIS: Objection to form. 13 Kim article from 1984. Correct? 13 THE WITNESS: It discusses the principles 14 A Yes. 14 that apply to the towed arrays. 15 Q That does not address marine seismic 15 BY MR. BERL: 16 surveys, does it? 16 Q But not seismic surveys itself. 17 A It has applicability to it, not in -- in 17 MR. KIKLIS: Objection to form. 18 the application of commercial application. But all 18 THE WITNESS: It applies to seismic 19 this work was the basis for deriving the fundamentals 19 arrays as well. 20 of how towed arrays and the like move. 20 BY MR. BERL: 21 21 Q You don't discuss in that paper marine Q But it does not discuss seismic --22 seismic surveys, do you? 22 A But it applies to seismic arrays as well.

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